Copyright Statement

The digital copy of this thesis is protected by the Copyright Act 1994 (New Zealand). This thesis may be consulted by you, provided you comply with the provisions of the Act and the following conditions of use:

- Any use you make of these documents or images must be for research or private study purposes only, and you may not make them available to any other person.
- Authors control the copyright of their thesis. You will recognise the author's right to be identified as the author of this thesis, and due acknowledgement will be made to the author where appropriate.
- You will obtain the author's permission before publishing any material from their thesis.

To request permissions please use the Feedback form on our webpage. http://researchspace.auckland.ac.nz/feedback

General copyright and disclaimer

In addition to the above conditions, authors give their consent for the digital copy of their work to be used subject to the conditions specified on the Library Thesis Consent Form

Nabokov's Humor: The Play of Consciousness

Matthew Brillinger

A thesis submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in English,

The University of Auckland, 2002

Abstract

As it develops, Nabokov's fiction becomes increasingly amusing, with more humor used to greater effect. Why is Nabokov so fond of humor?

One school of thought accounts for Nabokov's humor with reference to a perceived inability to take anything seriously. Although misguided, this explanation is by no means absurd, for Nabokov is more lighthearted than grave, and he certainly prefers play to ceremony. Nabokov's buoyancy and playfulness, however, reflect not a sense that nothing matters, but a belief that humor, as it counters one or another expectation, underscores the extent to which reality outstrips *all* expectations.

This thesis, drawing on formal humor theory when appropriate, explores in turn Nabokov's humor, Nabokov's theory of humor, and the metaphysics from which Nabokov's theory of humor emerges, ultimately presenting Nabokov as a purposeful humorist intent upon nurturing in readers an appreciation of life's generosity. Experiencing the world as enchantingly humorous, and discerning in the world's humor evidence of a playful designing force, Nabokov, it is argued, emulates as a writer playful "life," deploying particular kinds of humor as a means of developing the reader's capacity to perceive and appreciate the types of humor Nabokov most enjoys in his own life.

Following an introductory discussion of Nabokov's humor, Chapter One reviews attempts to explain Nabokov's joking. Chapter Two treats Nabokov's development as a humorist, crediting the increasing risibility of his fiction to mounting use of certain devices. Analyzing one scene in Lolita, Chapter Three identifies the effects of Nabokov's humor. Chapter Four, the first of two explanatory chapters, describes Nabokov's theory of humor, and Chapter Five, developing points made in Chapter Four, explores the relationship between Nabokov's theory of humor and metaphysics.

Acknowledgments

Many aspects of this work, not just its title, reflect the influence of Brian Boyd, my supervisor. My use of unpublished material, important in the bolstering of my argument, owes everything to Dr. Boyd's sharing of materials he uncovered while studying Nabokov's life. And many of my examples—of concealed jokes and subtle patterns in particular—were first called to my notice by Professor Boyd, one of Nabokov's most attentive readers. Finally, my argument incorporates a number of points made by Dr. Boyd in conversation I wish now to thank Brian Boyd for his patience and generosity.

This thesis is dedicated to Carol and to my parents, Lorie and David.

Contents

ACKNOWLEDGEMENTS		iii
ABBREVIA	ATIONS FOR WORKS BY NABOKOV	vi
INTRODUC	CTION: NABOKOV AND HUMOR	1
0.1	Nabokov's Understanding of Humor	11
0.2	Characterizing Nabokov's Humor	15
0.3	Nabokov's World	18
CHAPTER	ONE: CRITICAL INTEREST IN NABOKOV'S HUMOR	20
1.1	Incongruity and Resolution	22
1.2	Humor and Bisociation	30
1.3	Laughter and Novelty	36
1.4	Unstudied Reaction to Nabokov's Humor	40
1.5	Considered Studies of Nabokov's Humor	43
CHAPTER '	TWO: NABOKOV'S EVOLVING USE OF HUMOR	61
2.1	Nabokov's Abandonment of Earnestness	65
2.2	Nabokov's Invented Humorists	74
2.3	Challenging the Reader	79
CHAPTER '	THREE: QUILTY'S DEATH, A CASE-STUDY	92
3.1	Prior Events	94
3.2	Inside Pavor Manor	98
3.3	Gamefulness in Lolita II.35	100
3.4	Conflicting Truths	111
3.5	The Skeptical Joker	122

CHAPTER FOUR: NABOKOV'S THEORY OF HUMOR		128
4.1	An Undisguised Fondness for Humor	131
4.2	Humor and Eccentricity	133
4.3	The Best Pesticide	141
4.4	The Gamefulness of Humor	145
4.5	Indirect Explorations of Humor	152
4.6	Nabokov's Explicit Theory of Humor	157
4.7	A Compelling Vision of Humor	162
CHAPTER I	FIVE: NABOKOV'S PLAYFUL COSMOS	170
5.1	Generous Life	172
5.2	Nabokov's Subjectivism	173
5.3	A Humorous World	178
5.4	Elusive Reality	184
5.5	Humor and Deliberation	189
CONCLUSION		195
6.1	Homo Ludens	196
6.2	Humor in Speak, Memory	198
6.3	Through Nabokov's Eyes	201
APPENDIX:	: HUMOR IN <u>ADA</u>	205
BIBLIOGR A	210	
	11 11 1 ,	210

Abbreviations for Works by Nabokov

A Ada, or Ardor: A Family Chronicle

BS Bend Sinister

C <u>Carrousel</u>

Df <u>The Defense</u>

D Despair

EO (I, II, III) <u>Eugene Onegin: A Novel in Verse</u>

Eye The Eye
G The Gift
Gl Glory

IB <u>Invitation to a Beheading</u>

KQK <u>King, Queen, Knave</u>
LiD <u>Laughter in the Dark</u>

LoDQ <u>Lectures on Don Quixote</u>

LoL <u>Lectures on Literature</u>

LoRL <u>Lectures on Russian Literature</u>

LO <u>Lolita</u>

LOSP <u>Lolita: A Screenplay</u>

LaTH Look at the Harlequins!

USSR The Man from the USSR and Other Plays

M Mary

NB Nabokov's Butterflies: Unpublished and Uncollected Writings

NG <u>Nikolai Gogol</u>

PF <u>Pale Fire</u>

RLSK The Real Life of Sebastian Knight

SM Speak, Memory: An Autobiography Revisited

SoVN <u>The Stories of Vladimir Nabokov</u>

SO <u>Strong Opinions</u>

TT <u>Transparent Things</u>