

1 **Cleaner fishes and shrimp diversity and a re-evaluation of cleaning symbioses**

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3 **Alternative title 1.** A critical evaluation of cleaning symbiosis and current global diversity of  
4 cleaner fishes and shrimp

5 **Alternative title 2.** The global diversity of cleaner fishes and shrimp and a critical evaluation  
6 of cleaning symbiosis

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19

20 **Running title:** Cleaning symbiosis

21

22 **Abstract**

23 Cleaning symbiosis has been documented extensively in the marine environment over the past 50 years.  
24 We estimate global cleaner diversity comprises 208 fish species from 106 genera representing 36  
25 families and 51 shrimp species from 11 genera representing 6 families. Cleaning symbiosis as originally  
26 defined, is amended to highlight communication between client and cleaner as the catalyst for  
27 cooperation, and to separate cleaning symbiosis from incidental cleaning, which is a separate mutualism  
28 preceded by no communication. Moreover, we propose the term “dedicated” to replace “obligate” to  
29 describe a committed cleaning lifestyle. Marine cleaner fishes have dominated the cleaning symbiosis  
30 literature, with comparatively little focus given to shrimp. The engagement of shrimp in cleaning  
31 activities has been considered contentious because there is little empirical evidence. Plasticity exists in  
32 the use of “cleaner shrimp” in the current literature, with the potential to cause significant confusion.  
33 Indeed, this term has been used incorrectly for the shrimp Infraorder Stenopodidea, involving three  
34 families, Stenopodidae, Palaemonidae, and Hippolytidae, and to represent all members of *Lysmata* and  
35 *Stenopus*. Caution is expressed in the use of grey literature and anecdotal observations to generate data  
36 on cleaning interactions, due to the presence of species complexes. Interest in cleaning organisms as  
37 biological controls in aquaculture is increasing due to their value as an alternative to various chemical  
38 interventions for ectoparasite control. Reports of the importance of cleaner organisms in maintaining a  
39 healthy reef ecosystem has also been increasing and we review the current biological knowledge on  
40 cleaner organisms, highlighting areas that are understudied.

41

42 **Key words** Cleaner fishes, cleaner shrimp, cleaning symbiosis, *Lysmata*, *Stenopus*

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49 Table of contents

50

51 **Introduction**.....

52 **Cleaning symbiosis**.....

53 **Cheating**.....

54 **How many cleaners are there?**.....

55 **Consider the grey literature with caution**.....

56 **Literary ambiguities and inconsistencies**.....

57 **The unknown ecology of cleaner shrimp**.....

58 **Diet**.....

59 **Morphology, colour and behaviour**.....

60 **The ecological importance of cleaning symbioses on coral reefs**.....

61 **Exploitation of cleaning in captivity**.....

62 **Conflict of interest**.....

63 **Acknowledgements**.....

64 **References**.....

65 **Supporting Information**.....

66

67 **Introduction**

68 Symbiosis is the living together of two or more different taxa, and includes mutualism, parasitism and

69 commensalism (Martin and Schwab 2013; Fig 1). However, many symbiotic relationships are subtle,

70 and the variables that influence them can often be overlooked (Feder 1966; Egerton 2015), or have been

71 incorrectly interpreted. The term symbiosis is considered by some authors to include only those

72 interactions in which both symbionts live together in prolonged intimate contact, or where these

73 symbionts are physiologically integrated (Bauer 2004; Bronstein 2015). As such, the temporary

74 mutualism representing cleaning symbiosis is considered by these authors as non-symbiotic. However,

75 de Bary (1879) discussed less permanent symbiotic interactions (Peacock 2011; review by Martin and

76 Schwab 2013). Peacock (2011) labelled the notion of "intimate contact" as imprecise and too restrictive

77 because it is highly scale-dependent. He added that there are casual interactions between symbionts.  
78 The term ‘cleaning symbiosis’ has thus become widely used in the literature with over 1,000 hits in  
79 Google Scholar. We agree that cleaning symbiosis reflects a legitimate symbiosis and follow the view  
80 of Peacock (2011).

81         Cleaning symbiosis was defined by Feder (1966) as the removal of ectoparasites, bacteria,  
82 diseased and injured tissue, and unwanted food particles by cleaner organisms from cooperative host  
83 organisms. Feder (1966) added that the mutually beneficial behaviour also provides a source of food  
84 for the cleaner. Losey (1972) added “and subsequent ingestion” to emphasise this nutritional benefit for  
85 the cleaner. However, the original definition is in need of amendment because it excludes  
86 communication as the catalyst for cooperation in these interactions and does not clearly highlight the  
87 shared reason for this cooperation; it presents a positive effect on the survival of both client and cleaner.

88         The use of imprecise terminology in the biological sciences is common (Wilkins 2005). The  
89 frequent misuse or misinterpretation of terms such as “cleaning symbiosis” or “cleaner shrimp” over  
90 the last 20 years has created significant ambiguity in the literature. The construction of terms of intimacy  
91 to attempt to further qualify the degree of the cleaning relationship has created further ambiguity. For  
92 example, the term “obligate” denotes a strict necessity in its mode, outside of which survival is  
93 compromised. In the cleaning symbiosis literature, the term “obligate” is used for a lack of a term to  
94 describe a semi-permanent or full-time cleaner organism. Yet, both clients and cleaners can live  
95 independently, thus no cleaners are obligate.

96         This review provides the first taxonomically updated global estimate of cleaner fishes and  
97 shrimp diversity. Furthermore we address inconsistencies and ambiguity in the relevant literature, to  
98 refine the definition of a cleaning symbiosis, and to explore the attributes that define cleaner organisms.  
99 This is the first review to separate incidental cleaning from cleaning symbiosis. We expand on the  
100 review of Côté (2000) to include freshwater species and those fishes and shrimp newly identified as  
101 cleaners.

102

### 103 **Cleaning symbiosis**

104 The first possible recorded observation of a cleaning symbiosis between two different species was made  
105 by the Greek historian Herodotos in the fifth century BC. Herodotos observed the cleaning interaction  
106 between a bird he called “the trochilus” (not to be confused with the hummingbird genus *Throchilus*  
107 Linnaeus, 1758) and a Nile crocodile (*Crocodylus niloticus* Laurenti, 1768, Crocodylidae) which  
108 allowed the bird access to its mouth to remove leeches (Herodotos). Although cleaning symbioses are  
109 reported from terrestrial ecosystems (e.g. Hart, Hart and Mooring 1990; Mooring and Mundy 1996;  
110 Sazima *et al.* 2012), they appear to be more common and diverse in aquatic environments, particularly  
111 in tropical marine environments (Limbaugh 1961; Poulin and Grutter 1996; Grutter 2002). The greater  
112 number of observations in tropical aquatic versus temperate aquatic environments may reflect greater  
113 visibility underwater, higher species richness, as well as biogeographic and habitat distributions of  
114 client and cleaner species. The majority of published reports on cleaning symbioses from aquatic  
115 environments deal with fishes as cleaners (online Table S1). Marine crustaceans as cleaning organisms  
116 have received far less attention historically, partly due to their often cryptic crevice-living nature. There  
117 are currently no reports of cleaning interactions involving freshwater crustaceans. However, cleaner  
118 shrimp may have equally important ecological roles (Becker and Grutter 2004).

119 Cleaner organisms are considered in the majority of the literature as either obligate or  
120 facultative. Youngbluth (1968) distinguished between obligate cleaners, those which rely almost  
121 exclusively on cleaning, and facultative cleaners which do not. This was based on Limbaugh’s (1961)  
122 use of “full-time” cleaners and reflected their diet and habits. Nevertheless, there is no empirical  
123 evidence that any cleaner is truly obligate in the strict sense, as this would imply that these cleaning  
124 organisms would be compelled to derive all of their nutrition from their clients during such symbiotic  
125 interactions, without which they would perish. The definition of “obligate” in a cleaning symbiosis is  
126 equivocal and this term should only be reserved for certain modes of parasitic or other symbioses where  
127 it holds true. We propose here the use of the term “dedicated” to replace “obligate” when describing  
128 those cleaners that exhibit a committed mode of cleaning lifestyle, and differentiate these from the other  
129 varying levels of facultative cleaners, those which are opportunistic, temporary cleaners or interact as  
130 cleaners only in part of their ontogeny. The consideration of Limbaugh (1961), that dedicated cleaners

131 are more highly evolved than those that exhibit an opportunistic mode of cleaning, is difficult to  
132 evaluate, and may not necessarily be correct. Limbaugh (1961) considered that dedicated cleaners  
133 evolved from forms that were more free-living and exhibited opportunistic cleaning, while Gorlick,  
134 Atkins and Losey (1978) considered that at least members of one genus of dedicated cleaner fishes,  
135 *Labroides* Bleeker, 1851 may have evolved from an ectoparasitic form. However, Baeza (2009)  
136 concluded that, at least for some shrimp, the ancestral lifestyle was likely to have been equally symbiotic  
137 or free-living. A simpler explanation may be that animals that evolved to browse on epifauna would  
138 also browse on the skin of larger animals, be they mammals, turtles or large fishes. Cleaner fishes and  
139 shrimp obtain their food from cleaning and from the wider environment. The relative importance of  
140 each source is likely to vary in space and time, depending on client availability and parasite burden,  
141 cleaner appetite, and perhaps other factors.

142         Cleaning symbiosis was previously separated into two distinct categories; those examples  
143 which reflected traits that may have evolved to support cleaning, and those which reflected incidental  
144 cleaning. Côté (2000) considered incidental cleaning between organisms, under cleaning symbiosis, to  
145 include the removal and consumption of epibionts and debris lodged on the body surface of one  
146 organism, by others as they might from any other suitable substrate. This category of cleaning symbiosis  
147 was not considered for further discussion in the review of Côté (2000) because neither “cleaner” nor  
148 “client” reflected any particular adaptation towards their respective roles (Côté 2000). The “clients” and  
149 “cleaners” from incidental cleaning interactions may both benefit from these interactions. However,  
150 incidental cleaning cannot be considered as cleaning symbiosis. Cleaning symbiosis is defined by the  
151 communication to clean or to be cleaned, either through assertion, or submission, resulting in cleaning  
152 through mutual cooperation. Assertion is the act of seeking out the cleaning interaction, either by the  
153 client or the cleaner, and is followed by the submission of the cleaner to clean, or the client to be cleaned.  
154 There is no apparent communication in incidental cleaning, which represents opportunistic mutualism.  
155 It may also be possible that all forms of communication that precede cleaning symbiosis have not yet  
156 been identified.

157         Recent publications on marine turtles suggest that their epibiont burdens are a proximate cause  
158 of cleaning interactions with both fishes and shrimp (Losey *et al.* 1994; Sazima, Grossman and Sazima

159 2004; 2010), much like wounds and parasites on fishes are also a proximate cause of cleaning (Foster  
160 1985; Arnal and Morand 2001; Grutter 2001; Sikkel, Cheney and Côté 2004; Bertocini *et al.* 2009).  
161 Turtles actively seek out cleaners, and submit to them, to have their epibiont burdens removed,  
162 illustrating the importance of communication between client and cleaner to cooperate in a cleaning  
163 symbiosis. All true cleaning symbiosis interactions are preceded by some level of communication  
164 through assertion or submission, either by client or cleaner or both (examples discussed by Limbaugh  
165 1961; Tyler 1963; McCutcheon and McCutcheon 1964; Feder 1966; Youngbluth 1968; Abel 1971,  
166 1976; Ayling and Grace 1971; Hobson 1971, 1976; Losey 1972, 1974, 1979; Wyman and Ward 1972;  
167 Sargent and Wagenbach 1975; Sulak 1975; Brockmann and Hailman 1976; Corredor 1978; Minshull  
168 1985; Sikkel 1986; Stauffer 1991; Soto, Zhang and Shi 1994; Van Tassell, Brito and Bortone 1994;  
169 Galeote and Otero 1998; Wicksten 1995, 1998; Poulin and Grutter 1996; Sazima, Moura and Gasparini  
170 1998*b*, Sazima *et al.* 2005; Côté 2000; Shigeta, Usuki and Gushima 2001; Sazima and Moura 2000;  
171 Sazima and Sazima 2000; Becker, Curtis and Grutter 2005; Shepherd, Teale and Muirhead 2005; Craig  
172 2007; Bertocini *et al.* 2009; Horton 2011; Abe *et al.* 2012; Huebner and Chadwick 2012*a*; Karplus  
173 2014). Dedicated cleaners and facultative cleaners actively assert their intentions to clean often by using  
174 conspicuous dances, or through tactile stimulation. Clients often pose submissively, or may change  
175 colour to signal a desire to be cleaned. Communication to cooperate is clearly the catalyst for cleaning  
176 interactions that not only transcends species boundaries in the same environment, but has also recently  
177 been shown to occur between the ocean sunfish (*Mola mola* (Linnaeus, 1758), Molidae) and Laysan  
178 albatrosses (*Phoebastria immutabilis* (Rothschild, 1893), Diomedeidae) (Abe *et al.* 2012). However,  
179 cleaning symbiosis is not restricted to interspecific interactions, and has also been reported between  
180 members of the same species (Gooding 1964; Abel 1971, 1976; Hobson 1971, 1976; Sulak 1975;  
181 McCourt and Thomson 1984; Sikkel 1986; Soto *et al.* 1994; Shepherd *et al.* 2005; Krajewski 2007;  
182 Bertocini *et al.* 2009; *cf.* Poulin and Vickery 1995).

183         Survival is difficult to quantify, but has an important effect on symbioses (Dickman 1992).  
184 However, where some symbioses may positively influence the survival of one symbiont, mutualisms,  
185 such as cleaning symbiosis, influence the survival of both symbionts positively. To highlight the

186 importance of communication that results in cooperation between client and cleaner, an amended  
187 definition of cleaning symbiosis is proposed:

188

189 *Cleaning symbiosis is the positive, temporary contribution to the survival of different animals, the client*  
190 *and cleaner, which results from their communicated cooperation and involves the removal and*  
191 *consumption of materials negatively impacting the client, by the cleaner.*

192

193 *Cleaning symbiosis is the removal and consumption of materials harmful to an animal (client) by a*  
194 *cleaner following their communication, with consequent benefits to both.*

195

196 Tactile stimulation in cleaning by fishes is considered an important influence on the initiation of  
197 cleaning (Losey and Margules 1974; Losey 1979), but may also be used to manage potential aggression  
198 shown by the client towards the cleaner (Grutter 2004), and may be a simple way of confirming that the  
199 cleaner is not a prey item because prey items are not likely to engage in direct contact with their  
200 predators. Wisikin (2009) questioned whether the association between examples of gregarious cleaner  
201 shrimp (*Lysmata* spp.) and morays reflected a cleaning symbiosis. However, subtle tactile stimulation  
202 with antennae and legs is offered by these shrimp prior to cleaning interactions (Chapuis and Bshary  
203 2009). Furthermore, morays cooperate by opening their mouths in submission to these shrimp,  
204 communicating their acceptance to be cleaned (Limbaugh, Pederson and Chase 1961). Morays have  
205 poor eyesight and are nocturnal (Riordan, Hussain and McCann 2004). Therefore, visually-based  
206 communication by cleaners probably has less significance to morays than tactile stimuli. Indeed, tactile  
207 stimuli are considered significantly important for initiating cleaning interactions in fishes by cleaner  
208 shrimp and do elicit submissive client posture (Karplus 2014). Client fishes have been observed  
209 responding to these tactile stimuli at night, while relying more on sight during the day (Corredor 1978).  
210 In addition, morays are not known to actively seek out cleaning stations and may therefore rely more  
211 specifically on these facultative cleaners which co-habit their caves (Quimbayo *et al.* 2012). Morays  
212 are also not the only clients that are known to be cleaned by these shrimp (Jonasson 1987; McCourt and  
213 Thomson 1984; Côté 2000; Wiksten 2009).



214 Additional anecdotal observations by SCUBA divers further add support that communication  
215 is the catalyst for cooperation in a cleaning symbiosis. Several images of diver-solicited cleaning  
216 responses of both fishes and shrimp to hands, feet and even teeth have been documented in the popular  
217 and social media (DBV personal observations), and in some of the scientific literature (Limbaugh *et al.*  
218 1961; Brockmann and Hailman 1976; Kulbicki and Arnal 1999). Communication also appears to be  
219 important when ending a cleaning interaction, where clients twitch to indicate their desire to break the  
220 interaction, or they may also simply depart by swimming away (Feder 1966; Losey 1979; Poulin and  
221 Grutter 1996; Wicksten 1998; Wicksten 2009).

222 Familiar examples of marine cleaning symbioses are the most conspicuous, and usually involve  
223 dedicated cleaners, e.g. the bluestreak cleaner wrasse (*Labroides dimidiatus* (Valenciennes, 1839),  
224 Labridae) (Bshary 2003), *L. phthiophagus* (Youngbluth 1968), the skunk cleaner shrimp (*Lysmata*  
225 *amboinensis* (de Man, 1888), Hippolytidae) (Chen and Huang 2012) and *Urocaridella* sp. c.,  
226 Palaemonidae (Becker *et al.* 2005). These cleaners are often synonymous with cleaning stations located  
227 at strategic points on the reef, and have been relatively well studied. Facultative cleaner fishes have  
228 been comparatively underinvestigated, but may forage more widely than dedicated cleaners. There  
229 appears to be a greater diversity of facultative cleaner species than dedicated cleaners (Côté 2000; online  
230 tables S1 and S2). However, comparatively little work has been done to evaluate differences in client  
231 diversity between dedicated and facultative cleaners. Some cleaners are adapted to live closely with  
232 their clients. These include some members of the Echeineidae (Cressey and Lachner 1970) and  
233 Alpheidae (Karplus *et al.* 1972; Hou, Liew and Jaafar 2013) which interact with their clients as true  
234 commensals (Strasburg 1959) as well as cleaners. Some dedicated cleaner shrimp are also known to  
235 associate with anemones, which they use for shelter and protection but also to signal the locations of  
236 their cleaning stations to client fishes (Huebner and Chadwick 2012b).

237

### 238 **Cheating**

239 Cleaners have been reported to remove and ingest client fish mucus and scales in addition to their  
240 ectoparasites; clients have been reported to eat their cleaners. Both are classic examples of cheating in  
241 a cleaning symbiosis (Randall 1958; Limbaugh *et al.* 1961; Feder 1966; Hobson 1971; Gorlick 1980;

242 Grutter 1997; Francini-Filho, Moura and Sazima 2000; Arnal, Côté and Morand 2001; Grutter and  
243 Bshary 2003; Cheney and Côté 2005; Soares *et al.* 2008; Oates, Manica and Bshary 2010). Cheating is  
244 a temporary disturbance in the symbiotic relationship (Bshary and Würth 2001), not isolated to cleaning  
245 symbiosis, but is common in many mutualisms, and results when one partner provides less commodity  
246 for their benefit received (Ferreire *et al.* 2001). Several studies conducted on cleaner fishes have  
247 indicated that fish mucus is a potentially valuable and more reliable source of food for the cleaner than  
248 ectoparasites whose abundance may vary seasonally, between localities, and client species (Gorlick  
249 1980; Youngbluth 1968; Grutter 1997; Arnal *et al.* 2001). This may tempt the cleaner to cheat by taking  
250 mucus and scales instead of ectoparasites when afforded the opportunity. In the cleaner wrasse *L.*  
251 *dimidiatus*, individuals of a male and female pair cleaning together reduce each other's cheating when  
252 working together (Bshary *et al.* 2008). However, when they operate individually, they show a higher  
253 rate of cheating in both males and females (Bshary *et al.* 2008). Client fishes often respond to cheating  
254 by terminating the interaction by swimming away, or by chasing the cleaner in what has been considered  
255 as cleaner punishment (Bshary and Grutter 2002; 2005). Client fishes without the option of moving  
256 away (e.g. in captivity) generally react more aggressively to cheating (Bshary and Grutter 2002). Client  
257 fishes that may not have been directly involved in a cheating event may also show reluctance to be  
258 cleaned by a cheating cleaner. Client fishes may exhibit an image-scoring strategy which involves  
259 bystander clients observing the quality of cleaning offered by the cleaner to other clients (Bshary 2002;  
260 Bshary and Grutter 2006). Through observation of cleaning behaviour, client fishes may then show a  
261 preference to interact with cleaners that show a lower tendency to cheat (Bshary 2002).

262         The majority of reports on cheating in marine cleaning symbioses deal with cleaners as the  
263 cheater, and no comparisons have been made of the frequency of cheating by dedicated versus  
264 facultative cleaners. Cheating is generally considered supportive of the biological market hypothesis,  
265 where cheating by cleaners is proportional to the number of clients available to cleaners (Akçay 2015).  
266 However, facultative cleaners probably have less to lose from dishonest interactions than dedicated  
267 cleaners. Facultative cleaners such as juvenile fishes rely less on client ectoparasites as a food sources,  
268 and may therefore be more inclined to cheat than dedicated cleaners.

269 Cleaner shrimp have been shown to adjust their cleaning strategy to the clients they serve and  
270 the risk of predation (Chapuis and Bshary 2009; Huebner and Chadwick 2012a). Cheating by the long-  
271 arm cleaner shrimp (*Ancylomenes longicarpus* (Bruce and Svoboda, 1983), Palaemonidae) produced  
272 similar client responses as cheating cleaner wrasse (*L. dimidiatus*), and less reaction from predatory  
273 species than from non-predatory species (Chapuis and Bshary 2009). This suggested that the shrimp  
274 can distinguish between these types of clients. The observed variability in cleaning behaviour in  
275 Perderson's shrimp (*Ancylomenes pedersoni* (Chace, 1958), Palaemonidae) may be controlled, to some  
276 extent, by some client fishes that interfere with access to the shrimp by other clients (Huebner and  
277 Chadwick 2012a). However, these shrimp may also influence each other's cheating during cooperative  
278 cleaning interactions as cleaner wrasse do (Huebner and Chadwick 2012a). It thus appears that both  
279 cleaner fishes and shrimp can discern different types of clients and therefore the risk they take if they  
280 cheat.

281 Historically, cheating was thought to inhibit mutualism, resulting in "reciprocal extinction"  
282 (Roberts and Sherratt 1998; Doebeli and Knowlton 1998). However, Ferreire *et al.* (2001) proposed  
283 that cheating can establish a foundation to support competitively superior mutualists which may result  
284 in the evolution of different related and unrelated cheater and mutualist phenotypes and their  
285 coexistence.

286

### 287 **How many cleaners are there?**

288 Over the last half century, the number of fishes and crustaceans considered as cleaners has increased  
289 significantly, demonstrating the development of our understanding of cleaning symbiosis (Fig. 2). Here,  
290 the extensive primary literature to date was reviewed and cross-referenced, and a current list of marine  
291 and freshwater fishes and marine crustaceans populated which includes a number of species either  
292 missed by previous workers, or species for which evidence of cleaning has been published since the last  
293 reviews of Côté (2000) and Karplus (2014). In addition, the list also includes the juvenile sunburst  
294 butterflyfish (*Chaetodon kleinii* Bloch, 1790, Chaetodontidae) observed and photographed (online Fig.  
295 S1) by one of us (DBV) for the first time cleaning the brownburnie (*Chaetodon blackburnii* Desjardins,  
296 1836, Chaetodontidae) with a confirmed infection of the parasitic dinoflagellate *Amyloodinium*

297 *ocellatum* (E.Brown) E.Brown and Hovasse, 1946 in captivity. Observations of cleaning symbiosis in  
298 captivity were excluded by Côté (2000), but these are included here because it cannot be assumed that  
299 captivity produces only artificial behaviour, and well-known cleaner organisms of various species  
300 observed cleaning in the wild are also observed to exhibit the same cleaning behaviour in captivity, and  
301 are exploited in home and public aquaria, and in aquaculture for this reason. There are currently  
302 approximately 208 species of cleaner fishes from 106 genera representing 36 families and 51 species of  
303 cleaner shrimp from 11 genera representing 6 families, recorded to exhibit cleaning behaviour (online  
304 Tables S1 and S2 respectively; Fig. S2). Although *Urocaridella* sp. a, b and c are discussed in this  
305 review as examples of cleaner shrimp in the literature, these shrimp are not listed in online Table S2  
306 because they remain currently undescribed. Both tables consider only valid described taxa and are  
307 updated to the current relevant taxonomy. Synonyms are included in the footnotes of both tables.  
308 Reports of other putative cleaners (online Tables S1 and S2 notes) are excluded for a lack of supporting  
309 evidence or verifiable source, or because their taxonomic identity could not be confirmed, or due to  
310 their original listing in error by other authors. Observations of cleaning interactions by fishes and shrimp  
311 span the Americas, Europe, Africa, Asia and Oceania (Figs. 3, 4). They include freshwater and marine  
312 environments for fishes. However, they have only been reported for less than half of likely countries  
313 for fish (Fig. 3) and less again for shrimp (Fig. 4). Thus cleaning behaviour is geographically widespread  
314 and likely to be more ecologically significant than the present limited observations indicate.

315

### 316 **Consider the grey literature with caution**

317 The grey literature and the correspondence of divers are both difficult to assess for accuracy. Becker  
318 and Grutter (2004) reviewed the scientific, marine, SCUBA and aquarium hobbyist guides to produce  
319 more than 40 species records of cleaner shrimp and this estimate has been generally accepted in the  
320 field (McCammon, Sikkell and Nemeth 2010; Hou *et al.* 2013). Although observations should not be  
321 discounted as empirical evidence, they do require verification. The identification of many cleaner fishes  
322 and shrimp is not simple and many cleaners have been confused, misidentified, and/or form part of a  
323 species complex (see online Table S2 for cleaner shrimp examples). This suggests that misidentification  
324 of species, resulting from the lack of proper taxonomic verification, may significantly influence the bias

325 of data from grey literature or observer accounts of cleaning interactions. Therefore, these accounts  
326 should be carefully evaluated before being incorporated into scientific literature.

327 Spotte (1998) had a more cautionary view and dismissed the contributions of all observations  
328 on cleaner shrimp in the historic literature as anecdotal, with the exception of Turnbull's (1981)  
329 unpublished PhD thesis which Spotte (1998) considered the only work to properly assess a shrimp  
330 cleaning symbiosis at that time. Turnbull (1981) found no remnants of ectoparasites in the foregut of *A.*  
331 *pedersoni*, nor did he observe the removal of conspicuous crustacean ectoparasites from client skin  
332 surfaces by *A. pedersoni*. In conclusion Turnbull (1981) stated that *A. pedersoni* did not possess the  
333 functional morphology to confirm this shrimp was a cleaner (Limbaugh 1961). However, his  
334 observations by SCUBA were undoubtedly of larger adult stages of parasitic crustaceans, as these were  
335 visible, and the midgut section of the shrimp may have revealed remnants of ectoparasites (Tziouveli,  
336 Bastos Gomes and Bellwood 2011). Although Spotte (1998) considered this evidence enough to suggest  
337 that cleaner shrimp as cleaners of fishes be dismissed, Bunkley-Williams and Williams (1998) and  
338 McCammon *et al.* (2010) provided empirical evidence to the contrary for the same species in a  
339 laboratory trial and semi-natural exhibit system, respectively. The study of Bunkley-Williams and  
340 Williams (1998) was the first laboratory study to provide such evidence in support of cleaning by a  
341 shrimp species. Their results also suggested that cleaner shrimp may be specialists rather than  
342 generalists because only one of the four cleaner shrimp species tested removed and consumed juveniles  
343 of the parasitic cymothoid isopod *Anilocra haemuli* Williams and Williams, 1981 (Cymothoidae).

344 If we were to consider the view of Spotte (1998) to the exclusion of all observations of cleaning  
345 interactions in the literature, there would only be six shrimp considered as cleaners, notably  
346 *Ancylomenes holthuisi* (Bruce, 1969) (Palaemonidae) and *Urocaridella* sp. c. (Becker and Grutter  
347 2004), *A. pedersoni* (Bunkley-Williams and Williams 1998; McCammon *et al.* 2010), *L. amboinensis*  
348 (Militz and Hutson 2015), and *Palaemon adspersus* Rathke, 1837 (Palaemonidae) and *Palaemon*  
349 *elegans* Rathke, 1837 (Palaemonidae) (Östlund-Nilsson, Becker and Nilsson 2005). The view of Spotte  
350 (1998) is probably premature. The mechanisms involving costs and benefits of cleaning symbiosis are  
351 not yet fully understood (Cushman and Beattie 1991; Poulin and Vickery 1995; Cheney and Côté 2003;  
352 Orr 2009), and recent evidence suggests these costs and benefits extend beyond the traditionally defined

353 symbiotic interaction to secondary benefits, including the reduction of ectoparasites in the environment  
354 (Bshary 2003; Grutter, Murphy and Choat 2003; Waldie *et al.* 2011; Militz and Hutson 2015).

355

### 356 **Literary ambiguities and inconsistencies**

357 Cleaner shrimp are only known from the marine environment. The colloquial term “cleaner shrimp”  
358 was used broadly by Davie (2002) for all members of the Infraorder Stenopodidea, and by Wicksten  
359 (1995) to refer to the shrimp families Stenopodidae, Palaemonidae, and Hippolytidae. However, not all  
360 genera and species representing these families have been observed to form cleaning symbioses (Bruce  
361 and Baba 1973, Bruce 2004, and Baeza 2010, respectively). Debelius (1999) used the same colloquial  
362 term for all *Lysmata* species, and also mentioned that all species of *Stenopus* were “probably” cleaners.  
363 However, the original description of *Stenopus chrysexanthus* Goy, 1992 (Stenopodidae) and  
364 redescription of *Stenopus cyanoscelis* Goy, 1984 (Stenopodidae) only assumed that both these species  
365 *may* be cleaner shrimp. This assumption was based on their similar morphology with other species  
366 known to engage in cleaning symbiosis, but it was not supported by observations or additional data on  
367 recorded symbiotic interactions. These species were therefore not included in the comprehensive review  
368 on cleaner fishes and crustaceans by Côté (2000), and remain excluded here. Subsequently, Poore  
369 (2004) introduced species of *Stenopus* as “fish cleaners,” and in a later publication, Goy (2010) made  
370 the explicit statement that all members of *Stenopus* enter into mutualistic cleaning symbiosis with coral  
371 reef fishes, citing Limbaugh *et al.* (1961), Yaldwyn (1968), Criales and Corredor (1977), Jonasson  
372 (1987), Wicksten (1995, 1998), Côté (2000), and Becker and Grutter (2004). However, none of these  
373 authors that he cited dealt with the genus *Stenopus* in its entirety; they only referred to *S. hispidus* and/or  
374 *S. scutellatus* (Limbaugh *et al.* 1961; Criales and Corredor 1977; Jonasson 1987; Wicksten 1995, 1998;  
375 Côté 2000), or *S. hispidus* and *Stenopus tenuirostris* de Man, 1888 (Stenopodidae) (Yaldwyn 1968)  
376 specifically, or included Stenopodidae with six other families from which cleaner shrimp have  
377 previously been recorded (Becker and Grutter 2004).

378 Three problems emerge from defining shrimp genera or families as “cleaner shrimp.” Firstly,  
379 the colloquial term “cleaner shrimp” is used ambiguously for taxa that are known to engage in cleaning  
380 symbioses and for related taxa that currently are not known to (e.g. Davie 2002; Wicksten 1995;

381 Debelius 1999). This ambiguity has spilled over into scientific literature. Although Wicksten (1995)  
382 probably meant to refer to “cleaner shrimp” as representatives of families Stenopodidae, Palaemonidae,  
383 and Hippolytidae, the same error is not applicable for Martinelli-Filho *et al.* (2008), who presented the  
384 species *Periclimenes paivai* Chace, 1969 (Palaemonidae), a commensal palaemonid of scyphozoan  
385 jellyfish, as “cleaner shrimp”. Martinelli-Filho *et al.* (2008, page 134) further justified the use of this  
386 term by stating that “the genus *Periclimenes* contains more than 175 species of small carideans,  
387 commonly known as cleaner shrimps.” The genus *Periclimenes* Costa, 1844 was represented by 10  
388 cleaner shrimp species prior to the transfer of most of these to the new genus *Ancylomenes* by Okuno  
389 and Bruce (2010). Currently, only one species of cleaner shrimp is representative of *Periclimenes*, *P.*  
390 *yucatanicus* (Ives, 1891) (Palaemonidae). Second, shrimp species unconfirmed as cleaners are  
391 conferred “cleaner” status by association with their close relatives for which there is empirical cleaning  
392 evidence. Examples of this include the introduction of *Stenopus* by Poore (2004) as “fish cleaners”, and  
393 the “cleaner symbionts” of Davie (2002) for *S. chrysexanthus* and *S. cyanoscelis*, citing Goy (1992).  
394 Third, the cited historic literature by several authors does not support the claim that all *Stenopus* species  
395 enter into cleaning symbioses. The likely explanation for this is that the statements of Debelius (1999),  
396 Poore (2004), and Goy (2010) must reflect other legitimate field or laboratory observations, but which  
397 have remained unpublished. Indeed, correspondence with one of these authors confirmed that this  
398 information originated from the combination of laboratory studies and correspondence from numerous  
399 SCUBA divers. The possible argument that the above claim is common knowledge is unfounded  
400 because there is no original verifiable source. We therefore encourage the use of the term “cleaner  
401 shrimp” only for representing shrimp that have documented observations of cleaning behaviour.

402

### 403 **Diet**

404 There is no evidence to suggest that cleaner organisms will eat all perceivably diverse  
405 ectoparasites as might be inferred by the original definition of a cleaning symbiosis. Cleaners feed  
406 mainly on crustacean ectoparasites (online Table S3), client skin and mucus. Members of the marine  
407 isopod family Gnathiidae feature as prey items of 22 cleaner species, representing 15 genera (online  
408 Table S3), and may be the most common parasitic prey item available to cleaners (Rohde 2005). These

409 isopods feed on their hosts as immature ‘praniza’ stages and take a blood meal before vacating the host  
410 to complete their life-cycle as non-feeding adults (Rohde 2005). Engorged praniza may present a  
411 particularly rich source of food for the cleaner, much like engorged ticks do for several birds observed  
412 in terrestrial cleaning interactions (Rohde 2005; Sazima *et al.* 2012). Although crustacean ectoparasites  
413 may appear from the literature to be superior prey items for cleaners (online Table S3), this may reflect  
414 sampling bias because only crustacean exoskeletons provide a reliable means of identification in  
415 morphological gut analyses (Kearn 1978). Additionally, several publications have excluded other  
416 parasite taxa from their analyses and focussed almost exclusively on crustaceans (Grutter 1997; Arnal  
417 and Côté 2000; Arnal and Morand 2001; Cheney and Côté 2001, 2005; Whiteman and Côté 2002).  
418 However, in laboratory experiments the cleaner wrasse *L. dimidiatus* consumed more monogeneans  
419 than gnathiids when presented with a choice (Grutter and Bshary 2003).

420         Monogenean ectoparasites, leeches, and protists, unlike the crustaceans, are soft-bodied which  
421 presents a problem for their identification in gut analyses. Many of these ectoparasites that infest fishes  
422 are very small in comparison to the often larger and more visible crustacean ectoparasites. For example,  
423 most *Gyrodactylus* von Nordmann, 1832 spp. (Monogenea: Gyrodactylidae) measure 0.4mm – 0.8mm  
424 (Kearn 1999) *versus* 1.1mm – 6.1mm for seven representative *Gnathia* Leach, 1814 spp. (Diniz *et al.*  
425 2008). Although many of the soft-bodied ectoparasites of fishes present no structures that remain intact  
426 after digestion that can be used for potential taxon identification, the majority of monogeneans do.  
427 Monogeneans attach to their host fishes using the posterior attachment organ, the haptor, which often  
428 contains sclerotised attachment anchors, hooks, clamps or other modified structures that are very small  
429 but resist the digestion by proteolytic enzymes (Vaughan and Chisholm 2010). It may be possible to  
430 discern these structures in the gut samples of cleaners under high magnification (e.g. Grutter 1997;  
431 Becker and Grutter 2004). Various universal primers have been designed for use in metagenomic  
432 profiling (Folmer *et al.* 1994; Blankenship and Yayanos 2005; King *et al.* 2008) and a highly sensitive  
433 molecular approach may be successful in providing some resolution on what different organisms are  
434 consumed by different cleaners in the wild. This has been achieved for free-living marine decapod  
435 larvae (O’Rorke *et al.* 2012; 2014).



436           Adult parasitic stages of some parasites may simply be too large for some cleaners to remove  
437 from the client, which might explain the differences in observations between studies on the same cleaner  
438 species (*cf.* Turnbull 1981; Bunkley-Williams and Williams 1998). Differences in cleaning  
439 performance, or feeding preferences are known in cleaner fishes (Costello 1996), and this may be true  
440 for cleaner shrimp. The differences in morphology between cleaner shrimp species may limit them to  
441 feeding on specific types or life-stages of certain parasites, or may even limit them as wound cleaners.  
442 Indeed, Bunkley-Williams and Williams (1998) were unsure of the mechanism of juvenile *Anilocra*  
443 *haemuli* removal employed by *Ancylomenes perdersoni* in their experiments, and no studies have been  
444 conducted to evaluate whether there is a relationship between the functional morphology and the types  
445 of parasites removed and cleaning performed. Some shrimp are well documented as dedicated fish  
446 cleaners and exhibit strong symbiotic associations with fishes, whereas others are opportunistic  
447 facultative cleaners that are also scavengers, or the cleaning association remains insufficiently known  
448 (Davie 2002; online Table S2).

449           Juvenile ectoparasites may be an important food items for cleaner organisms. Apart from the  
450 controlled study by Bunkley-Williams and Williams (1998), unspecified stages of juvenile ectoparasitic  
451 crustaceans were observed in the gut contents of wild cleaner shrimp by Becker and Grutter (2004).  
452 This was the first study to provide evidence of parasitic removal and consumption in wild cleaner  
453 shrimp. These cleaner shrimp, *A. holthuisi* and *Urocaridella* sp. c, consumed juvenile parasitic gnathiids  
454 and copepods that were identified to family and class respectively. No other work since Becker and  
455 Grutter (2004) has examined the gut contents of wild cleaner shrimp. However, both these shrimp  
456 species appeared to have different diet preferences and/or consumption rates of ectoparasites (Becker  
457 and Grutter 2004). Laboratory trials using *A. holthuisi* and *Urocaridella* sp. c (Becker and Grutter 2004),  
458 and *Palaemon adspersus* and *P. elegans* (Östlund-Nilsson *et al.* 2005) revealed that cleaner shrimp can  
459 also consume monogenean ectoparasites. Monogeneans have never been found in the gut contents of  
460 wild shrimp. However, Militz and Hutson (2015) indicated for the first time that the cleaner shrimp  
461 *Lysmata amboinensis*, a dedicated cleaner, was highly efficient in consuming the monogenean eggs and  
462 free-swimming larvae of the monogenean *Neobenedenia* Yamaguti, 1963 sp. (Capsalidae) in the captive  
463 environment, and thus reduced reinfection success.

464            Approximately 111 fish ectoparasite records exist from dietary constituents of 49 different  
465 cleaner fishes (online Table S3), and have been confirmed through wild fishes gut content analyses, or  
466 observed being removed by cleaner fishes in captivity. However, the potential diversity of dietary  
467 components of cleaner shrimp remains uninvestigated. It is unknown whether cleaner shrimp consume  
468 other pathogenic agents, including other parasitic groups such as leeches and protists, bacteria and water  
469 moulds. Foster (1985) documented wound healing of injured reef fishes by three different cleaner fishes,  
470 and suggested that cleaner shrimp removal of necrotic or diseased tissue may also promote wound  
471 healing. Although some anecdotal information claims that cleaner shrimp remove or consume dead skin  
472 from wounds (Corredor 1978; Crump 2009), or tend bacterial infections (Limbaugh 1961), the effects  
473 of cleaner shrimp on wound healing also remains uninvestigated and controlled experiments are needed  
474 to accurately address these questions.

475

#### 476 **Morphology, colour and behaviour**

477 Côté (2000) analysed body size and signalling colouration of cleaner fishes. Her analyses were limited  
478 due to a lack of phylogenetic information on fishes at that time, and the correlation between body size  
479 and adult feeding type. Subsequently, Baliga and Mehta (2015) determined the kinematic basis of  
480 cleaning in three cleaner fishes of the family Labridae, suggesting that a small mouth gape and the  
481 ability to perform rapid gape cycles (opening and closing of the mouth) on individual prey items may  
482 be a cleaner-prerequisite. Certainly, many juvenile fishes that are facultative cleaners have a small gape,  
483 which may support a rapid and dextrous ability to remove ectoparasites on clients (Baliga and Mehta  
484 2015). Ontogenetic prey use change is known in a large diversity of marine reef fishes (McCormick  
485 1998; Wainwright and Bellwood 2002), and it is unsurprising, given the ubiquity of fish ectoparasites,  
486 that so many fishes utilise this resource during their ontogenetic development.

487            Cleaner shrimp vary considerably in size between species and genera. Their size may influence  
488 the ability to remove and consume certain ectoparasites, for which they use their chelae (Yaldwyn 1968;  
489 Östlund-Nilsson *et al.* 2005; Karplus 2014), but small size also facilitates access into areas of the mouth  
490 and gill chamber of client fishes (Karplus 2014). An increase in the robustness of the mandibles, as well  
491 as the morphological intricacy of the gastric mill reflects a carnivorous feeding habit in crustaceans

492 (Kunze and Anderson 1979). Conversely, the paragnaths in carnivorous crustaceans are less intricate  
493 than those of non-carnivores (Hunt, Winsor and Alexander 1992). The investigation of the comparative  
494 morphology of these structures between different cleaning shrimp may help determine what these  
495 shrimp consume in the wild (Tziouveli *et al.* 2011).

496 The concept of a universal colour guild for cleaners was not conclusively supported by the  
497 analyses of Côté (2000), and whether cleaners use colour to signal cleaning services remains untested.  
498 Although longitudinal striping is a common feature of dedicated cleaner fishes (Côté 2000) and is now  
499 demonstrated for a facultative cleaner (see Carvalho *et al.* 2003), all considerations of cleaner  
500 colouration or patterning made to date have been limited to the visible light spectrum. Ultraviolet light  
501 has a fundamental function in the mutualism between angiosperms and their pollinators (Papiorek *et al.*  
502 2015), and ultraviolet reflective body patterns have been demonstrated as a means of communication  
503 in fishes that can visualise ultraviolet (Siebeck *et al.* 2010). Therefore, we hypothesise that ultraviolet  
504 patterning may be important for cleaner recognition, and suggest that future investigations should  
505 include ultraviolet patterning of cleaner organisms.

506 Cleaner shrimp vision is likely monochromatic. Recent work investigated the visual ability of  
507 *Ancylomenes pedersoni*, *Lysmata amboinensis*, and *Urocaridella antonbruunii* for the first time (Caves,  
508 Frank and Johnsen 2016). The spatial resolution of these shrimp, and possibly others, is less than for  
509 sea snails and scallops, and decreases with a decrease in light (Caves *et al.* 2016). This research suggests  
510 that cleaner shrimp cannot assess client fish for ectoparasites visually, as suggested in part by Becker  
511 and Grutter (2005), and that tactile and chemical stimuli are used to detect ectoparasites on client fishes.  
512 The colour limitation of cleaner shrimp vision also suggests that the change in client pigmentation often  
513 seen during cleaning may be a visual signal to other client fishes, rather than the cleaner (Caves *et al.*  
514 2016).

515 Becker and Grutter (2005) provided evidence that ectoparasite load and cleaner shrimp hunger  
516 levels influence cleaning interactions. Apart from these factors, very little information is available on  
517 what drives the processes behind the cleaner shrimp-client interactions (Titus, Daly and Exton 2015).  
518 However, recent evidence suggested that temporal patterns of cleaning between *A. pedersoni* and  
519 cleaner gobies differed, but the client species and localities were the same. Titus *et al.* (2015) considered

520 that the ectoparasites targeted by the shrimp may be different to those targeted by the cleaner gobies,  
521 which would explain the apparent lack of competition for the same clients. In addition, there are no data  
522 to compare the difference in cleaning quality between cleaner shrimp species.

523

#### 524 **The ecological importance of cleaning symbioses on coral reefs**

525 Cleaner organisms maintain an ecological balance that is not yet fully understood, although it is clear  
526 that the removal of ectoparasites is beneficial for the health of reef fishes. Several authors have  
527 attempted to quantify the effects of cleaner fishes on reef fish diversity by testing the hypothesis that  
528 the removal of cleaners presents a perturbation of the ecosystem, resulting in reef fishes' emigration, or  
529 mitigation by remaining and/or unfamiliar cleaners (Losey 1972). Limbaugh (1961) was the first to  
530 present observations on the possible effects of cleaner removal from a reef. He removed all known  
531 cleaner organisms from two isolated parts of Bahamian reef containing a high diversity of fishes. This  
532 resulted in a considerable reduction in the number of fishes observed, as well as the observed increase  
533 in visible lesions on remaining territorial fishes (Limbaugh 1961). Presumably, these lesions resulted  
534 from the absence of cleaners.

535 In a similar *Labroides phthirophagus* depopulation experiment off Hawaii, Youngbluth (1968)  
536 did not observe a significant decrease in the number of fishes after the removal of cleaners. In  
537 comparison, Youngbluth (1968) considered the possibility that differences in the physical properties of  
538 the reefs in both studies may have influenced the movement of fishes to different areas. Gorlick *et al.*  
539 (1978) were highly critical of Limbaugh (1961), and in a subsequent cleaner wrasse (*L. dimidiatus*)  
540 depopulation study off the Marshall Islands (see Gorlick 1987), these authors found no significant  
541 change in the density of fishes before and after cleaner removal. However, Losey (1972) removed all  
542 *L. phthirophagus* from patches of reef in Hawaii and found that there was a change in the behaviour in  
543 some client species that relocated to patches of reef with a remaining *L. phthirophagus*, and some  
544 facultative cleaners that increased their cleaning activity to some degree. Losey (1972) did not find a  
545 significant reduction in ectoparasites after the removal of *L. phthirophagus*, which was in contrast with  
546 the suggestion of Limbaugh (1961) that "cleaners maintain the health of the marine population," and  
547 that of Gorlick, Atkins and Losey (1987) who determined that *L. dimidiatus* reduced ectoparasite

548 biomass. Variation in the importance of cleaner fishes and shrimp is to be expected. Host abundance,  
549 parasite burdens and pathogenicity, and cleaner abundance and appetite will vary in space and time.  
550 Further research is required to clarify the importance of cleaners in food webs and ecosystems through  
551 their effects on client health.

552         The role of time in symbiotic relationships is important in determining functional outcomes and  
553 avoiding their misinterpretations. The balance between costs and benefits may change with time, which  
554 in turn may influence these functional outcomes (Metsterton-Gibbons and Dugatkin 1992, 1997).  
555 Limbaugh's (1961) observations were for a period of two weeks, while the studies of Youngbluth  
556 (1968) and Gorlick *et al.* (1987) were concluded after one and six months, respectively. Losey's (1972)  
557 cleaner removal experiment was for eight months. Bshary (2003) considered the removal of *L.*  
558 *dimidiatus* for less than four months to be short-term, with subsequently few observed effects on fish  
559 diversity. However, a significant decline in reef fish diversity was evident over a longer period of up to  
560 twenty months (Bshary 2003). Conversely, the introduction of an additional cleaner wrasse, or the  
561 relocation of one to a patch of reef previously without one, influenced a rapid increase in fish diversity  
562 (Bshary 2003). This suggested that the studies of Limbaugh (1961) and Losey (1972) reflected a rare  
563 effect, or that the studies of Youngbluth (1968) and Gorlick *et al.* (1987) were too short to identify a  
564 significant ultimate outcome.

565         Longer-term studies on the ecological influence of cleaners have revealed limitations in short-  
566 term studies. Grutter *et al.* (2003) and Waldie *et al.* (2011) found evidence of a decrease in general fish  
567 diversity and abundance after the experimental removal of *L. dimidiatus* from patches of reef off Lizard  
568 Island, Australia. Grutter *et al.* (2003) noted a reduction in transient fishes after 18 months, and Waldie  
569 *et al.* (2011) noted the reduction for both transient and territorial fishes over an eight and a half year  
570 period with the removal of *L. dimidiatus*. The reduction in territorial species including pomacentrids  
571 and the shift towards smaller individuals in two pomacentrids in the study by Waldie *et al.* (2011) was  
572 considered the result of lower growth rates and/or the reduced survivorship of these species in the  
573 absence of cleaner wrasse. The length of the study also demonstrated the influence of cleaner wrasse  
574 on the recruitment of juvenile fishes onto the reef (Waldie *et al.* 2011). The consideration of transient  
575 and territorial fishes in these studies plays a subtle yet important role. Grutter *et al.* (2003) were the first

576 authors to suggest the importance of distinguishing between these types of fishes in these types of  
577 studies. Pomacentrids for example, and particularly the monodomous species (Fishelson 1998), can  
578 confound such results of reef species movement because of their strict territorial habits (Bardach 1958).  
579 Pomacentrids are more likely to remain in their territories after cleaner organism removal, as shown by  
580 Grutter (1996a) for the lemon damselfish (*Pomacentrus moluccensis* Bleeker, 1853, Pomacentridae)  
581 observed in a previous depopulation study on cleaner wrasse (*L. dimidiatus*). Similarly, Bshary (2003)  
582 showed that the presence or absence of cleaner wrasse (*L. dimidiatus*) had the weakest effect on  
583 territorial species. However, neither Youngbluth (1968) nor Gorlick *et al.* (1987) made the distinction  
584 between transient and territorial fishes in their studies. Gorlick *et al.* (1987) specifically included the  
585 territorial ocellate damselfish (*Pomacentrus vaiuli* Jordan and Seale, 1906, Pomacentridae) in their  
586 study, but did not list the other client species involved in the depopulation study, and it is unclear what  
587 influence this and possibly other territorial species could have had on their results.

588         No comparative depopulation studies have been conducted for cleaner shrimp, although this  
589 would also prove to be extremely difficult because cleaner shrimp are cryptic and physically delicate.  
590 In addition, many species of shrimp may currently be unknown cleaners, similar to the growing list of  
591 fish cleaners that has developed over the past 50 years. However, this does pose the question of the  
592 involvement of cleaner shrimp in the above-mentioned cleaner fish depopulation studies. One  
593 unidentified shrimp was observed by Losey (1972) cleaning the millet butterflyfish (*Chaetodon miliaris*  
594 Quoy and Gaimard, 1825, Chaetodontidae), but Gorlick *et al.* (1987) did not observe any cleaner  
595 shrimp. Whether this reflects sampling and observation bias, or an extended observation of “cleaning  
596 structure discordance” between fishes and shrimp as mentioned by Titus *et al.* (2015), remains to be  
597 elucidated.

598

### 599 **Exploitation of cleaning in captivity**

600 The published observations of Potts (1973) may have inspired the first investigations using cleaner  
601 fishes as alternative methods of ectoparasite control in aquaculture. Caligid copepod sea lice are the  
602 most persistent and economically significant parasite in marine salmonid farming worldwide (Costello  
603 2006, 2009). Following reports from fish farmers using cleaner fishes (Labridae) to control lice on

604 salmon in farm cages in Norway, experiments in Ireland and Scotland showed that five common labrids  
605 in northern Europe could reduce lice abundance on farmed salmon to non-pathogenic levels within  
606 weeks (Costello 1993a; 1996), namely Rook cook (*Centrolabrus exoletus* (Linnaeus, 1758), Labridae),  
607 goldsinny (*Ctenolabrus rupestris* (Linnaeus, 1758), Labridae), Corkwing (*Symphodus melops*  
608 (Linnaeus, 1758), Labridae), cuckoo wrasse (*Labrus mixtus* Linnaeus, 1758, Labridae) and juvenile  
609 ballan wrasse (*Labrus bergylta* Ascanius, 1767, Labridae). Now several million of these cleaner fishes  
610 are routinely used in Norway, mostly wild captured (Bjordal 1991; Darwall *et al.* 1993; Skiftesvik *et*  
611 *al.* 2014). Initially it was believed that only juvenile *L. bergylta* showed cleaning behaviour (Costello  
612 1993b), but it has since been shown that adults will clean larger salmon (Skiftesvik *et al.* 2013).  
613 Research into culturing certified disease free labrids to supply the farms is also underway (e.g.  
614 Skiftesvik *et al.* 2013). In addition, lumpsucker (*Cyclopterus lumpus* Linnaeus, 1758, Cyclopteridae)  
615 are being developed for use as cleaner fish on farms (Imslund *et al.* 2014a). The use of cleaner fishes  
616 reduces or avoids the need to use parasiticides to control lice, thereby improving fish health, saving  
617 costs, and the farmed fish can be harvested without drug residues. Options for lice control are  
618 constrained because lice have developed resistance to all the parasiticides used on the farms to date  
619 (Costello *et al.* 2001; Costello 2006; Aaen *et al.* 2015). The main limitations to using cleaner fishes  
620 have been adequate supply, their ability to escape, and the influence of environmental conditions on  
621 cleaning activity and ectoparasite growth rates (Costello 2006). Recent concerns suggest that wrasse  
622 species used as cleaners in Europe may also be the reservoirs of diseases in Atlantic salmon culture, for  
623 example viral haemorrhagic septicaemia (Munro *et al.* 2015; Wallace *et al.* 2015), amoebic gill disease  
624 (Karlsbakk *et al.* 2013), and *Aeromonas salmonicida* (Treasurer 2012), further supporting certification  
625 of disease-free cultured cleaners.

626         There have been no observations of either client (salmonid) or cleaner (labrid or lumpfish)  
627 communication to cooperate prior to cleaning interactions in the farms or laboratory (e.g. Imslund *et al.*  
628 2014a, b). However, the wrasse species do hover above the seabed in the wild and clean fishes that  
629 remain stationary in their territory (Costello 1993b, MJC personal observations). It is possible that this  
630 communication has been overlooked in captivity, or that the cleaning interactions in intensive cage-  
631 culture simply reflect incidental cleaning (opportunistic mutualism) and not true cleaning symbiosis.

632 In tropical aquaculture the cleaner gobies of the genus *Elacatinus* have been investigated for  
633 their potential as biological controls against ectoparasites, particularly against monogeneans. *Elacatinus*  
634 *genie* (Böhlke and Robins, 1968) (Gobiidae) and *Elacatinus oceanops* Jordan, 1904 (Gobiidae) have  
635 shown promise against the problematic monogenean *Neobenedenia melleni* (MacCallum, 1927)  
636 Yamaguti, 1963 (Capsalidae) on cultured euryhaline tilapias (Cowell *et al.* 1993), and *Elacatinus figaro*  
637 Sazima, Moura and Rosa, 1997 (Gobiidae) was recently tested successfully for its efficacy against *N.*  
638 *melleni* on the aquaculture candidate species *Epinephelus marginatus* (Lowe, 1834) (Serranidae) in  
639 Brazil (de Souza *et al.* 2014). *Elacatinus oceanops* has also been used successfully with cultured mutton  
640 snapper (*Lutjanus analis* (Cuvier, 1828), Lutjanidae) and greater amberjack (*Seriola dumerili* (Risso,  
641 1810), Carangidae) (Benetti *et al.* 2007; de Souza *et al.* 2014), and cobia (*Rachycentron canadum*  
642 (Linnaeus, 1766), Rachycentridae) broodstock (Benetti *et al.* 2007). Tropical cleaner wrasse species  
643 have not yet been considered for aquaculture. *Labroides dimidiatus* is, however, used as a biological  
644 control against ectoparasites in public aquaria (Paul Lötter pers. comm.), and cleaner fish were  
645 suggested as a biological control for the ectoparasites of captive rays by Chisholm *et al.* (2004).

646 Cleaner shrimp have not been used as biological controls in aquaculture. However, Becker and  
647 Grutter (2004) and Militz and Hutson (2015) suggested their potential benefits for ectoparasite control  
648 in aquaculture. One of the advantages of cleaner shrimp over cleaner fishes in aquaculture is their  
649 unlikely function as disease reservoirs or vectors compared with cleaner fishes (Militz and Hutson  
650 2015), given the paucity of reports of diseases affecting shrimp being transmitted to fishes. Cleaner  
651 shrimp also actively consume environmental parasite stages such as monogenean eggs and larvae  
652 (Militz and Hutson 2015) which implies their usefulness as direct and indirect cleaners. They could be  
653 integrated into sections of the aquaculture system itself, away from client fishes, particularly in  
654 recirculating systems. There may also be value in the integration of both cleaner wrasse and shrimp in  
655 combination in aquaculture.

656 It has been documented that some client fishes change colour during posturing; its reason is  
657 unclear. Future research priorities should include the investigation of possible cleaner and client  
658 recognition by ultraviolet reflective patterning, and whether client posturing may enhance visualisation.  
659 Indeed, communication by other sensory mechanisms also require study. Additionally, understanding



660 the ecological role of cleaner shrimp can be advanced using a combined morphological and molecular  
661 investigation of gut contents to elucidate the diversity of prey items consumed.

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669 **Conflict of interest**

670 The authors declare no conflicts of interest.

671

672 **Acknowledgements**

673 We wish to acknowledge the support of, and comments on the manuscript by Howard Feder (retired),  
674 to whom this paper is dedicated. We thank Martin Gomon (Museum Victoria, Australia) for checking  
675 the fishes' taxonomy.

676

677

678 **References**

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#### 1451 **Supporting information**

1452

1453 Additional supporting information can be found in the online version of this article.

1454 **Fig. S1.** *Chaetodon kleinii* juvenile cleaning a *Chaetodon blackburnii* infected with the parasitic  
1455 dinoflagellate *Amyloodinium ocellatum* in captivity at the Two Oceans Aquarium, Cape Town, South  
1456 Africa.

1457 **Fig. S2.** Cleaner fishes and shrimp family-level diversity.

1458 **Table S1.** List of fishes currently considered as cleaners.

1459 **Table S2.** List of crustaceans currently considered as cleaners.

1460 **Table S3.** Ectoparasite or epibiont categories and their nutritional source, from the gut contents of wild  
1461 cleaners, or removed and consumed by captive cleaners.

1462

1463 Figure legends:

1464 **Figure 1.** Symbiosis is the collective term for commensal, mutual and parasitic associations between  
1465 organisms. Cleaning symbiosis and incidental cleaning are considered mutualistic associations under  
1466 symbiosis.

1467 **Figure 2.** Cumulative records of different cleaner fishes and shrimp.

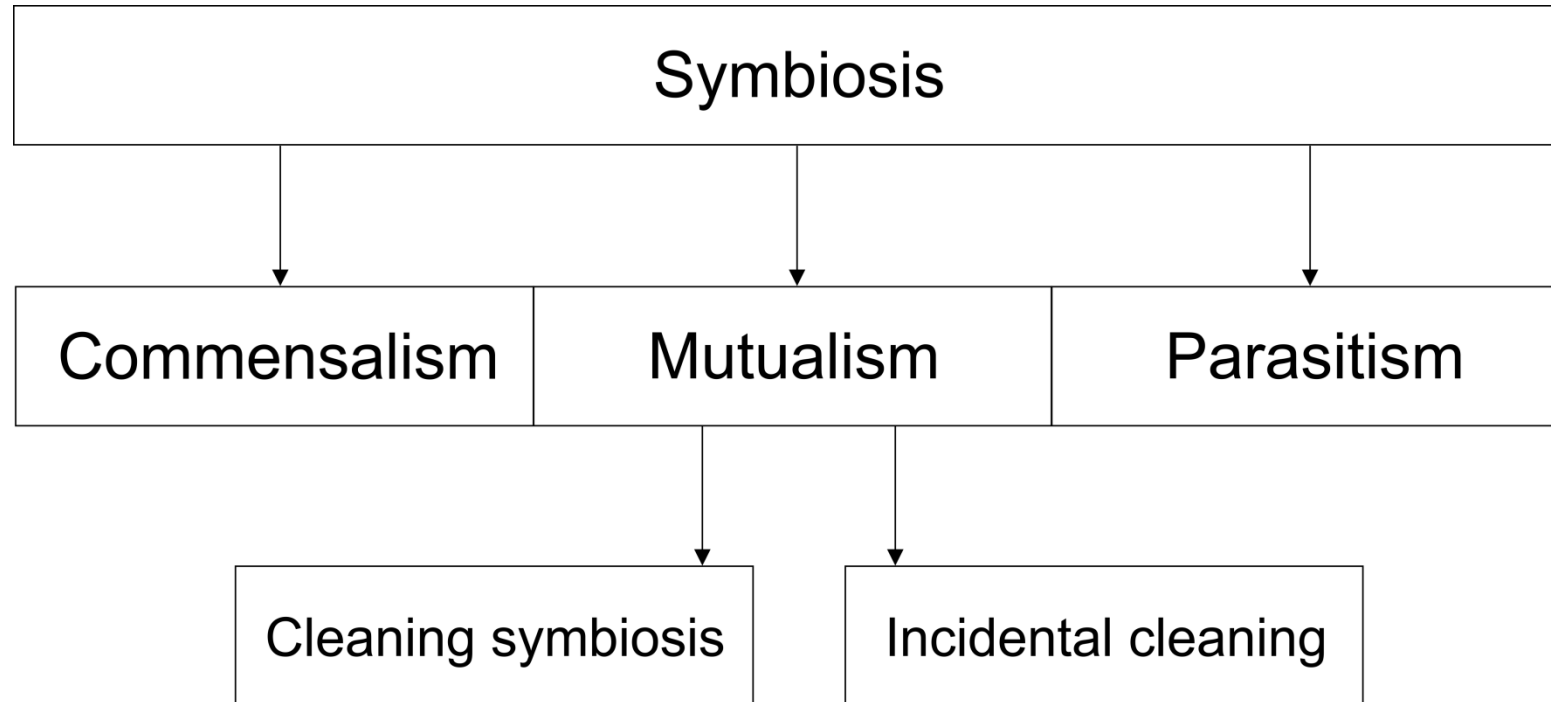
1468 **Figure 3.** Cleaner fishes reported per region from the research cited in Table S1, expressed as a  
1469 percentage of the total per family. Note: this is not a depiction of regional diversity or taxa  
1470 distributions, rather an estimate of regional research to demonstrate understudied areas for future  
1471 focus.

1472 **Figure 4.** Cleaner shrimp reported per region from the research cited in Table S2, expressed as a  
1473 percentage of the total per family. Note: this is not a depiction of regional diversity or taxa  
1474 distributions, rather an estimate of regional research to demonstrate understudied areas for future  
1475 focus.

1476 **Figure S1.** Juvenile *Chaetodon kleinii* Bloch, 1790 cleaning *Chaetodon blackburnii* Desjardins, 1836  
1477 infested with *Amyloodinium ocellatum* (E.Brown) E.Brown and Hovasse, 1946.

1478 **Figure S2.** Cleaner fishes and shrimp family-level diversity.

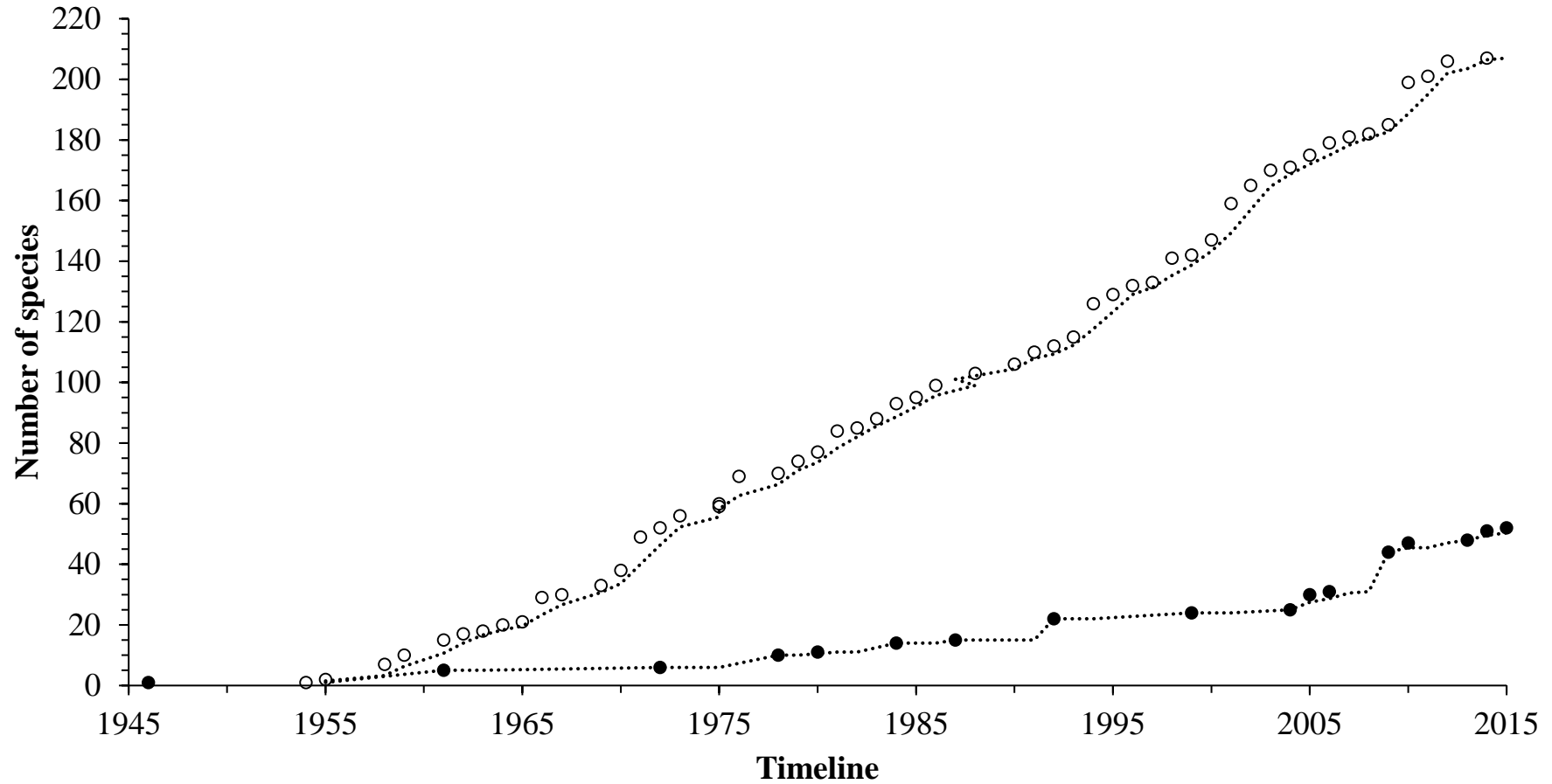
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**Fig. 1.** Symbiosis is the collective term for commensal, mutual and parasitic associations between organisms. Cleaning symbiosis and incidental cleaning are considered mutualistic associations under symbiosis.



## Cumulative records of different cleaner fishes and shrimp

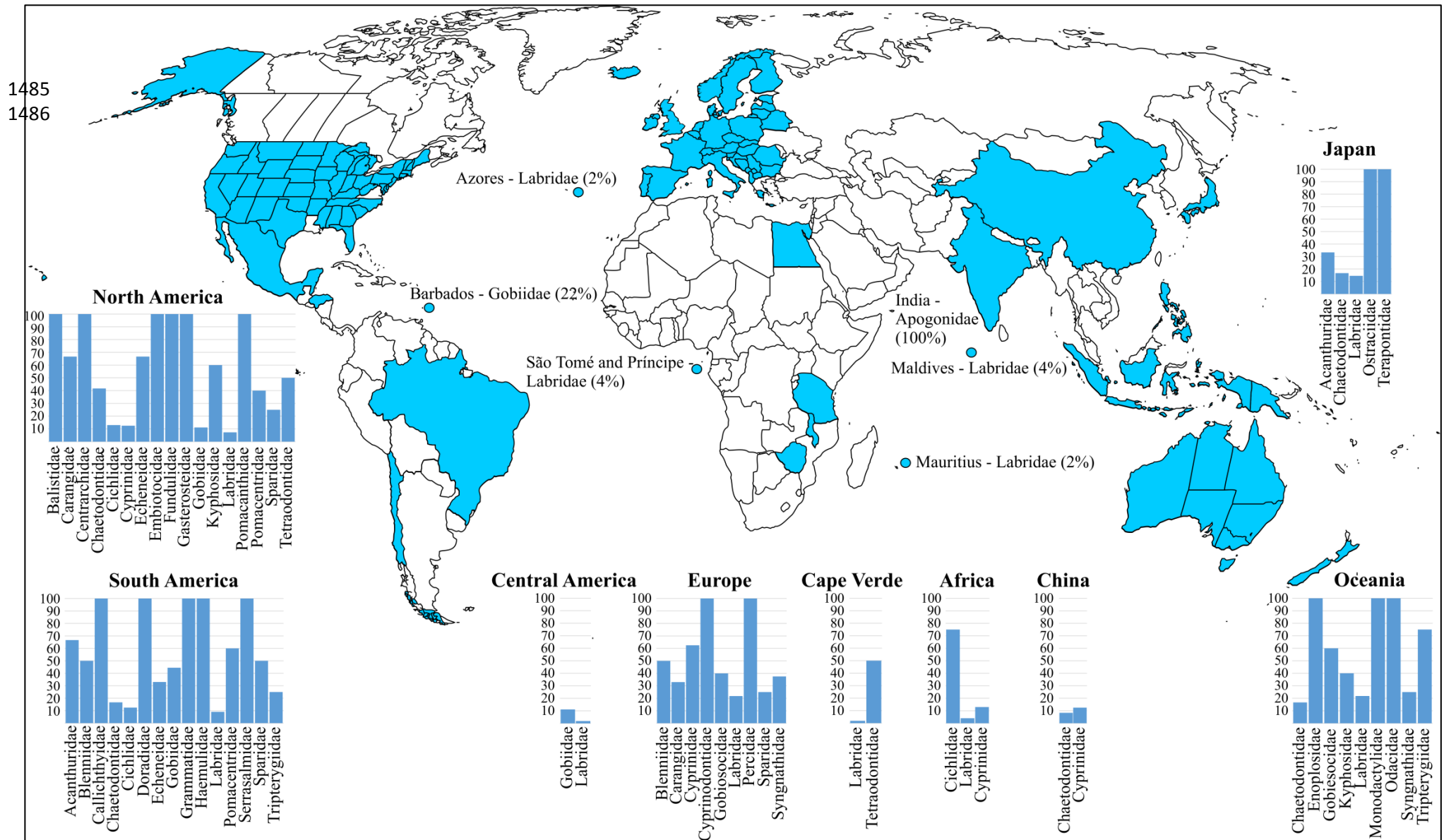


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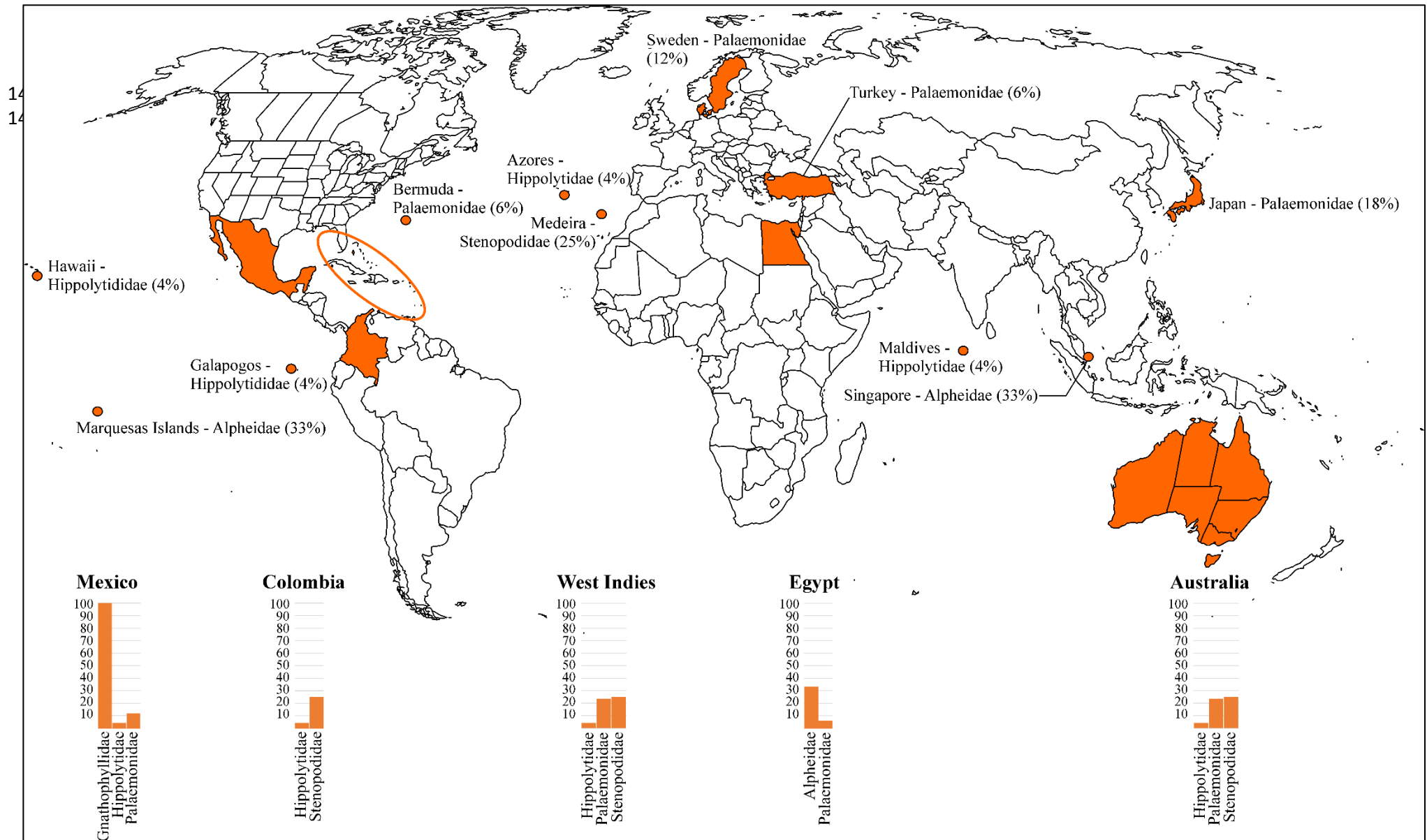
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**Fig. 2.** Comparison of cumulative records of cleaner fish (circles) and shrimp (solid dots) species over the past ~50 years.



**Fig. 3.** Cleaner fishes reported per region from the research cited in Table S1, expressed as a percentage of the total per family. Note: this is not a depiction of regional diversity or taxa distributions, rather an estimate of regional research to demonstrate understudied areas for future focus.



**Fig. 4.** Cleaner shrimp reported per region from the research cited in Table S2, expressed as a percentage of the total per family. Note: this is not a depiction of regional diversity or taxa distributions, rather an estimate of regional research to demonstrate understudied areas for future focus.