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# VERTEX-PRIMITIVE DIGRAPHS HAVING VERTICES WITH ALMOST EQUAL NEIGHBOURHOODS

PABLO SPIGA, GABRIEL VERRET

ABSTRACT. We consider vertex-primitive digraphs having two vertices with almost equal neighbourhoods (that is, the set of vertices that are neighbours of one but not the other is small). We prove a structural result about such digraphs and then apply it to answer a question of Araújo and Cameron about synchronising groups.

## 1. INTRODUCTION

All sets, digraphs and groups considered in this paper are finite. For basic definitions, see Section 2. Let  $\Gamma$  be a digraph on a set  $\Omega$  and suppose that  $\Gamma$  is *vertex-primitive*, that is, its automorphism group acts primitively on  $\Omega$ . It is well known and easy to see that, in this case,  $\Gamma$  cannot have two distinct vertices with equal neighbourhoods, unless  $\Gamma = \emptyset$  or  $\Gamma = \Omega \times \Omega$  (see Lemma 2.3 for example).

We consider the situation when  $\Gamma$  has two vertices with “almost” equal neighbourhoods. Since  $\Gamma$  is vertex-primitive, it is regular, of valency  $d$ , say. Let  $\Gamma_i$  be the graph on  $\Omega$  with two vertices being adjacent if the intersection of their neighbourhoods in  $\Gamma$  has size  $d - i$ . Our main result is the following.

**Theorem 1.1.** *Let  $\Gamma$  be a vertex-primitive digraph on a set  $\Omega$  with  $\Gamma \neq \emptyset$  and  $\Gamma \neq \Omega \times \Omega$ . Let  $n$  be the order of  $\Gamma$  and  $d$  its valency. If  $\kappa$  is the smallest positive  $i$  such that  $\Gamma_i \neq \emptyset$ , then either*

- (1)  $\Gamma_0 \cup \Gamma_\kappa = \Omega \times \Omega$  and  $(n - 1)(d - \kappa) = d(d - 1)$ , or
- (2) *there exists  $i \in \{\kappa, \dots, d - 1\}$  such that  $\Gamma_i$  has valency at least 1 and at most  $\kappa^2 + \kappa$ .*

Theorem 1.1 is most powerful when  $\kappa$  is small. To illustrate this, we completely determine the digraphs which occur when  $\kappa = 1$  (see Corollary 4.2). We then apply this result to answer a question of Araújo and Cameron [2, Problem 2(a)] (see Theorem 4.3) concerning synchronising groups. Finally, in Section 5, we say a few words about the case  $\Gamma_0 \cup \Gamma_\kappa = \Omega \times \Omega$ .

## 2. PRELIMINARIES

**2.1. Digraphs.** Let  $\Omega$  be a finite set. A *digraph*  $\Gamma$  on  $\Omega$  is a binary relation on  $\Omega$ , in other words, a subset of  $\Omega \times \Omega$ . The elements of  $\Omega$  are called the *vertices* of  $\Gamma$  while the cardinality of  $\Omega$  is the *order* of  $\Gamma$ . The digraph  $\Gamma^{-1}$  is  $\{(\alpha, \beta) \in \Omega \times \Omega : (\beta, \alpha) \in \Gamma\}$ . Given two digraphs  $\Gamma$  and  $\Lambda$  on  $\Omega$ , we define the digraph

$$\Gamma \circ \Lambda := \{(\alpha, \beta) \in \Omega \times \Omega : \text{there exists } \gamma \in \Omega \text{ with } (\alpha, \gamma) \in \Gamma, (\gamma, \beta) \in \Lambda\}.$$

Let  $v$  be a vertex of  $\Gamma$ . The *neighbourhood* of  $v$  is the set  $\{u \in \Omega : (v, u) \in \Gamma\}$  and is denoted  $\Gamma(v)$ . Its cardinality is the *valency* of  $v$ . If every vertex of  $\Gamma$  has the same valency, say  $d$ , then we say that  $\Gamma$  is *regular of valency  $d$* .

If  $\Gamma$  is a symmetric binary relation, then it is sometimes called a *graph*. If  $\Psi$  is a subset of  $\Omega$ , then the *subgraph of  $\Gamma$  induced by  $\Psi$*  is  $\Gamma \cap (\Psi \times \Psi)$  viewed as a graph on  $\Psi$ . We denote by  $\Omega^*$  the set  $\{(v, v) : v \in \Omega\}$ . The graph  $(\Omega \times \Omega) \setminus \Omega^*$  is called the *complete graph* on  $\Omega$ .

**2.2. Groups.** The *automorphism group* of  $\Gamma$ , denoted  $\text{Aut}(\Gamma)$ , is the group of permutations of  $\Omega$  that preserve  $\Gamma$ . A permutation group  $G$  on  $\Omega$  is *transitive* if for every  $x, y \in \Omega$  there exists  $g \in G$  with  $x^g = y$ , that is, mapping  $x$  to  $y$ . A permutation group on  $\Omega$  is *primitive* if it is transitive and preserves no nontrivial partition of  $\Omega$ . We say that  $\Gamma$  is *vertex-transitive* if  $\text{Aut}(\Gamma)$  is transitive.

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### 2.3. A few basic results.

**Lemma 2.1.** *Let  $\Gamma$  be a vertex-transitive digraph on  $\Omega$ . If  $\Gamma_0 = \Omega \times \Omega$ , then  $\Gamma = \emptyset$  or  $\Gamma = \Omega \times \Omega$ .*

*Proof.* Suppose that  $\Gamma \neq \emptyset$  and thus there exists  $(\alpha, \beta) \in \Gamma$ . As  $\Gamma_0 = \Omega \times \Omega$ , all vertices of  $\Gamma$  have the same neighbourhood and thus  $\beta \in \Gamma(\omega)$  for every  $\omega \in \Omega$  but then vertex-transitivity implies that  $\Gamma = \Omega \times \Omega$ .  $\square$

**Lemma 2.2.** *Let  $\Gamma$  be a vertex-primitive graph on  $\Omega$ . If  $\Gamma$  is a transitive relation on  $\Omega$  and  $\Gamma \not\subseteq \Omega^*$ , then  $\Gamma = \Omega \times \Omega$ .*

**Lemma 2.3.** *Let  $\Gamma$  be a vertex-primitive digraph on  $\Omega$ . If  $\emptyset \neq \Gamma \neq \Omega \times \Omega$ , then  $\Gamma_0 = \Omega^*$ .*

*Proof.* Clearly,  $\Omega^* \subseteq \Gamma_0$ . If  $\Gamma_0 \not\subseteq \Omega^*$ , then Lemma 2.2 implies that  $\Gamma_0 = \Omega \times \Omega$  but this contradicts Lemma 2.1.  $\square$

### 3. PROOF OF THEOREM 1.1

Since  $\emptyset \neq \Gamma \neq \Omega \times \Omega$ , we have  $n \geq 2$  and Lemma 2.1 implies that  $\Gamma_0 \neq \Omega \times \Omega$  and thus  $\kappa$  is well-defined. Since  $\Gamma$  is vertex-primitive, it is regular, of valency  $d$ , say. By minimality of  $\kappa$ ,  $\Gamma_i = \emptyset$  for  $i \in \{1, \dots, \kappa - 1\}$  but  $\Gamma_\kappa \neq \emptyset$ . Note that, for every integer  $i$ ,  $\text{Aut}(\Gamma) \leq \text{Aut}(\Gamma_i)$  hence  $\Gamma_i$  is also vertex-primitive and regular, of valency  $d_i$ , say. By Lemma 2.3, we have  $\Gamma_0 = \Omega^*$  and thus

$$(1) \quad d_0 = 1.$$

Moreover, it is easy to see that

$$(2) \quad \bigcup_{i \in \{0, \dots, d-1\}} \Gamma_i = \Gamma \circ \Gamma^{-1}.$$

We now show that, for every  $i \geq 0$ , we have

$$(3) \quad \Gamma_i \circ \Gamma_\kappa \subseteq \Gamma_0 \cup \Gamma_1 \cup \dots \cup \Gamma_{i+\kappa}.$$

Let  $(u, v) \in \Gamma_i \circ \Gamma_\kappa$ . By definition, there exists  $w \in \Omega$  such that  $(u, w) \in \Gamma_i$  and  $(w, v) \in \Gamma_\kappa$ . In particular,  $|\Gamma(u) \cap \Gamma(w)| = d - i$  while  $|\Gamma(v) \cap \Gamma(w)| = d - \kappa$  and thus  $\Gamma(u) \setminus \Gamma(w) = i$  and  $\Gamma(w) \setminus \Gamma(v) = \kappa$  but  $\Gamma(u) \setminus \Gamma(v) \subseteq (\Gamma(u) \setminus \Gamma(w)) \cup (\Gamma(w) \setminus \Gamma(v))$ . This implies that  $|\Gamma(u) \setminus \Gamma(v)| \leq i + \kappa$  hence  $(u, v) \in \Gamma_0 \cup \Gamma_1 \cup \dots \cup \Gamma_{i+\kappa}$ , which concludes the proof of (3). Let

$$(4) \quad \ell := \min\{i \geq \kappa : \Gamma_{i+1} = \Gamma_{i+2} = \dots = \Gamma_{i+\kappa} = \emptyset\}.$$

By definition, we have  $\ell \geq \kappa$ . Recall that  $\Gamma_i = \emptyset$  for every  $i \geq d + 1$  hence

$$(5) \quad \kappa \leq \ell \leq d.$$

Let  $\overline{\Gamma_\kappa}$  be the transitive closure of the relation  $\Gamma_\kappa$ . (That is, the minimal transitive relation containing  $\Gamma_\kappa$ .) By Lemma 2.2, we have  $\overline{\Gamma_\kappa} = \Omega \times \Omega$ . Let

$$\Lambda := \Gamma_0 \cup \Gamma_1 \cup \dots \cup \Gamma_\ell.$$

Note that

$$\begin{aligned} \Lambda \circ \Gamma_\kappa &= (\Gamma_0 \circ \Gamma_\kappa) \cup (\Gamma_1 \circ \Gamma_\kappa) \cup \dots \cup (\Gamma_\ell \circ \Gamma_\kappa) \\ &\stackrel{(3)}{\subseteq} \Gamma_0 \cup \Gamma_1 \cup \dots \cup \Gamma_{\kappa+\ell} \\ &\stackrel{(4)}{=} \Gamma_0 \cup \Gamma_1 \cup \dots \cup \Gamma_\ell = \Lambda. \end{aligned}$$

As  $\Gamma_\kappa \subseteq \Lambda$ , it follows by induction that  $\overline{\Gamma_\kappa} \subseteq \Lambda$  and thus

$$\Lambda = \Omega \times \Omega.$$

This implies that

$$(6) \quad n = \sum_{i=0}^{\ell} d_i = 1 + d_1 + \dots + d_\ell.$$

We now consider two cases, according to whether  $\ell = \kappa$  or  $\ell \geq \kappa + 1$ .

3.1.  $\ell = \kappa$ . If  $\ell = \kappa$ , then the minimality of  $\kappa$  implies  $\Lambda = \Gamma_0 \cup \Gamma_\kappa$  and hence  $\Gamma_0 \cup \Gamma_\kappa = \Omega \times \Omega$ . Let  $\mathcal{B} := \{\Gamma(\alpha) \mid \alpha \in \Omega\}$  and let

$$\mathcal{S} := \{(\alpha, b, b') \mid \alpha \in \Omega, b, b' \in \mathcal{B}, \alpha \in b \cap b', b \neq b'\}.$$

Now,

$$|\mathcal{S}| = \sum_{\alpha \in \Omega} |\{(b, b') \mid \alpha \in b \cap b', b \neq b'\}| = \sum_{\alpha \in \Omega} d(d-1) = nd(d-1).$$

On the other hand, observe that  $|b \cap b'| = d - \kappa$  for every  $b, b' \in \mathcal{B}$  with  $b \neq b'$  and thus

$$|\mathcal{S}| = \sum_{\substack{b, b' \in \mathcal{B} \\ b \neq b'}} |b \cap b'| = \sum_{\substack{b, b' \in \mathcal{B} \\ b \neq b'}} (d - \kappa) = n(n-1)(d - \kappa).$$

Therefore  $(n-1)(d - \kappa) = d(d-1)$  and the theorem follows.

3.2.  $\ell \geq \kappa + 1$ . We assume that  $\ell \geq \kappa + 1$ . By minimality of  $\ell$ , we have  $\Gamma_\ell \neq \emptyset$  and thus there exist two vertices of  $\Gamma$  whose neighbourhoods intersect in  $d - \ell$  vertices hence, considering the union of their neighbourhoods, we obtain

$$(7) \quad n \geq d + \ell.$$

Let  $\alpha \in \Omega$  and let

$$\mathcal{S}(\alpha) := \{(\beta, \gamma) \in \Omega \times \Omega : \beta \in \Gamma(\alpha) \cap \Gamma(\gamma)\}.$$

Clearly,

$$(8) \quad |\mathcal{S}(\alpha)| = \sum_{\beta \in \Gamma(\alpha)} |\{\gamma \in \Omega : \gamma \in \Gamma^{-1}(\beta)\}| = \sum_{\beta \in \Gamma(\alpha)} d = d^2.$$

On the other hand,

$$\begin{aligned} |\mathcal{S}(\alpha)| &= \sum_{\gamma \in (\Gamma \circ \Gamma^{-1})(\alpha)} |\Gamma(\alpha) \cap \Gamma(\gamma)| \\ &\stackrel{(2)}{=} \sum_{i=0}^{d-1} \sum_{\gamma \in \Gamma_i(\alpha)} |\Gamma(\alpha) \cap \Gamma(\gamma)| = \sum_{i=0}^{d-1} \sum_{\gamma \in \Gamma_i(\alpha)} (d-i) = \sum_{i=0}^d d_i(d-i) \\ &\stackrel{(5)}{\geq} \sum_{i=0}^{\ell} d_i(d-i) \\ &\stackrel{(1)}{=} d + \sum_{i=1}^{\ell} d_i(d-i) \\ &\stackrel{(6)}{=} d + \left( n-1 - \sum_{i=1}^{\ell-1} d_i \right) (d-\ell) + \sum_{i=1}^{\ell-1} d_i(d-i) \\ &= d + (n-1)(d-\ell) + \sum_{i=1}^{\ell-1} d_i(\ell-i) \\ &\stackrel{(7)}{\geq} d^2 - \ell(\ell-1) + \sum_{i=1}^{\ell-1} d_i(\ell-i). \end{aligned}$$

Combining this with (8), we have

$$\ell(\ell-1) \geq \sum_{i=1}^{\ell-1} d_i(\ell-i).$$

Let  $\mathcal{I} := \{i \in \{1, \dots, \ell-1\} : d_i \neq 0\}$  and  $d^* := \min\{d_i : i \in \mathcal{I}\}$ . We have

$$(9) \quad \ell(\ell-1) \geq d^* \sum_{i \in \mathcal{I}} (\ell-i).$$

Since  $\ell \geq \kappa + 1$ ,  $\kappa$  is the minimum element of  $\mathcal{I}$ . Note also that  $(\ell - i)$  is decreasing with respect to  $i$  and, by definition of  $\ell$ , any two elements of  $\mathcal{I}$  are at most  $\kappa$  apart. Let

$$\sigma := \left\lfloor \frac{\ell - 1}{\kappa} \right\rfloor.$$

Then

$$\begin{aligned} \sum_{i \in \mathcal{I}} (\ell - i) &\geq (\ell - \kappa) + (\ell - 2\kappa) + \cdots + (\ell - \sigma\kappa) \\ &= \sigma\ell - \frac{\kappa\sigma(\sigma + 1)}{2}. \end{aligned}$$

Combining this with (9), we find

$$(10) \quad \ell(\ell - 1) \geq d^* \left( \sigma\ell - \frac{\kappa\sigma(\sigma + 1)}{2} \right).$$

Write  $\ell := \sigma\kappa + r$ , with  $r \in \{1, \dots, \kappa\}$ . Now, (10) gives

$$(11) \quad \frac{2(\sigma\kappa + r)(\sigma\kappa + r - 1)}{\sigma(\sigma\kappa + 2r - \kappa)} \geq d^*.$$

Calculating the derivative of the left side with respect to  $\sigma$ , one finds

$$-\frac{2(\sigma^2\kappa^2(\kappa - 1) + r(r - 1)((2\sigma - 1)\kappa + 2r))}{(\sigma(\sigma\kappa + 2r - \kappa))^2},$$

which is clearly nonpositive since  $r, \kappa, \sigma \geq 1$ . It follows that the maximum of the left side of (11) is attained when  $\sigma = 1$ , hence

$$(12) \quad \frac{(\kappa + r)(\kappa + r - 1)}{r} \geq d^*.$$

If  $r = \kappa$ , then the left side of (12) is  $4\kappa - 2$  and an easy computation shows that  $4\kappa - 2 \leq \kappa^2 + \kappa$ . If  $r \leq \kappa - 1$ , then another easy computation yields that the left side of (12) is a decreasing function of  $r$ , hence the minimum is attained when  $r = 1$  and  $\kappa^2 + \kappa \geq d^*$ . This completes the proof.  $\square$

**Remark 3.1.** The upper bound  $\kappa^2 + \kappa$  in Theorem 1.1 (2) is actually tight (see some of the examples in Section 4). However, the proof of Theorem 1.1 reveals that when more information about  $\Gamma$  is available, this upper bound can be drastically improved. For instance, following the argument in the last part of the proof, one finds that if  $\sigma \geq 2$ , then  $d^* \leq (4\kappa^2 + 2\kappa)/(\kappa + 2) \leq 4\kappa - 2$  and hence there exists  $i \in \{\kappa, \dots, d - 1\}$  such that  $\Gamma_i$  has nonzero valency bounded by a linear function of  $\kappa$ .

#### 4. THE CASE $\kappa = 1$ AND AN APPLICATION TO SYNCHRONISING GROUPS

We now completely determine the digraphs that arise when  $\kappa = 1$  in Theorem 1.1. Let  $p$  be a prime, let  $d \in \mathbb{Z}$  with  $0 \leq d \leq p$  and let  $x \in \mathbb{Z}_p$ . We define  $\Delta_{p,x,d}$  to be the Cayley digraph on  $\mathbb{Z}_p$  with connection set  $\{x + 1, x + 2, \dots, x + d\}$ . (That is,  $(u, v) \in \Delta_{p,x,d}$  if and only if  $v - u \in \{x + 1, x + 2, \dots, x + d\}$ .) We will need the following easy lemma.

**Lemma 4.1.** *Let  $\Gamma$  be a vertex-primitive digraph on  $\Omega$  of valency 1. Then  $\Gamma = \Omega^*$  or  $\Gamma \cong \Delta_{p,0,1}$  for some prime  $p$ .*

*Proof.* If  $\Gamma \cap \Omega^* \neq \emptyset$ , then, by vertex-primitivity,  $\Gamma = \Omega^*$ . Otherwise,  $\Gamma$  must be a directed cycle and, again by vertex-primitivity, must have prime order  $p$  and hence  $\Gamma \cong \Delta_{p,0,1}$ .  $\square$

**Corollary 4.2.** *Let  $\Gamma$  be a vertex-primitive digraph on  $\Omega$ . If  $\Gamma_1 \neq \emptyset$ , then one of the following occurs:*

- (1)  $\Gamma = \Omega^*$ ,
- (2)  $\Gamma$  is a complete graph, or
- (3)  $\Gamma \cong \Delta_{p,x,d}$ , for some prime  $p$  and  $d \geq 1$ .

*Proof.* Let  $n$  be the order of  $\Gamma$  and  $d$  its valency. Since  $\Gamma_1 \neq \emptyset$ ,  $d \geq 1$  and  $\emptyset \neq \Gamma \neq \Omega \times \Omega$ . If  $d = 1$ , then the result follows by Lemma 4.1. We thus assume that  $d \geq 2$ . Applying Theorem 1.1 with  $\kappa = 1$ , we get that either  $(n-1)(d-1) = d(d-1)$  and thus  $n = d+1$ , or there exists  $i \in \{1, \dots, d-1\}$  such that  $\Gamma_i$  is regular of valency at least 1 and at most 2.

Suppose first that  $n = d+1$ . This implies that  $(\Omega \times \Omega) \setminus \Gamma$  has valency 1. By Lemma 4.1,  $(\Omega \times \Omega) \setminus \Gamma = \Omega^*$  or  $(\Omega \times \Omega) \setminus \Gamma \cong \Delta_{p,0,1}$  for some prime  $p$ . In the former case,  $\Gamma$  is a complete graph, while in the latter case,  $\Gamma \cong \Delta_{p,1,p-1}$ .

We may thus assume that there exists  $i \in \{1, \dots, d-1\}$  such that  $\Gamma_i$  is regular of valency at least 1 and at most 2. This implies that  $\Gamma_i$  must have order 2 or be a vertex-primitive cycle and thus have prime order. It follows that  $\Gamma$  also has prime order and is thus a Cayley digraph on  $\mathbb{Z}_p$  for some prime  $p$ . Up to isomorphism, we may assume that  $(0,1) \in \Gamma_1$ . Let  $y$  be the unique element of  $\Gamma(1) \setminus \Gamma(0)$ . Now, for every  $s \in \Gamma(0) \setminus \{y-1\}$ , we have that  $s+1 \in \Gamma(1) \setminus \{y\}$  and thus  $s+1 \in \Gamma(0)$ . In other words, if  $s \in \Gamma(0)$ , then  $s+1 \in \Gamma(0)$ , unless  $s = y-1$ . It follows that  $\Gamma(0)$  is of the form  $\{x+1, x+2, \dots, y-1\}$  for some  $x$  and the result follows.  $\square$

We note that the second author asked for a proof of Corollary 4.2 on the popular MathOverflow website (see <http://mathoverflow.net/q/186682/>). The question generated some interest there but no answer.

We now use Corollary 4.2 to answer Problem 2(a) in [2]. In fact, we will prove a slightly more general result. First, we need some terminology regarding synchronising groups (see also [3]). Let  $G$  be a permutation group and let  $f$  be a map, both with domain  $\Omega$ . The *kernel* of  $f$  is the partition of  $\Omega$  into the inverse images of points in the image of  $f$ . The *kernel type* of  $f$  is the partition of  $|\Omega|$  given by the sizes of the parts of its kernel. We say that  $G$  *synchronises*  $f$  if the semigroup  $\langle G, f \rangle$  contains a constant map, while  $G$  is said to be *synchronising* if  $G$  synchronises every non-invertible map on  $\Omega$ .

**Theorem 4.3.** *Let  $\Omega$  be a set, let  $G$  be a primitive permutation group on  $\Omega$  and let  $f$  be a map on  $\Omega$ . If  $f$  has kernel type  $(p, 2, 1, \dots, 1)$  with  $p \geq 2$ , then  $G$  synchronises  $f$ .*

*Proof.* By contradiction, we assume that  $G$  does not synchronise  $f$ . Let  $\Gamma$  be the graph on  $\Omega$  such that  $(v, w) \in \Gamma$  if and only if there is no element of  $\langle G, f \rangle$  which maps  $v$  and  $w$  to the same point.

By [2, Theorem 5(a),(b)], we have  $\Gamma \neq \emptyset$  and  $G \leq \text{Aut}(\Gamma)$  and thus  $\Gamma$  is vertex-primitive. Since  $f$  is not a permutation,  $\Gamma$  is not complete. Transitive groups of prime degree are synchronising (see for example [6, Corollary 2.3]) hence we may assume that  $|\Omega|$  is not prime. It thus follows by Corollary 4.2 that  $\Gamma_1 = \emptyset$ .

Let  $d$  be the valency of  $\Gamma$  and let  $A$  and  $B$  be the parts of the kernel of  $f$  with sizes 2 and  $p$ , respectively. Let  $a = f(A)$  and  $b = f(B)$ , let  $K = A \cup B$  and let  $Y$  be the subgraph of  $\Gamma$  induced by  $K$ . By definition,  $Y$  is bipartite, with parts  $A$  of size 2 and  $B$  of size  $p$ . By [2, Lemma 10], every vertex of  $Y$  has degree at least one.

Suppose that there exist  $b_1, b_2 \in B$  having valency one in  $Y$ . Then  $\Gamma(b_1) \setminus A$  and  $\Gamma(b_2) \setminus A$  are mapped injectively and hence bijectively into  $\Gamma(b) \setminus \{a\}$  hence we have  $\Gamma(b_1) \setminus A = \Gamma(b_2) \setminus A$ . This implies that  $(b_1, b_2) \in \Gamma_1$ , a contradiction.

Now suppose that there exist  $b_1, b_2 \in B$  having valency two in  $Y$ . Then  $\Gamma(b_1) \cap K = A = \Gamma(b_2) \cap K$  and, as before,  $\Gamma(b_1) \setminus A$  and  $\Gamma(b_2) \setminus A$  are mapped injectively into  $\Gamma(b) \setminus \{a\}$ . Since  $|\Gamma(b_1) \setminus A| = d-2 = |\Gamma(b_2) \setminus A|$  while  $|\Gamma(b) \setminus \{a\}| = d-1$ , it follows that  $|(\Gamma(b_1) \setminus A) \cap (\Gamma(b_2) \setminus A)| \geq d-3$  and thus  $|\Gamma(b_1) \cap \Gamma(b_2)| \geq d-1$ , which is again a contradiction.

Since every vertex of  $B$  has valency either one or two in  $Y$ , we conclude that  $|B| \leq 2$  thus  $p = 2$  and the result follows by [2, Theorem 3(a)].  $\square$

Note that Theorem 4.3 was later proved independently in [1].

## 5. THE CASE $\Gamma_0 \cup \Gamma_\kappa = \Omega \times \Omega$

We now say a few words about part (1) of the conclusion of Theorem 1.1, that is, when  $\Gamma_0 \cup \Gamma_\kappa = \Omega \times \Omega$  and

$$(13) \quad (n-1)(d-\kappa) = d(d-1).$$

Let  $\mathcal{B} := \{\Gamma(\alpha) \mid \alpha \in \Omega\}$ , as in Section 3.1. Note that  $\mathcal{B}$  is a set of  $d$ -subsets of  $\Omega$ . Moreover, any two distinct elements of  $\mathcal{B}$  intersect in  $d-\kappa$  elements. In particular,  $(\Omega, \mathcal{B})$  is a symmetric 2-design with parameters  $(n, d, d-\kappa)$  and with a point-primitive automorphism group. (For undefined terminology, see for example [4, Chapter 1].)

Given a specific value of  $\kappa$ , one can often push the analysis further and determine all the possibilities for  $\Gamma$ . Recall that  $1 \leq \kappa \leq d$ . If  $\kappa = d$ , then, since  $d \geq 1$ , Eq. (13) implies that  $d = 1$  and we may apply Lemma 4.1. The case when  $\kappa = 1$  was dealt with in Corollary 4.2. From now on, we assume that

$$2 \leq \kappa \leq d - 1.$$

Observe that now Eq. (13) yields

$$(14) \quad n = d + \kappa + \frac{\kappa(\kappa - 1)}{d - \kappa}.$$

In particular,  $d - \kappa \leq \kappa(\kappa - 1)$ , that is,

$$(15) \quad d \leq \kappa^2.$$

A computation using Eq. (14) also yields that, for fixed  $\kappa$ ,  $n$  is a non-decreasing function of  $d$ . Therefore the maximum for  $n$  (as a function of  $\kappa$ ) is achieved when  $d = \kappa + 1$  and  $n \leq \kappa^2 + \kappa + 1$ .

In our opinion, the most interesting situation occurs when  $d = \kappa^2$  or (dually) when  $d = \kappa + 1$ . By Eq. (13), we have  $n = \kappa^2 + \kappa + 1$  and thus  $(\Omega, \mathcal{B})$  is a symmetric 2-design with parameters  $(\kappa^2 + \kappa + 1, \kappa + 1, 1)$ , that is, a finite projective plane of order  $\kappa$ . Note that  $\text{Aut}(\Gamma)$  cannot be 2-transitive and thus this is a non-Desarguesian projective plane. By a remarkable theorem of Kantor [5, Theorem B (ii)] (which depends upon the classification of the finite simple groups),  $n$  is prime.

We conclude by showing how, given an explicit value of  $\kappa$ , one can often pin down the structure of  $\Gamma$ . We do this using  $\kappa := 4$  as an example. By Eq. (14), we have  $n = d + 4 + 12/(d - 4)$  and hence  $d \in \{5, 6, 7, 8, 10, 16\}$ . Moreover, replacing  $\Gamma$  by its complement  $(\Omega \times \Omega) \setminus \Gamma$  we may assume that  $2d \leq n$ . Therefore  $(d, n) \in \{(5, 21), (6, 16), (7, 15)\}$ . The previous paragraph shows that the case  $(d, n) = (5, 21)$  does not arise because 21 is not a prime. When  $(d, n) = (7, 15)$ , a careful analysis of the primitive groups of degree 15 reveals that  $\Gamma$  is isomorphic to the Kneser graph with parameters  $(6, 2)$  with a loop attached at each vertex. Finally, if  $(d, n) = (6, 16)$ , then going through the primitive groups of degree 16, one finds that  $\Gamma$  is isomorphic to either the Clebsch graph with a loop added at each vertex or to the Cartesian product of two copies of a complete graph of order 4.

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PABLO SPIGA, DIPARTIMENTO DI MATEMATICA PURA E APPLICATA,  
UNIVERSITY OF MILANO-BICOCCA, MILANO, 20125 VIA COZZI 55, ITALY.  
*E-mail address:* pablo.spiga@unimib.it

GABRIEL VERRET, CENTRE FOR THE MATHEMATICS OF SYMMETRY AND COMPUTATION,  
THE UNIVERSITY OF WESTERN AUSTRALIA, 35 STIRLING HIGHWAY, CRAWLEY, WA 6009, AUSTRALIA.  
FAMNIT, UNIVERSITY OF PRIMORSKA, GLAGOLJAŠKA 8, SI-6000 KOPER, SLOVENIA.  
CURRENT ADDRESS: DEPARTMENT OF MATHEMATICS, THE UNIVERSITY OF AUCKLAND,  
PRIVATE BAG 92019, AUCKLAND 1142, NEW ZEALAND.  
*E-mail address:* g.verret@auckland.ac.nz