

1 **Title: Metabolomic analysis of heat-hardening in adult green-lipped mussel (*Perna***  
2 ***canaliculus*): a key role for succinic acid and the GABAergic synapse pathway.**

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25 **Running title: Mussel metabolomics & heat-hardening**

26

27 **Abstract**

28 We evaluated the thermotolerance ( $LT_{50}$ ) of adult green-lipped mussels (*Perna canaliculus*)  
29 following an acute thermal challenge in the summer of 2012 and the winter of 2013. Mussels  
30 were grouped into two treatments, naïve (N, no prior heat treatment) and heat-hardened  
31 (HH = 1 h at 29 °C, 12 h recovery at ambient) before being immersed for 3 h in water of  
32 varying temperature, i.e. Ambient (Control), 25, 29, 31, 33, and 35 °C with subsequent  
33 mortality monitored for 30 days. As expected, naïve mussels were less thermotolerant than  
34 heat-hardened i.e. Summer  $LT_{50}$ , N = 31.9, HH = 33.5 °C; Winter  $LT_{50}$ , N = 31.4, HH = 33.8 °C.  
35 Moreover, at 33 °C no heat-hardened mussels died compared to 100% mortality in naïve  
36 specimens. At 35 °C all mussels died regardless of treatment.

37 For the 'Summer' mussels, metabolite abundances in gill tissues of both naïve and heat-  
38 hardened mussels were quantified. For mussels at 33 °C, succinic acid was significantly  
39 higher in naïve mussels than heat-hardened mussels, indicating perturbations to  
40 mitochondrial pathways in these thermally stressed mussels. Additionally, analysis of  
41 biochemical pathway activity suggested a loss of neural control i.e. significantly reduced  
42 GABAergic synapse activity, in naïve vs. heat-hardened mussels at 33 °C. Taken together  
43 these findings suggest that heat-hardening improves mussel survival at higher temperatures  
44 by delaying the onset of cellular anaerobic metabolism, and by maintaining inhibition of  
45 neural pathways. Such results offer new perspectives on the complex suite of sub-cellular  
46 stress responses operating within thermally stressed organisms.

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48 **Keywords:** Greenshell™ mussel, Acute thermal challenge; Thermal tolerance, Anaerobic  
49 metabolism.

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55 **1. Introduction**

56 In marine ectotherms, seawater temperature is an important environmental cue, capable of  
57 modifying essential biological processes such as metabolism, reproduction, growth,  
58 behaviour, immune response, and survival (Angilletta, 2009; Pörtner, 2002). Species usually  
59 dwell within a tolerance range of temperatures (or thermal windows) in which they are able  
60 to cope with changes in temperature whilst carrying out normal physiological processes  
61 (Pörtner and Farrell, 2008; Sokolova et al., 2012). However, beyond these thermal limits,  
62 survival is compromised depending on factors such as the magnitude, rate, and duration of  
63 any temperature change (Monaco and Helmuth, 2011; Pörtner and Farrell, 2008).

64 The thermal tolerance of a species can be increased for a short time by means of an acute  
65 exposure to a sub-lethal temperature i.e., heat-hardening (Bowler, 2005), a process known to  
66 botanists for decades (Feldman, 1968). Heat-hardening is a transient phenomenon that  
67 enhances survival to a higher temperature for a few hours to days (Hoffmann et al., 2003).  
68 Such situations can also occur in nature, with the increasing temperatures of consecutive  
69 spring low tides on the intertidal shore known to naturally “heat-harden” invertebrates in  
70 preparation for the peak temperature associated with extreme low tides (Hamdoun et al.,  
71 2003; Pasparakis et al., 2016).

72 Although heat-hardening can induce the production of protective heat shock proteins  
73 (Hsp’s), the associated increase in thermal tolerance may not be solely attributed to their  
74 expression. This is because the stress response is a complex process involving adjustments to  
75 behaviour, tissue and organ function in addition to metabolite fluxes (Bilyk et al., 2012;  
76 Bowler, 2005; Hoffmann et al., 2003; Jensen et al., 2010). This is perhaps best illustrated in  
77 stenothermal Antarctic fish who demonstrate a heat-hardening response (Bilyk et al., 2012)  
78 yet lack inducible expression of heat shock proteins (Hofmann et al., 2005). For many marine  
79 ectotherms, studies describing heat-hardening have focussed either on whole organism  
80 responses (e.g. mortality, cardiac function), or Hsp70 expression, with changes in metabolite  
81 fluxes (metabolomics) largely overlooked despite their importance in describing the  
82 thermotolerance of freshwater aquatic insects (Verberk et al., 2013).

83 Metabolomics approaches have their advantages as simultaneous identification and  
84 quantification of multiple small molecular weight molecules are achieved. This provides  
85 biomarkers which are intrinsically linked with the physiology of the animal, allowing a  
86 more in-depth understanding of how the organisms respond to external changes in their  
87 living environment (Viant, 2007, 2008). Metabolomic analysis of heat-hardened *Drosophila*  
88 showed significant changes in metabolite profiles, with shifts in acetate profiles indicative of  
89 heat-hardened individuals (Malmendal et al., 2006). Whilst metabolomics has been used to  
90 describe toxicological effects (Kwon et al., 2012), larval quality (Young et al., 2015) and heat  
91 stress responses (Dunphy et al., 2015) in mussels, no metabolomics analyses describing heat-  
92 hardening in mussels (nor any marine invertebrate for that matter) could be found.

93 Lastly, metabolomics datasets also allow secondary analyses of metabolite set and pathway  
94 enrichment using various algorithms, e.g. Pathway Activity Profiling (PAPi), Metabolites  
95 Biological Role (MBRole) and Metabolites Pathway Enrichment Analysis (MPEA), which can  
96 then map changes in the activity of biochemical pathways in response to experimental  
97 perturbations (Booth et al., 2013). These algorithms provide an integrated snapshot of  
98 organismal response at the next level of biological organisation i.e. pathway as opposed to  
99 metabolite; however many are tailored to specific model organisms, making selection of an  
100 appropriate algorithm crucial (Aggio et al., 2010).

101 Thus, we provide the first investigation of heat-hardening in green-lipped mussels (*Perna*  
102 *canaliculus* Gmelin, 1791), a species of distinct ecological and economic importance in New  
103 Zealand (Gui et al., 2016). We set out to determine whether temporal changes in heat-  
104 hardening responses were evident in this species and to what extent. Moreover, comparison  
105 of metabolomics profiles between naïve and heat-hardened mussels was undertaken in  
106 order to identify candidate biomarkers and biochemical pathways involved in the heat-  
107 hardening process.

108

## 109 **2. Materials and methods**

### 110 *2.1 Origin of adult mussels*

111 Green-lipped mussels (*P. canaliculus*) used in this study were part of an ongoing selective  
112 breeding program and are maintained on culture longlines within Kauauroa Bay,  
113 Marlborough Sounds, New Zealand. During the summer (December) 2012 (SST = 18-20 °C  
114 for approx. two months), and winter (June) 2013 (SST = 11-12 °C again for 3 months), a  
115 subset of mussels were brought back to the Cawthron Institute's Glenhaven aquaculture  
116 park and acclimated for one month in a flow-through system under ambient light conditions  
117 with water supplied by outdoor bloom ponds (8.1-8.3 pH,  $1.0 \pm 0.5 \mu\text{g L}^{-1}$  Chl-*a*) allowing *ad*  
118 *libitum* feeding of the mussels. Each cohort of mussels (summer 2012, winter 2013)  
119 underwent one month of acclimation to the laboratory conditions, after which 240 adult  
120 mussels were washed, tagged and their live mass and shell length were recorded (Table 1).  
121 Visual inspection of tissues during shucking for metabolomics sampling showed that all  
122 mussels were reproductively active with gonadal tissue present.

### 123 *2.2. Heat-hardening and acute thermal challenge*

124 For both the winter and summer periods, half of the 240 mussels were allocated to the Heat-  
125 hardening treatment, while the remaining 120 mussels were allocated to the Naïve  
126 treatment. Mussels were allocated at random to mesh bags (n = 10 per bag, with two bags  
127 per treatment) and assigned to a pre-exposure treatment i.e. naïve or heat-hardened. Heat-  
128 hardened mussels were prepared by dipping in 29 °C water for 1 h, allowed to recover in  
129 acclimation tanks for 12 h (to replicate natural tidal cycle) and then exposed to an acute  
130 thermal challenge to estimate their thermal limits. While the naïve mussels, were taken  
131 immediately to the acute thermal challenge without prior exposure to high seawater  
132 temperatures.

133 For the acute thermal challenge in both summer and winter methods used followed those  
134 reported in (Dunphy et al., 2015), namely six 200 L tanks were prepared, each of which was  
135 held at a set temperature treatment (i.e. Ambient control, 25, 29, 31, 33 and 35 °C) with static  
136 water flow and air stones to maintain aeration and prevent thermal stratification (see Table 2  
137 for actual temperature values). A subsample (i.e., 20 mussels per tank for each treatment) of  
138 either the heat-hardened and naïve mussels were immersed directly in each of the tanks for  
139 three hours (i.e., acute thermal challenge) and then allowed to recover in ambient conditions  
140 for 30 days. During the recovery time, mortality was monitored daily for 30 days after

141 cessation of acute thermal challenge. Mussels were considered dead when gaping  
142 individuals were unable to adduct valves following 10 rapid squeezes i.e. the British  
143 Standard Squeeze method (Dunphy et al., 2015).

#### 144 *2.3. Gill sample collection for metabolomics analysis*

145 To evaluate metabolite profiles and metabolic pathway differences between naïve and heat-  
146 hardened mussels, gill samples were harvested during the Summer 2012 trial for later  
147 analysis. Immediately following the 3 h acute temperature challenge at the different  
148 temperatures treatments, five mussels from each temperature treatment were shucked and  
149 had their gill tissues rapidly dissected and snap frozen in liquid nitrogen, before being  
150 stored at -80°C until metabolite profiles could be ascertained using GC-MS analysis.

151

#### 152 *2.4. Metabolites identification and quantification*

153 Identification and quantification of metabolites in the gill samples followed the methods  
154 described in (Dunphy et al., 2015). Metabolites were extracted from frozen gill tissue by first  
155 grinding in liquid nitrogen, using a mortar and pestle. Approximately 100 mg of the ground  
156 tissue was then transferred into a 50 mL centrifuge tube containing ice cold MeOH:H<sub>2</sub>O  
157 solution (2.5 mL, 50% [vol/vol], -30 °C). An internal standard, d<sub>4</sub>-alanine (20 µL), was added  
158 before each tube was vortex-mixed for 3 x one minute intervals, ensuring samples remained  
159 chilled via holding tubes in a -30 °C ethanol bath between mixing cycles. Samples were  
160 centrifuged at -20 °C for 15 min at 5000 rpm. The supernatant was collected and placed in a  
161 50 mL polypropylene tube. Another 2.5 mL of the cold methanol solution was added to the  
162 remaining tissue pellet and mixed for 30 s using a vortex mixer before being centrifuged again  
163 at -20 °C and 5,000 rpm for another 15 minutes. The resulting supernatant was collected and  
164 added to the first supernatant in the 50 mL tube. Bidistilled water (8 mL at 4 °C) was added  
165 to the tube containing 5 mL of supernatant. Using a vortex mixer, three short (~one second)  
166 mixing cycles were applied to the sample before placing it in a freeze dryer for 24 h. Following  
167 this, samples were volatilised and a metabolite profile was obtained using non-target Gas  
168 Chromatography-Mass Spectrometry (GC-MS) analysis on a Thermo Agilent 7890B GC  
169 coupled to a 5977AC inert mass spectrometer with a split/splitless inlet. This is a  
170 complementary analytical method for metabolomics, as it allows for the determination and

171 quantification of as many identified or unidentified metabolite compounds as possible  
172 (Roessner and Bowne, 2009). Data analysis was semi-automated. The raw data output from  
173 the GC-MS was converted to AIA format (.cdf) and analysed using Automated Mass Spectral  
174 Deconvolution and Identification Software (AMDIS) against an in-house library of 165 MCF  
175 derivatised compounds. Compounds that are not included in this library were not identified.  
176 The settings chosen for AMDIS result in more false positive identifications, in order to avoid  
177 exclusion of valid data. As the output from AMDIS returns zero values that are not suitable  
178 for statistical analysis, an R-script was used to produce data that includes trace levels of  
179 metabolites normally excluded by AMDIS. The values were generated from the maximum  
180 height of the reference ion for the compound peak. The reference ion used as a measure of  
181 abundance for each compound is generally the most abundant fragment. Unlike peak area,  
182 peak height may be affected by chromatographic disturbances such as column contamination.  
183 Early eluting peaks may sometimes be under-represented as a result. Data were checked  
184 against negative controls, and where they obviously represented contamination or artefacts  
185 they were highlighted and removed from the corrected results. Values for negative controls  
186 were not subtracted from data. Coeluting peaks were highlighted, checked and identified.  
187 Where two identifications were equally likely for one peak, both identifications have been  
188 reported. Data was normalised to the internal standard alanine-d4. The normalised values are  
189 not concentrations, but are ratios of the GC-MS response of compound peaks relative to the  
190 internal standard alanine-d4, then standardised to biomass (mg) of the original gill sample  
191 extracted.

192 The metabolite data was also used for the determination of biochemical pathways activity.

193

## 194 *2.5. Statistical analyses*

195 Methods to estimate the acute lethal temperature at which 50% (LT<sub>50</sub>) and 99% (LT<sub>99</sub>) of the  
196 naïve and heat-hardened mussels died followed the methods of Dunphy et al. (2013).

197 Namely, a logistic regression (logit link) was fitted to the number of live and dead mussels  
198 at each temperature treatment used in the thermal challenge, and measures of LT<sub>50</sub> or LT<sub>99</sub>  
199 estimated using the inverse prediction function (based on maximum likelihood estimates) in  
200 JMP (version 10.0, SAS Institute, Carolina, USA). Significant differences among LT<sub>50</sub>

201 estimates were identified using 95% confidence intervals. An interaction term was not  
202 included in the logistic binomial models as it resulted in unstable results in the inverse  
203 prediction function (presumably arising from the very abrupt transition between live and  
204 dead mussels at 33 -35 °C).

205 Two-way ANOVAs, using Type II sums of squares for the unbalanced data sets, were  
206 carried out on the log-transformed metabolite intensities and PAPI activity scores to assess  
207 the main effects of the *Pre-exposure* and *Temperature* treatments used in the thermal  
208 challenge, including the interaction between these two factors. Holm's adjusted p-values  
209 were calculated for the interaction *F*-tests, controlling the familywise error rate at 5% (i.e.  
210 controlling the probability of at least one false discovery among all *F*-tests for the entire set  
211 of metabolites at 5%). For those metabolites for which the interaction effect was found to be  
212 statistically significant, pairwise comparisons of means were conducted using two-sample *t*-  
213 tests to identify specific differences between pairs of means. Holm's method was also used  
214 to control the familywise error rate across *both* main effects, for the entire set of metabolites,  
215 at 5%. Consequently, only those main effect *F*-statistics with a Holm-adjusted *p*-value less  
216 than 0.025 were declared statistically significant. Pairwise comparisons between *Pre-exposure*  
217 treatment and/or *Temperature* group means were conducted only if their interaction effect  
218 was not found to be statistically significant in the ANOVA for the nominal metabolite but  
219 their main effects were. Tables of mean log-intensities, their pairwise differences (i.e. log-  
220 ratios) and standard errors of differences, plus their corresponding relative abundances (i.e.  
221 back-transformed log-ratios) and 95% confidence intervals were computed.

222 Comparisons of biochemical pathway activity among naïve and heat-hardened mussels  
223 exposed to the different temperatures used in the thermal challenge were achieved via  
224 secondary analysis of metabolites using the pathway activity profiling (PAPI) algorithm  
225 (Aggio et al., 2010). This program connects to the Kyoto Encyclopaedia of Genes and Genome  
226 (KEGG) online database (<http://www.kegg.com>) and uses the relative abundances of  
227 metabolites detected within each pathway to predict the activity of each metabolic pathway  
228 within individual mussels. The entire data mining, data normalisation and pathway activity  
229 predictions were performed using the PAPI R package (Aggio, 2013).

230



231

### 232 3. Results

#### 233 3.1. Mortality of mussels after acute thermal challenge

234 Mortality of adult *P. canaliculus* following an acute thermal challenge in summer 2012 and  
235 winter 2013 is shown in Fig 1. Immersion in 35 °C water resulted in 100% mortality of  
236 mussels by the end of the acute 3 h acute challenge regardless of season, or pre-exposure  
237 treatment. The onset of mortality was rapid and usually going from 0 to 100% mortality over  
238 the space of a 2 °C increase in water temperature e.g. between 33 and 35 °C. Importantly, for  
239 both sampling periods naïve mussels experiencing 33 °C were all dead within 2-4 days after  
240 the trial ended; whereas heat-hardened mussels showed little (Winter 2013 mussels) to no  
241 (Summer 2012) mortality at this temperature. Additionally, during winter 2013 naïve  
242 mussels in the 29 and 31 °C treatments also showed a small level of heat stress mortality up  
243 to a week post trial. Modelling the mortality data with a logistic regression revealed  
244 significant seasonal and pre-exposure treatment differences (see Supplementary file S1 for  
245 details). Seasonal differences in LT<sub>50</sub> values were significant for the naïve mussels, but not  
246 for the heat-hardened individuals (Table 3). Heat-hardening of adult *P. canaliculus* pushed  
247 forward their thermotolerance, with a 1.6 and 2.4 °C increase in LT<sub>50</sub> compared to naïve  
248 mussels in winter and summer respectively (Table 3).

249

#### 250 3.2. Metabolites profile of Summer 2012 samples

251 A total of 50 metabolites were identified in the gills of naïve and heat-hardened mussels  
252 exposed to an acute thermal challenge at different temperatures (Table 4). A good  
253 representation of amino, fatty and various other organic acids were achieved across the  
254 metabolites identified. None of these metabolites revealed significant differences in  
255 abundances between heat-hardened and naïve mussels (see Supplementary Table S2) For  
256 both naïve and heat-hardened mussels, the temperature of the acute thermal challenge had a  
257 strong effect on metabolite relative abundance, with 35 metabolites showing differential  
258 abundance with increasing temperature (Table 4 and Supplementary Table S3). Nonetheless,  
259 a significant interaction was observed between pre-exposure treatment and temperature of  
260 the acute thermal challenge (two-way ANOVA,  $F_{5, 44} = 8.59$ ,  $P < 0.0005$ ). This interaction was

261 attributed to Succinic acid, which was significantly higher in naïve mussels experiencing 33  
262 °C (Fig 2).

### 263 3.3. Differences in metabolic pathway activities

264 Analysis of outputs from the PAPI secondary data enrichment algorithm determined  
265 activity scores of 78 pathways (see Supplementary Table S4). Pre-exposure treatment had no  
266 significant effect on pathway activity. However, 71 pathways had significant differential  
267 activity among temperatures within the acute thermal challenge; and there was a significant  
268 interaction effect between pre-exposure treatment and the temperature used in thermal  
269 challenge on the activity of eight pathways (Table 5). This interaction effect related to  
270 mussels experiencing 33 °C where pathways in the heat-hardened mussels showed a less  
271 abrupt decline in activity compared to naïve mussels (Figure 3 and 4). Of these eight  
272 pathways, the most significant interaction term was for the neural inhibitory *GABAergic*  
273 synapse pathway (Table 5).

274

275

## 276 4. Discussion

277 We provide the first reports of seasonal, and heat-hardening, effects on the thermotolerance  
278 of adult *P. canaliculus*. Our aims were to determine whether any temporal changes in heat-  
279 hardening responses were evident in this species, in addition to identifying biomarkers of  
280 heat-hardening via GC-MS and metabolomics analyses.

### 281 4.1. Seasonal variation in thermal tolerance of naïve individuals

282 Although small, an increase in LT<sub>50</sub> of 0.5 °C was observed from winter to summer in naïve  
283 individuals of *P. canaliculus* in our study. This modest increase broadly agrees with previous  
284 records for this species, where Kennedy (1976) found an approximately 1 °C increase in LT<sub>50</sub>  
285 between summer and winter acclimated mussels. However, results from both Kennedy  
286 (1976) and our study contrast with published values for other bivalves, where summertime  
287 thermotolerance is typically much greater. For example, work by Hamdoun et al. (2003) on  
288 the thermotolerance of the Pacific oyster (*Crassostrea gigas*) recorded LT<sub>50</sub> values 2-3 °C  
289 higher in summer than those recorded during winter. Position on shore may explain this

290 discrepancy, as subtidal invertebrates can be less sensitive than eurythermal intertidal  
291 species to seasonal drivers of thermotolerance (Hopkin et al., 2006). Thus it may be that a  
292 predominantly subtidal to low-shore species such as *P. canaliculus* is less likely to show  
293 dramatic shifts in thermotolerance among seasons.

294 Differences in mussel size can influence survival in thermotolerance experiments (Schneider,  
295 2008). Whilst all mussels used were sexually mature, an increase in somatic growth occurred  
296 in the intervening months between sampling events. This may have influenced our  
297 estimates of *P. canaliculus* thermotolerance, thus caution is advised when interpreting these  
298 measures.

#### 299 4.2. Heat-Hardening Effect

300 When compared to naïve mussels, heat-hardening *P. canaliculus* (i.e., 29 °C for 1 hour)  
301 increased LT<sub>50</sub> by 2-3 °C independently of season. Furthermore, in terms of raw mortality  
302 data, this difference was best evidenced at 33 °C where a much greater survival of heat-  
303 hardened individuals occurred compared to naïve. Positive effects of heat-hardening on  
304 thermotolerance have been reported for other mussel species e.g. *P. viridis* (Aleng et al.,  
305 2015) and *M. edulis* (Huppert and Laudien, 1980), with both species able to tolerate normally  
306 detrimental temperatures for much longer. In the case of *P. viridis*, heat-hardening allowed  
307 up to 50% of mussels to survive 44 °C a temperature that was otherwise lethal for naïve  
308 conspecifics.

309 Interestingly, such a scenario was not evident for *P. canaliculus* as regardless of pre-exposure  
310 treatment, all mussels died when exposed to 35 °C. Furthermore, onset of mortality of all  
311 mussels was rapid over a small response range i.e. going from 0% to 100% mortality with  
312 just a 3 °C increase in temperature. Thus, whilst heat-hardening can shift the LT<sub>50</sub> of *P.*  
313 *canaliculus* and push upper thermal limits, there appears to be a ceiling to this protection  
314 with 35 °C representing a temperature for which immersed individuals of this species  
315 cannot survive. Mortality itself consists of multiple interacting variables, what is need now  
316 are high resolution time series analyses to test how consistent 35 °C is as an upper thermal  
317 limit for this species.

318 In order to accurately map temperature effects (particularly for the metabolomics analyses),  
319 we elected to use immersed mussels to reduce body temperature variations due to

320 evaporative cooling that may occur in emersed mussels. Whether such immersed upper  
321 thermal limits accurately reflect the emersed condition needs further investigation. This is  
322 especially pertinent in light of work by Petes et al. (2007) where significant mortality of *P.*  
323 *canaliculus* was recorded in intertidal beds when temperatures briefly reached 36 °C.

#### 324 4.3. Metabolites profile of Summer 2012 samples

325 The tolerance benefits conferred from heat-hardening have often (but not always) been  
326 linked to the production of heat shock protein (Hsp) chaperones (Feder and Hofmann, 1999).  
327 Our metabolomics data provides a complementary approach to Hsp analyses and suggests  
328 succinic acid (the anion of which is succinate) is indicative of the heat-hardening process.  
329 Located within the mitochondria, succinic acid is a tricarboxylic acid that has an important  
330 role in energy production via the Krebs/TCA cycle. Metabolomic studies typically interpret  
331 increased abundances of a metabolite as indicative of a perturbed biochemical pathway e.g.  
332 faulty succinate dehydrogenase activity (Aggio et al., 2010). Complicating this interpretation  
333 is that succinate will accumulate in mussels under anaerobic conditions as the mitochondrial  
334 pathways work in reverse i.e. succinate is catalysed from fumarate via fumarate reductase  
335 (Zandee et al., 1985). In fact, anaerobiosis and thermotolerance are linked with lower  
336 thermotolerance capacity being linked to internal hypoxia within heat stressed animals i.e.  
337 oxygen and capacity limitation of thermal tolerance (OCLTT) (Pörtner, 2012). For *Mytilus*  
338 *edulis*, thermally induced mortality was related to a sharp increase in mantle succinate  
339 indicating insufficient oxygen reaching mitochondria in these thermally stressed mussels  
340 (Zittier et al., 2015).

341 Metabolomic analyses of heat-stressed stonefly (*Dinocras cephalotes*) nymphs (Verberk et al.,  
342 2013) and naïve *P. canaliculus* adults (Dunphy et al., 2015) revealed greater levels of organic  
343 acids (succinic, lactic, fumaric acid) and amino acids (proline, alanine, asparagine) associated  
344 with anaerobic metabolism as temperature stresses increased. For many taxa, the  
345 accumulation of succinate is indicative of severe pathological conditions i.e. ischaemia  
346 related to stroke and cardiac infarction in humans (Chouchani et al., 2014), thus whether the  
347 delay in succinic acid upregulation in heat-hardened mussels is due to more robust  
348 pathways (as metabolomics might suggest) or a delayed switch to anaerobiosis needs to be  
349 uncovered. This could take the form of thermal tolerance trials undertaken in hypoxic and

350 hyperoxic water conditions combined with measurements of ventilatory and cardiac  
351 performance as per the methods of Pörtner et al. (2006).

352

#### 353 *4.4 Differences in metabolic pathway activities*

354 Secondary enrichment analysis of metabolite abundance data revealed a further set of  
355 thermal stress biomarkers in *P. canaliculus*. As might be expected, acute temperature shock  
356 had a significantly negative effect on pathway activity. Perhaps of greater interest to our  
357 study are the eight pathways that showed differential expression among naïve and heat-  
358 hardened mussels experiencing 33 °C. Although all mussels showed reduced activity scores  
359 in these pathways, the deleterious effects of thermal stress was not as pronounced in heat-  
360 hardened individuals with carbohydrate (Butanoate and Citrate cycle), amino acid (alanine,  
361 aspartate, glutamate, phenylalanine and tyrosine) and energy (Oxidative phosphorylation)  
362 metabolism being significantly more perturbed in naïve mussels.

363 Given that succinic acid abundance distinguished naïve and heat-hardened mussels it is  
364 unsurprising that the citrate acid cycle pathway is indicative of thermal stress effects among  
365 our mussels. However, the *GABAergic* synapse pathway appears particularly important in  
366 distinguishing heat-hardened mussels from naïve. This pathway is reliant on GABA, which  
367 is typically an inhibitory neurotransmitter but can have an excitatory role in mollusc  
368 nervous systems. Nonetheless, bolstering the activity of the *GABAergic* synapse pathway  
369 during thermal stress indicates that retention of neural function is correlated with the heat-  
370 hardening process. Similar results were found in preparations of rat brain, where heat-  
371 hardened brain slices modulated *GABAergic* related synaptic transmission when  
372 experiencing experimental heat stress (Kelty et al., 2002). Thus our findings demonstrate the  
373 role the central nervous system has in enduring thermal stress in a more basal animal taxon.

#### 374 *4.5 Conclusions*

375 Taken together, our metabolites and mortality data correlate well. A schematic summary of  
376 our findings is provided in Figure 5 which shows that heat-hardened mussels experiencing  
377 33 °C exhibit greater survivorship than naïve mussels and this was associated with no  
378 elevation of a key a metabolite, succinic acid. Moreover, preservation of neural function  
379 (among others) appears key at the biochemical pathway level, thereby enabling homeostasis

380 to be maintained under otherwise lethal thermal conditions. Such mechanisms indicate the  
381 way in which heat-hardened *P. canaliculus* appear to buy more 'thermal headroom'. But it is  
382 apparent such measures can only operate over a narrow temperature range, as once  
383 temperatures reach 35 °C such defensive mechanisms are rapidly surmounted and mortality  
384 is assured. Whilst the onset of anaerobic metabolism is correlated with reduced  
385 thermotolerance, further work is necessary to interpret whether the delayed increase of  
386 succinic acid in heat-hardened mussels represents an increase in oxygen delivery or an  
387 adjustment for more thermally stable enzymes (or both).

388

389

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397

398 **Appendix A. Supplementary material.** Data associated with this article can be found in the  
399 online version.

### 400 **Vitae**

401 **Dr Brendon Dunphy (BSc, MSc hons, PhD):** Brendon is a lecturer at the University of  
402 Auckland where he researches the ecophysiology of a diverse range of marine taxa. He is  
403 particularly interested in mechanistic insights into the resilience of marine animals and  
404 studies a diverse range of invertebrate and vertebrate species.



405

406 **Dr Katya Ruggiero (PhD)** is a statistician at the University of Auckland whose research lies  
407 at the interface of statistics and biology. Her works spans the statistical design and analysis  
408 of experiments using high-throughput biological data collection platforms, principally those  
409 involving current transcriptomic, proteomic and metabolomic technologies, bioinformatics,  
410 developing algorithms for the improvement of GC-MS data quality, through to introducing  
411 cutting-edge multivariate statistical methods to studying whale morphology. She has made  
412 significant methodological contributions to these fields.”

413



414

415 **Dr Norman Ragg (BSc hon, MSc, PhD)** is a senior research scientist at the Cawthron  
416 Institute, where he specialises in the characterisation of stress and physiological  
417 performance in commercially important mollusc species. Norman’s current research  
418 priorities focus on husbandry and breeding strategies to build resilience to a changing  
419 ocean.



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421

422 **Dr Leonardo N. Zamora (BSc, PhD)** is a researcher at the Cawthron Institute, interested on  
423 the understanding of the biology and physiology of marine invertebrates, particularly  
424 commercially valuable mollusc and echinoderm species

425



426

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518

#### 519 **List of tables**

520

521 **Table 1.** Biometrics (mean ± S.D.) of naïve and heat-hardened adult *P. canaliculus* used in  
522 acute thermal challenge trials.

523

524 **Table 2.** Nominal and actual temperature levels at which adult naïve and heat-hardened  
525 mussels (*Perna canaliculus*) were exposed to an acute thermal challenge for 3 h.

526

527 **Table 3.** Mortality estimates of LT<sub>50</sub> and LT<sub>99</sub> of naïve and heat-hardened *P. canaliculus*  
528 adults after a 3 h acute thermal challenge. Similar superscripts denote nonsignificant  
529 relationships within a mortality estimate i.e. where confidence intervals do not overlap.

530

531 **Table 4:** Metabolites extracted from mussel gill tissues for both naïve and heat-hardened *P.*  
532 *canaliculus* after a 3 h acute thermal challenge during Summer 2012. Metabolites were  
533 determined via GC-MS analyses. Note: metabolites in *italics* exhibited differential expression  
534 compared to ambient (i.e., controls) with arrows indicating either an increase (↑) or decrease  
535 (↓) in abundance of that metabolite.

536

537 **Table 5:** ANOVA table comparing output from PAPI secondary enrichment analyses on  
 538 biochemical pathway activity of thermally stressed green-lipped mussels (*P. canaliculus*) that  
 539 were either heat-hardened or naïve before treatment.

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	Status	Shell Length (mm ± SD)	Whole Weight (g ± SD)
<b>Summer 2012</b>	Naïve	75.1 ± 8.7	35.17 ± 10.1
	Heat-hardened	76.1 ± 9.3	36.8 ± 11.5
<b>Winter 2013</b>	Naïve	90.8 ± 14.1	45.9 ± 16.2
	Heat-hardened	98.5 ± 9.9	53.2 ± 17.5

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	Experimental target temperature for thermal challenge					
	Ambient (Control)	25 °C	29 °C	31 °C	33 °C	35 °C
Actual temperature Summer 2012(mean °C ± S.D.)	19.4 ± 0.2	25.1 ± 0.2	28.5 ± 1.6	31.3 ± 1.2	32.6 ± 1.7	35.1 ± 1.7
Actual temperature Winter 2013(mean °C ± S.D.)	12.8 ± 0.5	27 ± 0.6	30.4 ± 0.16	31.0 ± 0.4	33.1 ± 0.1	35.6 ± 0.2

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	<b>Status</b>	<b>LT<sub>50</sub> (± 95% C.I.)</b>	<b>LT<sub>99</sub> (± 95% C.I.)</b>
<b>Summer 2012</b>	Naïve	31.9 ± 0.1 <sup>a</sup>	33.8 ± 0.4 <sup>a</sup>
	Heat-hardened	33.5 ± 0.4 <sup>b</sup>	35.44 ± 0.4 <sup>b</sup>
<b>Winter 2013</b>	Naïve	31.4 ± 0.2 <sup>c</sup>	33.3 ± 0.4 <sup>a</sup>
	Heat-hardened	33.8 ± 0.4 <sup>b</sup>	35.7 ± 0.4 <sup>b</sup>

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**Amino Acids**Alanine ↑Asparagine ↑Aspartic acid ↑beta-Alanine ↓Cysteine ↑Glutamic acid ↑Glycine ↑

Histidine

Isoleucine ↑Leucine ↑

L-Ornithine

Lysine

Methionine ↑Phenylalanine ↑Proline ↑Serine ↑Threonine ↑Tyrosine ↑Valine ↑**Non-standard Amino Acids**4-Amino-n-butyric acid (GABA) ↑**Amino Acid Derivatives**Glutathione ↑S-Adenosyl-L-methionine ↑**Amino Acid Metabolic Intermediates**D-2-Aminoadipic acid ↑

L-2-Aminoadipic acid

Creatinine ↑**Organic Acids**

Adipic acid

Benzoic acid

cis-Aconitic acid

Citraconic acid ↑

Decanoic acid

Fumaric acid ↑

Glutaric acid

Itaconic acid ↑Lactic acid ↑Malonic acid ↑

Nicotinic acid

Oxalic acid

Pyroglutamic acid

Succinic acid ↑**Fatty Acids**

13,16-Docosadienoic acid

Arachidonic acid ↓

cis-11,14-Eicosadienoic acid

cis-11-Eicosenoic acid ↑Docosahexaenoic acid ↓Dodecanoic (Lauric) acid ↑gamma-Linolenic acid ↑

Heptadecanoic acid

Linoleic acid ↑

Myristic acid

Octadecanoic (Stearic) acid

Oleic acid ↑

Palmitelaidic acid

Palmitic acid

Palmitoleic acid ↑

Pentadecanoic acid

**Secondary Metabolites**

p-Toluic acid

Quinic acid

**Alkaloids**

Benzothiazole

<b>KEGG Map pathway type</b>	<b>Pathway</b>	<b>p value of interaction (treatment x temp)</b>
Amino acid metabolism	Alanine, aspartate and glutamate metabolism	0.01
	Phenylalanine metabolism	0.006
	Tyrosine metabolism	0.006
Chemical structure transformation maps	Biosynthesis of alkaloids derived from ornithine, lysine and nicotinic acid	0.03
Carbohydrate metabolism	Butanoate metabolism	0.002
	Citrate cycle (TCA cycle)	0.006
Energy metabolism	Oxidative phosphorylation	0.006
Nervous system	GABAergic synapse	0.0003

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573 **Figure captions**

574 **Fig. 1.** Cumulative mortality of heat-hardened (filled symbols) and naïve (white symbols)  
575 green-lipped mussels (*P. canaliculus*) following an acute thermal challenge (3 h immersion)  
576 in water baths containing seawater of different temperatures during the summer of 2012 and  
577 winter of 2013 and then 30 days recovery in ambient seawater. Note for heat-hardened  
578 mussels experiencing 35 °C, symbols are offset for ease of visibility.

579

580 **Fig. 2.** Expression of succinic acid in gill tissue of naïve and heat-hardened adult *P.*  
581 *canaliculus* that experienced a 3 h acute thermal challenge during the Summer of 2012 at  
582 different temperatures above ambient (20 °C). Succinic acid spectra were obtained via GC-  
583 MS and relative abundances determined using AMDIS software. Results of two-way  
584 ANOVA indicated by asterisks (\*\* =  $P < 0.0005$ ).

585

586 **Fig. 3.** P*APi* activity scores of amino acid (and derivatives) metabolism pathways determined  
587 in naïve and heat hardened mussels exposed to an acute thermal challenge for 3 h during the  
588 Summer of 2012 at different temperatures above ambient (20 °). Data are mean activity score  
589 ( $\pm$  S.E.) Note: activity scores for mussels experiencing 25, 29 and 31 °C not shown for  
590 clarity. Results of two-way ANOVA indicated by asterisks (\* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  
591  $p < 0.001$ ) which show differences between treatments at 33 °C.

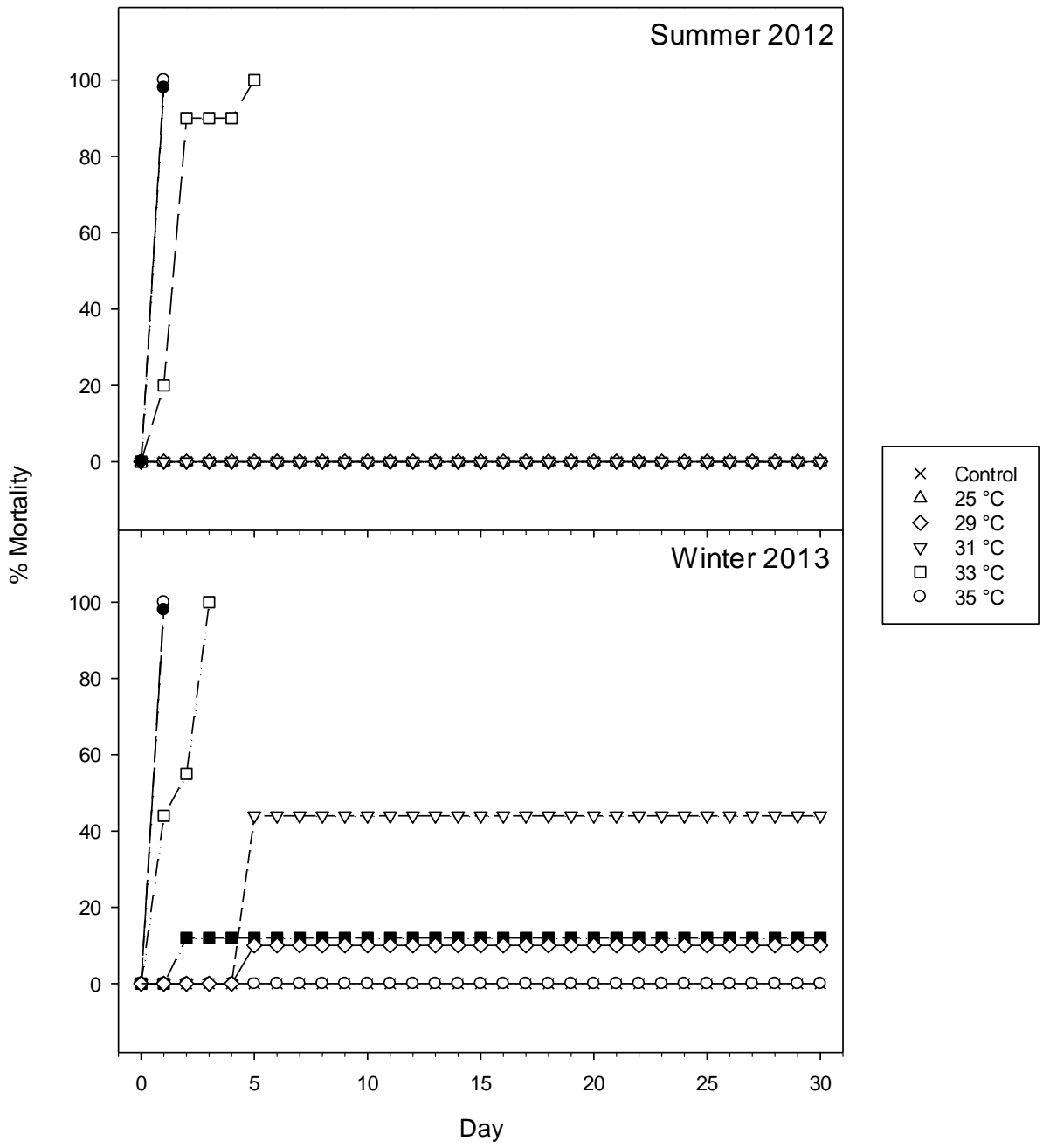
592 **Fig. 4.** P*APi* activity scores of carbohydrate metabolism (Butanoate metabolism), energy  
593 metabolism (Citrate cycle, Oxidative phosphorylation), and Nervous signalling (GABAergic  
594 synapse) metabolic pathways determined in naïve and heat hardened mussels exposed to an  
595 acute thermal challenge for 3 h during the Summer of 2012 at different temperatures above  
596 ambient (20 °). Data are mean activity score ( $\pm$  S.E.) Note: activity scores for mussels  
597 experiencing 25, 29 and 31 °C not shown for clarity. Results of two-way ANOVA indicated  
598 by asterisks (\* =  $p < 0.05$ , \*\* =  $p < 0.01$ , \*\*\* =  $p < 0.001$ , \*\*\*\* =  $p < 0.0005$ ) which show  
599 differences between treatments at 33 °C.

600 **Fig. 5.** Schematic depiction summarising our findings on the responses of naïve (blue line)  
601 and heat-hardened (red line) green-lipped mussel (*P. canaliculus*) when experiencing a  
602 hypothetical heat-stress event.

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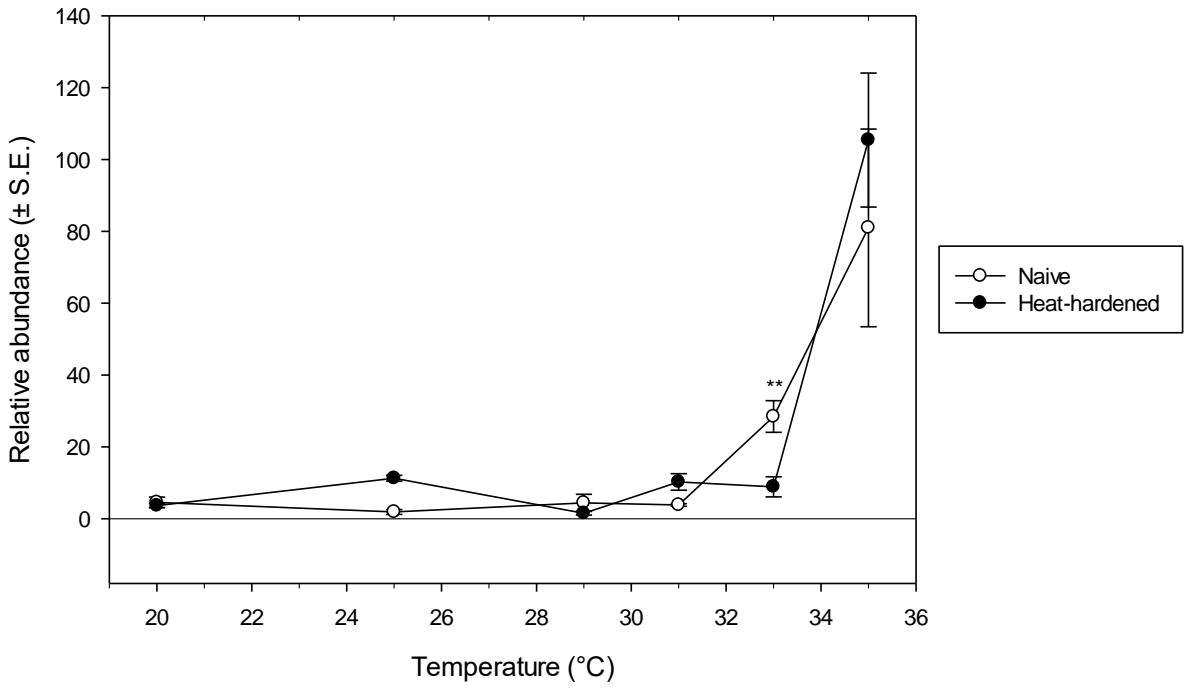
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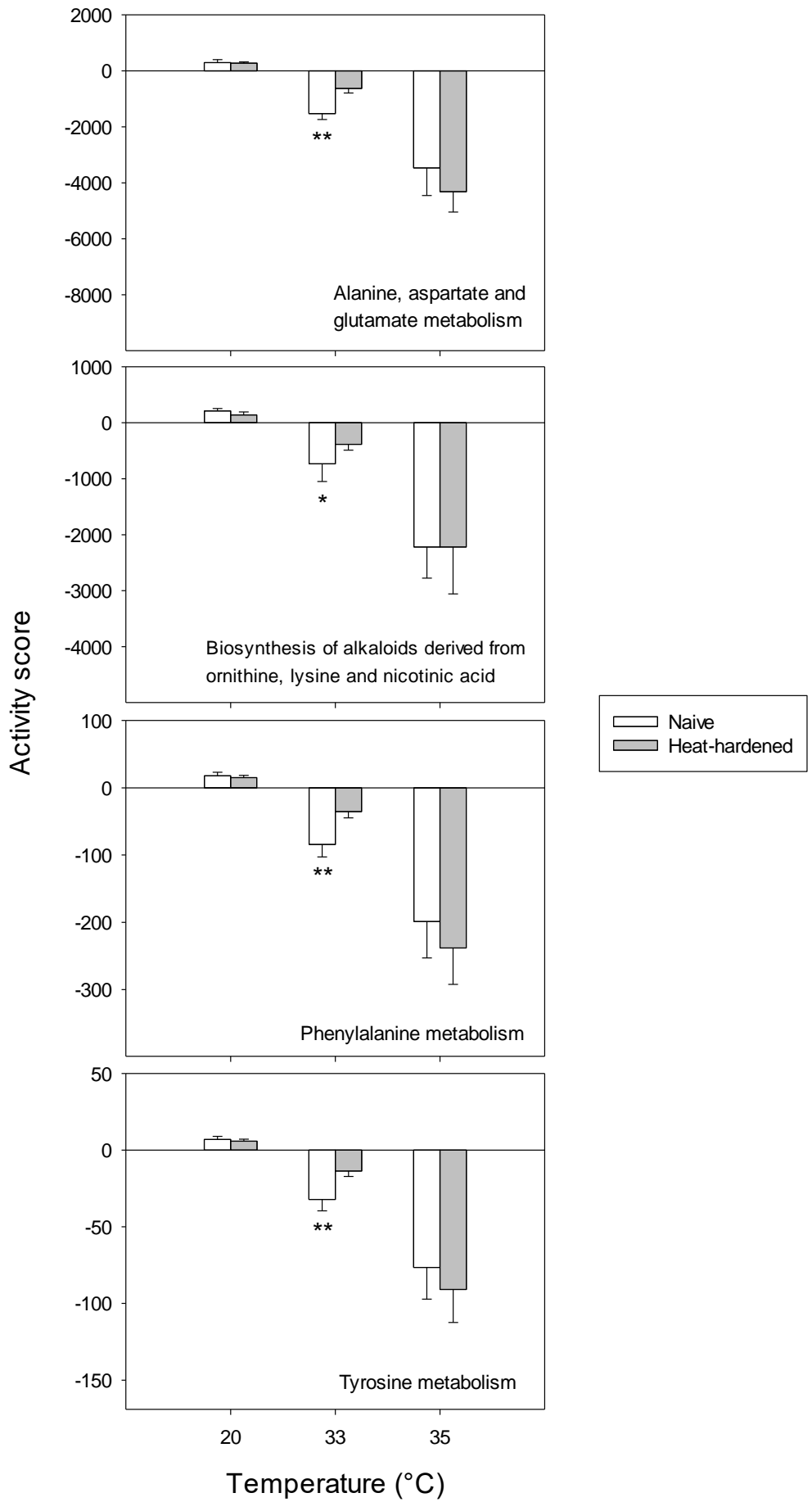


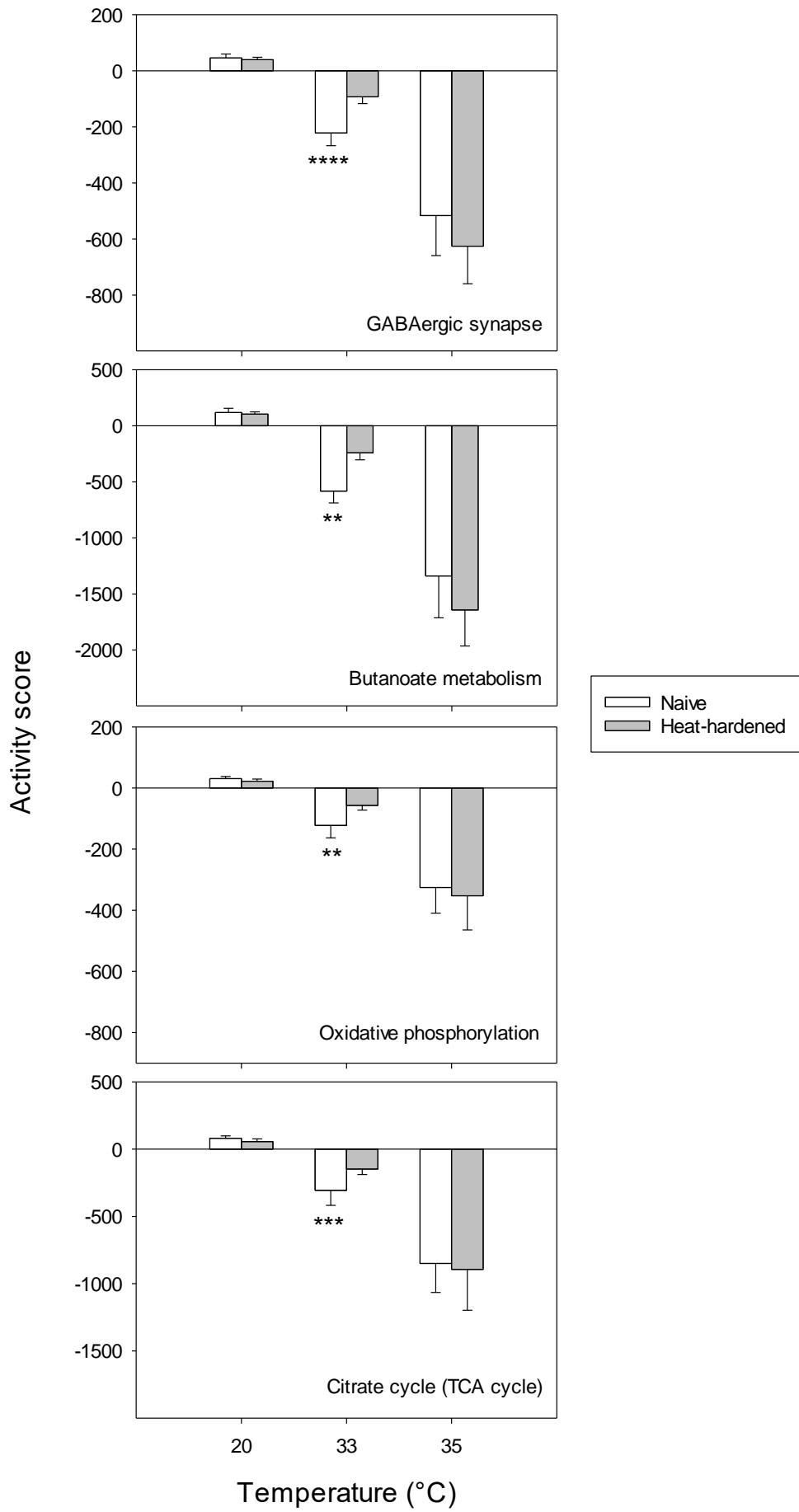
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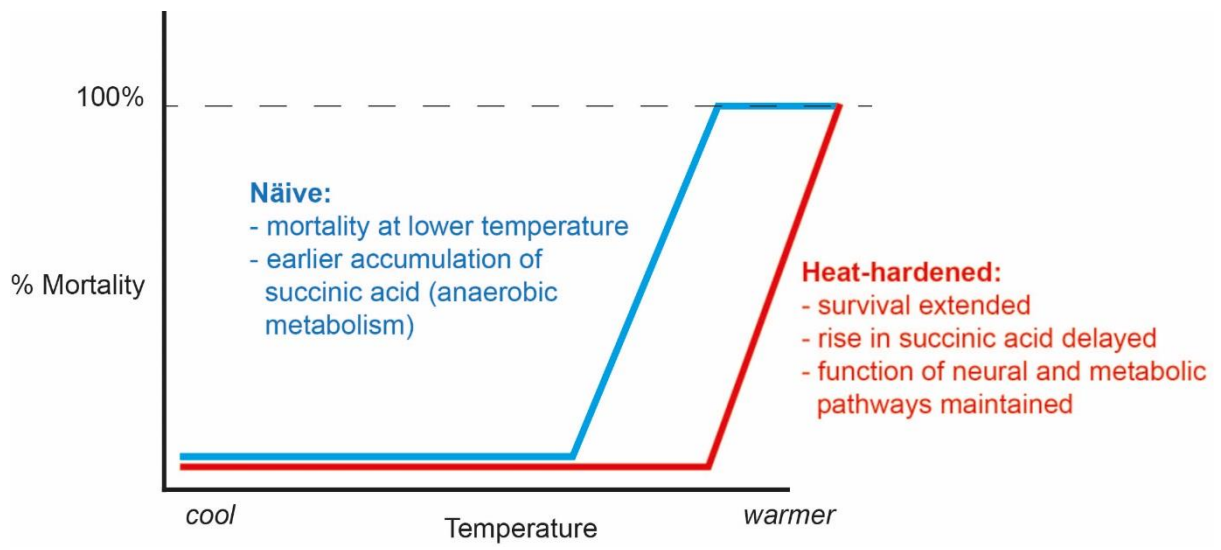








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