A COMPUTABLE $\aleph_0$-CATEGORICAL STRUCTURE WHOSE THEORY COMPUTES TRUE ARITHMETIC

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Abstract. We construct a computable $\aleph_0$-categorical structure whose first order theory is computably equivalent to the true first order theory of arithmetic.

§1. Introduction. The goal of this paper is to construct a computable $\aleph_0$-categorical structure whose first order theory is computably equivalent to the true first order theory of arithmetic. Recall that a structure is computable if its atomic open diagram, that is the set of all atomic statements and their negations true in the structure, is a computable set. Computability of an infinite structure $\mathcal{A} = (A; P_{00}, P_{11}, \ldots)$ is equivalent to saying that the domain $A$ is either finite or $\omega$ and that there exists an algorithm that given an $i \in \omega$ and elements $x_1, \ldots, x_n$ of the domain decides whether $P_{i}^n(x_1, \ldots, x_n)$ is true. If a structure $\mathcal{B}$ is isomorphic to a computable structure $\mathcal{A}$ then $\mathcal{A}$ is called a computable presentation of $\mathcal{B}$. We often identify computable and computably presentable structures. If there exists an algorithm that decides the full diagram of a structure $\mathcal{A}$ then $\mathcal{A}$ is called a decidable structure. Clearly, decidable structures are computable but the opposite is not always true. Each computable structure is countable. Therefore, in this paper we restrict ourselves to countable structures.

One of the major themes in computable model theory investigates computable models of theories. Let $T$ be a deductively closed consistent theory. If $T$ is decidable then the Henkin’s construction can be carried out effectively for $T$. Therefore, a complete theory $T$ has a decidable model if and only if $T$ is decidable. For complete decidable theories $T$ the class of all decidable models of $T$ has been well studied starting in the 1970s. See for example the results by Goncharov [GN73, Gon78], Millar [Mil78, Mil81], Morley [Mor76], Harrington [Har74], and Peretyatkin [Per78]. These results investigate decidability of specific models of $T$ such as prime models, saturated models, and homogeneous models. Roughly, prime models are the smallest models since they can be embedded into all models of $T$, and saturated models are the largest models since all (countable) models of $T$ can be embedded into saturated models. Prime and saturated models are unique up to isomorphism.
and homogeneous models are characterized by the types they realize. Goncharov, Millar, and Morely found characterizations for these models to be decidable. For instance, the prime model of $T$ is decidable if and only if the set of all principle types of $T$ is uniformly computable [Har74, GN73]. Similarly, the saturated model of $T$ is decidable if and only if the set of all types of $T$ is uniformly computable [Mor76].

If $T$ is undecidable then one would like to study the class of computable models of $T$. One simple observation is that if a complete theory $T$ has a computable model then $\theta^{(n)}$ the $\omega$-jump of the computable degree computes $T$. This bound is sharp given by the model of arithmetic $(\omega; 0, S, +, \times)$. However, it is perhaps quite an ambitious goal to hope for results of general character that say something reasonable and deep about computable models of $T$. Therefore, one would like to study computable models of theories that satisfy certain natural (model-theoretic and algebraic) conditions.

Ershov proves that all computably enumerable extensions of the theory of trees have computable models [Ers73]. Lerman and Schmerl prove that all $\Delta^0_2$-extensions of the theory of linear orders have computable models [LS79]. Khisamiev in [Khi98] studies computable models of the theory of Abelian groups. A series of results investigate computable models of $\aleph_1$-categorical theories [GHL’03, KLLS07, KNS97, Kud80]. For example, all models of a trivial strongly minimal theory with a computable model are decidable in $\theta^{(n)}$ [GHL’03]. The current paper contributes to this line of research by considering computable models of $\aleph_0$-categorical theories. Below we give a brief background to known results about computable models of $\aleph_0$-categorical theories.

A complete theory $T$ is $\aleph_0$-categorical if all countable models of $T$ are isomorphic to each other. A structure $\mathcal{A}$ is $\aleph_0$-categorical if its theory is $\aleph_0$-categorical. It is well-known that $T$ is $\aleph_0$-categorical if and only if for each $n$ the number of complete $n$-types of $T$ is finite (e.g., see [Hod93]). If $T$ is $\aleph_0$-categorical then $T$ is decidable if and only if all of its models (and hence exactly one model of $T$) are decidable. Schmerl in [Sch78] proves that for every computably enumerable degree $X$ there exists a decidable $\aleph_0$-categorical theory $T$ such that the type function of $T$ is Turing equivalent to $X$. In [LS79] Lerman and Schmerl show that if $T$ is an arithmetical $\aleph_0$-categorical theory such that the set of all $\exists^+_{n+2}$-sentences of $T$ is a $\Sigma^0_{n+1}$-set for each $n < \omega$, then $T$ has a computable model. Knight extends this result in [Kni94] to include non-arithmetical $\aleph_0$-categorical theories. These results, however, do not provide examples of computable $\aleph_0$-categorical structures of high arithmetical complexity. In [GK04] Khoussainov and Goncharov, for every $n > 0$ build $\aleph_0$-categorical computable structures whose theories are equivalent to $\theta^{(n)}$, the $n$-jump of the computable degree. It has been a long standing open question whether there exists a computable $\aleph_0$-categorical structure whose first order theory is not arithmetical. In this paper we solve this problem by proving the following theorem:

**Theorem 1.1.** There exists a computable $\aleph_0$-categorical structure whose first order theory is 1-equivalent to true first order arithmetic $Th((\omega; 0, S, +, \times))$.

The rest of this paper is devoted to proving this theorem. We would also like to thank the referees for useful comments.
§2. General idea. We start by roughly describing the idea of the proof. Suppose we want to code one bit of $\Sigma_n$ information, say given by a $\Sigma_n$ sentence $\varphi$ of arithmetic. We will define two $n$-graphs $G^{\Sigma_n}$ and $G^{\Pi_n}$ which are $\aleph_0$-categorical and not elementary equivalent. By an $n$-graph we mean a structure $(V,E)$ where $E$ is an $n$-ary relation on $V$ such that, for all tuples $(x_1,\ldots,x_n)$, if $(x_1,\ldots,x_n) \in E$ then all $x_1,\ldots,x_n$ are pairwise distinct. Furthermore, for an $n$-graph $G = (V,E)$ we often write $G(\bar{x})$ instead of $E(\bar{x})$. Later, we will define a computational procedure that, given a $\Sigma_n$-sentence $\varphi$ of arithmetic, produces a computable $n$-graph $G^\varphi$ such that

$$G^\varphi \cong \begin{cases} G^{\Sigma_n} & \text{if } \varphi, \\ G^{\Pi_n} & \text{if } \neg \varphi. \end{cases}$$

We define the $n$-graphs $G^{\Sigma_n}$ and $G^{\Pi_n}$ inductively. For $n = 1, 2, 3$ these graphs are defined as follows. The $1$-graph $G^{\Pi_1}$ is a unary relation that holds of every element, and $G^{\Sigma_1}$ is a unary relation that holds on an infinite and co-infinite set of elements. For example, $G^{\Sigma_1}$ can be defined by flipping a coin randomly. The $2$-graph $G^{\Pi_2}$ is the usual random directed graph. In this random graph for each pair $(a_1, a_2)$ we flip a coin to decide whether $G^{\Pi_2}(a_1, a_2)$ holds. The directed graph $G^{\Sigma_2}$ has two types of elements. The first type of elements are connected (via the edge of the graph) to all other elements of the graph. The second type of elements are connected to an infinite co-infinite set of elements in a random way. The same idea is applied in defining the $3$-graphs $G^{\Pi_3}$ and $G^{\Sigma_3}$. In $G^{\Pi_3}$, for every element $b$ we have that the graph obtained by $G_b(a_1, a_2) = G^{\Pi_1}(b, a_1, a_2)$ is isomorphic to $G^{\Sigma_2}$. Moreover, these $2$-graphs $G_b$ for the different $b$’s are, in a certain sense, randomly independent. In the $3$-graph $G^{\Sigma_3}$, there is an infinite set of elements $b$ such that $G_b$ is isomorphic to $G^{\Sigma_2}$ and there is an infinite set of elements $b$ such that $G_b$ is isomorphic to $G^{\Pi_2}$. Precise definitions of $n$-graphs $G^{\Pi_n}$ and $G^{\Sigma_n}$ for $n > 3$ are given in Section 4.

In order to ensure that these graphs are $\aleph_0$-categorical, we will define them inside of a random structure that we know is $\aleph_0$-categorical (as we will specify later). To be able to decode the bit of information $\varphi$ we will have that the sentence

$$\psi_n \equiv (\exists x_1) \neg(\exists x_2 \neq x_1) \neg(\exists x_n \neq x_1, \ldots, x_{n-1}) \neg G(x_1, \ldots, x_n),$$

holds in $G^{\Sigma_n}$ but not in $G^{\Pi_n}$. Decoding information will work in a nice way. Let our sentence $\varphi$ be $\exists x_1 \exists x_2 \ldots \exists x_n \neg R(x_1, \ldots, x_n)$, a $\Sigma_0$-sentence of arithmetic, written in a certain standard form that will be explained later. Let $q$ be a computable ‘random’ projection from $n$-tuples to $n$-tuples that we will also define later, and let $G^\varphi$ be the computable $n$-graph defined by $G^\varphi(\bar{x}) = R(q(\bar{x}))$. The surprising fact is that the isomorphism type of the $n$-graph $(\omega, G^\varphi)$ does not depend on what $\varphi$ is, but only on whether $\varphi$ holds. Moreover, $G^\varphi$ is isomorphic to either $G^{\Sigma_n}$ or $G^{\Pi_n}$ depending on whether $\varphi$ holds. Moreover, the connection between $\varphi$ and $\psi_n$ will be such that $\varphi$ is true in the arithmetic if and only if $\psi_n$ is true in $G^\varphi$.

Suppose now we want to code another bit of $\Sigma_n$ information. We will now consider the graphs $G^{\Sigma_n}(x_1, \ldots, x_n)$ or $G^{\Pi_n}(x_1, \ldots, x_n)$ again, but now, we will think of $x_1$ as a member of $\omega^{n+1}$. The definitions of these new graphs will be random enough, that all the $m$-tuples of elements for $m \leq n$ will have the same $m$-type, as they will be part of $(n + 1)$-tuples satisfying all the possible $(n + 1)$-types.
Furthermore, these new graphs will be randomly independent from the $n$-graphs defined previously, and hence will not add any new $m$-type for $m \leq n$. This will allow us to define infinitely many such graphs keeping the number of $m$-types finite, and hence preserving $\aleph_0$-categoricity.

§3. Random string maps. We want to work with finite strings all whose entries are different. So we need to develop a bit of notation to work with these objects. Recall that by an $n$-graph we mean a structure $(V, E)$ where $E$ is an $n$-ary relation on $V$ such that, for all tuples $(x_1, \ldots, x_n)$, if $(x_1, \ldots, x_n) \in E$ then all $x_1, \ldots, x_n$ are pairwise distinct.

We will use the following notation. We let $V^{<\langle n \rangle}$ be the set of $n$-tuples from $V$ all whose entries are different. So, an $n$-graph is nothing more than a subset $r \subseteq V^{<\langle n \rangle}$.

We also set $V^\leq\langle n \rangle = \bigcup_{i=1}^n V^{<\langle i \rangle}$ and $V^\langle /afii9853 \rangle = \bigcup_{i=1}^\omega V^{<\langle i \rangle}$.

We call any pair of the form $(V, p)$ where $p: V^{<\langle /afii9853 \rangle} \to /afii9853$ a string $/afii9853$-map. Also, we call pairs $(V, r)$ where $r: V^{<\langle \omega \rangle} \to \{0, 1\}$ string $2$-map.

Now we introduce the notions of random string maps and random string sets. They are just the Fraïssé limits of the class of finite string maps and of the class of finite string sets. Recall that a structure is ultra-homogeneous if every pair of tuples satisfying the same quantifier free types are automorphic.

Theorem 3.1. Let $\alpha$ be either 2 or $\omega$. Let $(V, r)$ be a string $\alpha$-map. The following properties are equivalent:

1. $(V, r)$ is ultra-homogeneous and every finite string $\alpha$-map is isomorphic to a substructure of $(V, r)$.

2. For each finite set $V_0 \subseteq V$ and function $r_0: (V_0 \cup \{x\})^{<\langle \omega \rangle} \to \alpha$ that extends $r \upharpoonright V_0^{<\langle \omega \rangle}$ there exists $a \in V \setminus V_0$ such that for every $\sigma$ in the set $(V_0 \cup \{x\})^{<\langle \omega \rangle}$ we have

$$r_0(\sigma) = r(\sigma_{x \mapsto a})$$

where $r(\sigma_{x \mapsto a})$ is obtained by replacing $x$ with $a$ in the domain of $r$.

Furthermore, there is a structure unique up to isomorphism satisfying any of these properties. For $\alpha = 2$, this structure is $\aleph_0$-categorical.

Proof. The proof of this theorem is standard. For instance, it is done in a more general form in [Hod93, 6.1.2].

We single out the structures that are specified in the theorem above in the following definition.

Definition 3.2. We call any structure that satisfies any of the conditions of the theorem the random string $\alpha$-map.
The next lemma says that each random string α-map is a computable structure unique up to computable isomorphism.

**Lemma 3.3.** Let α be either 2 or ω. There exists a computable random string α-map. Moreover, any two computable random string α-maps are isomorphic via a computable map.

**Proof.** For the first part, one builds the α-map r by stages, finitely much at a time, satisfying the requirements for (2) of the theorem above stage by stage:

Let $V = \omega$. At stage $s$ build $r^s : \{0, \ldots, s\}^{<\omega} \rightarrow \alpha$ extending $r^{s-1}$. Enumerate all the pairs $(p_i, V_i)$, where $V_i$ is a finite subset of $\omega$ and $p_i : (V_i \cup x)^{<\omega} \rightarrow \alpha$. Do it in a way that $V_i \subseteq \{0, \ldots, i - 1\}$. At stage $s$, if $p_i \subseteq r^{s-1}$, define $r^s$ extending $r^{s-1}$ so that $s \in V$ is the witness for $x$ in (2) of the theorem above applied to $(p_i, V_i)$. Define $r$ to be the union of all the $r^s$. It is not hard to check that $r$ is as wanted.

The second part is a typical back and forth argument that can be carried out effectively: Suppose $p, r : \omega^{<\omega} \rightarrow \alpha$ are random string α-maps. Define a permutation $f$ of $\omega$ by stages. At stage $s$, we define a finite one-to-one partial function $f_s : \omega \rightarrow \alpha$ such that $r \circ f_s$ coincides with $p$ on the domain of $f_s$. For $s = 2e$ we define $f_{2e}$ so that $e$ is in the domain of $f_{2e}$. If it is already in, we do not do anything. Otherwise define $f_{2e}(e)$ extending $f_{2e-1}$ using that $r$ satisfies (2) of the theorem above to make sure that $r \circ f_s$ coincides with $p$ on the domain of $f_s$. For $s = 2e + 1$ we define $f_{2e+1}$ so that $e$ is in the image of $f_{2e}$ in an analogous way.

We will see now how to use random string α-maps to transform subsets of $\omega^{<\omega}$ into random string 2-maps. We need a couple definitions.

**Definition 3.4.** For a string $\omega$-map $p$, we set $\tilde{p} : V^{<\omega} \rightarrow \omega^{<\omega}$ as follows:

$$\tilde{p}(a_1a_2\ldots a_k) = (p(a_1), p(a_1a_2), \ldots, p(a_1a_2\ldots a_k)).$$

We may abuse notation and write $\tilde{p}(a_1a_2\ldots a_k)$ as $p(a_1)p(a_1a_2)\ldots p(a_1a_2\ldots a_k)$.

By Lemma 3.3, if $(V, p)$ is a random ω-string map then we can assume that $(V, p)$ is a computable structure, and hence we identify $V$ with $\omega$. With this identification, the following observation is easy to check.

**Observation 3.5.** If $(V, p)$ is a random string ω-map then $\tilde{p}$ satisfies the following properties:

1. For every $\sigma$, $|\tilde{p}(\sigma)| = |\sigma|$;
2. If $\sigma \subseteq \tau$, then $\tilde{p}(\sigma) \subseteq \tilde{p}(\tau)$;
3. Given $\sigma_0, \sigma_1 \in V^{<\omega}$ and $\tau_1 \in \omega^{<\omega}$ such that $n = |\sigma_0| + |\tau_1| = |\sigma_0| + 1 + |\sigma_1|$, and $\sigma_0$ and $\sigma_1$ do not share any entry, there exist infinitely many $a \in V$ such that $\tilde{p}(\sigma_0\sigma_1) = \tilde{p}(\sigma_0)\tau_1$.
4. Given $\{\langle \sigma_0^i, \tau_1^i \rangle : i = 1, \ldots, s\}$ such that $\sigma_0^i$ and $\sigma_1^i$ do not share any entry and $n = |\sigma_0^i| + |\tau_1^i| = |\sigma_0^i| + 1 + |\tau_1^i|$ for all $i = 1, \ldots, s$, there exist infinitely many $a \in V$ such that for each $i = 1, \ldots, s$ we have $\tilde{p}(\sigma_0^i\sigma_1^i) = \tilde{p}(\sigma_0^i)\tau_1^i$.

Here we naturally assume the compatibility condition that if $\sigma_0^i = \sigma_1^i$, then $\tau_1^i$ and $\tau_1^i$ have to start with the same value.

The last two parts are just applications of condition (2) of Theorem 3.1.
Definition 3.6. A map \( q : V^{<\omega} \to \{0, 1\} \) is diverse if for every \( \sigma \in V^{<\omega} \) there exist \( k_0 \) and \( k_1 \) such that \( q(\sigma k_0) = 0 \) and \( q(\sigma k_1) = 1 \).

Diverse maps are not necessarily random string 2-maps. However, for a diverse map \( q \) and random string \( \omega \)-map \( p \), the composition \( q \circ \bar{p} \) is a random string 2-map.

Lemma 3.7. If \( (V, p) \) is a random string \( \omega \)-map and \( q \) is a diverse map, then \( q \circ \bar{p} \) is a random string 2-map.

Proof. Let \( r = q \circ \bar{p} \). We show that Condition (2) of Theorem 3.1 is satisfied. Let \( V_0 \subset \omega \) be a finite set and let \( r_0 : (V_0 \cup x)^{<\omega} \to \{0, 1\} \) be a function extending \( r \mid V_0^{<\omega} \). We need to show that there exists an element \( a \in V \setminus V_0 \) such that for every \( \sigma \in (V_0 \cup x)^{<\omega} \) we have

\[
\forall \sigma \in (V_0 \cup \{x\})^{<\omega}, \quad r_0(\sigma) = r(\sigma_{x-\omega}).
\]

For each \( \sigma \in (V_0 \cup \{x\})^{<\omega} \), write \( \sigma \) as \( \sigma_0 \sigma_1 \). Since \( q \) is diverse, for the given \( \sigma \) there exists \( \tau_\sigma \) of length \( |\sigma_1| + 1 \) such that \( q(p(\tau_\sigma)) = r_0(\sigma) \). Note that the set \( (V_0 \cup \{x\})^{<\omega} \) is finite. Now, Observation 3.5, we have that there exist infinitely many \( a \) such that \( p(\tau_\sigma) = p(\sigma_{x-\omega}) \). Hence, Condition (2) of Theorem 3.1 is satisfied.

§4. The coding structures. In this section we turn our interest to defining the \( n \)-graphs \( G^{n, n} \) and \( G^{n, n} \) as suggested in Section 2. Our definition will proceed by induction using the random string 2-map.

Definition 4.1. Let \( (\omega, r) \) be the random string 2-map. For each \( m \leq n \) with \( 1 \leq m \), and each \( \bar{b} \in \omega^{(n-m)} \) we define two \( m \)-graphs \( G^{n, m}_b \) and \( G^{n, m}_b : \omega^{(m)} \to \{0, 1\} \) inductively as follows. When \( m = 1 \), \( |\bar{b}| = n - 1 \), and \( |a| = 1 \), we let

\[
G^{n, 1}_b(a) = r(\bar{b} a) \quad \text{and} \quad G^{n, 1}_b(a) = 1.
\]

Let

\[
G^{n, m}_b(a_1, \ldots, a_m) = \begin{cases} G^{n-1}_b(a_2, \ldots, a_m) & \text{if } r(\bar{b} a_1) = 1, \\ G^{n-1}_b(a_2, \ldots, a_m) & \text{if } r(\bar{b} a_1) = 0. \end{cases}
\]

\[
G^{n, m}_b(a_1, \ldots, a_m) = \begin{cases} G^{n-1}_b(a_2, \ldots, a_m) & \text{if } r(\bar{b} a_1) = 0. \end{cases}
\]

We use standard abuse of notation and we identify subsets \( R \subset \omega^{(m)} \), with functions \( R : \omega^{(m)} \to \{0, 1\} \). Also, given an object \( R \) of this kind, we use the same letter \( R \) to name the graph \( (\omega, R) \).

The following lemma shows that the isomorphism types of the graphs \( G^{n, n}_b \) and \( G^{n, n}_b \) do not depend on the parameter \( \bar{b} \).

Lemma 4.2. For every \( \bar{b} \in \omega^{(n-m)} \), the graphs \( (\omega \setminus \bar{b}, G^{n, n}_b) \) and \( (\omega \setminus \bar{b}, G^{n, n}_b) \) are isomorphic to \( (\omega, G^{n, n}_\emptyset) \) and \( (\omega, G^{n, n}_\emptyset) \) respectively, where \( \emptyset \) is the empty tuple. Also, up to isomorphism, the graphs \( (\omega, G^{n, n}_b) \) and \( (\omega, G^{n, n}_b) \) do not depend on the presentation of \( r \).

Proof. Let \( V = \omega \setminus \bar{b} \); the domain of \( G^{n, n}_b \) and \( G^{n, n}_b \). Define \( p : V^{(<\omega)} \to \{0, 1\} \) by \( p(\bar{a}) = r(\bar{b} \bar{a}) \). It is not hard to see that \( (V, p) \) is also a random string 2-map.
and hence isomorphic to \((\omega, r)\). This isomorphism is also an isomorphism between the structures \((V, G^\Sigma_b)\) and \((\omega, G^\Sigma_0)\), and between \((V, G^\Pi_b)\) and \((\omega, G^\Pi_0)\).

However, the particular presentations of \(G^\Sigma_b\) and \(G^\Pi_b\) do depend on the particular presentation of \(r\). Note that the \(n\)-graphs \(G^\Sigma_n = G^\Sigma_0\) and \(G^\Pi_n = G^\Pi_0\) obtained for the cases when \(n = 1, 2, 3\) are exactly as in Section 2. We now prove the following theorem.

**Theorem 4.3.** The \(n\)-graphs \(G^\Sigma_n\) and \(G^\Pi_n\) have the following properties:

1. The \(n\)-graphs \(G^\Sigma_n\) and \(G^\Pi_n\) are \(\aleph_0\)-categorical.
2. There is a \(\Sigma_n\) sentence \(\psi_n\) in the language of \(n\)-graphs which is true in \(G^\Sigma_n\) but false in \(G^\Pi_n\).
3. There is a uniform computable procedure that given a \(\Sigma_n\) sentence \(\varphi\) in the language of arithmetic, builds an \(n\) graph \(G^\varphi\) such that

   \[
   G^\varphi \cong \begin{cases} 
   G^\Sigma_n & \text{if } \varphi \text{ holds}, \\
   G^\Pi_n & \text{if } \neg \varphi \text{ holds}.
   \end{cases}
   \]

(For a formula \(\varphi\) of arithmetic, when we say “\(\varphi\) holds”, we always mean in true arithmetic.)

**Proof.** For Part 1 note that both relations \(G^\Sigma_n\) and \(G^\Pi_n\) are definable in the structure \((\omega, r)\) which is \(\aleph_0\)-categorical. This implies that \((\omega, G^\Sigma_n)\) and \((\omega, G^\Pi_n)\) are also \(\aleph_0\)-categorical because the number of \(k\)-types in each of these structures is at most the number of \(k\)-types in \((\omega, r)\). Then we use the fact that a structure is \(\aleph_0\)-categorical if and only if for each \(k\), the number of \(k\)-types is finite [Hod93, Theorem 6.3.1(e)].

For Part 2, the sentence distinguishing \(G^\Sigma_n\) and \(G^\Pi_n\) is the following:

\[
\psi_n \equiv (\exists x_1) \neg (\exists x_2 \neq x_1) \neg \ldots \neg (\exists x_n \neq x_1, \ldots, x_{n-1}) \neg G(x_1, \ldots, x_n).
\]

When \(n = 1\) the sentence says that there is an element outside of the unary relation \(G\). This is satisfied by \(G^\Sigma_1\) and falsified by \(G^\Pi_1\). When \(n = 2\), the statements says there is an element that is connected to all other elements of the structure. This is satisfied by \(G^\Sigma_2\) and falsified by \(G^\Pi_2\). The rest is proved by induction on \(n\). Suppose \(R\) is isomorphic to either \(G^\Sigma_n\) or \(G^\Pi_n\). Then we have that \(R \cong G^\Sigma_n\) if and only if there exists \(x\) such that the graph \(R_x\) defined by \(R_x(\bar{a}) = R(x, \bar{a})\) is isomorphic to \(G^\Pi_{n-1}\). So, \(R \cong G^\Sigma_n\) if and only if there exists \(x\) such that \(R_x\) does not satisfy \(\psi_{n-1}\), which is equivalent to saying that \(R\) satisfies \(\psi_n\).

The last part of the theorem can be proved in several ways. One way of proving this would be to show that \(G^\Sigma_n\) is \(n\)-back-and-forth below \(G^\Pi_n\) and that these structures are \(n\)-friendly, and then use Ash and Knight’s theorem [AK00] (see Thm 18.6). This would require some combinatorial work and notation needed to apply Ash and Knight’s theorem. Instead, we give a direct construction of \(G^\varphi\) which is interesting in its own right.

Consider a \(\Sigma_n^0\) formula \(\varphi\). Write \(\varphi\) as \(\exists x_1 \neg \exists x_2 \neg \ldots \neg \exists x_n \neg R^\varphi(x_1, \ldots, x_n)\), where \(R^\varphi\) is quantifier free.

**Definition 4.4.** Let \(\varphi_i(x_1, \ldots, x_{n-i})\) be \(\exists x_{n-i+1} \ldots \neg \exists x_n \neg R^\varphi(x_1, \ldots, x_n)\). We say that \(\varphi\) is in semi-diverse form if for each \(i\) and each \(\bar{a}\) of length \(n - i - 1\) there exists some \(b\) such that \(\varphi_i(\bar{a}, b)\) holds.
Thus, for the $\Sigma^0_n$ formula $\varphi_i$, the definition above states that $\varphi_i(x_1, \ldots, x_{n-i})$ is a $\Sigma^0_n$ sub-formula of $\varphi$ obtained by removing the first $(n-i)$ quantifiers, and hence it has $(n-i)$ free variables. Furthermore, we have that $\varphi \equiv \varphi_n, \varphi_{i+1} \equiv \exists x_{n-i} \varphi_i$ and $\varphi_0 \equiv R(x_1, \ldots, x_n)$.

**Lemma 4.5.** Every $\Sigma_n$ formula $\varphi$ is equivalent to a formula $\chi$ in semi-diverse form. Moreover, given $\varphi$, $\chi$ can be found computably.

**Proof.** We define $\chi$ by steps starting with $i = n$ and going down to $i = 0$. We start defining $\chi_n = \varphi$. At step $i$, we define a $\Sigma_i$ formula $\chi_i$, with free variables $x_1, \ldots, x_{n-i}$, so that $\chi_i(x_1, \ldots, x_{n-i})$ is equivalent to $\exists x_{n-i} \varphi_i(x_1, \ldots, x_{n-i-1})$ and also such that for each $\bar{a} \in \omega^{n-i-1}$, there exists some $b \in \omega$ such that $\chi_i(\bar{a}, b)$ holds. To define $\chi_i$ start by writing $\chi_i+1$ as $\exists x_{n-i} \neg \Theta(x_1, \ldots, x_{n-i})$, where $\Theta$ is $\Sigma_i$. Let

$$\chi_i(x_1, \ldots, x_{n-i}) \equiv (x_{n-i} = 0) \lor (x_{n-i} > 0 \land \Theta(x_1, \ldots, x_{n-i} - 1)).$$

So we have that for each $\bar{a}$ of length $n-i-1$, $\chi_i(\bar{a}, 0)$ holds. and that

$$\exists x_{n-i} \neg \Theta(x_1, \ldots, x_{n-i})$$

if and only if

$$\exists x_{n-i} \neg \chi(x_1, \ldots, x_{n-i}).$$

Finally, let $R^\varphi = \chi_0$ and $\chi = \exists x_1 \exists x_2 \ldots \exists x_n \neg R^\varphi(x_1, \ldots, x_n)$. It is not hard to show that each $\chi_i$ is equivalent to $\exists x_{n-i+1} \ldots \exists x_n \neg R^\varphi(x_1, \ldots, x_n)$, and that in particular that $\varphi$ is equivalent to $\chi$.

An intuition for why we need formulas in semi-diverse form is the following. In the graphs $G^{\Sigma^m_n}$, there are two types of elements, the ones for which the rest of the graph is $G^{\Pi^0(n-1)}$, and the ones for which the rest of the graph is $G^{\Sigma^0(n-1)}$. Therefore we would like similar things to happen with the formulas $\varphi_i$. Namely, if this existential formula is true, then we want it to have some witnesses, but at the same time we also want to have some elements which are not witnesses. This intuition is made precise in the reasoning below that constitutes the proof of Part (3) of the theorem.

**Definition 4.6.** Let $p$ be a random string $\omega$-map. Given a $\Sigma_n$ formula $\varphi$, written in semi-diverse form as $\exists x_1 \neg \exists x_2 \ldots \neg \exists x_n \neg R^\varphi(x_1, \ldots, x_n)$, we define

$$G^\varphi = R^\varphi \circ \bar{p},$$

where $\bar{p}$ is defined in Definition 3.4.

Clearly $G^\varphi$ is a computable $n$-graph and the definition is computably uniform on $\varphi$ by Lemma 4.5.

It is not hard to prove that $G^\varphi \models \psi_n$ if and only if $\varphi$ is a true sentence of arithmetic. To prove this one uses an induction on $i$ to show that the statement $\varphi_i(\bar{p}(\bar{b}))$ is true if and only if

$$G^\varphi \models (\exists x_{n-i+1} \neq b_1, \ldots, b_{n-i}) \ldots \neg (\exists x_n \neq b_1, \ldots, b_{n-i}, x_{n-i+1}, \ldots, x_{n-1})$$

$$\neg G(\bar{b}, x_{n-i+1}, \ldots, x_n).$$
Indeed, when $i = 0$ then the statement is simply the definition of $G^\varphi$. For the inductive case one uses the fact that $p$ is random and hence it is onto on every coordinate. What is left to prove is that $G^\varphi$ satisfies Part (3) of the theorem.

Let $Q^\varphi: \omega^{<\omega} \rightarrow \{0, 1\}$ be defined as follows. For a non-empty tuple $\tilde{ba} \in \omega^{<\omega}$ with $|\tilde{ba}| = i$, let

$$Q^\varphi(\tilde{ba}) = \begin{cases} \varphi_i(\tilde{ba}) & \text{whenever } a \neq 0 \text{ or } \exists x \neg \varphi_i(\tilde{b}x), \\ 0 & \text{if } a = 0 \text{ and } \forall x \varphi_i(\tilde{b}x). \end{cases}$$

For $\tilde{ba} \in \omega^{>n}$, define $Q^\varphi(\tilde{ba})$ in any way so that $Q^\varphi$ is diverse. Also, note that this makes $Q^\varphi$ diverse because $\varphi$ is chosen to be in a semi-diverse form. Now we apply Lemma 3.7, and have the following statement:

**Lemma 4.7.** The mapping $Q^\varphi \circ p$ is a random string 2-map.

Let $r = Q \circ p$ and consider $G_h^{\Sigma^m}$ and $G_h^{\Pi^m}$ as in Definition 4.1.

The next lemma establishes the connection between $G_h^{\Sigma^m}$, $G_h^{\Pi^m}$, and $G_h^\varphi$. For the lemma, we need another bit of notation. For every $\tilde{b}$ of length $n - i$ and $\tilde{a}$ of length $i$, we set $G_h^\varphi(\tilde{a}) = G^\varphi(\tilde{b}\tilde{a})$.

**Lemma 4.8.** For every $\tilde{b}$ of length $n - i$ and $\tilde{a}$ of length $i$, we have:

$$G_h^\varphi(\tilde{a}) = \begin{cases} G_h^{\Sigma^m}(\tilde{a}) & \text{if } \varphi_i(\tilde{p}(\tilde{b})), \\ G_h^{\Pi^m}(\tilde{a}) & \text{if } \neg \varphi_i(\tilde{p}(\tilde{b})). \end{cases}$$

So, in particular when $i = n$, Part (3) of the Theorem is satisfied.

The proof is by induction on $i$. Suppose $i = 1$. If $\varphi_i(\tilde{p}(\tilde{b})) \equiv \exists x_n \neg R(\tilde{p}(\tilde{b})x_n)$ holds, then $G_h^\varphi(a) = r(\tilde{ba})$ because $Q^\varphi(\tilde{ba}) = R(\tilde{ba})$. Therefore, $G_h^\varphi = G_h^{\Sigma^1}$.

Otherwise, if $\neg \varphi_i(\tilde{p}(\tilde{b})) \equiv \forall x_n R(\tilde{p}(\tilde{b})x_n)$ holds, then $G_h^\varphi$ is the whole universe and hence it is isomorphic to $G_h^{\Pi^1}$. For the induction step we proceed as follows. If $\varphi_{i+1}(\tilde{p}(\tilde{b}) \equiv \exists x_{n-i} \neg \varphi_i(\tilde{p}(\tilde{b})x_{n-i})$ holds, then for every $a$, $Q^\varphi(\tilde{ba}) = 1$ if and only if $\varphi_i(\tilde{ba})$, and hence

$$G_h^\varphi(a\tilde{a}) = G_h^\varphi(\tilde{a})$$

$$= \begin{cases} G_h^{\Sigma^m}(\tilde{a}) & \text{if } \varphi_i(\tilde{p}(\tilde{b}a)), \\ G_h^{\Pi^m}(\tilde{a}) & \text{if } \neg \varphi_i(\tilde{p}(\tilde{b}a)) \end{cases}$$

by induction hypothesis

$$= \begin{cases} G_h^{\Sigma^m}(\tilde{a}) & \text{if } r(\tilde{ba}) = 1, \\ G_h^{\Pi^m}(\tilde{a}) & \text{if } r(\tilde{ba}) = 0 \end{cases}$$

because $Q^\varphi(\tilde{ba}) = \varphi_i(\tilde{ba})$ by definition of $G_h^{\Sigma^m+1}$.

When $\varphi_{i+1}(\tilde{p}(\tilde{b})) \equiv \exists x_{n-i} \neg \varphi_i(\tilde{p}(\tilde{b})x_{n-i})$ does not hold, we have that for every $a$, $\varphi_i(\tilde{p}(\tilde{b}a))$ holds, and hence
the following properties:

\[ G_b(a\bar{a}) = G_{ba}(\bar{a}) \]

\[ = \begin{cases} 
G_{ba}^\Sigma(\bar{a}) & \text{if } \varphi_1(\bar{p}(\bar{b}a)), \\
G_{ba}^\Pi(\bar{a}) & \text{if } \neg \varphi_1(\bar{p}(\bar{b}a)) 
\end{cases} \]

by induction hypothesis

\[ = G_{ba}^\Sigma(\bar{a}) \]

because \( \forall \varphi_1(\bar{p}(\bar{b}a)) \)

\[ = G_{ba}^\Pi(n_1+1)(\bar{a}\bar{a}) \]

by definition of \( G_{ba}^\Pi(n_1+1) \).

This concludes the proof of the lemma, and hence of the theorem.

\[ \Box \]

§5. Coding many bits. Now we want to encode infinitely many bits of information into our structures, each bit being a \( \Sigma_n \)-sentence of the arithmetic for various \( n \). So we will use infinitely many graphs. Since we do not want the different graphs to have any interaction between each other we will use a variation of the graphs defined in the previous section.

Definition 5.1. Let \((\omega, r)\) be a random string 2-map and \( l, n, m \in \omega \) with \( 1 \leq m \leq n \). For each \( \bar{b} \in \omega^{l+n-m} \) we define two \( m \)-graphs \( G_{ba}^{\Sigma,m} \) and \( G_{ba}^{\Pi,m} \) inductively as follows. When \( m = 1 \), \( |\bar{b}| = l + n - 1 \), and \( |\bar{a}| = 1 \), set:

\[ G_{ba}^{\Sigma,1}(a) = r(\bar{b}a) \quad \text{and} \quad G_{ba}^{\Pi,1}(a) = 1. \]

When \( 1 < m < n \), \(|\bar{b}| = l + n - m \), and \(|\bar{a}| = m \), we let

\[ G_{ba}^{\Sigma,m}(a_1, \ldots, a_m) = \begin{cases} 
G_{ba}^{\Sigma,m-1}(a_2, \ldots, a_m) & \text{if } r(\bar{b}a_1) = 1, \\
G_{ba}^{\Pi,m-1}(a_2, \ldots, a_m) & \text{if } r(\bar{b}a_1) = 0. 
\end{cases} \]

\[ G_{ba}^{\Pi,m}(a_1, \ldots, a_m) = G_{ba}^{\Sigma,m-1}(a_2, \ldots, a_m). \]

Finally, we define \((l+n)\)-graphs:

\[ G_{ba}^{\Sigma,l,n}(a_1, \ldots, a_{l+n}) = \begin{cases} 
G_{ba}^{\Sigma,l-1,n}(a_{l+1}, \ldots, a_{l+n}) & \text{if } r(a_1 \ldots a_{l+1}) = 1, \\
G_{ba}^{\Pi,l-1,n}(a_{l+1}, \ldots, a_{l+n}) & \text{if } r(a_1 \ldots a_{l+1}) = 0, 
\end{cases} \]

\[ G_{ba}^{\Pi,l,n}(a_1, \ldots, a_{l+n}) = G_{ba}^{\Sigma,l-1,n}(a_{l+1}, \ldots, a_{l+n}). \]

Note that the definition of \( G_{ba}^{\Sigma,l,n}(a_1, \ldots, a_{l+n}) \) is essentially the same as the one for \( G_{ba}^{\Sigma,n}(a_1, \ldots, a_n) \) if we treat the first \( l+1 \) coordinates as a single one. In particular, the structure \( G_{ba}^{\Sigma,0,n}(a_1, \ldots, a_n) \) is the same as \( G_{ba}^{\Sigma,n}(a_1, \ldots, a_n) \). We now outline the proof of the following theorem that simply extends Theorem 4.3.

Theorem 5.2. The \((l+n)\)-graphs \( G_{ba}^{\Sigma,l,n}(a_1, \ldots, a_{l+n}) \) and \( G_{ba}^{\Pi,l,n}(a_1, \ldots, a_{l+n}) \) have the following properties:

1. The structures \((\omega, G_{ba}^{\Sigma,l,n})\) and \((\omega, G_{ba}^{\Pi,l,n})\) are \( \aleph_0 \)-categorical.
2. There is a \( \exists_\omega \) formula \( \psi_{l,n} \) in the language of \((l+n)\)-graphs which is true in \((\omega, G_{ba}^{\Sigma,l,n})\) but false in \((\omega, G_{ba}^{\Pi,l,n})\). These formulas are:

\[ \psi_{l,n} \equiv (\exists x_1, \ldots, x_{l+1} \text{ all different}) \neg (\exists x_{l+2} \neq x_1, \ldots, x_{l+1}) \neg \ldots \neg (\exists x_{l+n} \neq x_1, \ldots, x_{n+l-1}) \neg G(x_1, \ldots, x_{n+l}). \]
(3) There is a uniform computable procedure that given a \( \Sigma_0^n \) sentence \( \varphi \) in the language of arithmetic, builds an \( n \)-graph \( G^{\varphi,l} \) such that

\[
G^{\varphi,l} \cong \begin{cases} 
G^{\Sigma_0,l}_n & \text{if } \varphi \text{ holds,} \\
G^{\Pi_0,l}_n & \text{if } \neg \varphi \text{ holds.}
\end{cases}
\]

**Proof.** The first two parts of the theorem are proved almost in exactly the same way as the first two parts of Theorem 4.3 in the previous section.

For Part (3) we need to define \( G^{\varphi,l} \) with a slight modification of \( G^{\varphi} \). Suppose \( \varphi \) is written in semi-diverse form as

\[
\exists x_1 \neg \exists x_2 \neg \ldots \neg \exists x_n R^\varphi(x_1, \ldots, x_n).
\]

Let

\[
R^{\varphi,l}(x_1, \ldots, x_{l+n}) = R^{\varphi}(\langle x_1, \ldots, x_{l+1} \rangle, x_{l+2}, \ldots, x_{l+n})
\]

where \( \langle \ldots \rangle \) is a computable bijection \( \omega^{l+1} \rightarrow \omega \). We now define:

\[
G^{\varphi,l} = R^{\varphi,l} \circ \bar{p}.
\]

To show that \( G^{\varphi,l} \) is the desired structure that satisfies Part (3) of the theorem we proceed as follows. First, we consider the mapping \( Q^{\varphi} \) as in the previous section.

Second, we modify \( Q^{\varphi} \) in the following way. For \( \bar{c} \in \omega^{<\omega} \) with \( l < |\bar{c}| \leq l + n \), write \( \bar{c} \) as \( \bar{b} \bar{a} \) where \( \bar{b} \in \omega^{l+1} \), and \( \bar{a} \in \omega^{<n} \) and define \( Q^{\varphi,l}(\bar{b}\bar{a}) = Q^{\varphi}(\langle \bar{b} \rangle \bar{a}) \). For \( \bar{c} \in \omega^{<\omega} \) with either \( l \geq |\bar{c}| \) or \( l + n > |\bar{c}| \) define \( Q^{\varphi,l} \) in any way that makes it diverse.

As in the previous section the map \( Q \circ \bar{p} \) is a random string 2-map. An analogous version of Lemma 4.8 is now proved in a similar matter.

§6. Putting the \( n \)-graphs together. This is the last step of the proof of our main theorem. The main idea is to put the \( n \)-graphs built in the previous sections into one computable structure which is defined using the random 2-map.

Let \( S \subseteq \omega \) be a set which is one-to-one equivalent with \( 0^{(\omega)} \). Suppose that we have a list of sentences of the arithmetic, where each \( \varphi_i \) is \( \Sigma_i \)-sentence, such that for all \( i \geq 1 \) we have \( i \in S \) if and only if \( \varphi_i \) holds. For instance, let \( S = 0^{(\omega)} \) and for \( i = \langle m, n \rangle \), let \( \varphi_i \) be the sentence \( \Sigma_m \)-sentence \( "n \in 0^{(\omega)}" \), noticing that since \( m \leq i, \varphi_i \) is \( \Sigma_i \) too.

**Definition 6.1.** Let \((\omega, r)\) be a random string 2-map. Define the following structure

\[
\mathcal{A}_S = (\omega, H_1, H_2, \ldots),
\]

where for each \( i, H_i \) is the \( (1+2+\cdots+i) \)-ary relation

\[
H_i = \begin{cases} 
G^{\Sigma_i,l}_i & \text{if } i \in S, \\
G^{\Pi_i,l}_i & \text{if } i \notin S.
\end{cases}
\]

where \( l_i = 1 + 2 + \cdots + (i - 1) \).

**Theorem 6.2.** The structure \( \mathcal{A}_S \) satisfies the following properties:

1. The structure is \( \aleph_0 \)-categorical.
2. \( S \) is one-to-one reducible to the theory of the structure.
3. The structure is computable.
The first part of the lemma follows from the fact that all the relations in $\mathcal{S}_S$ are being defined in the structure $(\omega, r)$ which is $\aleph_0$-categorical by Theorem 3.1. Therefore, for each $n$, the number of $n$-types is finite, and hence $\mathcal{S}_S$ is $\aleph_0$-categorical by [Hod93, Theorem 6.3.1(e)].

The second part follows from the use of the formulas $\psi_{i,n}$ defined in the previous section (Theorem 5.2). Indeed, one can see that $S$ is one-to-one reducible to the first order theory of the structure $\mathcal{S}_S$ since $i \in S$ if and only if $(\omega, H_i) \models \psi_{i,1}$. For the last part, one notices that given $i$, $l$ the structures $G^{\phi_i^i, l}$ can be constructed effectively. Therefore the structure

$$(\omega, G^{\phi_0^i, 0}, G^{\phi_1^i, 1}, G^{\phi_2^i, 2}, G^{\phi_3^i, 3}, \ldots)$$

must be computable. This structure is isomorphic to $\mathcal{S}_S$. More explicitly, the structure $\mathcal{S}_S$ can be constructed as follows. Define $Q: \omega^{<\omega} \to \{0, 1\}$ by letting $Q(\bar{c}) = Q^{\phi_i^l}(\bar{c})$ where $i$ is such that $l_i < |\bar{c}| \leq l_i + i$. (Here is where we use $l_i = 1 + 2 + \cdots + (i - 1)$, as otherwise these definitions wouldn’t be independent of $i$.) The mapping $Q$ is diverse. Hence, the mapping $r = Q \circ \bar{p}$ is a random string 2-map. Consider the graphs $G^{\phi_i^l, 1}$ and $G^{\phi_i^l, 1}$ using this $r$. The structure $\mathcal{S}_S$ is then $(\omega, G^{\phi_0^i, 0}, G^{\phi_1^i, 1}, G^{\phi_2^i, 2}, G^{\phi_3^i, 3}, \ldots)$.

This finishes the proof of Theorem 1.1.

REFERENCES


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