

1 Accepted in *Journal of Archaeological Science: Reports*

2
3 Hypotheses to Explain the Few Early Coastal Archaeological Deposits in
4 Sāmoa: Preliminary Evaluations

5
6 Ethan E. Cochrane^{a*}, Aumua Ausilafai Matiu Matavai Tautunu^b, Robert J. DiNapoli^c

7
8 ^aThe University of Auckland, Anthropology, Private Bag 92019, Auckland 1142, New
9 Zealand

10 ^bThe National University of Sāmoa, Centre for Samoan Studies, P.O. Box 1622, Le
11 Papaigalagala Campus, To‘omatagi, Sāmoa

12 ^cDepartment of Anthropology, University of Oregon, 1218 University of Oregon, Eugene OR
13 97403, USA

14
15 *corresponding author: e.cochrane@auckland.ac.nz

16
17 Abstract:

18 The Remote Oceanic archipelagos from Vanuatu to Sāmoa were first occupied 3000 years
19 ago by populations with Lapita pottery at over 100 colonization sites. In Sāmoa, however, the
20 first millennium of settlement is comprised of only a few isolated archaeological sites, and
21 only one with Lapita pottery. This unique archaeological record is typically explained as a
22 result of isostatic subsidence that destroyed or displaced more numerous coastal colonization
23 sites. Three additional hypotheses may account for this pattern. First, few coastal flats may
24 have existed for settlement, limiting occupation of the archipelago. Second, terrestrial
25 geological processes may have destroyed what were once more numerous sites. Third, the

26 few early and isolated sites in Sāmoa may reflect a small population of colonists resulting
27 from demographic processes, including wave-front population density, or the Allee effect.
28 We conducted a preliminary examination of the first two alternative hypotheses through a
29 programme of coring and excavation across three coastlines on ‘Upolu island, Sāmoa. Sub-
30 surface sediment data suggest both hypotheses may be valid explanations in different coastal
31 settings. We propose additional research to test this possibility.

32

33 **Keywords:** Sāmoa, Lapita, beach ridge, colonization

34

35 **Highlights:**

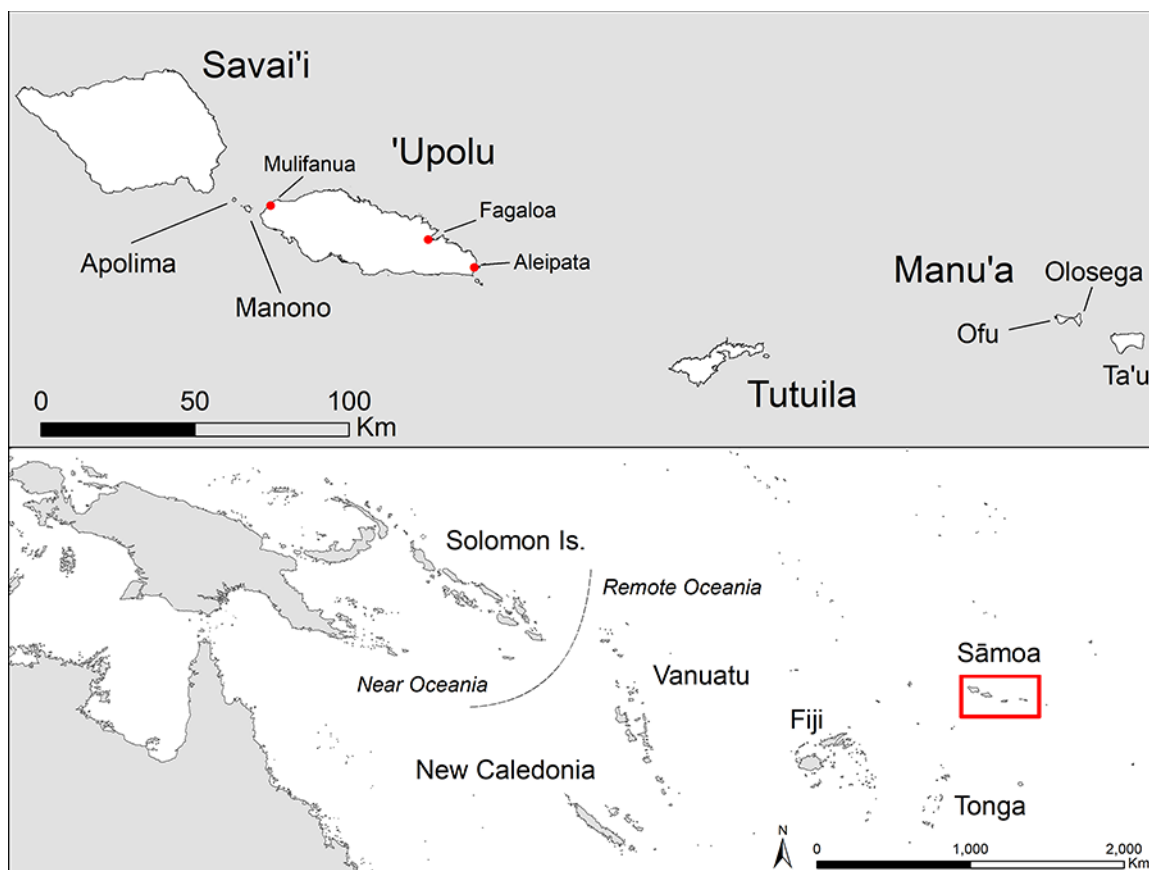
- 36 • Subsurface sampling in three contrasting coastal areas on ‘Upolu island.
- 37 • Sedimentological and chronological data reveals varied depositional histories
- 38 • Lack of coastal flats and geological destruction may explain archaeological record

39

40 1.0 Introduction

41 Lapita pottery sites in Remote Oceania date between approximately 3000 and 2700
42 cal BP (Sheppard et al. 2015; Rieth and Cochrane 2018) and are spread across beach ridges
43 of the region's archipelagos (Dickinson 2014), recording first human colonization of the
44 southwest Pacific (Figure 1). In Sāmoa there is a distinct lack of Lapita sites, defined by the
45 eponymous ceramics, a puzzle that has preoccupied archaeologists for almost 50 years (e.g.,
46 Clark 1996; Burley and Addison 2018; Green 1974, 2002). Post-Lapita sites, including
47 deposits dated to the first 1000 years of Sāmoan settlement are also extremely limited
48 compared to nearby Tonga and Fiji (Cochrane et al. 2013; Clark et al. 2016). The generally
49 accepted explanation for Sāmoa's unique archaeological record of the first 1000 years is that
50 relative island subsidence has destroyed or displaced the archaeological deposits that must
51 have existed in greater numbers along coastlines (Dickinson and Green 1998; Green 2002).
52 This has been demonstrated for Sāmoa's single Lapita pottery site at Mulifanua on 'Upolu's
53 northwest coast (Figure 1; Dickinson 2007). The Mulifanua deposit containing Lapita
54 pottery, lithics, and faunal remains dates to ca. 2750 cal BP and was discovered over 100 m
55 offshore beneath a layer of beachrock during mechanical excavation for a car-ferry berth
56 (Petchey 1995, 2001; Leach and Green 1989). Additional geoarchaeological and geological
57 studies have shown that 'Upolu is subsiding due to Savai'i island's lithospheric loading, and
58 it is subsiding at a faster rate in the west near Savai'i than in the east (Kane et al. 2017;
59 Goodwin and Grossman 2003), although possible tectonic influences on differential
60 subsidence along a north- to south-coast gradient have not been investigated.

61



62

63 Figure 1. The southwest islands of the Pacific Ocean with the islands of Sāmoa and project
 64 areas (inset).

65

66 Island subsidence, however, may not be the correct explanation for the *general* lack of
 67 archaeological sites dating to first 1000 years of Sāmoan settlement. After extensive
 68 archaeological research on Tutuila island, the oldest documented site dates to approximately
 69 300 years after Mulifanua (Rieth and Hunt 2008). In the small islands of the Manu'a group
 70 farther east (Figure 1), Clark et al.'s (2016) Bayesian model suggests that the start of human
 71 occupation on Ofu begins 2774-2647 *cal BP* (95.4% HPD), just after or coeval with
 72 occupation of Mulifanua (see also Petchey and Kirch 2019). Tutuila and the Manu'a group
 73 are not subsiding under influence from Savai'i as they are too far east, although Dickinson
 74 (2007) notes that other possible isostatic and eustatic effects have not been thoroughly
 75 investigated. Kirch (1993), too, has proposed that volcanic activity around Ta'u may have

76 caused the burial of early cultural deposits there by over 3 m of sediment. Therefore, other
77 explanations besides island subsidence are necessary to account for the negligible
78 archaeological record throughout Sāmoa for the first 1000 years.

79 A possible explanation has been offered by Reith and colleagues (2008). Their *coastal*
80 *flats* hypothesis proposes that there were very few sandy coastal flats (one form of beach
81 ridge; see Dickinson 2014) in Sāmoa earlier than approximately 2300-2000 cal BP. As these
82 landforms were favoured for occupation elsewhere in the Lapita and early post-Lapita range,
83 would-be colonizers may have largely avoided Sāmoa for other islands where sandy coastal
84 flats were prevalent. A second hypothesis proposes that terrestrial geological processes may
85 have destroyed what were once more abundant archaeological sites in the first millennium of
86 Sāmoan settlement. This *terrestrial destruction* hypothesis is not mutually exclusive with
87 relative sea-level rise. A third hypothesis was proposed by Cochrane et al. (2013) who
88 suggest Sāmoan colonists were both few in number and relatively isolated in different areas
89 of the archipelago, such that the lack of Lapita and immediately post-Lapita sites accurately
90 reflects demography. More recently, Cochrane (2018) further developed this *demographic*
91 hypothesis, suggesting that the Allee effect (Allee et al. 1949; Courchamp et al. 1999)
92 provided a mechanism by which small, isolated populations could experience low or negative
93 growth due to a reduction in the number of cooperative interactions between individuals.

94 Here we report a preliminary investigation of the coastal flats and terrestrial
95 destruction hypotheses. We deployed auger cores and excavation trenches in coastal settings
96 of ‘Upolu, including Mulifanua, Fagaloa, and Aleipata (Figure 1). Our work supports the
97 coastal flats hypothesis, but we argue that additional work is necessary to thoroughly test this
98 and the terrestrial destruction hypothesis. We discuss how this additional work can best
99 proceed.

101 2.0 Methods

102 Auger cores were excavated to identify subsurface layer characteristics and other data
103 useful for preliminary reconstructions of paleocoastal landforms and depositional histories.
104 Auger cores were generally placed in transects perpendicular to current coastlines and across
105 the slope break from the coast to the interior. Auger locations were recorded with a GPS unit
106 to approximately 0.5 m horizontal precision. Standard procedures were used to recover cores
107 using an 8 cm diameter bucket. As sediments were not examined in situ, they were described
108 using an abbreviated United States Department of Agriculture (USDA) system and grain
109 sizes were estimated using the Wentworth scale. Layer transitions were described when
110 possible. All layer data for each core are available at Cochrane et al. (2019). Similarly
111 labelled layers (e.g., Layer III) in different cores in this dataset do not necessarily represent
112 the same depositional unit.

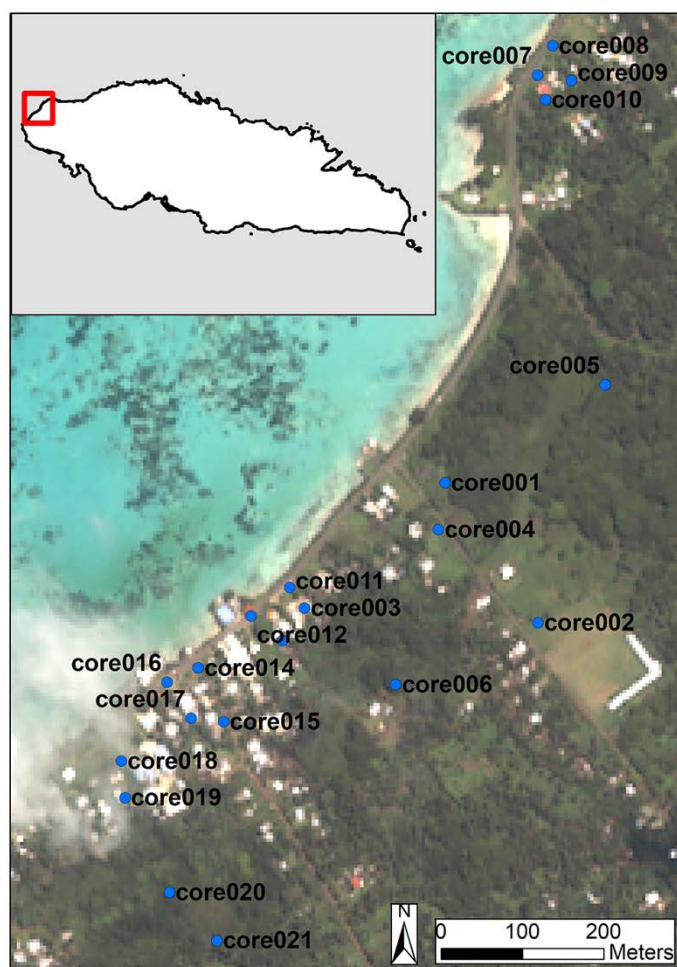
113 Considering the coastal flats hypothesis, we also conducted geostatistical interpolation
114 analyses on the most recent extent of subsurface carbonate sand layers. We modelled only the
115 most recent extent as correlating the basal depths of these layers from different cores was
116 not possible due to large variation in the distinctiveness of lower boundaries. These
117 geostatistical interpolations provide foundations for further geoarchaeological research (e.g.,
118 Morrison et al. 2018) to be coupled with detailed chronologies. We conducted Ordinary
119 Kriging using either a Gaussian semivariogram model or a spherical semivariogram model.
120 Models were selected to best optimize the fit between the sample and model variogram.
121 Analyses were conducted in R (R Core Team 2017) using the gstat package (Pebesma and
122 Heuvelink 2016). All code, core descriptions, GIS and other data needed to reproduce these
123 analyses are available at Cochrane et al. (2019).

124

125 3.0 Results

126 3.1 Mulifanua

127 A submarine Lapita assemblage approximately 115 m offshore has already been
128 identified at Mulifanua (Dickinson and Green 1998; Petchey 1995), but terrestrial
129 archaeological excavation has never been conducted. Twenty-one auger cores were placed
130 within an approximately 0.28 km area in Mulifanua village (Figure 2), primarily to the
131 evaluate the terrestrial destruction hypothesis, but also to provide information on the possible
132 extent of the paleo beach-ridge. Median core depth was 1.24 m, with a maximum of 2.63 m.
133 Cores placed in the south-western portion of Mulifanua, and within about 100 m of the
134 current coastline, typically revealed loamy sediments grading into sands. This area is also
135 low-lying, swampy and the water-table was encountered between 0.6 and 0.9 m below
136 ground. Cores here were abandoned at variable depths, typically about 1.4 m (see
137 supplementary data at Cochrane et al. 2019), due to subsurface water that prohibited recovery
138 of sediment in the auger bucket. The coastal-inland width of this low-lying area is variable
139 across the village and silty clay sediments with basalt cobbles and boulders were encountered
140 in cores placed inland of it, on the slope-break leading to higher elevation (cores 5, 6, 9, 10).
141 These inland cores were all abandoned before reaching 1 m due to impassable rocks.



142

143 Figure 2. Mulifanua project area, 'Upolu, Sāmoa.

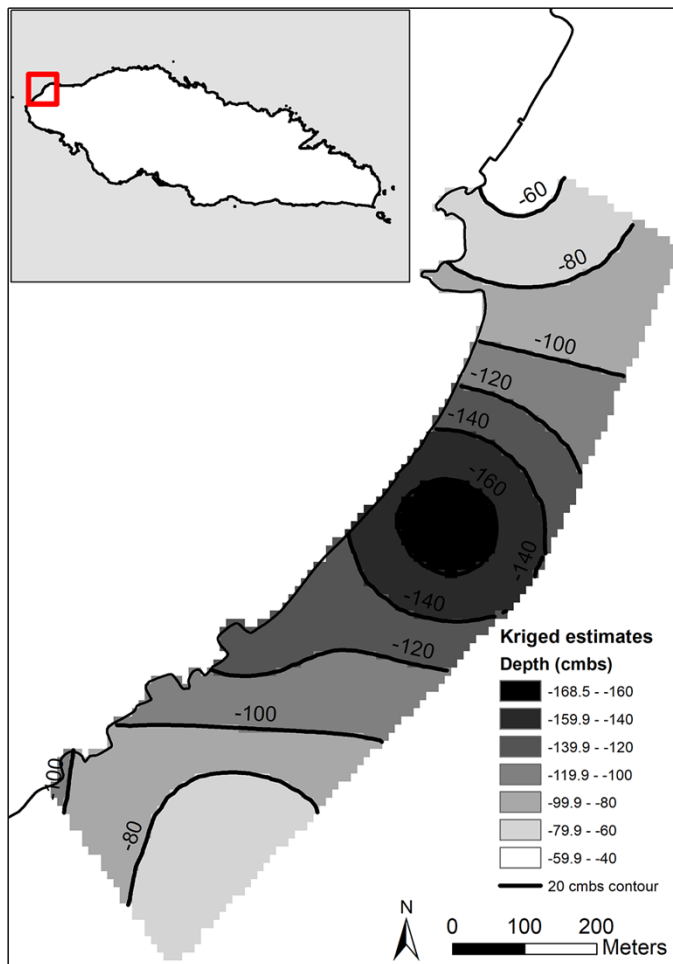
144

145 Cultural material encountered in the cores amounts to archaeological shell in the top
 146 layer of core 1, and charcoal chunks and staining in cores 9 (at 0.9 m below surface) and 13
 147 (from 0.74 to 1.4 m below surface). The charcoal was not collected as it was not clearly
 148 associated with a particular archaeological event. Aside from these finds, the cores reveal no
 149 clear evidence of human presence in any of the strata below the surface layer.

150 The lack of subsurface finds contrasts with Dickinson and Green's (1998:243)

151 characterisation of the Mulifanua offshore Lapita deposit as a terrestrial coastal midden. This

152 midden subsided into the tidal zone after which superposed carbonate sand formed into
153 beachrock. Possible beach rock was encountered in core 7 at approximately 1.5 m below the
154 land surface, but this appears too shallow to be the same formation capping the Lapita
155 deposit. Only Core 1 attained a depth approaching the Lapita deposit depth and revealed
156 carbonate sand strata, but this core did not encounter beachrock or cultural materials.
157 Multiple cores did, however, reveal sand sediments similar to that stratigraphically below the
158 offshore Lapita deposit, carbonate sand with basalt pebbles and corals as found in Cores 4,
159 11, 17, 19, and 20. Taking these observations together, the auger cores suggest that a similar
160 depositional environment of reef and shell derived carbonate sands with minor basalt inputs
161 has prevailed in some coastal areas of Mulifanua since Lapita times up to the interface of the
162 carbonate sand layer and the overlying terrigenous deposits identified in the cores. The top
163 surface of this carbonate sand layer is modelled from Cores 1, 3, 7, 11-15, and 17-20. The
164 Kriged interpolation of the depth of carbonate sand deposits within the Mulifanua cores
165 reveals relatively shallow depths (e.g., 0.60-0.70 mbs) in the southwestern and northeastern
166 portions of the survey area and deeper deposits (e.g., 1.40-1.60 mbs) in the central region of
167 our study area (Figure 3).



168

169 Figure 3. Kriged interpolation of the top of the Mulifanua subsurface carbonate sand deposit.

170

171 3.2 Fagaloa

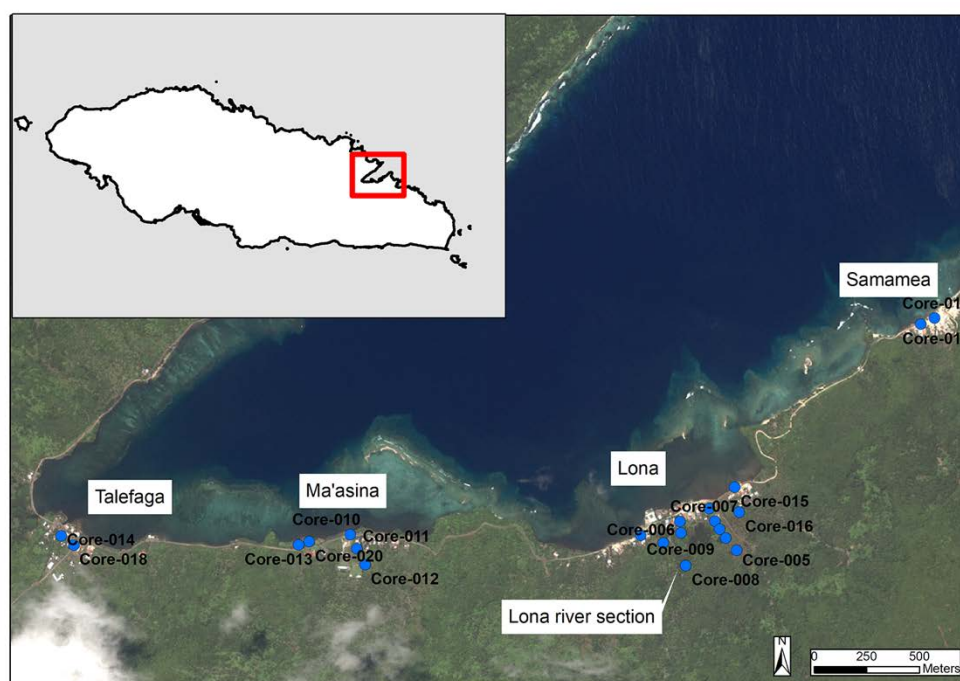
172 Twenty auger cores were placed in four villages spread along approximately 2 km of
 173 coastline in Fagaloa (Figure 4). Median core depth was 1.26 m, with a maximum of 2.34 m.

174 At the western end of the coastline in Talefaga Village, cores 14 and 18 reached a maximum
 175 depth of 1.45 and 1.89 m below the surface, respectively, after encountering impassable rock.

176 Both cores contain carbonate sand sediments in the upper layers, a result of modern fill

177 episodes (related by landowners), and lower layers of increasing clay content, and basalt

178 gravels and cobbles. Charcoal is found throughout both cores. To the east in Ma'asina
 179 Village, cores 10, 13, and 20, all within 25 m of the ocean, encountered loams and sands (of
 180 both basaltic and carbonate composition), some layers with charcoal, but no clear evidence of
 181 occupation (cf., Morrison et al. 2018). These cores were excavated to a maximum depth of
 182 1.8 m and were abandoned as increasing subsurface water prohibited recovery of sediment in
 183 the auger bucket.. Cores 11 and 12, 100 and 150 m inland respectively, encountered features
 184 associated with the present village (core 11), and a colluvial deposit (core 12), and both were
 185 abandoned due to impassable rock at 0.84 m and 1.26 m, respectively.



186

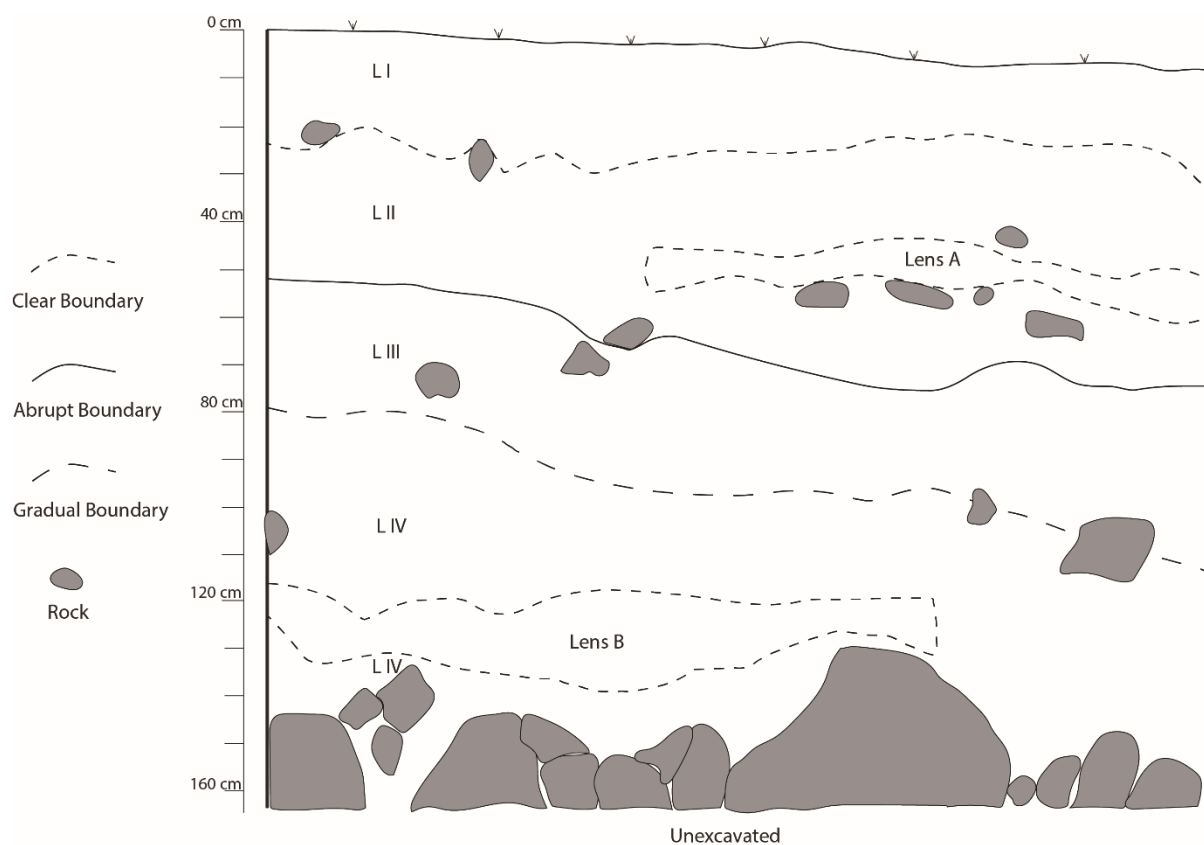
187 Figure 4. Fagaloa-tai project area, 'Upolu, Sāmoa.

188

189 The majority of Fagaloa cores were placed in Lona, the largest village along this
 190 coastline. Cores (except core 9) were placed in transects running coast-inland and document
 191 layers of mostly clays and clay loams with an increasing abundance of larger sized basalt

192 clasts with depth (e.g., gravel, cobbles). Maximum core depths varied greatly, some reaching
193 2 m, while others were abandoned at less than a metre. All cores were abandoned due to
194 impassable rock, or after reaching the water table that prohibited recovery from greater
195 depths. Charcoal, some deposited in thin bands, was encountered in cores 3-5, and 7.

196 A stratigraphic section exposed by stream-incising at the western end of the village
197 was faced, profiled, and samples were obtained for charcoal and plant microfossil analysis.
198 The depositional sequence revealed by the section (Figure 5 and Table 1) shows
199 anthropogenic deposits, including large-scale burning events, atop alluvial boulders
200 approximately 1.5 m below the ground surface. Like the cores (e.g., Cores 3-8) the stream
201 section reveals increasingly cobbly deposits with depth. The burn events contain charcoal
202 from short-lived species dating to 1173-962 cal BP (Beta-448392, 95.4%) for the lower Lens
203 B, and 539-482 cal BP (Beta-448393, 95.4%) for the upper Layer II (Table 2). Charcoal
204 from the approximately 1000 cal BP burn deposit includes breadfruit (*Artocarpus altilis*), a
205 Polynesian introduced crop, Malvaceae and unknown hardwood, while the ca. 500 cal BP
206 burn deposit also includes *A. altilis*, *Calophyllum* sp., cf. *Kleinhovia hospita*, and Fabaceae.



207

208 Figure 5. West profile of Lona river section, Lona, Fagaloa-tai, 'Upolu.

209

210 Table 1. Archaeologically identified deposits in Lona river cut.

Depositional Unit	Description	Depositional interpretation
I	10YR 3/2; sandy clay loam; clear, wavy boundary; very fine sub-angular blocky structure; very friable consistence; few micro roots; < 10% gravels – cobbles, rounded – well-rounded; charcoal flecks	Recent topsoil
II	10YR 2/1; sandy clay; abrupt – gradual, wavy boundary; very fine sub-angular blocky structure; friable consistence; very few micro roots; ~ 10% gravels – cobbles, very angular – well-rounded; abundant charcoal chunks, flecks, staining	Anthropogenic large-scale burning
Lens A	10YR 3/2; sandy clay; clear boundary; very fine, sub-angular blocky structure; very friable consistence; no roots; <10% gravels – pebbles, sub-angular – well-rounded;	Deposit of Layer III within Layer II suggesting possibly associated with disturbance from Layer II event
III	10YR 4/3; sandy clay; gradual, wavy boundary; very fine, sub-angular blocky structure; friable consistence; no roots; <5% gravels – cobbles, sub-angular – well-rounded; charcoal flecks	Anthropogenic origins similar to Layer IV, but with less alluvial input

Lens B	10YR 3/1; sandy clay; clear lower boundary, gradual boundary to profile right; firm consistence; 30-40% gravels – pebbles, sub-angular – well-rounded; abundant charcoal chunks, flecks, staining	Anthropogenic, large-scale burning; lens appears discontinuously along exposed river section
IV	10YR 4/3; sandy clay; clear, irregular boundary; firm consistence; very few, medium roots; 30-40% pebbles – cobbles; sub-angular – well-rounded; charcoal chunks	Combination of anthropogenic & high-energy alluvial deposition

211

212 Table 2. Radiocarbon sample data for Lona and Samamea. See Cochrane et al. (2019) for

213 Bayesian estimates for Samamea.

Provenience	Lab No.	Sample Material	$^{13}\text{C}/^{12}\text{C}$ Ratio (‰)	Conventional Radiocarbon Age (BP)	Calibrated 2 sd age range (BP)*
Lona River Section, Layer II	Beta-448393	cf. <i>Erythrina</i> sp. charcoal	-26.0	460 ± 30	539-482 (95.4%)
Lona River Section, Lens B	Beta-448392	cf. <i>Guioa</i> sp. charcoal	-25.0	1130 ± 30	1090-962 (86.6%) 1145-1108 (5.6%) 1173-1159 (3.2%)
Samamea, Unit 1, Layer V, 118-130 cmbs†	Beta-472208	cf. <i>Commersonia bartramia</i>	-25.1	220 ± 30	309-267 (36.7%) 215-145 (44.7%) 17-0 (14%)
Samamea, Unit1, Layer X, 196-215 cmbs	Beta-472207	Unknown hardwood charcoal	-25.5	280 ± 30	452-447 (0.8%) 438-350 (54.3%) 334-284 (38.2%) 166-155 (2.1%)
Samamea, Unit 1, Layer XII, 242- 270 cmbs†	Beta-472206	Unknown hardwood charcoal (Leguminosea- Fabaceae)	-26.9	340 ± 30	481-311 (95.4%)
Samamea, Unit 1, Layer XII, 270- 280 cmbs†	Beta-472205	Unknown hardwood charcoal	-29.7	280 ± 30	452-447 (0.8%) 438-350 (54.3%) 334-284 (38.2%) 166-155 (2.1%)

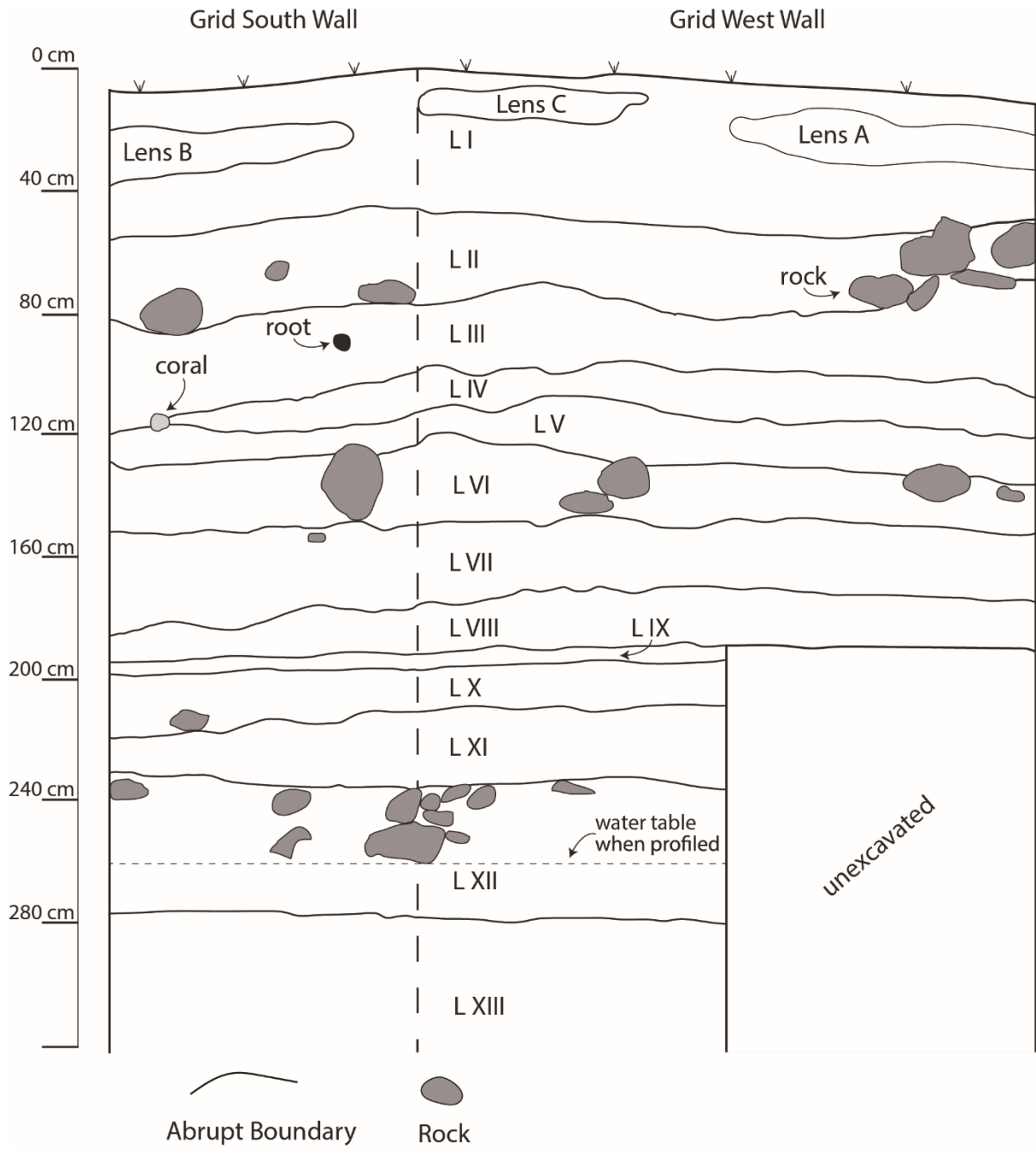
214 * Oxcal 4.3, IntCal 13 curve (Bronk Ramsey 2017; Reimer et al. 2013)

215 † sample retrieved in situ within layer sediment at indicated depth range

216

217 Two cores (17, 19) were placed in Samamea, the eastern-most village in Fagaloa-tai,
218 and retrieved sediment to depths of 2.34 m and 1.52 m, at which point they encountered
219 impassable rock. These cores uncovered a deep sequence of (carbonate) sandy deposits with
220 charcoal and shellfish food remains. A 2 x 1 m test unit was excavated nearby to further
221 explore the area. The excavation trench (Figure 6 and Table 3) revealed a depositional

222 sequence, comprising cultural colluvium with charcoal, lithic artefacts, shell and bone,
223 interspersed with marine deposits, some with high-energy inputs. Dates (Table 2) obtained on
224 charcoal in the cultural deposits were modelled in OxCal v.4.3.2 (Bronk Ramsey 2017) using
225 a sequential multi-phase model to estimate the start of deposition, and the ‘Span’ command
226 was used to estimate the overall duration of the entire deposit. The agreement index for the
227 model is 97.5 and 102.7 overall. The results indicate rapid deposition, with the lowest cultural
228 layer (XII) excavated to 2.8 mbs most likely originating between *479-304 cal BP* (95.4%
229 HPD) and an estimated span of 0-367 years (95.4%). OxCal script and modelled results of
230 this analysis are in Cochrane et al. (2019). Subsurface layer depth interpolation was not
231 undertaken with the Fagaloa sediments due to the difficulty of correlating layers in cores over
232 any likely meaningful spatial extent.



233

234 Figure 6. South and West walls of Test Unit 1, Samamea, Fagaloa-tai, 'Upolu.

235

237 Table 3. Archaeologically identified deposits in Samamea excavation.

Depositional Unit	Description	Depositional interpretation
I	2.5Y 6/3, light yellowish brown; abrupt (1 mm – 2.5 cm), smooth, lower boundary; weak, fine, crumb structure; very fine - medium (all sizes use Wentworth scale) sand; loose dry-consistence; very few medium roots; < 1% pebbles, basalt, not spherical & rounded (sphericity 0.5, roundness 0.7; Krumbein [1963]); ~ 5% pebbles, coral, not spherical & subangular (0.5, 0.3). Lens A: 5YR 2.5/2, dark reddish brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky; sandy clay loam; very friable moist-consistence; < 1% pebbles, basalt, not spherical & subrounded (0.5, 0.5); ~ 5% pebbles, coral, not spherical & subangular (0.5, 0.3); Lenses B & C: same as A, but greater than granule-sized clasts consist of ~ 80% cobbles, coral, not spherical & subrounded (0.5, 0.5)	Modern village surface sediment with coral sand & anthropogenic inputs
II	7.5YR 3/1, very dark gray; clear (2.5 - 7.5 cm), wavy lower boundary; weak, very fine, subangular blocky structure; sandy clay; friable moist-consistence; common, medium roots; ~ 20% cobbles - boulders, basalt, spherical - not spherical & subrounded (0.3 - 0.9, 0.5); > 50% pebbles, coral, not spherical & subrounded (0.5, 0.5)	Anthropogenic colluvium, abundant charcoal & shell with some marine deposition
III	7.5YR 3/1, very dark gray; abrupt, wavy lower boundary; weak, very fine, subangular blocky; friable, moist-consistence; sandy clay; very few coarse, few medium - fine, roots; ~ 5% pebbles, basalt, not spherical & rounded - subrounded (0.5, 0.7 - 0.5)	Anthropogenic colluvium, abundant charcoal & shell; rock & coral feature at base of layer, resting on surface of IV
IV	2.5Y 6/3, light yellowish brown; abrupt, wavy lower boundary; weak, fine crumb structure; loose dry-consistence; fine - medium sand; ; ~ 1% pebbles, basalt, not spherical & subrounded (0.5, 0.5); ~ 5% pebbles - cobbles, coral, not spherical & subrounded (0.5, 0.5); very few, fine roots	Marine deposit
V	10YR 3/2, very dark grayish brown; abrupt, wavy, lower boundary; weak, very fine, subangular blocky structure; very friable moist-consistence; sandy clay; ~ 5 - 10% pebbles - cobbles, basalt, not spherical & rounded - subrounded (0.5, 0.7 - 0.5); very few, fine roots; charcoal flecks & chunks (~ 2 cm)	Anthropogenic colluvium; abundant charcoal
VI	10YR 6/3, pale brown; abrupt, smooth lower boundary; weak, fine crumb structure; very friable moist-consistence; very fine - medium sand; ~ 15 - 20% cobbles - boulders, basalt, not spherical & rounded -subrounded (0.5, 0.7 - 0.5); ~ 1 - 5% pebbles - cobbles, coral, not spherical & sub-angular - subrounded (0.5, 0.3 - 0.5)	Marine deposit with some high-energy inputs; relatively unbroken, sparse shell, probably natural
VII	7.5YR 3/2, dark brown; abrupt, wavy lower boundary; weak, very fine subangular blocky structure; friable, moist-consistence; silty clay; ~1 - 5%	Anthropogenic colluvium with

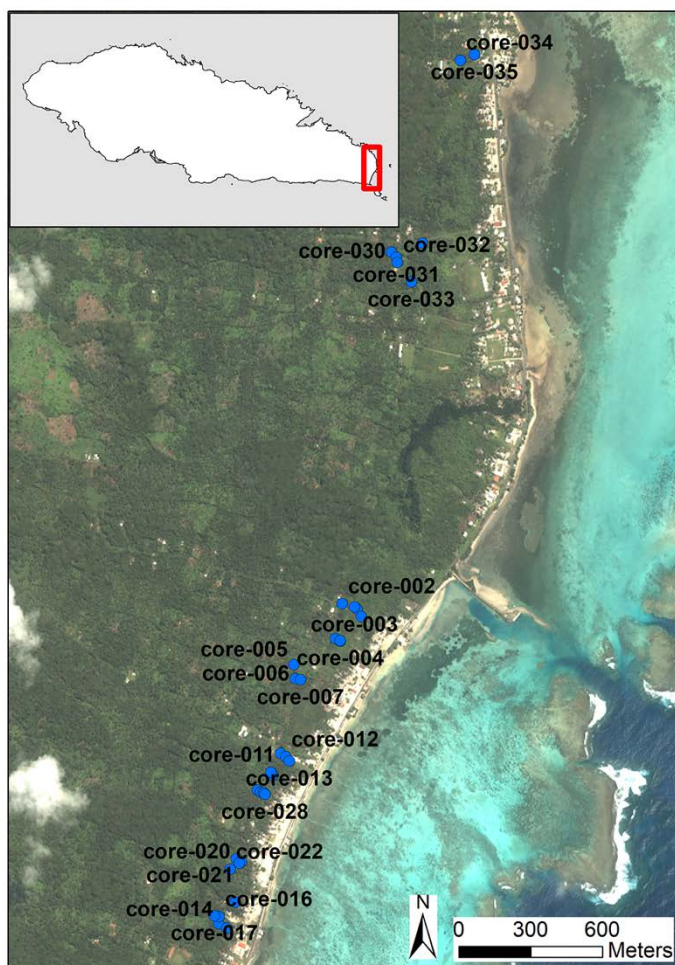
	pebbles - cobbles, basalt, not spherical & subrounded (0.5, 0.5); very few fine - medium roots; charcoal flecks & chunks (up to 1 cm)	relatively more artefactual material than shallower layers
VIII	10YR 2/3, dark yellowish brown; abrupt, wavy lower boundary; weak, very fine subangular blocky structure; very friable moist-consistence; sandy clay	Anthropogenic deposit, lower transport energy than shallower deposits
IX	2.5Y 5/3, light olive brown; abrupt, wavy lower boundary; weak, very fine, crumb structure; very friable moist-consistence; fine - medium sand; < 1% pebbles, coral, spherical & subrounded (0.7, 0.5)	Low energy marine deposit
X	10YR 3/2, very dark grayish brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky structure; friable moist-consistence; sandy clay loam; ~ 1% cobble, basalt, spherical - not spherical & rounded - subrounded (0.9 - 0.5, 0.9 - 0.5); ~20% pebble, coral, not spherical & rounded (0.5, 0.9); very few, very fine roots; charcoal flecks	Anthropogenic deposit
XI	7.5YR 3/2, dark brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky structure; very friable moist-consistence; silty clay; ~ 50% gravel to small pebble, basalt, spherical & subrounded - rounded (0.9, 0.7-0.9); very few, fine - very fine roots	Anthropogenic deposit
XII	7.5YR 2.5/2, very dark brown; sandy clay; ~ 70% cobbles, basalt, not spherical, & subrounded (0.5, 0.7); ~ 10% cobbles, coral (decomposing), not spherical & subangular (0.5, 0.3); very few, medium - fine roots; beach rock present; complete description not possible due to fluctuating water table in excavation	Anthropogenic deposit
XIII	Systematic layer description not possible as layer under water table; layer texture is carbonate sand with ~ 30% basalt sand (possibly derived from Layer XII); not spherical & subrounded coral cobbles & basalt granules - pebbles present.	Marine deposit

238

239 3.3 Aleipata

240 Forty-one auger cores were placed along ‘Upolu’'s eastern coastline (Figure 7). Cores
241 36-41 are located inland, between the two norther clusters of cores, but lack precise location
242 data and are not discussed (other core data included in supplementary material). Median core
243 depth was 1.65 m with a maximum depth of 2.8 m. Cores were abandoned when they
244 encountered impassable rock or the presence of the water table prohibited recovery from
245 greater depth. A string of villages along the eastern coastline of ‘Upolu blend into each other,
246 so the following summary is not organized strictly by village, but proceeds from north to
247 south. Cores 29-34 all revealed clay sediments up to 2.8 m deep, while core 35 uncovered a
248 loam and sand up to 2 m deep comprised of olivine rich terrigenous clasts. The coastline

249 between these most northern cores and cores 1-3 is swampy and was not investigated. The
250 subsurface sediments uncovered in cores 1-28 comprise a carbonate-sand paleobeach ridge
251 overlain by silty clays and silty clay loams up to 1.8 m thick. The subsurface carbonate sand
252 layer extends up to approximately 215 m inland in the north (core 3) and 130 m inland in the
253 south (core 21), associated with a narrowing of the current beach ridge at the southern end.
254 Cochrane et al. (2016) and Kane et al. (2017) previously identified the paleobeach-ridge
255 through analysis of the recovered core sediments from Satitua Village (cores 1-13).
256 Furthermore, Kane et al. (2017) generated geophysical models of Holocene sea level and
257 combined these with both high-precision topographic data and sedimentological analyses
258 such as grain micromorphology to determine that the beach ridge began to form about 2000
259 years ago during a marine transgression following the mid-Holocene high-stand. No
260 carbonate sand paleobeach-ridge was present before this time.



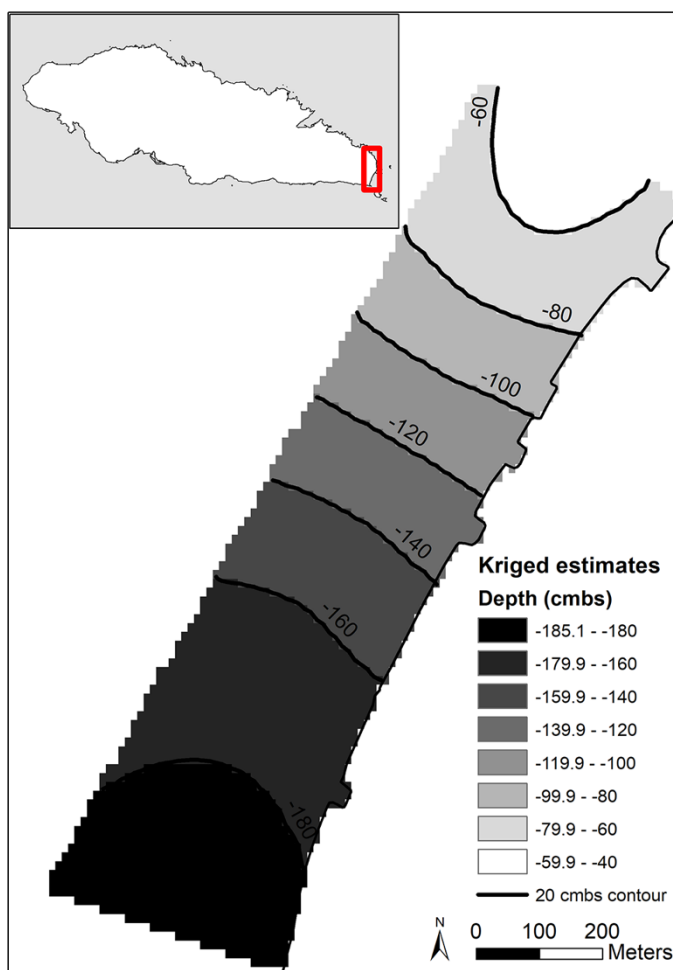
261

262 Figure 7. Aleipata project area, ‘Upolu, Sāmoa.

263

264 Cores 1-13 used in the Cochrane et al. (2016) and Kane et al. (2017) studies can now
 265 be combined with Cores 14-28 to the south in which the same carbonate sand layer was
 266 encountered (Cores 19, 21, 23, 25, and 35). The top depth of the carbonate sand across all
 267 these cores was interpolated to estimate the extent of the carbonate sand beach ridge and its
 268 more recent depositional history. Core 35 was removed from the interpolation given its large
 269 separation distance (> 2 km) from the other usable cores. Convergence of the modelled
 270 variogram on the sample variogram for Aleipata was unsuccessful after 200 iterations, though

271 a reasonable fit was still obtained with a spherical model (see Cochran et al. 2019). The
 272 Kriged interpolation of the top depth of carbonate sand deposits within the Aleipata cores
 273 reveals relatively lower depths (e.g., 0.60-0.80 mbs) in the northern portion of the study area
 274 trending to deeper deposits (e.g., 1.60-1.80 mbs) in the southern region portion (Figure 8).
 275 This corresponds to probable increased colluvial deposition on top of the carbonate sand
 276 layer in the south where there is a steeper coastal to inland gradient.



277

278 Figure 8. Kriged interpolation of Aleipata sand deposits.

279

280 4.0 Discussion

281 The core, excavation, and chronological data from Mulifanua, Fagaloa and Aleipata
282 provide a useful starting point for evaluating two geological explanations, the coastal flats
283 and terrestrial destruction hypotheses, that may account for the negligible coastal
284 archaeological record over approximately the first millennium of Sāmoan settlement. The
285 Mulifanua core data revealed a terrestrial, subsurface, carbonate sand in the proximity of the
286 submarine Lapita deposit and former coastal flat. The subsurface carbonate sand deposit has a
287 modelled top depth between 0.6 and 1.6 m below the current surface and the model suggests
288 it is spatially extensive (see Figure 3). Even without absolute chronological data, the
289 stratigraphically superior position of the carbonate sand layer relative to the Lapita deposit
290 suggests similar depositional processes, including the generation of a carbonate sand coastal
291 flat and relative subsidence, have occurred in the area since the Lapita assemblage formed .
292 The sparse and ambiguous cultural material in the Mulifanua cores also suggests extensive
293 archaeological deposits are not present within the top approximately 1.5 m of sediment. The
294 general lack of archaeological materials may be attributed to terrestrial geological destruction
295 of these deposits, or a small or absent population on the coast during the time represented by
296 the deposits. The latter is a possibility given that there appears to be varying intensity of
297 coastal use over time at nearby Manono, a small island offshore from Mulifanua (Sand et al.
298 2016). To test the terrestrial destruction hypothesis as an explanation for the lack of early
299 terrestrial archaeological deposits, deeper excavations, chronological, sedimentological, and a
300 micromorphological analyses (e.g., Kane et al. 2017) are required. Ideally, this work should
301 focus on deposits near Core 1, the only core that approached the depth of the submarine
302 Lapita deposit, and should use an engine-powered corer (e.g., vibra-corer) to recover
303 sediments between the bottom depth of the auger cores and confirmed Lapita-age deposits.
304 Such work would also be relevant to identifying catastrophic events such as tsunamis that may
305 affect the archaeological record (Goff et al. 2017). A systematic coring programme

306 throughout the area could also evaluate the density of early cultural remains to address the
307 demographic hypothesis proposed by Cochrane (2018).

308 In Fagaloa, the Lona village stream section at the western end of the coastal flat
309 revealed subsurface deposits approximately 1000 cal BP at about 1.5 m deep and this section
310 comprises a depositional sequence similar to identified core transects from the middle of the
311 beach flat (Cores 1, 3-5 and 6-8; see Cochrane et al. [2019] for core descriptions). Excavation
312 in Samamea village uncovered a 2.8 m sequence of cultural deposition that did not begin until
313 after about *479-304 cal BP*, at the earliest, a time similar to the more recent burn layer in the
314 Lona village stream profile. The widely dispersed Fagaloa auger cores from Talefaga,
315 Ma'asina, and Lona identified a general depositional sequence, conceivably accounting for
316 the last 1000 years based on the Lona stream section, to include terrigenous colluvial
317 deposition, and possible in situ weathering of parent rock, as indicated by increasingly cobbly
318 sediment with depth. To test both the coastal flats and terrestrial destruction hypotheses
319 deeper excavations are required in these villages. Again, engine-powered coring might first
320 be used to retrieve sediments beneath the cal. 1000 year old basal stream section deposits in
321 Lona to determine if coastal flats dating to the first 1000 years of Samoan settlement exist.
322 Sedimentological and micromorphological analyses, along with absolute chronological data,
323 will also be required to assess both hypotheses here.

324 The Samamea cores and excavation uncovered a dramatically different depositional
325 history even though Samamea is only about 1 km along the coast from Lona. This 2.8 m thick
326 sequence of carbonate sands and anthropogenic sediments, interspersed with storm deposits,
327 probably formed over less than the last 400 years according to our Bayesian model (Cochrane et
328 al. 2019). If an early coastal flat exists here, it is likely to be much deeper and will require
329 sufficient tools to access such as a drill-truck, excavator, and shoring for excavation. If the
330 last 400 years are a guide, terrestrial destruction of deposits seems unlikely, even in this

331 highly dynamic depositional environment, but the aforementioned tools, along with
332 appropriate geoarchaeological analyses and dating will be required to evaluate this.

333 Finally, along the eastern coastline of Aleipata, previous excavation and analysis of
334 auger cores in Satitua village indicated that the current coastal flat began to form ca. 2000 cal
335 BP (Kane et al. 2017). The earliest cultural deposits on this landform are ca. 500 cal BP in
336 age (Cochrane et al. 2016), similar to Samamea. Geostatistical interpolation of the newly
337 reported core data from the north and south of Satitua Village augment these findings and
338 suggest the subsurface carbonate sand beach-ridge extends southward to Core 21 and
339 northwards to Core 1, a distance of 1.7 km over the approximately 7 km eastern Aleipata
340 coastline. The additional core data presented here confirms the extent of the subsurface
341 carbonate sand beach ridge and supports the coastal flats hypothesis that there were few
342 beach-ridges present during the first several hundred years of Samoan settlement (Rieth et al.
343 2008). Additionally, the terrestrial destruction hypothesis is not supported in the Aleipata
344 study area, nor is relative island subsidence as an explanation for a lack of early
345 archaeological sites. Additional coring and sedimentological analyses should be undertaken
346 along the most northern portion of the Aleipata coastline to further evaluate these hypotheses.

347

348 4.1 Conclusions

349 Our program of coring and excavation in three different coastal environments widely
350 dispersed on 'Upolu provides a preliminary evaluation of two hypotheses to account for the
351 relative lack of early coastal archaeological assemblages. Along with relative island
352 subsidence in Mulifanau, the (lack of) coastal flats hypothesis is supported for Aleipata, as a
353 reason for the relative scarcity of early archaeological assemblages. Terrestrial destruction
354 may also account for unique coastal archaeological record in some areas of Sāmoa and we

355 have suggested engine-powered coring to reach sediments of relevant depth and
356 geoarchaeological analyses to assess depositional history.

357 The Mulifanua (western) and Aleipata (eastern) sides of ‘Upolu have similar
358 terrestrial subsurface deposits of carbonate sand, but these result from different processes. In
359 the west, long-term, at least since 3000 years ago, carbonate sand beach-ridge formation and
360 subsidence characterises coastal landform evolution (Dickinson 2007; Green and Dickinson
361 1998). In the east, beach-ridge formation and progradation began after about 2000 cal. BP
362 with the change from a transgressive to a regressive coastal setting that promoted reef-
363 derived sand deposition on the coast (Kane et al. 2017). Thus, there are very likely more sub-
364 marine Lapita and early archaeological assemblages on, and near, the west coast of ‘Upolu,
365 but there should be no such assemblages along the east coast. Coastal landforms along the
366 western half of southern ‘Upolu have been investigated by Goodwin and Grossman (2003)
367 who note a change from estuaries and barrier spits to a dominance of mangrove swamps with
368 some coastal plains and progradation after about 1000 cal. BP. Their work suggests that
369 archaeological assemblages on the coast dating to the first millennium or more of settlement,
370 if they exist, will be in deposits modified by these landform changes. No such detailed
371 assessment of coastal landform evolution along northern ‘Upolu has been completed, but our
372 work suggests a varied set of processes, rapid colluvial deposition, and alluviation has
373 transformed the coast of Fagaloa, at least in the last 1000 years. The recovery of older
374 archaeological deposits there should proceed using deep mechanical excavation to assess
375 potential.

376

377 Acknowledgements

378 The Centre for Samoan Studies, National University of Samoa, provided generous assistance
379 and sponsorship of this research, as did land owners and matai in villages. Jennifer Huebert

380 identified the wood charcoal. Timothy Rieth advised on the Bayesian modelling. David
381 Addison provided valuable help in the field. Two anonymous reviewers helped us clarify our
382 arguments and produce better research. To all these people and organizations we give our
383 sincere thanks.

384

385 Funding: This work was supported by grants from The University of Auckland Arts Faculty
386 Research Development Fund (3701977 and 3709761), the New Zealand Royal Society
387 Marsden Fund (UOA1709), and The University of Oregon.

388

389 References Cited

390 Allee, W.C., Emerson, A.E., Park, O., Park, T., Schmidt, K.P., 1949. Principles of Animal
391 Ecology. Saunders, Philadelphia.

392 Bronk Ramsey, Christopher. 2017. Methods for Summarizing Radiocarbon Datasets.
393 *Radiocarbon* 59 (6):1809-1833.

394 Burley, David V, and David J Addison. 2018. Tonga and Sāmoa in Oceanic Prehistory:
395 Contemporary Debates and Personal Perspectives. In *The Oxford Handbook of*
396 *Prehistoric Oceania*, pp. 231-251, edited by E. E. Cochrane and T. L. Hunt. New
397 York: Oxford University Press.

398 Clark, Jeffrey T. 1996. Samoan Prehistory in Review. In *Oceanic Culture History: Essays in*
399 *Honour of Roger Green*, edited by J. Davidson, G. Irwin, F. Leach, A. K. Pawley and
400 D. Brown. Dunedin: New Zealand Archaeological Association.

401 Clark, Jeffrey T, Seth J Quintus, Marshall Weisler, Emma St Pierre, Luke Nothdurft, and
402 Yuexing Feng. 2016. Refining the chronology for west polynesian colonization: New
403 data from the Samoan archipelago. *Journal of Archaeological Science: Reports*
404 6:266-274.

- 405 Cochrane, Ethan E, Haunani Kane, Charles Fletcher, Mark Horrocks, Joseph Mills, Matthew
406 Barbee, Alexander E Morrison, and Matiu Matavai Tautunu. 2016. Lack of suitable
407 coastal plains likely influenced Lapita (~2800 cal. BP) settlement of Sāmoa: Evidence
408 from south-eastern 'Upolu. *The Holocene* 26 (1):126-135.
- 409 Cochrane, Ethan E, Timothy M Rieth, and William R Dickinson. 2013. Plainware ceramics
410 from Sāmoa: Insights into ceramic chronology, cultural transmission, and selection
411 among colonizing populations. *Journal of Anthropological Archaeology* 32 (4):499-
412 510.
- 413 [dataset] Cochrane, Ethan E, DiNapoli, Robert J, and Aumua Ausilafai Matiu Matavai
414 Tautunu, 2019. Samoa Archaeological Core Data & Analyses. Figshare, v4,
415 <https://doi.org/10.17608/k6.auckland.7647218>
- 416 Cochrane, Ethan E. 2018. The Evolution of Migration: the Case of Lapita in the Southwest
417 Pacific. *Journal of Archaeological Method and Theory* 25 (2):520-558.
- 418 Courchamp, Franck, Tim Clutton-Brock, and Bryan Grenfell. 1999. Inverse density
419 dependence and the Allee effect. *Trends in Ecology & Evolution* 14 (10):405-410.
- 420 Dickinson, William R. 2007. Upolu (Samoa): Perspective on Island Subsidence from
421 Volcano Loading. *The Journal of Island and Coastal Archaeology* 2 (2):236 - 238.
- 422 Dickinson, William R. 2014. Beach Ridges as Favored Locales for Human Settlement on
423 Pacific Islands. *Geoarchaeology* 29 (3):249-267.
- 424 Dickinson, William R, and Roger C Green. 1998. Geoarchaeological Context of Holocene
425 Subsidence at the Ferry, Berth Lapita Site, Mulifanua, Upolu, Samoa.
426 *Geoarchaeology* 13 (3):239-263.
- 427 Goff, J., Golitko, M., Cochran, E., Curnoe, D., Williams, S. & Terrell, J. 2017. Reassessing
428 the environmental context of the Aitape Skull – The oldest tsunami victim in the
429 world? *PLoS ONE*, 12, e0185248.

- 430 Goodwin, Ian D, and Eric E Grossman. 2003. Middle to late Holocene coastal evolution
431 along the south coast of Upolu Island, Samoa. *Marine Geology* 202 (1):1-16.
- 432 Green, Roger C. 1974. Pottery from the Lagoon at Mulifanua, Upolu (Report 33). In
433 *Archaeology in Western Samoa*, edited by R. C. Green and J. M. Davidson. Auckland:
434 Auckland Institute and Museum.
- 435 Green, Roger C. 2002. A Retrospective View of Settlement Pattern Studies in Samoa. In
436 *Pacific Landscapes: Archaeological Approaches*, edited by T. N. Ladefoged and M.
437 W. Graves. Los Osos, CA: Easter Island Foundation Press.
- 438 Kane, Haunani H., Charles H. Fletcher, Ethan E. Cochrane, Jerry X. Mitrovica, Shellie
439 Habel, and Matthew Barbee. 2017. Coastal plain stratigraphy records tectonic,
440 environmental, and human habitability changes related to sea-level drawdown,
441 'Upolu, Sāmoa. *Quaternary Research* 87 (2):246-257.
- 442 Kirch, Patrick V. 1993. *The To'aga Site: Modelling the Morphodynamics of the Land-Sea*
443 *Interface*. In: Kirch, P. V. and Hunt, T. L. (eds.) *The To'aga Site: Three Millennia of*
444 *Polynesian Occupation in the Manu'a Islands, American Samoa*. Berkeley: University
445 of California.
- 446 Leach, Helen M, and Roger C Green. 1989. New Information for the Ferry Berth Site,
447 Mulifanua, Western Sāmoa. *Journal of the Polynesian Society* 98:319-329.
- 448 Morrison, Alex E, Ethan E Cochrane, Timothy Rieth, and Mark Horrocks. 2018.
449 Archaeological and sedimentological data indicate Lapita settlement on a newly
450 formed coastal plain: Tavua Island, Mamanuca Group, Fiji. *The Holocene* 28 (1):44-
451 55.
- 452 Petchey, Fiona J. 1995. *The Archaeology of Kudon: Archaeological Analysis of Lapita*
453 *Ceramics from Mulifanua, Samoa and Sigatoka, Fiji*, Anthropology, University of
454 Auckland, Auckland.

- 455 Petchey, Fiona. 2001. Radiocarbon determinations from the Mulifanua Lapita site, Upolu,
456 western Samoa. *Radiocarbon* 43:63-68.
- 457 Petchey, Fiona, and Patrick V Kirch. 2019. Redating of the To'aga site (Ofu Island, Manu'a)
458 and a revised chronology for the Lapita to Polynesian Plainware transition in Tonga
459 and Samoa. doi: <https://doi.org/10.1101/532648>
- 460 Reimer, Paula J, Edouard Bard, Alex Bayliss, J Warren Beck, Paul G Blackwell, Christopher
461 Bronk Ramsey, Caitlin E Buck, Hai Cheng, R Lawrence Edwards, Michael Friedrich,
462 Pieter M Grootes, Thomas P Guilderson, Haflidi Haflidason, Irka Hajdas, Christine
463 Hatté, Timothy J Heaton, Dirk L Hoffmann, Alan G Hogg, Konrad A Huguen, K
464 Felix Kaiser, Bernd Kromer, Sturt W Manning, Mu Niu, Ron W Reimer, David A
465 Richards, E Marian Scott, John R Southon, Richard A Staff, Christian S M Turney,
466 and Johannes van der Plicht. 2013. IntCal13 and Marine13 Radiocarbon Age
467 Calibration Curves 0–50,000 Years cal BP. *Radiocarbon* 55 (4):1869-1887.
- 468 Rieth, Timothy M, and Ethan E Cochrane. 2018. The Chronology of Colonization in Remote
469 Oceania. In *The Oxford Handbook of Prehistoric Oceania*, pp. 133-161, edited by E.
470 E. Cochrane and T. L. Hunt. New York: Oxford.
- 471 Rieth, Timothy M, and Terry L Hunt. 2008. A radiocarbon chronology for Samoan
472 prehistory. *Journal of Archaeological Science* 35 (7):1901-1927.
- 473 Rieth, Timothy M, Alex E Morrison, and David J. Addison. 2008. The Temporal and Spatial
474 Patterning of the Initial Settlement of Sāmoa. *Journal of Island and Coastal*
475 *Archaeology* 3:214-239.
- 476 Sand, C., Bolé, J., Baret, D., Ouetcho, A.-J., Petchey, F. J., Hogg, A. & Asaua, T. 2016.
477 Geological subsidence and sinking islands: The case of Manono (Samoa).
478 *Archaeology in Oceania*, 51 (2):99-107.

- 479 Sheppard, Peter J, Scarlett Chiu, and Richard Walter. 2015. Re-dating Lapita Movement into
480 Remote Oceania. *Journal of Pacific Archaeology* 6 (1):26-36.
481