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# On a Theorem of Solovay 

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# On a Theorem of Solovay 

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#### Abstract

We present a proof of the following result due to Solovay: There exists a noncomputable $\Delta_{2}^{0}$ real $x$ such that $H\left(x_{n}\right) \leqslant H(n)+O(1)$.


## 1 Introduction

Solovay [10] introduced the domination relation which plays an important role in defining the so-called $\Omega$-like reals. The class of $\Omega$-like reals coincides with the class of Chaitin $\Omega$ reals (halting probabilities of universal self-delimiting Turing machines, see for example [5, 6, 7, 2, 9]) (cf. [4]) and the class of c.e. random reals (cf. Slaman [11]). Solovay proved that if $x$ and $y$ are two c.e. reals and $y$ dominates $x$, then $H\left(x_{n}\right) \leqslant H\left(y_{n}\right)+O(1)$. In Calude and Coles [3] we prove that the converse implication is false, namely there are c.e. reals $x$ and $y$ such that $H\left(x_{n}\right) \leqslant H\left(y_{n}\right)+O(1)$ and $y$ does not dominate $x$. We do this by constructing a noncomputable c.e. real $x$ such that $H\left(x_{n}\right) \leqslant H(n)+O(1)$. The proof is based upon techniques of Solovay [10] where he proved the following theorem:

Theorem 1. There is a noncomputable $\Delta_{2}^{0}$ real $x$ such that

$$
H\left(x_{n}\right) \leqslant H(n)+O(1)
$$

In this report we present a write up of Solovay's proof of Theorem 1. The original handwritten proof can be found in Solovay [10]. In Section 2 we introduce our notation and basic concepts. Sections 3,4 and 5 deal with constructing the $\Delta_{2}^{0}$ real mentioned above.

## 2 Preliminaries

Suppose $a, b \in\{0,1\}^{*}$, the set of binary strings. The concatenation of $a, b$ is denoted by $a^{\sim} b$. Let $|a|$ denote the length of $a$. For $j \geqslant 0$, we write $a(j)=k$ iff the $j$ th bit of $a$ is $k$. We let $\prec$ denote the quasi-lexicographical ordering of finite binary strings. We write string $(n)$ to denote the $n$th string with respect to $\prec$. By $\min _{\prec}$ we denote the minimum operation taken according to $\prec$.

We fix a computable bijective function $\langle\cdot, \cdot\rangle$ from $\mathbb{N} \times \mathbb{N}$ to $\mathbb{N}$. We write $\log n$ to denote $\log _{2} n$. For a function $f:\{0,1\}^{*} \rightarrow \mathbb{N}$, we define $O(f)=\left\{g:\{0,1\}^{*} \rightarrow \mathbb{N} \mid \exists c \in \mathbb{N} \forall a \in\{0,1\}^{*}(g(a) \leqslant c \cdot f(a))\right\}$. Suppose $h_{0}, h_{1}:\{0,1\}^{*} \rightarrow \mathbb{N}$. We write $O(1)$ for $O(f)$ when $f$ is the constant function $f(a)=1$ for all $a \in\{0,1\}^{*}$. We write $h_{0} \leqslant h_{1}+O(f)$ if there is a function $g \in O(f)$ such that $h_{0}(a) \leqslant h_{1}(a)+g(a)$ for all $a \in\{0,1\}^{*}$.

We will look at real numbers in the interval $[0,1]$ through their binary expansions, i.e., in terms of functions $n \mapsto x_{n}$ (from $\mathbb{N}$ into $\{0,1\}$ ). We write $\left(x_{n}\right)_{n}$ for the sequence $n \mapsto x_{n}$. A real is computable if the function $n \mapsto x_{n}$ is computable. A real $x=\left(x_{n}\right)_{n}$ is computable enumerable (c.e.) if it is the limit of a computable, increasing, converging sequence of rationals. Equivalently, $\alpha=0 . \chi_{A}$ is a c.e. real if $A$ has a computable approximation $\{A[s]\}_{s \geqslant 0}$ such that whenever $i \in A[s]$ and $i \notin A[s+1]$, then there is some $j<i$ such that $j \notin A[s]$ and $j \in A[s+1] ; \chi_{A}$ is the characteristic function of $A$.

Let $\phi_{e}$ be a standard list of all partial computable functions from $\mathbb{N}$ into $\mathbb{N}$. In case $\phi_{e}(x)$ halts (and produces $y$ ) we write $\phi_{e}(x) \downarrow\left(\phi_{e}(x) \downarrow=y\right)$; otherwise, $\phi_{e}(x) \uparrow$. By $\phi_{e}(x)[t]$ we denote the time relativised version of $\phi_{e}(x)$, i.e., $\phi_{e}(x)[t]=\phi_{e}(x)$ in case $\phi_{e}(x)$ halts in time $t$. The binary predicate $\phi_{e}(x)[t] \downarrow$ is primitive recursive. We will adopt the following convention: if $\phi_{e}(x)[t] \downarrow$, then $\phi_{e}(x) \leqslant t .{ }^{1}$ By dom $\phi_{e}$ we denote the domain of the partial function $\phi_{e}$.

A self-delimiting computer is a partial computable function $C$ from $\{0,1\}^{*} \times\{0,1\}^{*}$ with values in $\{0,1\}^{*}$ such that for every $y \in\{0,1\}^{*}$ the set $\{x \mid C(x, y) \downarrow\}$ is prefix-free. Here $C$ stands for the interpreter, $x$ for the program, and $y$ for the input data.

The Invariance Theorem ([5, 7, 2, 10]) states the existence of a universal computer $U$ with the property that for every computer $C$ there is a constant $d$ (depending upon $U$ and $C$ ) such that if $C(x, y)=z$, then $U\left(x^{\prime}, y\right)=z$, for some program $x^{\prime}$ with the length $\left|x^{\prime}\right| \leqslant|x|+d$. Note that $U$ does not need more than a primitive recursive extra time to simulate $C$, i.e., there is a primitive recursive function $h$ such that if $C(x, y)[t] \downarrow=z$, then $U\left(x^{\prime}, y\right)[h(t)] \downarrow=z$. In what follows we will fix a universal computer $U$. Let $a^{*}$ be the quasilexicographical least $p$ such that $U(p, \lambda)=a$.

[^0]Define the following program-size complexities ([6]):

$$
\begin{gathered}
H(a)=\min \{|p| \mid U(p, \lambda)=a\}, H(a, b)=H(\langle a, b\rangle), \\
H(a / b)=\min \left\{|p| \mid U\left(p, b^{*}\right)=a\right\}, \tilde{H}(a / b)=\min \{|p| \mid U(p, b)=a\}
\end{gathered}
$$

Note that $\tilde{H}\left(a / b^{*}\right)=H(a / b)$.
In expressions relating to strings, such as $U(p, \lambda)=n$, we are identifying $n$ with the binary string $1^{n}$ of length $n$. We also write $H(n)$ for $H\left(1^{n}\right)$. In fact notice that $|H(n)-H(\operatorname{string}(n))|=O(1)$. For integers $n$, we write $n^{*}$ for $\min _{\prec}\{p \mid U(p, \lambda)=n\}$.

We continue by defining some useful functions. For all $j, n, t \in \mathbb{N}$, define

$$
H(n)[t]=\min \{|p||U(p, \lambda)[t]=n \&| p \mid \leqslant t\}
$$

if such a $p$ exists, $H(n)[t]=0$, otherwise. Further let,

$$
\alpha(n)[t]=\min \{H(j)[t] \mid j \geqslant n\}, \alpha(n)=\min \{H(j) \mid j \geqslant n\} .
$$

It is seen that $H(n)[t]$ and $\alpha(n)[t]$ are primitive recursive functions (reason: for the computation we only need the set $\{p|U(p, \lambda)[t],|p| \leqslant t\}$ ), decreasing in $t$, and $H(n)=\lim _{t} H(n)[t], \alpha(n)=\lim _{t} \alpha(n)[t]$.

Assume that $D$ is an oracle and consider the relativised computation $U^{D}$. Then the relativised program-size complexities are defined in the obvious way, for example,

$$
H^{D}(a)=\min \left\{|p| \mid U^{D}(p, \lambda)=a\right\}
$$

If instead of a self-delimiting universal computer we work with a universal partial computable function $V$, then the induced complexities will be denoted by $K(a), K(a / b), \tilde{K}(a / b)$. We now summarise the known results relating the complexity of initial segments to the computability of a real. Let $x=\left(x_{n}\right)_{n}$ be a binary sequence.
Theorem 2 (Loveland [8]). $x$ is computable iff $\tilde{K}\left(x_{n} / n\right)=O(1)$.
Corollary 3. $x$ is computable iff $\tilde{H}\left(x_{n} / n\right)=O(1)$.
Theorem 4 (Chaitin [6]). $x$ is computable iff $K\left(x_{n}\right) \leqslant K(n)+O(1)$.
Theorem 5 (Chaitin [6]). If $H\left(x_{n}\right) \leqslant H(n)+O(1)$, then $x \in \Delta_{2}^{0}$.
Proof. Start by noting that

$$
H\left(x_{n}\right)=H\left(n, x_{n}\right)+O(1)=H\left(x_{n} / n\right)+H(n)+O(1) .
$$

Now if $x$ satisfies the hypothesis of the theorem, then we have

$$
H\left(x_{n} / n\right)+H(n)+O(1) \leqslant H(n)+O(1)
$$

Therefore $H\left(x_{n} / n\right)=O(1)$, and so $\tilde{H}\left(x_{n} / n^{*}\right)=O(1)$.
Relativising to the oracle $D=\{p \mid U(p, \lambda) \downarrow\}$, we have $\tilde{H}^{D}\left(n^{*} / n\right)=O(1)$, since the mapping $n \mapsto n^{*}$ is Turing reducible to $D$. Consequently,

$$
\tilde{H}^{D}\left(x_{n} / n\right) \leqslant \tilde{H}^{D}\left(x_{n} / n^{*}\right)+\tilde{H}^{D}\left(n^{*} / n\right)=O(1)
$$

therefore $\tilde{H}^{D}\left(x_{n} / n\right) \leqslant O(1)$. So by the relativised version of Corollary 3 we see that $x$ is $\Delta_{2}^{0}$.

## 3 Solovay-Universal Functions

We start by introducing the notion of a Solovay-universal function.
Definition 6. A partial computable function $f$ from $\{0,1\}^{*}$ into $\{0,1\}^{*}$ is Solovay-universal if it satisfies the following three conditions:
(1) $\operatorname{dom}(f)=\operatorname{dom}(U, \lambda)$,
(2) $a \in \operatorname{dom}(U) \Longrightarrow|f(a)|=|U(a, \lambda)|$,
(3) for all $m, n \in \mathbb{N}, m<n \Longrightarrow f\left(m^{*}\right) \preccurlyeq f\left(n^{*}\right)$.

We will later prove that Solovay-universal functions do exist. The following proposition reveals the motivation for the definition.

Proposition 7. Suppose $f$ is a Solovay-universal function. Then the real $x_{n}=f\left(n^{*}\right)$ satisfies $H\left(x_{n}\right) \leqslant H(n)+O(1)$.

Proof. We have

$$
\begin{aligned}
H\left(x_{n}\right) & \leqslant H\left(x_{n} / n\right)+H(n)+O(1) \\
& =\tilde{H}\left(x_{n} / n^{*}\right)+H(n)+O(1) .
\end{aligned}
$$

Since $f$ is a partial computable function, $\tilde{H}\left(x_{n} / n^{*}\right)=O(1)$ and therefore $H\left(x_{n}\right) \leqslant H(n)+O(1)$ as required.

Hence, if we can construct a noncomputable real $x=\lim _{n} x_{n}$, where $x_{n}=f\left(n^{*}\right)$ for some Solovay-universal $f$, then we have proved Theorem 1.

We now show the existence of a Solovay-universal functions. First we need some definitions. Recall

$$
H(n)[t]=\min \{|p \| U(p, \lambda)[t]=n \&| p \mid \leqslant t\} .
$$

Let $\left(t_{i}\right)_{i}$ be a computable increasing sequence of natural numbers. Define the total computable function $\sigma$ as follows:

$$
\sigma(i)=\max \left\{j \leqslant i \mid H(j)\left[t_{i}\right]=H(j)\left[t_{i}+1\right]\right\} .
$$

Notice that the graph of $\sigma(i)$ is primitive recursive and that $\sigma(i) \leqslant i$.
Let $\left(t_{i}\right)_{i}$ be a computable sequence of times such that $i<t_{i}<t_{i+1}$ and a c.e. set $\left\{p_{i}\right\}$ of programs such that $U\left(p_{i}, \lambda\right)\left[t_{i}\right]=i$. For $i \in \mathbb{N}$ define

$$
A_{i}=\left\{p\left|U(p, \lambda)\left[t_{i}\right] \downarrow \&\right| U(p, \lambda) \mid \leqslant i\right\} .
$$

Notice that for every $i \in \mathbb{N}, A_{i}$ is computable, $A_{i} \subset A_{i+1}$. For every $n \in \mathbb{N}$, $n^{*} \in A_{i+1} \backslash A_{i}$ for some $i$.

The following statement is immediate from the definitions of $H(n)[t], \sigma$, and $A_{i}$.

Proposition 8. If $n^{*} \in A_{i+1} \backslash A_{i}$ then $n^{*} \in A_{j}$ for all $j \geqslant i+1$, and consequently $H(n)[t]=\left|n^{*}\right|$ and $\sigma\left(i^{\prime}\right) \geqslant n$ for all $i^{\prime} \geqslant i+1$ and $t \geqslant t_{i+1}$.

Now suppose $(z[i])_{i \in \mathbb{N}}$ is a computable sequence of binary strings such that $|z[i]| \geqslant i$, and

$$
\forall i(j<\sigma(i) \Longrightarrow z[i](j)=z[i+1](j))
$$

Recall that $z[i](j)$ is the $j$ th bit of $z[i]$. The existence of such a sequence of strings will be proven in Theorem 14.

We now define a partial function $F$ as follows: If $p \in A_{i+1} \backslash A_{i}$, then let $F(p)$ be the initial segment of $z[i+1]$ of length $|U(p, \lambda)|$.

Proposition 9. $F$ is Solovay-universal.
Proof. Since $\{z[i]\}_{i \in \mathbb{N}}$ is a computable sequence of binary strings and each $A_{i}$ is computable, then $F$ is a partial computable function. If $p \in \operatorname{dom} U$, then $p \in A_{i+1}$ for some minimal $i$ and hence $\operatorname{dom} F=\operatorname{dom} U$. By definition of $F$, $p \in \operatorname{dom} U$ implies that $|F(p)|=|U(p, \lambda)|$. It remains to show that condition (3) of Definition 6 is satisfied.

Suppose $n^{*} \in A_{i_{0}+1} \backslash A_{i_{0}}$. Then $H(n)[t]=\left|n^{*}\right|$ and $\sigma(i) \geqslant n$ for all $i \geqslant i_{0}+1$ and for all $t \geqslant t_{i_{0}+1}$ by Proposition 8. Then by $(\dagger)$, $z[k](j)=z\left[i_{0}+1\right](j)$ for all $k \geqslant i_{0}+1$ and $j \leqslant \sigma\left(i_{0}+1\right)$. Thus for $j<n$, $\lim _{i} z[i](j)=z\left[i_{0}+1\right](j)$. Define $z(j)=\lim _{i} z[i](j)$. Now $F\left(n^{*}\right)$ is defined to be the initial segment of $z$ of length $n$ and hence condition (3) of Definition 6 is satisfied. Therefore $F$ is a Solovay-universal.

## 4 Two Useful Results

Before proving Theorem 1 we need two useful theorems, namely Theorems 10 and 11 below. Let $B$ be a total increasing computable function which is not primitive recursive, but has a primitive recursive graph. For example take $B$ to be Ackermann's diagonal function. Then in fact, $B$ dominates any primitive recursive function, that is, for every primitive recursive function $g$, $B(n)>g(n)$, for almost all natural $n$; see [1].

Theorem 10. There is a computable sequence of times $\left(t_{n}\right)_{n}$, such that $t_{n}<t_{n+1}$ for all $n \in \mathbb{N}$, and for all $n>0$,
(1) there is a natural number $s_{n}$ such that $B\left(t_{n-1}\right) \leqslant s_{n}<B\left(s_{n}\right)=t_{n}$,
(2) for all programs $p$ with $|p| \leqslant B\left(t_{n-1}\right), U(p, \lambda) \downarrow$ implies that either $U(p, \lambda)\left[s_{n}\right] \downarrow$ or $U(p, \lambda)\left[B\left(s_{n}\right)\right] \uparrow$,
(3) the predicate $t_{n}=j$ is primitive recursive, but the function $n \mapsto t_{n}$ is not primitive recursive.

Proof. Let $t_{0}=0$ and assume that $s_{1}, \ldots, s_{n-1}, t_{1}, \ldots, t_{n-1}$ have been defined. Let $Q$ be the set of all programs of length less than or equal to $B\left(t_{n-1}\right)$. Let $r_{1}=t_{n-1}$ and define the following three sets: $X_{1}=\left\{p \in Q \mid U(p, \lambda)\left[r_{1}\right] \downarrow\right\}, Y_{1}=Q \backslash X_{1}, Z_{1}=\left\{p \in Y_{1} \mid U(p, \lambda)\left[B\left(r_{1}\right)\right] \downarrow\right\}$.

If $Z_{1}=\emptyset$, then we let $s_{n}=r_{1}$ and $t_{n}=B\left(s_{n}\right)$. Otherwise we have $Z_{1} \neq \emptyset$, in which case set $r_{2}=B\left(r_{1}\right)$ and define $X_{2}=X_{1} \cup Z_{1}, Y_{2}=Q \backslash X_{2}, Z_{2}=\left\{p \in Y_{2} \mid U(p, \lambda)\left[B\left(r_{2}\right)\right] \downarrow\right\}$.

If $Z_{2}=\emptyset$, then let $s_{n}=r_{2}$ and $t_{n}=B\left(s_{n}\right)$. Otherwise continue this process until reaching a step $i$ with $Z_{i}=\emptyset$ and hence let $s_{n}=r_{i}$ and $t_{n}=B\left(s_{n}\right)$. Such an $i$ must be reached since $X_{1} \subset X_{2} \subset \ldots \subseteq Q \supseteq Y_{1} \supset Y_{2} \supset \ldots, Q$ is finite and $Y_{i} \supset Z_{i}$.

It follows that (1) and (2) are satisfied. Part (3) follows from properties of Ackermann's diagonal function $B$.

We use the sequence of times $\left(t_{n}\right)_{n}$ constructed above in the following theorem, which plays a crucial role in the priority argument in Section 5. In particular, the function $\sigma$ we work with is defined with respect to this sequence of times.

Theorem 11. There is a computable sequence of times $\left(t_{i}\right)_{i \geqslant 0}, t_{i}<t_{i+1}$ and a c.e. set $\left\{p_{i}\right\}_{i \geqslant 0}$ of programs such that $U\left(p_{i}, \lambda\right)\left[t_{i}\right]=i$, for which the following condition holds true: if $g: \mathbb{N} \rightarrow \mathbb{N}$ is a total computable function, then for infinitely many natural numbers $i, g(\sigma(i))<i$.

Proof. Take the sequence of times $\left(t_{i}\right)_{i}$ constructed in Theorem 10. Suppose for a contradiction that $g(\sigma(n)) \geqslant n$ for almost all $n$. We may assume that $g$ is increasing, and in fact that it dominates all primitive recursive functions.

Let $G(n)=\min \{m \mid g(m+1) \geqslant n\}$. Then our assumption on $g$ implies that $G(n) \leqslant \sigma(n)$ for almost all $n$. Note that $G(n) \leqslant t_{n}$ under this assumption.

The intuition for obtaining the contradiction is as follows. The function $\sigma(i)$, roughly speaking, is the largest $j$ such that the approximation to $H(j)$ does not change between time steps $t_{i}$ and $t_{i+1}$. Therefore to show $G(n)>\sigma(n)$ we would like to construct short programs (of length $\leqslant B\left(t_{n}\right)$ ) for $j \in[G(n), n]$ which halt by time $t_{n+1}$. We will be able to do this if
(i) $n$ has a short program that converges in approximately time $t_{n}$,
(ii) $\sum_{G(n) \leqslant j \leqslant n} 2^{-H(j)\left[t_{n}\right]}$ is small,
because then we can use the Kraft-Chaitin Theorem to construct new codes (programs) for all $j \in[G(n), n]$. The next two lemmata perform this task.
Lemma 12. There is a primitive recursive function $h$ such that for almost all $k$, there is a number $n_{k}$ such that $\alpha\left(G\left(n_{k}\right)\right)\left[t_{n_{k}}\right] \geqslant k$, and a program $p_{n_{k}}$ such that $U\left(p_{n_{k}}, \lambda\right)\left[h\left(t_{n_{k}}\right)\right] \downarrow=n_{k}$, and $\left|p_{n_{k}}\right|=O(\log k)$.

Proof. Fix $k \in \mathbb{N}$. If $n$ is sufficiently large then $\alpha(G(n)) \geqslant k$ because $g$ is unbounded, and so $\alpha(G(n))\left[t_{n}\right] \geqslant k$. Let

$$
n_{k}=\min \left\{m \mid m>k \text { and } \alpha(G(m))\left[t_{m}\right] \geqslant k\right\} .
$$

For large $n$,

$$
G(n)=\min \{m \mid m \leqslant \sigma(n) \text { and } g(m+1) \geqslant n\}
$$

so $G$ is primitive recursive. Further, the predicate, $\alpha(n)[t] \geqslant k$ is also primitive recursive as it can be expressed by the formula

$$
(\forall n \leqslant j \leqslant t,|p| \leqslant t(U(p, \lambda)[t]=j \Longrightarrow|p| \geqslant k)) \text { or }(n>t) .
$$

So the function $k \mapsto n_{k}$ has a primitive recursive graph: $U(p, \lambda)\left[t_{m}\right]=j$ iff $U(p, \lambda)[s]=j$, for some $s \leqslant t_{m}$. Let $h_{1}(k, s)$ be the primitive recursive function evaluating the running time of the predicate $n_{k}=s$.

Now consider the self-delimiting computer $C\left(1^{\log k} 0, \lambda\right)=n_{k}$. Use the Invariance Theorem to show that for every natural $k$ there is a program, $p_{n_{k}}$ say, such that $\left|p_{n_{k}}\right|=O(\log k)$ such that $U\left(p_{n_{k}}, \lambda\right)=n_{k}$. The time necessary to compute $p_{n_{k}}$ from $k$ is the sum between the time to get $n_{k}$ from $k$ (a primitive recursive function in $\left.n_{k}, h_{1}\left(k, n_{k}\right)\right)$ and the time to simulate $C$ on $U$, which is primitive recursive in the running time of $C$, i.e., $h\left(h_{1}\left(k, n_{k}\right)\right)$.

Lemma 13. For sufficiently large $k$,

$$
\sum_{G\left(n_{k}\right) \leqslant j \leqslant n_{k}} 2^{-H(j)\left[t_{n_{k}}\right]} \leqslant 2^{-k / 2} .
$$

Proof. Again, let $k$ be sufficiently large so that $\alpha\left(G\left(n_{k}\right)\right) \geqslant k$. Suppose for a contradiction that

$$
\sum_{G\left(n_{k}\right) \leqslant j \leqslant n_{k}} 2^{-H(j)\left[t_{n_{k}}\right]}>2^{-k / 2} .
$$

The number $\sum_{G\left(n_{k}\right) \leqslant j \leqslant n_{k}} 2^{-H(j)\left[t_{n_{k}}\right]}$ can be computed in a primitive recursive way and is less than 1 . Computing its most significant $k / 2$ digits we get a $j \in\left[G\left(n_{k}\right), n_{k}\right]$ and a program $p_{j}$ such that $\left|p_{j}\right| \leqslant k / 2+O(1), U\left(p_{j}, \lambda\right)=j$, and $U\left(p_{j}, \lambda\right)[z] \downarrow$ for some $z$. Consequently, $H(j) \leqslant k / 2+O(1)$, so

$$
\alpha\left(G\left(n_{k}\right)\right)=\min \left\{H(j) \mid j \geqslant G\left(n_{k}\right)\right\} \leqslant k / 2+O(1) .
$$

For sufficiently large $k$, this contradicts $\alpha\left(G\left(n_{k}\right)\right) \geqslant k$, hence the required inequality has been demonstrated.

We now continue with the proof of Theorem 11. Consider the following self-delimiting computer:
(1) input $\operatorname{string}(k) \subset y$,
(2) compute $n_{k}$ using the procedure in Lemma 12,
(3) compute $t_{n_{k}}$ and $G\left(n_{k}\right)$,
(4) now use the Kraft-Chaitin Theorem ${ }^{2}$ to construct a prefix-free set $E=\left\{e_{j} \mid j \in\left[G\left(n_{k}\right), n_{k}\right]\right\}$ such that $\left|e_{j}\right| \leqslant H(j)\left[t_{n_{k}}\right]-k / 2$, which is possible by Lemma 13,
(5) output the code $e_{y}$ of $y$ if $y \in\left[G\left(n_{k}\right), n_{k}\right]$.

The time of this procedure is $h_{0}\left(t_{n_{k}}\right)$ for some primitive recursive function $h_{0}$, and so $t_{n_{k}+1}>B\left(t_{n_{k}}\right) \geqslant h_{0}\left(t_{n_{k}}\right)$. Hence for $j \in\left[G\left(n_{k}\right), n_{k}\right]$,

$$
H(j)\left[t_{n_{k}+1}\right] \leqslant H(j)\left[t_{n_{k}}\right]-k / 2+O(\log k) .
$$

The $O(\log k)$ term is derived from the length of the program in Lemma 12 for computing $n_{k}$ from $k$. Hence for sufficiently large $k, \sigma\left(n_{k}\right) \leqslant G\left(n_{k}\right)$, contradicting our assumption that $G(n)<\sigma(n)$ for almost all $n$.

## 5 The Priority Argument

Having established Theorem 11, we are now in a position to complete the proof of Theorem 1.

Theorem 14. There is a noncomputable real $x$ satisfying

$$
H\left(x_{n}\right) \leqslant H(n)+O(1)
$$

Proof. We construct a computable sequence of binary strings $(z[i])_{i \in \mathbb{N}}$ such that $z=\lim _{i} z[i]$ exists. Furthermore we construct $(z[i])_{i \in \mathbb{N}}$ to satisfy

$$
\forall s(j<\sigma(s+1) \Longrightarrow z[s](j)=z[s+1](j))
$$

Then as in Section 3 we define $F(p)$ to be the initial segment of $z[i+1]$ of length $|U(p, \lambda)|$ if $p \in A_{i+1} \backslash A_{i}$. Hence $F$ is Solovay-universal.

Consequently, defining $x=\lim _{n} x_{n}$, where $x_{n}=F\left(n^{*}\right)$ provides the necessary $x$ satisfying, for all $n \in \mathbb{N}$,

$$
H\left(x_{n}\right) \leqslant H(n)+O(1) .
$$

[^1]Notice that $x=z$.
We construct $z$ to meet the following requirements for all $e \in \mathbb{N}$ :
$\mathrm{R}_{e}: \exists m\left(\phi_{e}(m) \neq z(m)\right)$.
The classical diagonalisation strategy for this requirement is to choose some $m$ at stage $s$ with $z(m)[s]=0$ and wait for $\phi_{e}(m) \downarrow[t]=0$. When this occurs we set $z(m)=1$. If $\phi_{e}(m) \uparrow$ or $\phi_{e}(m) \downarrow \neq 0$ then $z(m)=0 \neq \phi_{e}(m)$. If $\phi_{e}(m) \downarrow=0$ at some stage $t$ then of course $\phi_{e}(m)=0 \neq 1=z(m)$.

We modify this strategy so that we construct $z$ to meet ( $\dagger$ ). We first address the issue of meeting $(\dagger)$ for one $\mathrm{R}_{e}$ requirement.

To satisfy ( $\dagger$ ) we must ensure $z(j)[s]=z(j)[s+1]$ for all $j<\sigma(s+1)$. In Proposition 8 we saw that if $n^{*} \in A_{i+1} \backslash A_{i}$ then $n^{*} \in A_{j}$ for all $j \geqslant t_{i+1}$ and consequently $H(n)[t]=\left|n^{*}\right|$ and $\sigma\left(i^{\prime}\right) \geqslant n$ for all $i^{\prime} \geqslant i+1$ and $t \geqslant t_{i+1}$. This means that when $n^{*} \in A_{i+1} \backslash A_{i}$, then we may no longer change our approximation to $z$ on the initial segment of length $n$. That is $z(j)[s]=z(j)$ for all $j<n$ and $s \geqslant i+1$. However, $H$ is not computable and so we cannot know when an element in $A_{i}$ is $n^{*}$ for some $n$.

Now suppose we assign $\sigma(m)$ to be a witness for $\mathrm{R}_{e}$. We do not know if $\phi_{e}(\sigma(m))$ converges, and if so, at which stage it converges. If $\phi_{e}(\sigma(m))=0$ and converges at stage $t$ and yet $n^{*} \in A_{s}$ for some $s<t$, then we cannot define $z(\sigma(m))[t]=1$ to diagonalise against $\phi_{e}(\sigma(m))$ without compromising condition ( $\dagger$ ). The solution is to have witnesses that can be used before condition ( $\dagger$ ) prevents it. The existence of such witnesses is provided by Theorem 11. If $\phi_{e}$ is a total computable function then let $g: \mathbb{N} \mapsto \mathbb{N}$ be the total computable function such that $g(i)$ is the number of steps it takes for $\phi_{e}(i)$ to converge. Then Theorem 11 tells us that there are infinitely many $\sigma(i)$ such that $\phi_{e}(\sigma(i))$ converges in less than $i$ steps.

Thus at stage $s+1$ of the construction if $\mathrm{R}_{e}$ is currently not satisfied and $\phi_{e}(\sigma(s+1)) \downarrow=0$ then we define $z(\sigma(s+1))=1$ and $z[s+1](j)=z[s](j)$ for all $j<\sigma(s+1)$, thus satisfying ( $\dagger$ ). If $\phi_{e}$ is total then Theorem 11 provides many such $\sigma(s+1)$ as discussed above.

We now consider how to meet ( $\dagger$ ) in the presence of more than one requirement. The problem is that $\sigma$ is not a 1-1 function. That is $\sigma\left(s_{1}\right)$ may equal $\sigma\left(s_{2}\right)$ for $s_{1} \neq s_{2}$. Therefore higher priority requirements are allowed to change the value of $z(\sigma(i))[s+1]$ even if it has been defined to be something different by a lower priority requirement. The priority ordering ensures that for every $j, z[s+1](j) \neq z[s](j)$ for only finitely many $s$. Hence $z$ will be $\Delta_{2}^{0}$.

For example, suppose $\mathrm{R}_{1}$ is of higher priority than $\mathrm{R}_{2}$. At stage $s_{0}+1$ suppose $\phi_{2}\left(\sigma\left(s_{0}+1\right)\right)\left[s_{0}+1\right] \downarrow=0$ and we defined $z\left[s_{0}+1\right]\left(\sigma\left(s_{0}+1\right)\right)=1$. Then at some later stage, $s_{1}$ say, $\phi_{1}\left(\sigma\left(s_{1}+1\right)\right) \downarrow=1$ where $\sigma\left(s_{1}+1\right)=\sigma\left(s_{0}+1\right)$. Then $\mathrm{R}_{1}$ wants to define $z\left[s_{1}+1\right]\left(\sigma\left(s_{1}+1\right)\right)=0$. This can be done and still meet $(\dagger)$, but results in a $\Delta_{2}^{0}$ real $z$ being constructed. $\mathrm{R}_{1}$ must be allowed to take $\sigma\left(s_{1}+1\right)$ as a witness otherwise we run the risk of more and more lower priority requirements from depriving $\mathrm{R}_{1}$ of ever having a usable witness.

## The Construction.

Stage $\mathbf{0}: z[0]=\lambda$, the empty string.
Stage $\mathbf{s}+1$ :
We say that $\mathrm{R}_{e}$ requires attention at stage $s+1$ if
(i) $e<s+1$,
(ii) $\phi_{e}(\sigma(s+1))$ converges in at most $s+1$ steps,
(iii) $\sigma(s+1)$ is not a witness for any $\mathrm{R}_{e^{\prime}}$ for $e^{\prime}<e$,
(iv) $\mathrm{R}_{e}$ has no witness at stage $s+1$ and $\sigma(s+1)$ was not previously a witness of $e$.

We define $z[s+1]$ to be a string of length $s+1$ as follows.

- If no $\mathrm{R}_{e}$ requires attention at stage $s+1$ then let $z[s+1]$ be the extension of $z[s]$ such that $z[s+1](s)=0$.
- Otherwise let $e_{0}$ be the least $e$ such that $\mathrm{R}_{e}$ requires attention at stage $s+1$. We say $\mathrm{R}_{e_{0}}$ receives attention at stage $s+1$.
Define $z[s+1](\sigma(s+1)) \neq \phi_{e_{0}}(\sigma(s+1))$, and make $\sigma(s+1)$ a witness for $\mathrm{R}_{e_{0}}$. Define $z[s+1](n)=z[s](n)$ for all $n<\sigma(s+1)$. Define $z[s+1](n)=0$ for all $n$ such that $\sigma(n)<n<s+1$.
Cancel all witnesses assigned to $\mathrm{R}_{e^{\prime}}$ for $e^{\prime}>e$.


## The Verification.

It is clear that $(z[i])_{i \geqslant 0}$ is a computable sequence and that $z[i](k)=z[i+1](k)$ if $k<\sigma(i+1)$, thus satisfying $(\dagger)$. We now define $F$ as in Section 3, that is if $y \in A_{i+1} \backslash A_{i}$, then $F(y)$ is the initial segment of $z[i+1]$ of length $|U(y, \lambda)|$. We let $x_{n}=F\left(n^{*}\right)$ and $x=\lim _{n} x_{n}$. It follows that $F$ is Solovay-universal and so $x$ satisfies $H\left(x_{n}\right) \leqslant H(n)+O(1)$.

It remains to show that $x$ is a noncomputable real.
Proposition 15. For all $e \in \mathbb{N}, \mathrm{R}_{e}$ has at most a finite number of witnesses.
Proof. An easy induction on $e$ shows that $\mathrm{R}_{e}$ only requires attention finitely often.

Proposition 16. If $\phi_{e}$ is total then $\mathrm{R}_{e}$ has a final witness.
Proof. Suppose $\mathrm{R}_{e}$ does not get a final witness and all $\mathrm{R}_{e^{\prime}}$ for $e^{\prime}<e$ have a final witness by stage $s_{0}$ and never require attention after stage $s_{0}$. Then it is clear that clause $(i)$ holds for all $s \geqslant s_{0}$. Furthermore we may choose $s_{1} \geqslant s_{0}$ so that (iii) holds for $\mathrm{R}_{e}$ for all $s \geqslant s_{1}$ since $\lim _{s} \sigma(s)=\infty$. Let $g(n)$ be the number of steps it takes for $\phi_{e}(n)$ to converge. Then clause (ii) holds infinitely often since by Theorem 11 there are infinitely many $i$ such that $g(\sigma(i))<i$. Thus clause (iv) must fail, otherwise $\mathrm{R}_{e}$ would receive attention at some stage $s_{2} \geqslant s_{1}$. But then the witness that $\mathrm{R}_{e}$ has that contradicts (iv) is permanent.

Proposition 17. If $m$ is the final witness of $\mathrm{R}_{e}$, then $x(m)=z(m) \neq \phi_{e}(m)$.
Proof. By construction, if $m$ is the final witness for $\mathrm{R}_{e}$ then $x(m) \neq \phi_{e}(m)$.

This completes the proof of Theorem 14.

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[^0]:    ${ }^{1}$ Sometimes we will be concerned with constructions occuring over $\omega$-many stages and thus often append $[t]$ to parameters to denote the value of the parameter at the end of stage $t$.

[^1]:    ${ }^{2}$ Given a recursive list of "requirements" $\left\langle n_{i}, s_{i}\right\rangle\left(i \geq 0, s_{i} \in \Sigma^{*}, n_{i} \geqslant 0\right)$ such that $\sum_{i} 2^{-n_{i}} \leq 1$, we can effectively construct a self-delimiting computer $C$ and a recursive one-to-one enumeration $x_{0}, x_{1}, x_{2}, \ldots$ of words $x_{i}$ of length $n_{i}$ such that $C\left(x_{i}, \lambda\right)=s_{i}$ for all $i$ and $C(x, \lambda)=\infty$ if $x \notin\left\{x_{i} \mid i \geqslant 0\right\}$; see [2].

