

Ultra-high Q terahertz whispering-gallery modes in a silicon resonator

Cite as: APL Photonics **3**, 051702 (2018); <https://doi.org/10.1063/1.5010364>

Submitted: 25 October 2017 . Accepted: 08 January 2018 . Published Online: 07 February 2018

Dominik Walter Vogt , and Rainer Leonhardt 

COLLECTIONS

 This paper was selected as Featured



View Online



Export Citation



CrossMark

ARTICLES YOU MAY BE INTERESTED IN

[Tutorial: Terahertz beamforming, from concepts to realizations](#)

APL Photonics **3**, 051101 (2018); <https://doi.org/10.1063/1.5011063>

[Whispering resonances to the terahertz regime](#)

Scilight **2018**, 060002 (2018); <https://doi.org/10.1063/1.5024669>

[Invited Article: Narrowband terahertz bandpass filters employing stacked bilayer metasurface antireflection structures](#)

APL Photonics **3**, 051602 (2018); <https://doi.org/10.1063/1.5003984>



APL Photonics
**Early Career Editorial
Advisory Board and
Future Luminary Award**

[Learn more and submit](#)

Ultra-high Q terahertz whispering-gallery modes in a silicon resonator

Dominik Walter Vogt^a and Rainer Leonhardt

*Dodd-Walls Centre for Photonic and Quantum Technologies, Department of Physics,
The University of Auckland, Private Bag 92019, Auckland 1142, New Zealand*

(Received 25 October 2017; accepted 8 January 2018; published online 7 February 2018)

We report on the first experimental demonstration of terahertz (THz) whispering-gallery modes (WGMs) with an ultra-high quality factor of 1.5×10^4 at 0.62 THz. The WGMs are observed in a high resistivity float zone silicon spherical resonator coupled to a sub-wavelength silica waveguide. A detailed analysis of the coherent continuous wave THz spectroscopy measurements combined with a numerical model based on Mie-Debye-Aden-Kerker theory allows us to unambiguously identify the observed higher order radial THz WGMs. © 2018 Author(s). All article content, except where otherwise noted, is licensed under a Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>). <https://doi.org/10.1063/1.5010364>

I. INTRODUCTION

WGM (whispering-gallery mode) resonators are well established in the optical regime and provide exciting opportunities in fundamental research and technical applications alike.^{1–3} The small dimensions and the achievable extremely high quality (Q) factors render WGM resonators appealing for many applications, e.g., spectral switches and filters, optical delay lines, lasers, and sensors to name but a few.^{4,5}

However, up to now, WGM resonators occupy only a niche in the terahertz (THz) frequency range, albeit their interesting characteristics. In particular, the long wavelength in the THz frequency range with hundreds of microns significantly eases the requirement for ultra-high precision machining of the resonator structure present at optical frequencies. However, due to the usually strong material absorption present at THz frequencies, the choice of the resonator material is essential to achieve high Q THz WGMs. Also, most low-loss dielectric materials tend to have an increasing material absorption with frequency in the THz frequency range, hampering the breakthrough of THz WGMs at higher frequencies, i.e., above 0.3 THz.⁶ High density polyethylene (HDPE) is one of the promising materials for THz WGM resonators. HDPE disc resonators with diameters of 30 mm and 10.5 mm have been reported to show high loaded Q factors of 3000 at 0.24 THz⁷ and 1000 at 0.3 THz,⁸ respectively. Also, a loaded Q factor of 800 at about 0.25 THz has been achieved in a quartz disc resonator with a diameter of 3.62 mm.⁹ We recently demonstrated a THz WGM bubble resonator made of quartz glass with a Q factor of 440 at 0.47 THz for critical coupling. The bubble resonator provides a particularly interesting platform for sensing due to the closed environment of the bubble design.¹⁰ Finally, another obvious choice for the realization of high Q THz WGM resonators is high resistivity float zone silicon (HRFZ-Si). While machining and coupling into such a high refractive index resonator material poses its own issues (as discussed below), HRFZ-Si provides the striking advantage of a very low material absorption over a wide frequency range. Moreover, silicon is an ideal material for the realization of integrated THz WGM resonator systems. A cylindrical resonator made of HRFZ-Si with a diameter of 5 mm was first used to observe the propagation of sub-picosecond WGM THz pulses.¹¹ A spherical HRFZ-Si WGM resonator was first reported by our group, with a Q factor of 1600 at 0.35 THz for critical coupling.¹²

^aAuthor to whom correspondence should be addressed: d.vogt@auckland.ac.nz

In this work, we report on the first experimental observation of ultra-high Q THz WGMs with a Q factor of 1.5×10^4 for critical coupling at 0.62 THz in a 4 mm diameter spherical HRFZ-Si resonator. To the best of our knowledge, the achieved Q factor of 1.5×10^4 exceeds by far any other reported resonant structure in the THz frequency range.^{13–23} Amongst the resonant structures with the highest Q factors reported in the literature are, e.g., a Bragg grating in a parallel plate waveguide (Q = 436),¹³ a silicon photonic crystal slab (Q = 1020),¹⁵ a plasmonic Fano metamaterial (Q = 227),¹⁴ a 3D-printed hollow core Bragg waveguide with defect layer (Q = 55),¹⁶ and a HDPE disc WGM resonator (Q = 3000).⁷ The ultra-high Q factor achieved with the Si sphere is a significant step towards the realization of THz photonics technologies based on WGM resonators as they are already well established in the optical regime. In particular, the implementation of a highly sensitive integrated WGM Si sensor at THz frequencies is within reach.

Unexpectedly, critical coupling of the WGMs is easily achieved via evanescent coupling from a sub-wavelength waveguide made of silica glass. HRFZ-Si ($n = 3.42$) and silica glass ($n = 1.96$) demonstrate a significant discrepancy in the material refractive indices and therefore seemingly prevent the phase matching criteria for evanescent coupling.¹ We demonstrate that the phase refractive indices can be matched close to the surface of the HRFZ-Si resonator, and therefore critical coupling to the THz WGMs can be achieved.

II. METHODS

The material absorption of the resonator is by far the most dominant factor limiting the Q factor of THz WGMs. HRFZ-Si (resistivity $> 10 \text{ k}\Omega \text{ cm}$) has a very low material absorption ($< 0.025 \text{ cm}^{-1}$) and a nearly constant refractive index of 3.416 in the frequency range from 0.4 THz to 2 THz.²⁴ Therefore, HRFZ-Si is perfectly suited for the implementation of a high Q THz WGM resonator. Also, the basically frequency-independent refractive index of HRFZ-Si facilitates an easier identification of the experimentally observed WGMs as discussed in detail below. To numerically analyze the resonance frequencies of the HRFZ-Si resonator, the diameter of the sphere has to be known with great precision. As a micrometer with $1 \mu\text{m}$ precision has not shown any deviation from the spherical shape, the diameter of the resonator has been determined by weighing the sphere with a 0.1 mg precision scale. The calculated diameter of the sphere is $3.9974 \pm 4 \times 10^{-4} \text{ mm}$ (density of silicon: $2.329\,037 \pm 1 \times 10^{-6} \text{ g/cm}^3$ ²⁵). In contrast to optical WGM resonators, imperfections in the resonator shape or surface roughness are usually negligible due to the large wavelength in the THz frequency range compared to the precision of the fabrication processes, especially for a spherical shape.

The waveguide is a 200 μm diameter air-silica step index fiber made of low OH content silica glass. The waveguide was obtained by removing the cladding of a commercially available multi-mode optical fiber. The low OH content silica glass has a relative low material absorption ($\approx 0.2 \text{ cm}^{-1}$) and a nearly constant refractive index of 1.96 in the frequency range from 0.3 THz to 1 THz.²⁶ Due to the sub-wavelength cross section of the waveguide, higher order modes are not supported (the calculated V parameter at 635 GHz is 2.2²⁷), and the fundamental mode has a substantial evanescent field. The latter reduces the losses due to material absorption and is particularly important for the coupling between the waveguide mode and the WGMs of the resonator.

Experimentally, the WGMs of the HRFZ-Si resonator are characterized using coherent continuous wave (CW) THz spectroscopy based on heterodyne detection (Toptica TeraScan 1550 nm²⁸). A schematic of the experimental setup can be seen in Fig. 1. The THz radiation is coupled into the sub-wavelength waveguide with specially developed symmetric-pass polymer lenses with a very small focal length of 25 mm and a numerical aperture (NA) of 1.²⁹ A focal spot size in the order of $0.6 \lambda_0$ facilitates efficient coupling to the silica waveguide (NA ≈ 1.69). The polarization of the THz electric field is parallel to the resonator surface (out of the plane in Fig. 1); therefore, only transversal electric (TE) WGMs are excited.

To spectrally characterize the WGMs, the THz radiation transmitted through the waveguide coupled to the WGM resonator is detected and normalized to the waveguide transmission without the resonator in close vicinity. The position of the resonator relative to the waveguide is controlled via a 3D computer-controlled translation stage with a precision of 0.2 μm . The HRFZ-Si sphere is

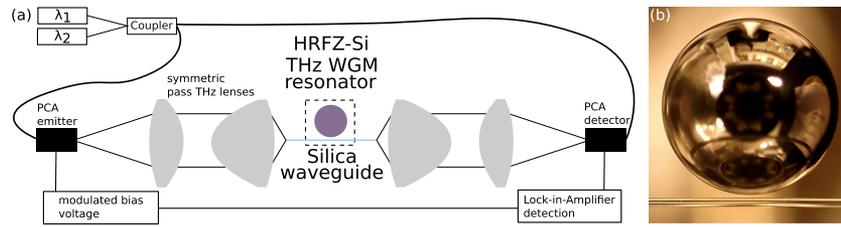


FIG. 1. (a) Schematic of the CW THz spectroscopy setup using symmetric pass polymer lenses to couple into the silica waveguide (in light blue), and (b) microscopic image of the 4 mm diameter HRFZ-Si sphere (top) and 200 μm diameter silica waveguide (bottom) taken in the black dashed box indicated in (a).

mounted on a thin aluminum post to avoid any distortion in the equatorial plane of the WGM. The measured phase modulated photo current is directly proportional to the transmitted THz radiation, and is further analyzed using Hilbert transformation. The analysis based on Hilbert transformation significantly improves the effective frequency resolution achievable with the CW THz spectroscopy measurements. A detailed description of the data analysis as well as a comparison to the traditional analysis is provided in our previous publication.³⁰ The amplitude and phase information of the coherent detection scheme provides detailed information about the spectral properties of the analyzed WGMs. In particular, the phase information allows us to unambiguously determine the coupling state of the WGMs, while the amplitude information allows us to determine the Q factor of the WGMs.

The measured resonance frequencies and loaded Q factors at critical coupling of the THz WGMs are compared to numerical results based on Mie-Debye-Aden-Kerker (MDAK) theory.³¹ Knowing the frequency-dependent complex refractive index of the HRFZ-Si resonator material and the resonator radius, the resonance frequencies as well as the Q factors of the WGMs can be derived from the spectral information of the partial wave coefficients computed with the MDAK theory according to Ref. 31. Alternatively, if the radius of the resonator under study and the experimentally determined free spectral ranges (FSRs) of the WGMs are well known, the MDAK theory can be used to determine the complex refractive index as a function of frequency. Please note that the provided Q factors obtained from the MDAK theory are unloaded Q factors, which include radiation losses (similar to bend losses for waveguides) and losses due to material absorption, but not contributions due to the evanescent coupling. As the unloaded Q factors are a factor of two larger than the ones obtained at critical coupling,³² the material loss for HRFZ-Si (see the discussion below) in the MDAK simulations was adjusted so that the simulations gave twice the value of the experimentally obtained loaded Q factors at critical coupling.

Finally, to study the phase matching criteria for the evanescent coupling between the HRFZ-Si resonator and the silica waveguide, we numerically determine the phase refractive indices of the fundamental mode guided in the sub-wavelength waveguide. The phase refractive indices are obtained from the solution of the eigenvalue equation for a standard step-index waveguide (air-silica).²⁷

III. MODE IDENTIFICATION

Figures 2 and 3 show the normalized intensity transmission on a logarithmic scale (a) and the phase profile (b) of the silica waveguide coupled to the HRFZ-Si resonator measured in the frequency ranges from 411 GHz to 437 GHz and 607 GHz to 635 GHz, respectively. The frequency step size in the scans is 4 MHz. Both frequency ranges clearly demonstrate the presence of WGM resonances but also show distinct features in each frequency range. An essential step in explaining the spectra is to unambiguously identify the experimentally observed WGMs. The identification of the modes does not only improve the overall understanding of the system but is also a crucial step for many metrology applications like sensing or material characterization.

The WGMs can be identified from the spectra by comparing the absolute frequencies and the FSRs of the corresponding mode families with the numerically predicted values. Hereby a mode family refers to WGMs with the same number of nodes in the radial direction of the resonator

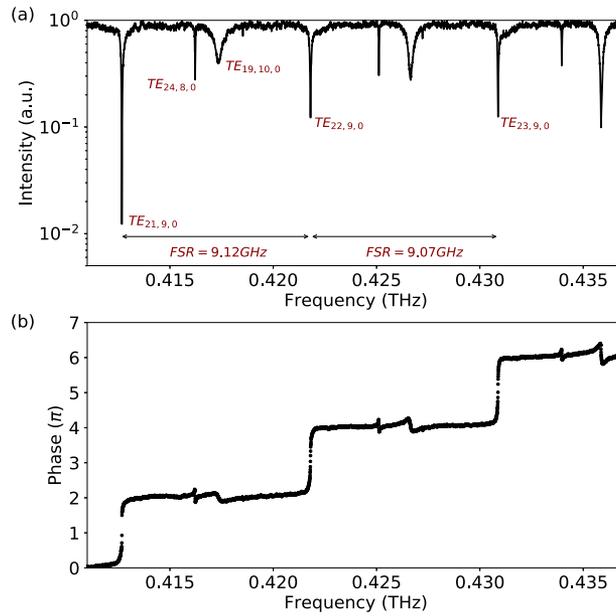


FIG. 2. (a) Normalized measured intensity transmission of the HRFZ-Si resonator coupled to the single mode silica waveguide in the frequency range from 411 GHz to 437 GHz on a logarithmic scale. The first mode of each shown mode family is labeled in the graph. The FSR of the 9th higher order radial mode family is indicated with the horizontal arrows, and (b) the corresponding phase profile of the WGMs shown in (a).

(the nomenclature used throughout this work is described in detail below). However, the absolute frequencies are more prone to errors compared to the FSRs, e.g., due to fluctuations of the absolute frequency in the CW THz spectroscopy measurements or because of a small red shift introduced by the waveguide (as discussed below). The FSR is unique for spectrally neighboring WGMs in one

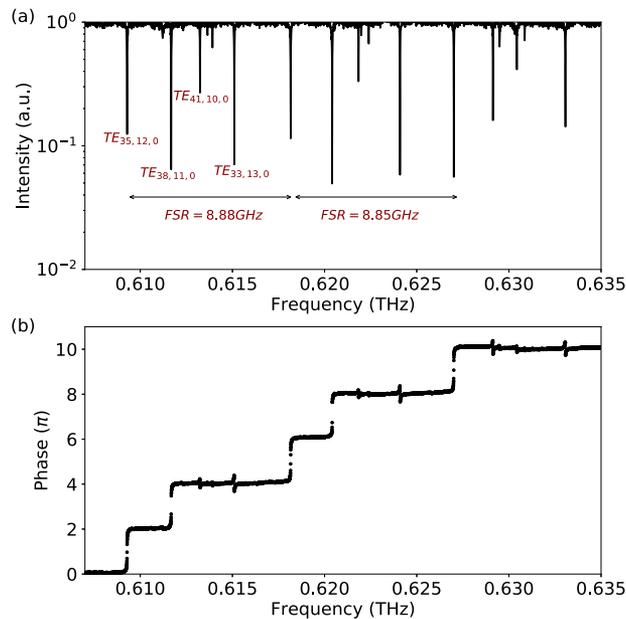


FIG. 3. (a) Normalized measured intensity transmission of the HRFZ-Si resonator coupled to the single mode silica waveguide in the frequency range from 607 GHz to 635 GHz on a logarithmic scale. The first mode of each shown mode family is labeled in the graph. The FSR of the 12th higher order radial mode family is indicated with the horizontal arrows, and (b) the corresponding phase profile of the WGMs shown in (a).

mode family, and any shifts in the absolute frequencies are canceled out. Therefore the following discussion about the mode identification will concentrate on the FSRs of the HRFZ-Si resonator rather than the absolute frequencies. Nonetheless, as discussed below, both the absolute frequencies and the FSRs from the measurements show excellent agreement with the numerical theory.

While for the case of Fig. 2 the identification of specific mode families based on their spectral fingerprint [e.g., resonance full-width half maximum (FWHM) and intensity profile] is rather simple, it is clear from Fig. 3 that with the presence of an increased number of WGMs, experimental determination of mode families becomes very quickly cumbersome, if not impossible. A solution to readily identify the corresponding mode families of a THz WGM resonator experimentally is by measuring the phase profile of the observed WGMs as a function of waveguide-resonator distance. By decreasing the waveguide-resonator distance (starting at a large waveguide-resonator distance), a specific WGM family will undergo a distinct phase change from under coupled, to critically coupled and over coupled. Over coupling and critical coupling show an overall phase change of 2π centered around the resonance frequency. The spectral width of this 2π phase transition decreases from a very broad transition for extreme over coupling to a step-function-like transition for the case of critical coupling. Therefore, the phase information allows us to evaluate how far a specific mode is detuned from critical coupling. The corresponding phase profiles and their characteristics are discussed in detail in our previous publications.^{10,12}

Over a limited frequency range (here demonstrated for about 30 GHz), all WGMs of one mode family will show a very similar transient of the phase profile upon a decrease in the waveguide-resonator distance. In this small frequency range, all WGMs of the same mode family will be over coupled before a WGM of another mode family will show over coupling. This can qualitatively be explained with the very similar phase-matching condition and overlap of the evanescent fields of the WGMs within one mode family. An example can be seen in Fig. 2(b) where only the WGMs of one mode family at 0.411 THz, 0.422 THz, and 0.432 THz are over coupled while the other two mode families are under coupled. Upon further reduction of the waveguide-resonator distance, also the second mode family (spectrally located to the right of the first mode family) will become over coupled (not shown here). The same behavior can be observed in Fig. 3(b) where for a specific waveguide-resonator distance already two of the four main mode families are over coupled (apart from the WGM at 630.57 GHz).

The technique described above allows us to experimentally determine the FSRs of the corresponding WGMs and finally identify each WGM present in the spectrum based on a comparison with the numerical results. Tables I and II present a comparison of the measured resonance frequencies and FSRs with the results predicted by the MDAK theory for the frequency ranges from 411 GHz to 437 GHz and 607 GHz to 635 GHz, respectively. The experimental results are in excellent agreement with the numerical values and clearly allow an unambiguous identification of the WGMs present in the measured spectra. Please note that the resonance frequencies were measured for the case

TABLE I. Comparison of the experimentally obtained resonance frequencies (f_{exp}) and FSRs (FSR_{exp}) with the numerical results from the MDAK theory (f_{MDAK} , FSR_{MDAK}) in the frequency range from 411 GHz to 437 GHz. The rows are clustered according to their radial order, and the corresponding WGMs are labeled in the second column. The last column shows the calculated effective refractive index of the WGMs at the surface of the resonator ($n_{\text{ph}}|_{r_0}$).

| Radial order q | Mode | f_{exp} (GHz) | f_{MDAK} (GHz) | FSR_{exp} (GHz) | FSR_{MDAK} (GHz) | $n_{\text{ph}} _{r_0}$ |
|------------------|-----------------------|------------------------|-------------------------|---------------------------------|----------------------------------|------------------------|
| 8 | TE _{24,8,0} | 416.25 | 416.37 | 8.89 | 8.88 | 1.376 |
| | TE _{25,8,0} | 425.14 | 425.25 | 8.85 | 8.85 | 1.403 |
| | TE _{26,8,0} | 433.99 | 434.10 | | | 1.430 |
| 9 | TE _{21,9,0} | 412.71 | 412.82 | 9.12 | 9.12 | 1.214 |
| | TE _{22,9,0} | 421.83 | 421.94 | 9.07 | 9.07 | 1.245 |
| | TE _{23,9,0} | 430.90 | 431.01 | | | 1.274 |
| 10 | TE _{19,10,0} | 417.34 | 417.46 | 9.29 | 9.27 | 1.087 |
| | TE _{20,10,0} | 426.64 | 426.73 | 9.25 | 9.25 | 1.119 |
| | TE _{21,10,0} | 435.88 | 435.98 | | | 1.150 |

TABLE II. Comparison of the experimentally obtained resonance frequencies (f_{exp}) and FSRs (FSR_{exp}) with the numerical results from the MDAK theory (f_{MDAK} , FSR_{MDAK}) in the frequency range 607 GHz to 635 GHz. The rows are clustered according to their radial order, and the corresponding WGMs are labeled in the second column. The last column shows the calculated effective refractive index of the WGMs at the surface of the resonator ($n_{\text{ph}}|_{r_0}$).

| Radial order q | Mode | f_{exp} (GHz) | f_{MDAK} (GHz) | FSR_{exp} (GHz) | FSR_{MDAK} (GHz) | $n_{\text{ph}} _{r_0}$ |
|------------------|-----------------------|------------------------|-------------------------|---------------------------------|----------------------------------|------------------------|
| 10 | TE _{41,10,0} | 613.24 | 613.39 | 8.60 | 8.60 | 1.596 |
| | TE _{42,10,0} | 621.84 | 621.99 | 8.59 | 8.58 | 1.612 |
| | TE _{43,10,0} | 630.43 | 630.57 | | | 1.628 |
| 11 | TE _{38,11,0} | 611.68 | 611.83 | 8.74 | 8.73 | 1.483 |
| | TE _{39,11,0} | 620.41 | 620.56 | 8.72 | 8.71 | 1.500 |
| | TE _{40,11,0} | 629.13 | 629.27 | | | 1.518 |
| 12 | TE _{35,12,0} | 609.28 | 609.43 | 8.88 | 8.87 | 1.371 |
| | TE _{36,12,0} | 618.15 | 618.30 | 8.85 | 8.85 | 1.390 |
| | TE _{37,12,0} | 627.00 | 627.14 | | | 1.408 |
| 13 | TE _{33,13,0} | 615.09 | 615.23 | 8.99 | 8.99 | 1.281 |
| | TE _{34,13,0} | 624.08 | 624.22 | 8.97 | 8.96 | 1.300 |
| | TE _{35,13,0} | 633.05 | 633.18 | | | 1.320 |

of very weak coupling (large waveguide-resonator distance) to avoid a red shift of the resonances due to the waveguide material, as the MDAK theory will give the frequencies for the unloaded WGM resonator.¹⁰ The allocated WGMs are listed in the tables but are also partially labeled in Figs. 2(a) and 3(a) for convenience. The first index p of the nomenclature TE _{p,q,l} states the number

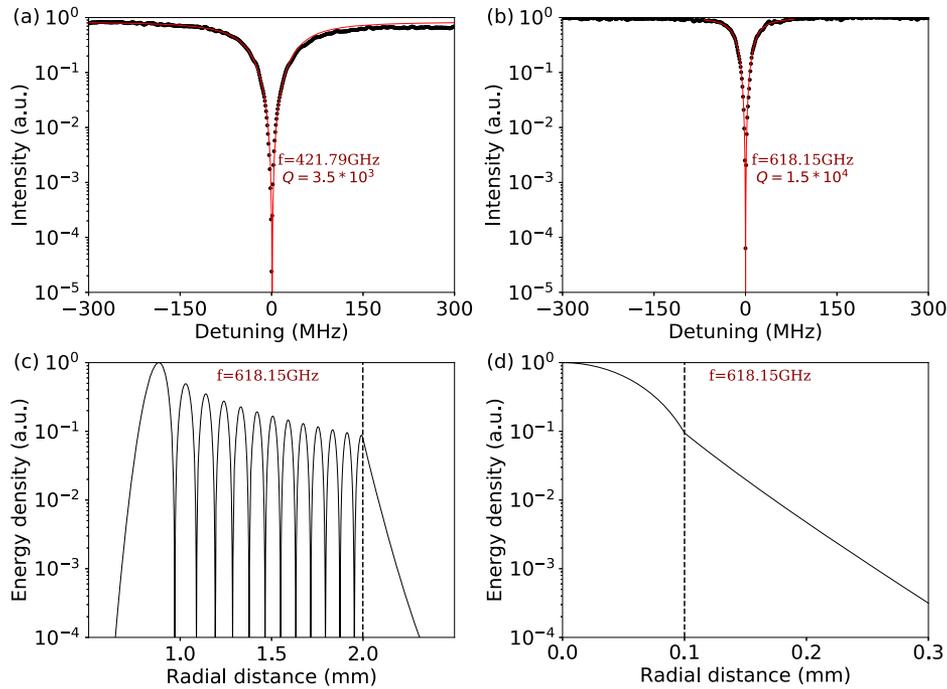


FIG. 4. (a) and (b) show the normalized measured intensity transmission (black dots) of the TE_{22,9,0} WGM and TE_{36,12,0} WGM at critical coupling on a logarithmic scale, respectively. The red line shows the fitted Lorentzian function. The Q factor extracted from the fit of the TE_{22,9,0} WGM is 3.5×10^3 and 1.5×10^4 for the TE_{36,12,0} WGM, and (c) depicts the normalized energy density distribution in the radial direction of the TE_{36,12,0} WGM calculated with the MDAK theory on a logarithmic scale. Please note that the shown energy density is averaged over the polar and azimuthal angle. The black dashed line indicates the radius of the HRFZ-Si sphere, and (d) calculated normalized energy density distribution in the radial direction of the air-silica step index waveguide at 618.15 GHz. The black dashed line indicates the radius of the sub-wavelength waveguide.

of wavelengths in the circumference of the spherical resonator, while q counts the number of nodes in the radial direction ($q > 0$ are referred to as higher order radial modes) and l accounts for higher order azimuthal modes (number of nodes from pole to pole, perpendicular to the equatorial plane). Please note that higher order azimuthal modes ($l > 0$) are degenerate for a perfect sphere.¹

All experimentally observed WGMs are higher order radial modes. For example, the $TE_{36,12,0}$ at 618.15 GHz has 36 wavelengths in the circumference of the resonator and 12 nodes in the radial direction. The radial energy density distribution of this WGM is shown in Fig. 4(c). The explanation why particularly those WGMs are observed is discussed in detail in Sec. V.

IV. QUALITY FACTOR

The Q factor, defined as the resonance frequency divided by the FWHM of the resonance, is an essential figure of merit for any WGM resonator. A narrow resonance is desirable for various applications based on WGMs. As discussed above, the main limitation for the Q factor of THz WGMs is the absorption of the resonator material.

Figures 4(a) and 4(b) show the measured normalized intensity profiles of the $TE_{22,9,0}$ WGM and $TE_{36,12,0}$ WGM at 421.79 GHz and 618.15 GHz, respectively, at critical coupling. The scans are averaged over three independent measurements, and the frequency step size is 1 MHz. Both WGMs show ultra-high Q factors of 3.5×10^3 ($TE_{22,9,0}$ WGM) and 1.5×10^4 ($TE_{36,12,0}$ WGM). To the best of our knowledge, these are by far the highest Q factors ever reported for a THz WGM resonator. The FWHM of the $TE_{36,12,0}$ WGM is about 41 MHz, and the finesse is about 220.

Interestingly, the extracted Q factor of the two WGMs differ by a factor of about 4.3. If both WGMs would experience the same losses, the Q factor would only differ by a factor of about 1.5 simply due to the higher resonance frequency. Assuming a material absorption of 0.015 cm^{-1} of the HRFZ-Si in the MDAK calculations reproduces the Q factor of the $TE_{36,12,0}$ WGM of 1.5×10^4 . At the same time, the MDAK calculations show an about 3.5 times lower Q factor of the $TE_{22,9,0}$ WGM. The discrepancy in the drop of the Q factor between the measurements (by a factor of about 4.3) and the numerical analysis (by a factor of about 3.5) can only be accounted for by a frequency-dependent material absorption. Introducing a 35% higher material absorption of 0.023 cm^{-1} around 420 GHz in the Mie calculations reproduces the Q factor observed in the measurement. Considering the large uncertainty for the material absorption of HRFZ-Si reported in the literature using THz time-domain spectroscopy, in particular below 0.5 THz, the observed frequency-dependent material absorption is well within the expectations.³³ Furthermore, the decrease in the Q factor by a factor of 3.5 from the MDAK theory compared to the expected factor of 1.5 can be explained with higher radiation losses of the $TE_{22,9,0}$ WGM at about 420 GHz compared to the $TE_{36,12,0}$ WGM at about 620 GHz. The higher radiation losses, similar to bend losses for a waveguide, observed in the 0.4 THz frequency range compared to the 0.6 THz frequency range can qualitatively be explained with the larger ratio of the wavelength to the radius of the resonator.

Finally, according to the computations based on the MDAK theory, the experimentally observed higher order radial WGMs from 607 GHz to 635 GHz have exactly the same Q factor as the fundamental WGM ($q, l = 0$) in the same frequency range. This is in contrast to the bend loss dominated WGMs in the frequency range from 411 GHz to 437 GHz, where numerically a significant difference in the Q factor between the fundamental mode and the higher order radial modes is observed. The impact of the bend loss in this frequency range is also qualitatively evident in the experimental data presented in Fig. 2: the 10th higher order radial mode family has already a significant wider FWHM compared to the 9th higher order radial mode family. This observation is in excellent agreement with the numerical predictions.

V. PHASE MATCHING

In order to achieve strong coupling of a WGM via the evanescent field from a waveguide mode, the modes need to have an overlap of the evanescent fields and similar phase refractive indices.¹

The phase refractive indices n_{ph} of the identified WGMs at the surface of the sphere ($r = r_0$) can in first order be calculated with the following equation: $n_{\text{ph}}|_{r_0} = (pc)/(2\pi r_0 f)$,³ with p being the number

of wavelength in the circumference of the resonator, c being the speed of light, r_0 being the resonator radius, and f being the unloaded resonance frequency of the WGM. The equation is the condition for constructive interference of the mode after propagation around the resonator circumference.

The phase refractive indices of the experimentally observed WGMs calculated with the equation above are summarized in Tables I and II, respectively. These WGMs in the frequency range from 411 GHz to 437 GHz have phase refractive indices in the range from 1.1 to 1.4, while the WGMs between 607 GHz and 635 GHz show $n_{\text{ph}}|_{r_0}$ of 1.3 to 1.6. For comparison, the calculated phase refractive indices of the waveguide mode are between 1.03 and 1.06 in the frequency range from 411 GHz to 437 GHz, and between 1.32 and 1.35 from 607 GHz to 635 GHz.

While the calculated phase mismatches between the WGMs and the waveguide mode are not insignificant, the observed critical coupling of those WGMs with the sub-wavelength silica waveguide is well within the expectations. On one hand, the short interaction length between the WGM and the waveguide mode prohibits the agglomeration of a significant phase difference between the interacting modes;³⁴ on the other hand, due to the large wavelength compared to our structures, the waveguide mode and the WGMs show a vast overlap of the evanescent fields [see Figs. 4(c) and 4(d)]. The latter facilitates critical coupling of the WGM despite the relatively large phase mismatch.³⁴

Consequently, the simple approximation of the phase refractive index of the higher order radial WGMs at the surface allows us to predict the observed coupling between the HRFZ-Si THz WGM resonator and the sub-wavelength silica waveguide. However, due to the large mode volume of the THz WGMs, the calculated $n_{\text{ph}}|_{r_0}$ does not constitute the effective refractive indices of the WGMs that are usually assigned to a mode but are rather to be understood as a localized phase refractive index as a function of the distance from the centre of the sphere. The choice to use the radius of the resonator in the above equation is motivated by the fact that the interaction between the WGM and the waveguide mode is localized in the vicinity of the resonator surface. Therefore the calculated $n_{\text{ph}}|_{r_0}$ provides a reasonable estimate for the phase refractive indices of the higher order radial THz WGMs essential for the evanescent coupling, and allows us to qualitatively explain the experimental results.

VI. CONCLUSION

The presented results demonstrate the first experimental observation of critically coupled ultra-high Q higher order radial THz WGMs. Critical coupling is achieved despite the significant discrepancy in the refractive indices of the two materials used for the resonator and the waveguide, namely, silicon and silica.

The observed WGM spectra can be fully explained using just the phase profiles from the coherent CW THz spectroscopy measurements and the numerical results from the MDAK theory. The experimental and numerical results show excellent agreement allowing us to unambiguously identify the WGMs present in the measured spectra. The higher order radial modes have an extremely high Q factor of 1.5×10^4 at 0.62 THz extracted from the measured intensity profiles. Furthermore, a simple approximation of the localized phase refractive index of the higher radial order THz WGMs at the surface of the resonator enables us to qualitatively explain the observed coupling between the HRFZ-Si sphere and a silica waveguide. The obtained results highlight the extenuated phase matching criteria for the observed THz WGMs but also provide a powerful tool to engineer the resonator/waveguide material combination for the excitation of specific THz WGMs.

We believe that the presented results provide vast opportunities for the establishment of technologies and applications based on WGM resonators with ultra-high Q factors in the THz frequency range.

¹ A. B. Matsko and V. S. Ilchenko, *IEEE J. Sel. Top. Quantum Electron.* **12**, 3 (2006).

² A. Chiasera, Y. Dumeige, P. Féron, M. Ferrari, Y. Jestin, G. Nunzi Conti, S. Pelli, S. Soria, and G. Righini, *Laser Photonics Rev.* **4**, 457 (2010).

³ M. R. Foreman, J. D. Swaim, and F. Vollmer, *Adv. Opt. Photonics* **7**, 168 (2015).

⁴ V. S. Ilchenko and A. B. Matsko, *IEEE J. Sel. Top. Quantum Electron.* **12**, 15 (2006).

⁵ Y. Yang, J. Ward, and S. N. Chormaic, *Opt. Express* **22**, 6881 (2014).

⁶ M. Naftaly and R. E. Miles, *Proc. IEEE* **95**, 1658 (2007).

⁷ G. Annino, M. Cassettari, I. Longo, and M. Martinelli, *IEEE Trans. Microwave Theory Tech.* **45**, 2025 (1997).

- ⁸ S. Preu, S. I. Schmid, F. Sedlmeir, J. Evers, and H. G. Schwefel, *Opt. Express* **21**, 16370 (2013).
- ⁹ S. Preu, H. G. L. Schwefel, S. Malzer, G. H. Döhler, L. J. Wang, M. Hanson, J. D. Zimmerman, and A. C. Gossard, *Opt. Express* **16**, 7336 (2008).
- ¹⁰ D. W. Vogt and R. Leonhardt, *Optica* **4**, 809 (2017).
- ¹¹ J. Zhang and D. Grischkowsky, *J. Opt. Soc. Am. B* **20**, 1894 (2003).
- ¹² D. W. Vogt and R. Leonhardt, *Opt. Lett.* **42**, 4359 (2017).
- ¹³ M. Nagel, M. Först, and H. Kurz, *J. Phys.: Condens. Matter* **18**, S601 (2006).
- ¹⁴ W. Cao, R. Singh, I. A. Al-Naib, M. He, A. J. Taylor, and W. Zhang, *Opt. Lett.* **37**, 3366 (2012).
- ¹⁵ C. M. Yee and M. S. Sherwin, *Appl. Phys. Lett.* **94**, 154104 (2009).
- ¹⁶ J. Li, K. Nallappan, H. Guerboukha, and M. Skorobogatiy, *Opt. Express* **25**, 4126 (2017).
- ¹⁷ R. Mendis and D. M. Mittleman, *Opt. Express* **17**, 14839 (2009).
- ¹⁸ A. Bingham and D. Grischkowsky, *Opt. Lett.* **33**, 348 (2008).
- ¹⁹ R. Mendis, V. Astley, J. Liu, and D. M. Mittleman, *Appl. Phys. Lett.* **95**, 171113 (2009).
- ²⁰ P. A. George, C. Manolatu, F. Rana, A. L. Bingham, and D. R. Grischkowsky, *Appl. Phys. Lett.* **91**, 191122 (2007).
- ²¹ N. Xu, R. Singh, and W. Zhang, *Appl. Phys. Lett.* **109**, 021108 (2016).
- ²² S. Yang, C. Tang, Z. Liu, B. Wang, C. Wang, J. Li, L. Wang, and C. Gu, *Opt. Express* **25**, 15938 (2017).
- ²³ I. Al-Naib, E. Hebestreit, C. Rockstuhl, F. Lederer, D. Christodoulides, T. Ozaki, and R. Morandotti, *Phys. Rev. Lett.* **112**, 183903 (2014).
- ²⁴ J. Dai, J. Zhang, W. Zhang, and D. Grischkowsky, *J. Opt. Soc. Am. B* **21**, 1379 (2004).
- ²⁵ A. Waseda and K. Fujii, *Metrologia* **41**, S62 (2004).
- ²⁶ S. Tsuzuki, N. Kuzuu, H. Horikoshi, K. Saito, K. Yamamoto, and M. Tani, *Appl. Phys. Express* **8**, 072402 (2015).
- ²⁷ G. P. Agrawal, *Nonlinear Fiber Optics* (Academic Press, 2007).
- ²⁸ A. J. Deninger, A. Roggenbuck, S. Schindler, and S. Preu, *J. Infrared, Millimeter, Terahertz Waves* **36**, 269 (2015).
- ²⁹ Y. H. Lo and R. Leonhardt, *Opt. Express* **16**, 15991 (2008).
- ³⁰ D. W. Vogt and R. Leonhardt, *Opt. Express* **25**, 16860 (2017).
- ³¹ R. L. Hightower and C. B. Richardson, *Appl. Opt.* **27**, 4850 (1988).
- ³² M. L. Gorodetsky and V. S. Ilchenko, *J. Opt. Soc. Am. B* **16**, 147 (1999).
- ³³ M. Naftaly, in *41st International Conference on Infrared, Millimeter, and Terahertz waves (IRMMW-THz)* (IEEE, 2016), pp. 1–2.
- ³⁴ D. Ristić, A. Rasoloniaina, A. Chiappini, P. Féron, S. Pelli, G. N. Conti, M. Ivanda, G. C. Righini, G. Cibiel, and M. Ferrari, *Opt. Express* **21**, 20954 (2013).