Pneumococcal conjugate vaccines turning the tide on inequity – a retrospective cohort study of New Zealand children born 2006-2015

Helen Petousis-Harris. Department of General Practice & Primary Health Care, Faculty of Medicine & Health Science, University of Auckland, NZ

Anna S Howe. Department of General Practice & Primary Health Care, Faculty of Medicine & Health Science, University of Auckland, NZ

Janine Paynter. Department of General Practice & Primary Health Care, Faculty of Medicine & Health Science, University of Auckland, NZ

Nikki Turner. Department of General Practice & Primary Health Care, Faculty of Medicine & Health Science, University of Auckland, NZ

Jennifer Griffin. RTI International, USA

Corresponding author
Helen Petousis-Harris
Department of General Practice & Primary Health Care, School of Population Health, Faculty of Medicine & Health Science
University of Auckland
New Zealand
Phone +64 9 923 2078; Fax +64 9 3737030
Email h.petousis-harris@auckland.ac.nz

© The Author(s) 2018. Published by Oxford University Press for the Infectious Diseases Society of America. All rights reserved. For permissions, e-mail: journals.permissions@oup.com.
Summary

Using national administrative data we explored the impact of the pneumococcal conjugate vaccine programme on invasive pneumococcal disease, all-cause pneumonia, and otitis media among New Zealand children. We found significant reductions in sociodemographic inequities associated with the vaccine programme.
Abstract

Background

Hospitalization rates for infectious diseases in New Zealand (NZ) children have increased since 1989. The highest burden is among Māori and Pacific children, and the most socioeconomically deprived. NZ introduced pneumococcal conjugate vaccine (PCV)7 in June 2008, PCV10 in 2011 and PCV13 in 2014.

Methods

A retrospective cohort study of NZ children aged <6 years between 2006 and 2015 using administrative databases. Demographics and hospitalizations were linked to evaluate the impact of the PCV vaccination program on cases of invasive pneumococcal disease (IPD), all-cause pneumonia (ACP), and otitis media (OM), defined by ICD-10-AM codes, and to explore the effect by ethnicity and deprivation.

Results

Between 2006 and 2015, there were 640 children hospitalized with IPD, 26,589 for ACP, and 44,545 for OM. IPD hospitalizations declined by 73% between 2005 and 2015 for children <6 years of age, while ACP and OM declined by 8% and 25%, respectively. The highest rates for all diseases were among Māori and Pacific, and those from high deprivation. However, the declines were highest among Māori and Pacific children and those from socioeconomically deprived areas. IPD hospitalizations declined by 79% and 67% for Māori Pacific children, respectively, between 2006 and 2015. ACP declined by
12% in Māori and 21% in Pacific children. Otitis media declined by 51% in Māori children.

Conclusion

In contrast to the increasing trend of hospitalization rates for infectious disease in NZ, the use of PCV appears associated with reductions in ethnic and socioeconomic disparities in hospitalization for IPD, ACP and OM.

Key words: pneumococcal conjugate vaccines, invasive pneumococcal disease, pneumonia, otitis media, ethnicity
Introduction

Since 1989, New Zealand’s (NZ) hospital admissions for infectious diseases in children under 5 years of age increased by 22%, peaking in the 1999–2003 period. The most dramatic increases were in the indigenous Māori population (27.6%) and Pacific Island children (48.3%), with ratios more than twice that of New Zealand European. In contrast, hospitalizations for non-infectious diseases declined for all ethnicities in this period. Contributing to this increase are upper respiratory tract infections, including ear infections (+6.3%), and lower respiratory tract infections (+66.2%). Most notable has been the overall increase in both socioeconomic and ethnic inequalities over time, particularly among children under 5 years of age [1].

NZ introduced pneumococcal conjugate vaccine (PCV) 7 in June 2008 in a 3+1 schedule (6 weeks, 3 months, 5 months and 15 months), PCV10 in 2011, and PCV13 in 2014. Since then there have been reductions in the rates of invasive pneumococcal disease (IPD) attributed to PCV vaccine types almost to the point of elimination in the vaccine-eligible age group [2]. This has been the case worldwide with an estimated reduction in incidence of IPD ranging from 79% to 100% after PCV7 introduction [3]. Very few studies have examined differences in rates by ethnicity or socioeconomic status [4-7].

The objectives for this study were to collate and analyse annual hospital admissions for IPD, all-cause pneumonia, and all-cause otitis media (OM) for NZ children under 6 years of age from 2006–2015 by: age group; ethnicity; geographical area; and socioeconomic
deprivation. We will present the impact of the introduction and routine use of PCV7 and PCV10 on the incidence of IPD, all-cause pneumonia, and OM hospitalizations.

Methods

This was a retrospective national cohort study. The study population were all NZ children less than 6 years of age between 1 January 2006 and 31 December 2015 (Figure 1). While we have not determined immunisation status of the children included in the study, NZ immunisation coverage data for the year ending 2015 indicates that coverage was 83%. Coverage for Māori and Pacific Island individuals was slightly lower, 81% and 79%, respectively [8].

Analyses utilised the following data sources:

National Health Index (NHI) Database—contains demographic information for all people born in NZ and for people born outside of NZ who access the health care system (note: the NHI database includes records for travellers and other people who do not live in NZ). A person's NHI number, date of birth, date of death and gender are static; however, the remaining data fields may change over time. Data fields relevant to this study include NHI (encrypted), date of birth, date of death, sex, prioritised ethnicity (Māori, Pacific, Asian, New Zealand European, and Other), geographic area of residence (district health board), and socioeconomic deprivation level (NZ Deprivation Index 13).

National Minimum Data Set (NMDS)—a national collection of public and private hospital discharge information since 1988, including coded clinical data. NZ has 20 district health
boards for funding, planning, and providing health services. Health services in NZ are funded by the government, and as such, eligible persons receive free inpatient and outpatient public hospital services. The NMDS is used for policy formation, performance monitoring, research, and review. It provides statistical information, reports, and analyses about the trends in the delivery of hospital inpatient and day-patient health services both nationally and on a provider basis. It is also used for funding purposes. Data fields relevant to this study include NHI (encrypted), admission event ID, admission date, discharge date, and ICD-10-AM diagnosis code (the primary plus up to 99 diagnosis codes are available for each admission event).

IPD, all-cause pneumonia, and OM hospitalizations were defined using specific ICD-10-AM codes listed in the 100 available diagnosis fields of the NMDS. Repeat hospitalizations (i.e., hospitalizations for a single child that occurred within 30 days of a previous hospitalization for the same condition) were deleted. ICD-10-AM codes used to define IPD were G001, G002 + B953, G002 + A403, and G002 + A491 + B953, J13, A403, A419 + B953, A409 + B953, A499 + B953, R509 + B953, R560 + B953, M001, M009 + B953, K650 + B953, K659 + B953, J86 + B953, and M8600-M8699 + B953. Codes for all-cause pneumonia were J12-J18, J10.0, and J11.0. Codes for OM-associated hospitalizations were H65, H66, H67, H70, H74, H75, H92, Australian Classification of Health Interventions codes 41632-00 Myringotomy with insertion of tube, unilateral and 41632-01 Myringotomy with insertion of tube, bilateral.

Age of hospitalisation was determined from the NHI date of birth and NMDS date of admission. Ethnicity categorisations were based on groupings of prioritised ethnicity codes [9]. Socioeconomic deprivation was measured by the NZDep2013 Index, which
was matched at the level of children’s census area unit of residence. The NZ Deprivation Index is a measure of socioeconomic status with 10 being the highest level of deprivation of 10% of the population and 1 being the lowest level of deprivation of 10% of the population [10].

**Statistical analysis**

For descriptive analyses, person-years were based on the number of children less than 6 years of age counted during the 2006 and 2013 NZ censuses. The NZ census data are based on the usual resident population. Person-years for inter-census years (2007–2012, and 2014–2015) were extrapolated by assuming a linear increase in the number of children between 2006 and 2013. Unadjusted rates were calculated as the number of events divided by the sum of person-time, and reported per 100,000 person-years. Linear trends were tested using Cochrane-Armitage trend tests for changes over time, age, and deprivation, with the highest p-value reported for subgroup analyses. Chi-squared tests were used to examine differences between ethnicity and region. Percentage change was calculated as the difference between number of hospitalisations between 2015 and 2006, unless otherwise stated.
Results

In NZ, there were 344,020 and 375,720 children younger than 6 years of age counted during the 2006 and 2013 censuses, respectively.

**Invasive pneumococcal disease**

There were 640 children less than 6 years of age hospitalised for IPD between 2006 and 2015 (Table 1). All children hospitalised for IPD had a single admission except for eight children who were admitted twice, and one child who was admitted five times. Median age at first hospitalization was 17.8 months (IQR: 9.3, 35.8). During the 10-year period, there was a statistically significant decrease in the rate of initial IPD hospitalizations among children less than 6 years of age, from 26.45 per 100,000 person-years in 2006 to 6.50 per 100,000 person-years in 2015 (p-value<0.001)

**Ethnicity**

The highest rates of initial IPD hospitalization were in Māori children (28.30, 95% CI: 24.92, 31.65), followed by Pacific children (27.10, 95% CI: 21.72, 32.51) (Table 1). Among all ethnic groups, there was a statistically significant decrease in the rate of initial IPD hospitalization between 2006 and 2015 (p-value<0.05) (Table 1). During the 10-year period, the rate of initial IPD hospitalization among Māori children less than 6 years of age decreased by 79%, compared to a 67% decrease in IPD hospitalization among all ethnicities between 2006 and 2013 (Figure 2).
Socioeconomic deprivation

Children who lived in areas of higher socioeconomic deprivation had higher rates of initial hospitalizations for IPD (Table 1). However, the discrepancy lessened over time (Figure 3). During the 10-year period, the rate of hospitalization among children less than 6 years of age significantly decreased in all but the least deprived group (specifically deprivation groups 2 and 3) ($p$-value <0.05).

All-cause pneumonia

There were 26,589 children less than 6 years of age hospitalised for all-cause pneumonia between 2006 and 2015 (Table 2), 89% of whom had a single admission, 9% of whom had two admissions and 2% of whom had between three and 12 admissions. Median age at first hospitalization was 18.4 months (IQR: 9.9, 34.5). During the 10-year period, there was a statistically significant decrease in the rate of initial all-cause pneumonia hospitalizations among children less than 6 years of age, from 976 per 100,000 person-years in 2006 to 801 per 100,000 person-years in 2015 ($p$-value<0.001)

Ethnicity

The highest rates of initial all-cause pneumonia hospitalization were in Pacific children (2,327, 95% CI: 2,277, 2,377), followed by Māori children (1,030, 95% CI: 1,010, 1,050) (Table 2). Among all ethnic groups except Asian, there was a statistically significant decrease in the rate of initial all-cause pneumonia hospitalization between 2006 and 2015 ($p$-value<0.01) (Figure 4). During the 10-year period, the rate of initial all-cause
pneumonia hospitalization among Māori and Pacific children less than 6 years of age decreased by 12% and 21%, respectively.

**Socioeconomic deprivation**

Children who lived in areas of higher socioeconomic deprivation had higher rates of initial hospitalizations for all-cause pneumonia (Table 2); the discrepancy has not lessened over time (Figure 5). During the 10-year period, the rate of hospitalization among children less than 6 years of age significantly decreased in all deprivation groups (*p*-value < 0.05).
Otitis media

There were 44,545 hospitalizations for OM among children less than 6 years of age (Table 3), 78% of whom had a single admission, 16% of whom had two admissions, 4% of whom had three admissions, 1% of whom had four admissions, and less than 1% of whom had between five and 12 admissions. Median age at first hospitalization was 27.9 months (IQR: 16.6, 47.8). During the 10-year period, there was a statistically significant decrease in the rate of initial OM hospitalizations among children less than 6 years of age from 1,783 per 100,000 person-years in 2006 to 1,192 per 100,000 person-years in 2015 (p-value<0.001).

Ethnicity

Rates of initial OM hospitalization were highest for Māori children (1,862, 95% CI: 1,834, 1,889), followed by Pacific (1,708, 95% CI: 1,665, 1,751) (Table 3). Among all ethnic groups, there was a statistically significant decrease in the rate of initial OM hospitalization between 2006 and 2015 (p-value<0.001) (Figure 6). During the 10-year period, the rate of initial OM hospitalization among Māori children less than 6 years of age decreased by 51%.

Socioeconomic deprivation

Children who lived in areas of higher socioeconomic deprivation had higher rates of initial hospitalizations for OM (Table 3); however, the discrepancy lessened over time (Figure 7). During the 10-year period, the rate of hospitalization among children less than 6 years of age significantly decreased in all deprivation groups (p-value <0.001).
Discussion

As with all countries that have introduced a PCV programme [11], NZ has experienced a dramatic decline in the rate of initial IPD hospitalizations in the population eligible for PCV vaccination. This decline has continued over time throughout all the vaccine periods since the introduction of the childhood programme in 2008. Our study shows that while the highest rates of IPD hospitalizations were in indigenous (Māori) children, followed by those who identify as Pacific, the rates in these two groups decreased disproportionately compared with other ethnic groups. This has resulted in an overall reduction in ethnic disparities for this disease over time. We did not observe significant reductions among Asians, however, this group had very low rates at baseline with small relative gains from the immunisation programme. We also know that in NZ this group have active health seeking behaviour [12]. Reductions in socioeconomic disparities were also shown over this period due to greater reductions for those from higher socioeconomic deprivation.

Reductions in pneumonia and otitis media

Alongside IPD reduction, our study showed decreases in all-cause pneumonia and OM. Reductions in socioeconomic disparities were also observed for OM, with greater disease reductions seen in children from lower socioeconomic groups; this trend was not shown for all-cause pneumonia. Reductions in pneumonia, and OM have been reported from both clinical trials [13, 14], and observational studies [15-21]. While a positive impact on pneumonia is consistently observed, the impact on OM has been more variable, possibly due to variation in local OM etiology, case ascertainment, and
definitions and differences in standards of care [21, 22]. The peak incidence of OM in the current NZ study population occurred from 1-2 years of age, which is consistent with some recent studies of OM [23], but slightly older than the age of peak incidence reported by Teele et al and in other prospective studies of AOM [24, 25]. In this study population, the incidence of OM was lowest among Asians. In NZ, Asians are generally in higher socioeconomic groups and have better health on a range of health indicators compared to other ethnic groups [26], possibly due to a "healthy migrant effect" [27]. Further, the relationship between race/ethnicity and OM may be confounded by various social factors, including maternal marital status, household size, breastfeeding, and maternal age [28]. However, in NZ Pacific and Maori have the highest burden of many infectious diseases, one of the major underlying contributing factors is poor housing and over-crowding [29, 30]. They also have a higher rate (25%) of bacterial otitis media with effusion by age 2-years of age than other populations [31]. This could explain why we seen a young median age for this outcome in NZ.

Israel has implemented a prospective-based surveillance of OM episodes with one study reporting near-elimination of pneumococcal-related OM following the introduction and high uptake of PCV [32]. However, another study in Israel reported pneumococcal-associated OM complications declined but OM remained a significant cause of hospitalization [33]. In a retrospective study of AOM episodes in Israeli children less than 6 years of age, isolation of S.pneumoniae was significantly higher in PCV-unimmunized children (69%) than PCV7 immunized (59%), and PCV13-immunized (50%) [34]. So while PCV appears to decrease pneumococcal-associated OM, the absolute rates vary. Reductions of the PCV-types provides a mechanism for our observed reductions.
Sociodemographic differences

Reductions in racial and ethnic disparities in disease incidence following PCV vaccination have been observed previously. A systematic review of the impact of PCV on ethnic and socioeconomic disparities found 17 studies (16 from North America and one from Australia) evaluating IPD in this context. One further study from Australia evaluated pneumonia as an outcome. The conclusion from the review was that children under two years of age in resource-poor populations appeared to benefit most from the introduction of PCV [7]. Similarly, in NZ the implementation of a mass meningococcal group B vaccination campaign between 2004 and 2006 was associated with a reduction in ethnic disparities for meningococcal disease hospital admissions [35].

In Israel, a prospective pneumococcal carriage study observed declines in carriage of vaccine types following PCV7 then PCV13, associated with a significant increase in non-vaccine types. Higher carriage rates were observed in Bedouin children compared with Jewish children and while little reduction in carriage was observed after PCV7 introduction, PCV13 introduction resulted in a significant reduction in the Bedouin population, but not the Jewish. The authors suggested a faster achievement of herd immunity in the more overcrowded Bedouin population [4]. This is also a potential explanation for the NZ observation of higher reductions for Pacific people who tend to live in larger households, are more at risk for overcrowded living conditions, and also have high vaccine uptake (>95%). Also, carriage in these communities is likely to be higher and from an early age; therefore, it is possible they are primed prior to completing the primary course of pneumococcal vaccines [36]. Similar observations have occurred in the USA where ecological studies indicate a larger decrease in vaccine
serotype IPD in black children compared to white children [5], but not in the indigenous American populations [6].

**Study Limitations**

This study utilized data for the entire birth cohorts for NZ over a period of 10 years. There were very little missing data. Ethnicity and the measure of socioeconomic deprivation are robust. However, there are limitations. Establishing an accurate denominator is challenging, with limitations associated with the use of census data and the assumption of a linear increase in population between census years. This vaccine impact analysis is based on calendar time of vaccine introduction. As such, we are not examining vaccine effectiveness directly, but are looking at a mixture of direct and indirect effects of PCV vaccination at a population level, as well as unmeasured changes in health-seeking behaviours, diagnostic criteria, treatment guidelines, and other unmeasured variables. In addition, a major limitation of the current study is that only a short period had elapsed since PCV13 was introduced (2014) and the end of the study period (2015). As pneumococcal infection did not become notifiable until 2008 and notifications are dependent on the reporting behaviour of laboratories there are few conclusions that can be made from notification data with respect to infection incidence. However, we used hospitalisation codes for our study, which we believe should be a relatively consistent measure of pneumococcal infection during the study. Moreover, our definition was deliberately broad in order to capture "clinically suspected" cases. Other factors that may influence the incidence rates over time include health policy changes (e.g., access to publicly funded health care), the interplay between ethnicity and socioeconomic status, issues around poverty including household overcrowding, and changes to national immunisation coverage.
Conclusions

Overall, while there have been general increases in infectious disease rates including respiratory infections in NZ [1], all-cause pneumonia has declined [37]. While ethnic and socioeconomic disparities associated with IPD have been previously reported, this study also examined pneumonia and OM, which are less well described in terms of disparities. At a time when other infectious diseases have been trending upwards in NZ, the PCV programme has been associated with a significant decline in IPD, pneumonia, and OM-associated hospitalization. The use of PCV in NZ has been associated with significant reductions in disparities in hospitalization for IPD, pneumonia and OM, as well as in ethnic and socioeconomic disparities in hospitalization for IPD and OM.
Disclaimer

GlaxoSmithKline Biologicals SA was provided the opportunity to review a preliminary version of this manuscript for factual accuracy but the authors are solely responsible for final content and interpretation. The authors received no financial support or other form of compensation related to the development of the manuscript.

Funding

The study was sponsored by Auckland UniServices Ltd. Funding for this study was also provided by GlaxoSmithKline Biologicals SA.

Potential conflict of interest

HPH has consulted for GSK, Merck, and Pfizer but does not personally receive honoraria. Dr. Turner reports grants from Immunisation Advisory Centre, outside the submitted work.
References:


Table 1. Rates of initial invasive pneumococcal disease hospitalization among children less than 6 years of age from 2006 to 2015 (inclusive)

<table>
<thead>
<tr>
<th>Calendar year</th>
<th>N</th>
<th>Person-years</th>
<th>Rate 2</th>
<th>(95% CI)</th>
<th>p-value 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>91</td>
<td>334,020</td>
<td>26.45</td>
<td>(21.02, 31.89)</td>
<td></td>
</tr>
<tr>
<td>2007</td>
<td>104</td>
<td>348,548</td>
<td>29.84</td>
<td>(24.10, 35.57)</td>
<td></td>
</tr>
<tr>
<td>2008</td>
<td>101</td>
<td>353,077</td>
<td>28.61</td>
<td>(23.03, 34.18)</td>
<td></td>
</tr>
<tr>
<td>2009</td>
<td>88</td>
<td>357,605</td>
<td>24.61</td>
<td>(19.47, 29.75)</td>
<td></td>
</tr>
<tr>
<td>2010</td>
<td>45</td>
<td>362,135</td>
<td>12.43</td>
<td>(8.80, 16.06)</td>
<td></td>
</tr>
<tr>
<td>2011</td>
<td>51</td>
<td>366,663</td>
<td>13.91</td>
<td>(10.09, 17.73)</td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td>52</td>
<td>371,192</td>
<td>14.01</td>
<td>(10.20, 17.82)</td>
<td></td>
</tr>
<tr>
<td>2013</td>
<td>41</td>
<td>375,720</td>
<td>10.91</td>
<td>(7.57, 14.25)</td>
<td></td>
</tr>
<tr>
<td>2014</td>
<td>54</td>
<td>380,248</td>
<td>14.20</td>
<td>(10.41, 17.99)</td>
<td></td>
</tr>
<tr>
<td>2015</td>
<td>25</td>
<td>384,777</td>
<td>6.50</td>
<td>(3.95, 9.04)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

Age

- < 1 year: 221, 598,314, 36.94 (32.07, 41.81)
- 1 year: 172, 599,350, 28.70 (24.41, 32.99)
- 2 years: 100, 608,079, 16.45 (13.22, 19.67)
- 3 years: 64, 613,521, 10.43 (7.88, 12.99)
- 4 years: 51, 607,200, 8.40 (6.09, 10.70)
- 5 years: 44, 617,521, 7.13 (5.02, 9.23) <0.0001

Ethnicity

- Māori: 272, 961,663, 28.30 (24.92, 31.65)
- Pacific: 97, 357,772, 27.10 (21.72, 32.51)
- Asian: 43, 385,257, 11.20 (7.83, 14.50)
- NZEO 4: 240, 2,240,982, 10.70 (9.35, 12.06) <0.0001

NZ Deprivation 5

- Low: 99, 918,521, 10.80 (8.66, 12.90)
- Medium: 202, 1,357,914, 14.90 (12.82, 16.93)
- High: 347, 1,367,514, 25.40 (22.7, 28.04) <0.0001

Geographic Location 6

- Northern: 261, 1,425,186, 18.30 (16.09, 20.54)
- Midland: 181, 738,292, 24.50 (20.94, 28.09)
- Central: 98, 709,137, 13.80 (11.08, 16.56)
- South Island: 108, 771,243, 14.00 (11.36, 16.64) <0.0001

1 Person-years for 2006 and 2013 equate to the number of children less than 6 years of age counted during the 2006 and 2013 censuses, respectively; person-years for 2007–2012 and 2014-2015 were imputed by assuming the increase in the number of children between 2006 and 2013 was linear.

2 Rate per 100,000 person-years.

3 Cochran-Armitage test for trend or chi-squared test.

4 New Zealand European and Other

5 NZDep13 levels collapsed into categories: Low (1-3); Medium (4-7); High (8-10).

6 District Health Boards collapsed into geographic locations: Northern (Northland, Waitemata, Auckland, Counties

22
Table 2. Rates of initial all-cause pneumonia hospitalizations among children less than 6 years of age from 2006 to 2015 (inclusive)

<table>
<thead>
<tr>
<th>Calendar year</th>
<th>N</th>
<th>Person-years</th>
<th>Rate</th>
<th>(95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>3,359</td>
<td>344,020</td>
<td>976</td>
<td>(943, 1009)</td>
<td></td>
</tr>
<tr>
<td>2007</td>
<td>3,072</td>
<td>348,548</td>
<td>881</td>
<td>(850, 913)</td>
<td></td>
</tr>
<tr>
<td>2008</td>
<td>3,338</td>
<td>353,077</td>
<td>945</td>
<td>(913, 977)</td>
<td></td>
</tr>
<tr>
<td>2009</td>
<td>3,508</td>
<td>357,606</td>
<td>981</td>
<td>(949, 1013)</td>
<td></td>
</tr>
<tr>
<td>2010</td>
<td>2,902</td>
<td>362,135</td>
<td>801</td>
<td>(772, 831)</td>
<td></td>
</tr>
<tr>
<td>2011</td>
<td>3,065</td>
<td>366,663</td>
<td>836</td>
<td>(806, 866)</td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td>2,873</td>
<td>371,192</td>
<td>774</td>
<td>(746, 802)</td>
<td></td>
</tr>
<tr>
<td>2013</td>
<td>2,649</td>
<td>375,720</td>
<td>705</td>
<td>(678, 732)</td>
<td></td>
</tr>
<tr>
<td>2014</td>
<td>2,780</td>
<td>380,248</td>
<td>731</td>
<td>(704, 758)</td>
<td></td>
</tr>
<tr>
<td>2015</td>
<td>3,083</td>
<td>384,777</td>
<td>801</td>
<td>(773, 830)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

Age

<table>
<thead>
<tr>
<th>Age</th>
<th>Person-years</th>
<th>Rate</th>
<th>(95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 1 year</td>
<td>10,251</td>
<td>1,713</td>
<td>(1,680, 1,746)</td>
<td></td>
</tr>
<tr>
<td>1 year</td>
<td>8,959</td>
<td>1,495</td>
<td>(1,464, 1,526)</td>
<td></td>
</tr>
<tr>
<td>2 years</td>
<td>4,611</td>
<td>758</td>
<td>(736, 780)</td>
<td></td>
</tr>
<tr>
<td>3 years</td>
<td>3,094</td>
<td>504</td>
<td>(487, 522)</td>
<td></td>
</tr>
<tr>
<td>4 years</td>
<td>2,133</td>
<td>351</td>
<td>(336, 366)</td>
<td></td>
</tr>
<tr>
<td>5 years</td>
<td>1,581</td>
<td>256</td>
<td>(243, 269)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

Ethnicity

<table>
<thead>
<tr>
<th>Ethnicity</th>
<th>Person-years</th>
<th>Rate</th>
<th>(95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Māori</td>
<td>9,904</td>
<td>1,030</td>
<td>(1,010, 1,050)</td>
<td></td>
</tr>
<tr>
<td>Pacific</td>
<td>8,325</td>
<td>2,327</td>
<td>(2,277, 2,377)</td>
<td></td>
</tr>
<tr>
<td>Asian</td>
<td>2,397</td>
<td>622</td>
<td>(597, 647)</td>
<td></td>
</tr>
<tr>
<td>NZEO</td>
<td>9,950</td>
<td>444</td>
<td>(435, 453)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

NZ Deprivation

<table>
<thead>
<tr>
<th>NZ Deprivation</th>
<th>Person-years</th>
<th>Rate</th>
<th>(95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>4,998</td>
<td>544</td>
<td>(529, 559)</td>
<td></td>
</tr>
<tr>
<td>Medium</td>
<td>8,983</td>
<td>662</td>
<td>(648, 675)</td>
<td></td>
</tr>
<tr>
<td>High</td>
<td>16,483</td>
<td>1,205</td>
<td>(1,187, 1,224)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

Geographic Location

<table>
<thead>
<tr>
<th>Geographic Location</th>
<th>Person-years</th>
<th>Rate</th>
<th>(95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Northern</td>
<td>15,843</td>
<td>1,112</td>
<td>(1,094, 1,129)</td>
<td></td>
</tr>
<tr>
<td>Midland</td>
<td>5,940</td>
<td>805</td>
<td>(784, 825)</td>
<td></td>
</tr>
<tr>
<td>Central</td>
<td>5,275</td>
<td>744</td>
<td>(724, 764)</td>
<td></td>
</tr>
<tr>
<td>South Island</td>
<td>3,408</td>
<td>442</td>
<td>(427, 457)</td>
<td>&lt;0.0001</td>
</tr>
</tbody>
</table>

1 Person-years for 2006 and 2013 equate to the number of children less than 6 years of age counted during the 2006 and 2013 censuses, respectively; person-years for 2007–2012 and 2014-2015 were imputed by assuming the increase in the number of children between 2006 and 2013 was linear.

2 Rate per 100,000 person-years.
Cochran-Armitage test for trend or chi-squared test.

New Zealand European and Other

NZDep13 levels collapsed into categories: Low (1-3); Medium (4-7); High (8-10).

District Health Boards collapsed into geographic locations: Northern (Northland, Waitemata, Auckland, Counties Manukau); Midland (Waikato, Lakes, Bay of Plenty, Tairawhiti, Taranaki); Central (Hawke’s Bay, MidCentral, Whanganui, Capital and Coast, Hutt, Wairarapa); South Island ( Nelson Marlborough, West Coast, Canterbury, South Canterbury, Southern).
Table 3. Rates of initial Otitis Media hospitalizations among children less than 6 years of age from 2006 to 2015 (inclusive)

<table>
<thead>
<tr>
<th>Calendar year</th>
<th>N Person-years</th>
<th>Rate (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>6,133</td>
<td>344,020</td>
<td>1.783 (1.738, 1.827)</td>
</tr>
<tr>
<td>2007</td>
<td>6,444</td>
<td>348,548</td>
<td>1.849 (1.804, 1.894)</td>
</tr>
<tr>
<td>2008</td>
<td>6,555</td>
<td>353,077</td>
<td>1.857 (1.812, 1.901)</td>
</tr>
<tr>
<td>2009</td>
<td>7,124</td>
<td>357,605</td>
<td>1.992 (1.946, 2.038)</td>
</tr>
<tr>
<td>2010</td>
<td>5,907</td>
<td>362,135</td>
<td>1.631 (1.590, 1.673)</td>
</tr>
<tr>
<td>2011</td>
<td>6,027</td>
<td>366,663</td>
<td>1.644 (1.602, 1.685)</td>
</tr>
<tr>
<td>2012</td>
<td>5,923</td>
<td>371,192</td>
<td>1.596 (1.555, 1.636)</td>
</tr>
<tr>
<td>2013</td>
<td>4,895</td>
<td>380,248</td>
<td>1.809 (1.776, 1.843)</td>
</tr>
<tr>
<td>2014</td>
<td>4,560</td>
<td>384,777</td>
<td>1.992 (1.946, 2.038)</td>
</tr>
</tbody>
</table>

**Age**

<table>
<thead>
<tr>
<th>Age</th>
<th>N Person-years</th>
<th>Rate (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 1 year</td>
<td>8,314</td>
<td>598,314</td>
<td>1.390 (1.360, 1.419)</td>
</tr>
<tr>
<td>1 year</td>
<td>16,964</td>
<td>599,350</td>
<td>2.830 (2.788, 2.873)</td>
</tr>
<tr>
<td>2 years</td>
<td>11,002</td>
<td>608,079</td>
<td>1.809 (1.776, 1.843)</td>
</tr>
<tr>
<td>3 years</td>
<td>8,027</td>
<td>613,521</td>
<td>1.308 (1.280, 1.337)</td>
</tr>
<tr>
<td>4 years</td>
<td>8,006</td>
<td>607,200</td>
<td>1.319 (1.290, 1.347)</td>
</tr>
<tr>
<td>5 years</td>
<td>5,841</td>
<td>617,521</td>
<td>0.946 (0.922, 0.970)</td>
</tr>
</tbody>
</table>

**Ethnicity**

<table>
<thead>
<tr>
<th>Ethnicity</th>
<th>N Person-years</th>
<th>Rate (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Māori</td>
<td>17,902</td>
<td>961,663</td>
<td>1.862 (1.834, 1.889)</td>
</tr>
<tr>
<td>Pacific</td>
<td>6,111</td>
<td>357,772</td>
<td>1.708 (1.666, 1.751)</td>
</tr>
<tr>
<td>Asian</td>
<td>2,356</td>
<td>385,257</td>
<td>0.612 (0.587, 0.636)</td>
</tr>
<tr>
<td>NZEO⁴</td>
<td>31,625</td>
<td>2,240,982</td>
<td>1.411 (1.396, 1.427)</td>
</tr>
</tbody>
</table>

**NZ Deprivation⁵**

<table>
<thead>
<tr>
<th>NZ Dep</th>
<th>N Person-years</th>
<th>Rate (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>12,256</td>
<td>918,521</td>
<td>1.334 (1.311, 1.358)</td>
</tr>
<tr>
<td>Medium</td>
<td>21,231</td>
<td>1,357,914</td>
<td>1.564 (1.542, 1.585)</td>
</tr>
<tr>
<td>High</td>
<td>24,436</td>
<td>1,367,514</td>
<td>1.787 (1.765, 1.809)</td>
</tr>
</tbody>
</table>

**Geographic Location⁶**

<table>
<thead>
<tr>
<th>Geographic</th>
<th>N Person-years</th>
<th>Rate (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Northern</td>
<td>20,212</td>
<td>1,425,186</td>
<td>1.418 (1.399, 1.438)</td>
</tr>
<tr>
<td>Midland</td>
<td>14,615</td>
<td>738,292</td>
<td>1.980 (1.947, 2.012)</td>
</tr>
<tr>
<td>Central</td>
<td>9,169</td>
<td>709,137</td>
<td>1.293 (1.267, 1.319)</td>
</tr>
<tr>
<td>South Island</td>
<td>13,931</td>
<td>771,243</td>
<td>1.806 (1.776, 1.836)</td>
</tr>
</tbody>
</table>

---

1 Person-years for 2006 and 2013 equate to the number of children less than 6 years of age counted during the 2006 and 2013 censuses, respectively; person-years for 2007–2012 and 2014–2015 were imputed by assuming the increase in the number of children between 2006 and 2013 was linear.

2 Rate per 100,000 person-years.

3 Cochran-Armitage test for trend or chi-squared test

4 New Zealand European and Other

5 NZDep13 levels collapsed into categories: Low (1-3); Medium (4-7); High (8-10).

6 District Health Boards collapsed into geographic locations: Northern (Northland, Waitemata, Auckland, Counties
Manukau); Midland (Waikato, Lakes, Bay of Plenty, Taranaki); Central (Hawke’s Bay, MidCentral, Whanganui, Capital and Coast, Hutt, Wairarapa); South Island (Nelson Marlborough, West Coast, Canterbury, South Canterbury, Southern).
**Figure 1.** Flow chart of hospitalizations

**Figure 2.** Rates with 95% confidence intervals of initial invasive pneumococcal disease hospitalization among children less than 6 years of age, by calendar year and ethnicity from 2006 to 2015 (inclusive)

**Figure 3.** Rates with 95% confidence intervals of initial invasive pneumococcal disease hospitalization among children less than 6 years of age, by calendar year and deprivation from 2006 to 2015 (inclusive)

**Figure 4.** Rates with 95% confidence intervals of initial all-cause pneumonia hospitalization among children less than 6 years of age, by calendar year and ethnicity from 2006 to 2015 (inclusive)

**Figure 5.** Rates with 95% confidence intervals of initial all-cause pneumonia hospitalization among children less than 6 years of age, by calendar year and deprivation from 2006 to 2015 (inclusive)

**Figure 6.** Rates with 95% confidence intervals of initial otitis media hospitalization among children less than 6 years of age, by calendar year and ethnicity from 2006 to 2015 (inclusive)

**Figure 7.** Rates with 95% confidence intervals of initial otitis media hospitalization among children less than 6 years of age, by calendar year and deprivation from 2006 to 2015 (inclusive)
Figure 1.

NMDS
2006-2015 Hospital discharge records

Included:
- Children born between 1st Jan 2006 and 31st December 2015
- Valid National Health Index data (for demographic information)

Invasive Pneumococcal Disease
n = 2,310 initial admissions (n = 640 children)

Excluded:
- Repeat admissions < 30 days apart (n=326)
- Duplicate events (n=247)

All-cause pneumonia
n = 63,862 initial admissions (n = 26,583 children)

Excluded:
- Repeat admissions < 30 days apart (n=5,927)
- Duplicate events (n=1,127)

Otitis Media
n = 96,387 initial admissions (n = 44,545 children)

Excluded:
- Repeat admissions < 30 days apart (n=3,016)
- Duplicate events (n=142)

¹ Counts may not necessarily add up as an individual may have multiple exclusion criteria, or an individual may have multiple hospital events; Number in parentheses indicates final number of children included in the cohort.
Figure 2.
Figure 4.
Figure 5.
Figure 6.
Figure 7.