

1       **Numerical investigation of the influence of viscoelastic deformation on the pressure-**  
2                                   **leakage behavior of plastic pipes**

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10       **ABSTRACT**

11       It has been well established that leakage from pipes is more sensitive to changes in pressure  
12       than the square root relationship predicted by the orifice equation. The main reason for this  
13       is that leak areas are not static, but vary with changes in system pressure. While previous  
14       studies have shown that the leak area is a linear function of pressure for elastic materials, the  
15       effect of the viscoelastic behavior of plastic pipes on the pressure-leakage response is not yet  
16       well understood. In this study, finite element analysis was used to investigate the effect of  
17       viscoelastic behavior on round holes and longitudinal cracks in both High Density  
18       Polyethylene (HDPE) and Polyvinylchloride (PVC) pipes. The standard differential equation for  
19       linear viscoelastic deformation was then calibrated to the finite element results, resulting in  
20       equations that accurately describe the viscoelastic variation in leak areas as functions of time.  
21       The results also showed that the time-dependent behaviors of both pipe materials are  
22       proportional to their elastic behavior, and stabilize after about 12 hours. The total

23 deformations of HDPE and PVC pipes were found to be approximately 220% and 122% of their  
 24 respective elastic deformations.

25 **Author Keywords:** Leakage; Pressure; Leakage exponent; Mathematical models; PVC, HDPE,  
 26 Viscoelasticity, Creep

## 27 INTRODUCTION

28 Pressure management is internationally recognized as an effective technique for controlling  
 29 losses from water distribution systems (Lambert et al. 2013). The rate of leakage from  
 30 pressure zones is generally found to be significantly more sensitive to pressure than  
 31 suggested by the orifice equation. This is mainly due to the fact that leak areas are not fixed,  
 32 but vary with system pressure (Van Zyl & Clayton 2007; Greyvenstein & Van Zyl 2007). Besides  
 33 leakage control, pressure management has also been shown to lower pipe failure rates,  
 34 extend pipe service life and reduce wasteful consumption (Lambert et al. 2013).

35 Water pressure in distribution pipes is taken up as internal stresses in the pipe walls, which  
 36 may be expressed in terms of their principle components in the longitudinal and  
 37 circumferential directions. In the case of an unsupported cylindrical pressure vessel, the  
 38 longitudinal and circumferential stresses are described by Equations 1 and 2 respectively (for  
 39 instance see Griffel (1966)):

$$40 \quad \sigma_{circ} = \frac{PD}{2t^*} \quad (1)$$

$$41 \quad \sigma_{long} = \frac{PD}{4t^*} \quad (2)$$

42 where  $\sigma_{circ}$  and  $\sigma_{long}$  are the circumferential and longitudinal stresses respectively,  $P$  the  
43 water pressure,  $t^*$  the wall thickness and  $D$  the inner diameter of the pipe.

44 The actual stress state of a water distribution system pipe can vary greatly due to external  
45 forces on the pipe, and thus Cassa et al. (2010) defined two loading states as the basis for a  
46 study of leak behavior under linear elastic conditions: the biaxial load state with  
47 circumferential and longitudinal stresses adhering to Equations 1 and 2 respectively, and the  
48 uniaxial load state where only circumferential stresses are considered.

49 Cassa et al. (2010) and Cassa & Van Zyl (2014) conducted finite element method (FEM)  
50 analyses to investigate the behavior of leak areas under linear elastic conditions. These  
51 studies concluded that leak areas are linear functions of the system pressure for all pipe  
52 materials, pipe dimensions, leak types and loading conditions. The latter study also proposed  
53 equations to predict the slope of the linear relationship for longitudinal, circumferential and  
54 spiral cracks as functions of the pipe, material and leak properties.

55 Plastic pipes, mainly Polyvinylchloride (PVC) and High Density Polyethylene (HDPE), are  
56 commonly used in water distribution systems today. Unlike metallic pipes, plastic pipes  
57 display viscoelastic deformation and hysteresis in addition to elastic deformation (Fung 1993;  
58 Andrews 1968). A series of experimental studies (Ferrante et al. 2011; Ferrante 2012; Massari  
59 et al. 2012; Ferrante et al. 2013) have demonstrated that viscoelasticity and hysteresis  
60 influence the behavior of leaks in both PVC and PE pipes.

61 The aim of this study was to investigate the time-dependent behavior of leaks in plastic pipes  
62 considering both their elastic and viscoelastic properties using FEM. The behavior of round  
63 holes and longitudinal and circumferential cracks was investigated in PVC and HDPE pipes.

64 The pipe materials were assumed to experience relatively small strains during deformation  
65 and were therefore modeled as linear viscoelastic materials.

66 The next section describes the equations used to estimate the flow rate from leaks as a  
67 function of pressure. This is followed by an overview of viscoelastic modeling theory and a  
68 description of the method used to investigate the behavior of leaks in HDPE and PVC pipe  
69 materials. The results of the leak area investigations are then presented for the case of a single  
70 stepped increase in pressure. An equation for the leak area behavior is developed from the  
71 standard differential equation for creep and calibrated against the FEM results. The study is  
72 then expanded to cyclical changes in pressure before the impact of viscoelasticity on the  
73 pressure leakage relationship is discussed.

#### 74 **MODELING PRESSURE AND LEAKAGE**

75 Leaks can be considered as orifices, for which the flow rate is described as a function of  
76 pressure by the orifice equation (Equation 3):

$$77 \quad Q = C_d A \sqrt{2gh} \quad (3)$$

78 where  $Q$  is the orifice flow rate,  $C_d$  the discharge coefficient,  $A$  the leak area,  $g$   
79 acceleration due to gravity and  $h$  the pressure head. Equation 3 is obtained by applying the  
80 principle of energy conservation to the orifice and incorporating a discharge coefficient to  
81 compensate for local effects and energy losses.

82 Pressure management field studies showed that the sensitivity of the leakage flow rate is  
83 often significantly higher than described by the orifice equation, and this led practitioners to

84 adopt a more general power equation to model the observed behavior (for instance, see  
85 Thornton (2003)):

$$86 \quad Q = Ch^{N1} \quad (4)$$

87 where  $N1$  is the leakage exponent and  $C$  the leakage coefficient.

88 While orifice flow will result in a leakage exponent of 0.5, field studies have reported leakage  
89 exponents ranging from 0.5 to 2.79 (Farley and Trow, 2003). While none of these field studies  
90 have been published in peer-reviewed literature, several researchers such as Ávila (2003),  
91 Greyvenstein & Van Zyl (2007), Cassa et al. (2010), Ferrante (2012) and Ferrante et al. (2013)  
92 have investigated individual leaks and have shown that high leakage exponents can be caused  
93 by leak areas varying with pressure. Schwaller and van Zyl (2014) and Ferrante et al. (2014)  
94 have shown how individual leakage exponents may influence the behavior of a system with  
95 many leaks.

96 Cassa et al. (2010) have shown that leak areas increase linearly with pressure under elastic  
97 conditions. The higher leakage exponents may therefore be explained to some extent by  
98 replacing the area term in the Orifice equation (Equation 3) with a linear equation of leak area  
99 as a function of pressure head:

$$100 \quad A = A_0 + mh \quad (5)$$

101 where  $A$  is the pressure-dependent leak area,  $A_0$  the leak area under zero pressure  
102 conditions and  $m$  the area-head slope. This results in the FAVAD (Fixed and Variable Area  
103 Discharges) equation proposed by May (1994):

$$104 \quad Q = C_d \sqrt{2g} (A_0 h^{0.5} + mh^{1.5}) \quad (6)$$

105 Van Zyl & Cassa (2014) proposed a dimensionless number, called the leakage number ( $L_N$ ),  
 106 which describes the ratio of expanding area flow (second term in Equation 6) to fixed area  
 107 flow (first term in Equation 6) as:

$$108 \quad L_N = \frac{mh}{A_0} \quad (7)$$

109 The leakage number can be used to convert between the parameters of the FAVAD (Equation  
 110 6) and power (Equation 4) equations using the following relationship (Van Zyl & Cassa, 2014):

$$111 \quad NI = \frac{1.5L_N + 0.5}{L_N + 1} \quad (8)$$

## 112 **VISCOELASTICITY**

113 Viscoelasticity may be defined as a combination of viscous and elastic behavior (Moore &  
 114 Kline 1984; Banks et al. 2011). Elasticity is characterized by the stress in a material being a  
 115 function of strain, but independent of the strain rate and load history. Viscosity is  
 116 characterized by the stress in a material being a function of the strain rate.

117 Viscoelasticity results in the occurrence of creep, stress relaxation and hysteresis. Creep is  
 118 defined as the time-dependent strain that occurs in a material subjected to a constant stress  
 119 (Andrews 1968). A time dependent creep modulus may be calculated for materials  
 120 undergoing creep by dividing the stress by the strain.

121 Stress relaxation is defined as the decrease in stress in a material when a constant strain is  
 122 applied (Andrews 1968). When a strain is applied to a viscoelastic material, the stress  
 123 required to maintain that strain decreases with time. A time dependent relaxation modulus  
 124 may be calculated by dividing the stress by the strain.

125 Hysteresis is the phenomenon where different stress-strain relationships are observed when  
126 a material is subjected to cyclic loading (Fung 1993). Strains in materials due to hysteresis are  
127 often recovered after a period of time, which differentiates it from plastic deformation.

128 Viscoelastic material properties are temperature and loading rate dependent (Ward 1971;  
129 Farshad 2006) and therefore materials may behave differently under different conditions. An  
130 increase in temperature or low strain rate results in a low stress-strain modulus and more  
131 ductile behavior, while a decrease in temperature and high strain rate results in a higher  
132 stress-strain modulus and brittle behavior.

133 Viscoelastic behavior may be characterized as linear or nonlinear. Linear viscoelasticity is a  
134 good approximation for small strains and is characterized by the stress in a material being  
135 linearly proportional to its strain history (Banks et al. 2011). Nonlinear viscoelasticity is  
136 applicable for large strains and means that the stress is not linearly proportional to strain  
137 history.

138 Linear viscoelastic behavior was assumed in this study. It can be described with the help of a  
139 mechanical model known as the Standard Linear Model. This model consists of a spring and  
140 dashpot connected in parallel with another spring as illustrated in Figure 1 (for instance see  
141 Fung (1993)).

142 In Figure 1,  $E$  is the elastic modulus of the respective springs,  $F$  the force,  $u$  the  
143 displacement of the respective spring or dashpot and  $\eta$  is the viscosity of the dashpot.

144 Starting from conditions of equilibrium, a force  $F$  applied to the Standard Linear Model  
145 results in the following constitutive equation for response of a linear viscoelastic material:

$$146 \quad F + \tau_\epsilon \frac{dF}{dt} = E_R \left( u + \tau_\sigma \frac{du}{dt} \right) \quad (9)$$

147 where

$$148 \quad \tau_\epsilon = \frac{\eta_1}{E_1}, \quad \tau_\sigma = \frac{\eta_1}{E_0} \left( 1 + \frac{E_0}{E_1} \right) \text{ and } E_R = E_0 \quad (10)$$

149 In Equations 9 and 10,  $\tau_\epsilon$  is the relaxation time of the load during constant strain,  $\tau_\sigma$  is the  
 150 relaxation time of strain during a constant load,  $t$  time and  $E_R$  the relaxation modulus of the  
 151 model. When a load is applied to the setup in Figure 1 for an infinite time, the dash pot  
 152 completely relaxes and the relationship between stress and strain is determined by spring (0).  
 153 Hence, the relaxation modulus  $E_R$  of the model becomes the spring constant  $E_0$ .

154 The response of viscoelastic materials to applied loads or strains can thus be modeled with  
 155 Equation 9. The value of the constants in Equation 9 may be obtained from creep data and a  
 156 Prony series. Creep data includes stress and strain values recorded over various time periods.  
 157 A Prony series is based on a method developed by De Prony in 1795 and is used for solving  
 158 non-linear systems of equations that arise from exponential approximation functions  
 159 (Hildebrand 1974). Equation 9 results in an exponential function when rearranged to find  $u$   
 160 at a given time  $t$  when a force  $F$  is applied. Prony series are commonly used for modeling  
 161 viscoelastic parameters in the form:

$$162 \quad f(t) = \sum_{i=1}^n R_i e^{\lambda_i t} \quad (11)$$

163 where  $f(t)$  is an exponential function,  $n$  the number of terms in the series,  $i$  the  $i^{\text{th}}$  term in  
 164 the series and  $R$  and  $\lambda$  material constants. To solve for  $R$  and  $\lambda$ , experimental relaxation



165 data is collected for a selection of time periods. The challenge in determining an appropriate  
166 series is that the appropriate number of terms  $n$  is unknown. Therefore,  $n$  has to be chosen  
167 beforehand and relies on intuition and understanding of the material.

168 In Abaqus, the FEM software used in this study, the viscoelastic Prony series is modeled as an  
169 exponential approximation of the relaxation modulus similar to Equation 11:

$$170 \quad G(t) = G_{\infty} + \sum_{i=1}^n G_i e^{-t/\tau_i} \quad (12)$$

171 where  $G(t)$  is the time dependent shear relaxation modulus,  $G_{\infty}$  the long term shear  
172 modulus and  $\tau$  the relaxation time. A single term series was assumed appropriate for this  
173 investigation.

## 174 **METHODOLOGY**

175 For this project, pipe models with individual leaks were represented and analyzed in the FEM  
176 software Abaqus. The pipe models were constructed as deformable solids, extruded from the  
177 inner and outer diameter cross sections, with the longitudinal axis of the pipe placed along  
178 the z-axis. The pipe models were based on the stress properties of a Class 6 uPVC pipe  
179 (working pressure of 600 kPa), with an inner diameter of 104 mm, wall thickness of 3mm and  
180 length of 500 mm.

181 An equivalent Class 6 HDPE pipe was defined by assuming the same inner diameter of 104  
182 mm. The wall thickness of the HDPE pipe was determined as 3.9 mm in accordance with an  
183 allowable pipe stress of 8 MPa (SANS, 2004). The individual leak openings in the pipes  
184 included round holes and longitudinal cracks and were modeled as cut extrusions centered at  
185 mid length of the pipe models. The sections for the cut extrusions were constructed in the y-

186 z plane, and extruded through the pipe thickness in the x direction. Round holes of 1 and 12  
 187 mm in diameter, and longitudinal cracks of 10, 40 and 80 mm in length were investigated.  
 188 Each crack had a width of 1 mm and a crack tip radius of 0.5 mm.

189 The elastic and viscoelastic material parameters used in this study are summarized in Table 1.  
 190 The viscoelastic parameters were defined by the direct specification of a Prony series. These  
 191 parameters were determined from the standard linear model for creep (Equation 9) using  
 192 creep test data obtained from Janson (2003). Thereafter, the model was converted to a single  
 193 term Prony series based on the method used by Jasinowski & Reddy (2012) to determine  
 194 Abaqus viscoelastic inputs  $g_1^p$  and  $\tau_\epsilon$ .  $g_1^p$  is a dimensionless form of the shear relaxation  
 195 modulus given by:

$$196 \quad g_1^p = \frac{G_1}{G_0} \quad (13)$$

197 From Equation 12 it is seen that  $G_1$  is the shear modulus when  $i = 1$  (at the first time interval)  
 198 and  $G_0$  is the instantaneous shear modulus at  $t = 0s$ .

199 The pipe models were meshed using a hex mesh technique, that is, a mesh comprised of six  
 200 sided elements. The final mesh consisted of three dimensional brick elements with quadratic  
 201 functions and therefore 20 nodes. The appropriate size of the elements for the global pipe  
 202 was determined using a sensitivity analysis, which involved gradually reducing the element  
 203 size of the mesh while measuring the stress at a specific point referred to as the “set out”  
 204 until the stress converged. A global element size of 3 mm was selected for both pipe materials.  
 205 Sensitivity analysis results for the global element size in HDPE are illustrated in Figure 2. For  
 206 elements in close vicinity to the leaks, the sensitivity analysis in conjunction with the inbuilt

207 mesh verification tool in Abaqus was applied to ensure that poor quality elements were  
208 eliminated. The size of the elements around the leak were smaller than the global element  
209 size.

210 Two boundary conditions adapted from Cassa et al. (2010) were applied to prevent rotation  
211 and translation of the pipe. These boundary conditions were located as far as possible from  
212 the leak to minimize their effect on the leak deformation. They included fixing an internal  
213 longitudinal line on the pipe directly opposite the hole in the x and y directions and fixing a  
214 point adjacent to the internal line on the exterior of the pipe in the x, y and z directions.

215 The study simulated the viscoelastic behavior of each pressurized pipe with an individual leak  
216 for a total time period of 100 000 s (27.8 h). Uniaxial and biaxial load states were investigated  
217 separately for each pipe. Pressures of 200 kPa, 400 kPa and 600 kPa were applied respectively  
218 for each pipe and load state.

219 The deformed leak area was calculated as a y-z projection of the area bound by the inner  
220 surface of the leak from the deformed mesh coordinates. The leak areas were determined at  
221 times 0 s (elastic deformation), 10 s, 100 s, 1000 s, 5000 s, 10 000 s, 50 000 s and 100 000 s.

222 In addition to the single load simulations, cyclic loading simulations were also carried out by  
223 increasing and decreasing the pressure to values of 200 kPa, 400 kPa and 600 kPa. Two loading  
224 and two unloading cycles were carried out and each loading step had a duration of 100 000  
225 s. The cyclic loading pattern is illustrated in Figure 7.

## 226 **LEAK AREA RESPONSE TO PRESSURE INCREASE**

227 The first set of analyses was done by increasing the pressure in the simulated pipe from zero  
228 to a fixed positive value and holding constant for a time period of 100 000 s. The leaks all

229 showed an initial expansion when the loading was applied, followed by a further time  
230 dependent increase. The initial expansions corresponded to the expected elastic  
231 deformation, as seen from Equation 9 at  $t = 0s$ . The results for the 1 mm diameter hole in  
232 HDPE, shown in Figure 3, are typical.

233 Figure 3 shows that the viscoelastic deformation becomes incrementally smaller and  
234 stabilizes in practical terms after approximately 12 hours. In the case of round holes, biaxial  
235 loading resulted in greater area expansion than the equivalent uniaxial loading as shown in  
236 Figure 3. The 12 mm diameter hole also displayed consistently greater proportional expansion  
237 than the 1 mm hole.

238 Longitudinal cracks displayed substantially higher proportional expansion compared with  
239 round holes, with the expansion also increasing with increasing crack length. However, unlike  
240 round holes the deformed areas of the longitudinal cracks were virtually identical for the  
241 uniaxial and biaxial load states. This was also observed by Cassa et al. (2010) for elastically  
242 deforming longitudinal cracks.

243 The relationship between leak area and pressure was observed to be linear at any given time  
244 after loading, as shown in Figure 4 for the typical case of an 80 mm longitudinal crack in HDPE  
245 subjected to pressures of 200 kPa, 400 kPa and 600 kPa. The elastic relationship between leak  
246 area and pressure (Equation 5) is shown as the bottom dotted line. The other lines give the  
247 crack area as a function of the pressure head at different times after loading, showing an  
248 increase in the gradients with time.

249 Further evaluation of the results showed that the total (elastic plus viscoelastic) deformation  
250 of all leak openings in the same material is proportional to the elastic deformation at any

251 point in time. To illustrate this, a viscoelastic factor  $k_v$  was defined as the ratio of the total  
 252 area deformation to the elastic-only area deformation  $\Delta A_e$  of a leak opening:

$$253 \quad k_v = \frac{A(t) - A_0}{A_e - A_0} = \frac{\Delta A(t)}{\Delta A_e} \quad (14)$$

254 Where  $A(t)$  is the deformed leak area at time  $t$ ,  $A_e$  is the deformed leak area due to elastic  
 255 deformation only and  $\Delta A(t)$  is the change in leak area due to both elastic and viscoelastic  
 256 deformation.

257  $k_v$  was plotted against time and this resulted in reasonably consistent trends for each  
 258 material, irrespective of the leak type, loading state or size of the load. The results for  $k_v$  are  
 259 shown in Figures 5 and 6 for HDPE and PVC respectively. The figures show that the total area  
 260 deformation of leaks is more than double the elastic deformation in HDPE pipes, but only  
 261 about 22 % greater than the elastic deformation in PVC pipes.

## 262 **MODEL FOR LEAK AREA DEFORMATION**

263 It is known that stresses (and hence deformations) in are linear functions of pressure (see  
 264 Equations 1 and 2) and that leak areas also vary linearly with pressure. Since this study showed  
 265 that at any given time after loading, the viscoelastic area deformation is also a linear function  
 266 of pressure, it follows that the viscoelastic model in Equation 9 could be used to model the  
 267 leak area deformation due to viscoelastic behavior.

268 Assuming that the force in Equation 9 is applied through a change in pressure  $\Delta P$  at time  
 269  $t = 0s$  and that the effective deformation force is proportional to the change in pressure  
 270 ( $F = a\Delta P$ ). Equation 9 holds for the viscoelastic deflection  $u$ , which in this case is the

271 viscoelastic change in leak area ( $\Delta A_v$ ) that occurs due to a change in pressure. Equation 9  
 272 may be written for the viscoelastic change in leak area  $\Delta A_v$  ( $\Delta A_v$  replaces  $u$  in equation 9):

$$273 \quad \frac{d(\Delta A_v)}{dt} + \frac{\Delta A_v}{\tau_\sigma} = \frac{a\Delta P}{E_R \tau_\sigma} \quad (15)$$

274 where  $a$  is a constant. Equation 15 is a first order linear equation which may be solved to  
 275 obtain an expression for the time dependent change in area and the result is:

$$276 \quad \Delta A_v(t) = \left(1 - e^{-t/\tau_\sigma}\right) \frac{a\Delta P}{E_R} \quad (16)$$

277 where the term  $\frac{a\Delta P}{E_R}$  describes the ultimate viscoelastic deformation (i.e. at time  $\tau_\sigma$ ) and  
 278 the term  $\left(1 - e^{-t/\tau_\sigma}\right)$  is a factor describing the development of the viscoelastic deformation  
 279 with time.

280 The total leak area can now be described with the function:

$$281 \quad A(t) = A_0 + \Delta A_e + \Delta A_v(t) \quad (17)$$

282 Equation 17 was applied to all the leaks included in this study in the following way:

- 283 • The initial area  $A_0$  for each leak was calculated from its dimensions.
- 284 • The elastic leak area deformations  $\Delta A_e$  were obtained from the FEM results and are  
 285 summarized in Table 2. Alternatively the elastic deformation may be estimated using  
 286 equations proposed by Cassa & Van Zyl (2013) or Tada et al (2000).
- 287 • The viscoelastic leak area deformation  $\Delta A_v$  was described as a function of time using  
 288 Equation 16. The value of the coefficient  $a$  was determined by fitting Equation 16 to the

289 modeling results. The ultimate viscoelastic deformation at 100 000 s was estimated from  
290 Equation 16. The ultimate deformed area was then calculated using Equation 17.

291 • The ultimate ratio of the total area deformation to the elastic-only area deformation  $k_{vu}$   
292 ( $k_v$  at  $t = 100000s$ ) was then calculated from Equation 14.

293 The values of  $a$  and  $k_{vu}$  for each leak in this study are given in Table 2. It is clear from the  
294 table that the values of  $a$  and  $k_{vu}$  are affected by the pipe material and leak type, but are  
295 relatively insensitive to pressure.

296 Replacing these parameters in Equations 14 and 17 for any material and leak type results in  
297 an equation for the deformation of leak area with time. These equations are plotted for a 1  
298 mm diameter hole in HDPE as the lines in Figure 3. The figure shows that the equations fit the  
299 model data very well.

300 An important implication of these results is that the viscoelastic behavior of any leak area  
301 with time can be accurately described if the viscoelastic deformation is measured or modeled  
302 at only one point in time (to estimate  $a$ ).

303 Another notable finding is that the ultimate values of  $k_v$  are similar for different leak types in  
304 the same material as is evident from Table 2 and Figures 4 and 5. For the HDPE leaks  
305 investigated the ultimate value of  $k_v$  varies between 2.00 and 2.22, and for PVC between 1.21  
306 and 1.24. Adopting values of 2.1 and 1.22 for HDPE and PVC respectively should provide  
307 reasonable estimates of the ultimate deformed area as a fraction of the elastically deformed  
308 area.

309 It means that HDPE will experience substantially higher viscoelastic deformation  
310 (approximately 110 % of elastic deformation) than PVC (approximately 22 % of elastic  
311 deformation). This corresponds to findings by Covas et al. (2004) and (Covas et al. 2005) for  
312 polyethylene pipes where the dynamic modulus was approximately 2.0 times the static  
313 modulus. Soares et al. (2008) and (Soares et al. 2011) also found that for PVC pipes, the  
314 dynamic modulus was approximately 1.2 times the static modulus during transient analysis.  
315 The values of  $k_v$  may also be explained by analyzing the creep function of the material as  
316 reported in Covas et al. (2004). From Figures 3 to 6 it is evident that the ultimate deformation  
317 will be achieved in practical terms after about 12 hours.

#### 318 **LEAK AREA RESPONSE TO CYCLIC PRESSURE VARIATIONS**

319 In this part of the study, pressure was increased and decreased in a cyclic pattern to  
320 investigate the impact of cyclic loading on the area of the 1 mm hole and 10 mm and 80 mm  
321 cracks in both HDPE and PVC. After each change in pressure, the system was simulated for a  
322 period of 100 000 s (27.8 hours), which allowed the viscoelastic deformations to stabilize.  
323 Pressure changes were made in steps of 200 kPa.

324 The cyclic loading pattern is shown in Figure 7, and the resulting behavior of the 80mm  
325 longitudinal crack in HDPE (biaxial load state) in Figure 8. The line in Figure 8 is a plot of  
326 Equation 17. Figure 8 also shows the ultimate areas (after 100 000 s) found in part one of the  
327 study.

328 The results show that the total expansion converges on the same ultimate value, irrespective  
329 of the loading path that was followed. Thus no hysteresis is evident, although it is clear from  
330 the results, and also investigations by Ferrante et al. (2011) and Ferrante (2012), that



331 hysteresis will occur if the variation in pressure occurs at short intervals (i.e. not allowing the  
332 viscoelastic deformation to stabilize). Therefore, if at any time the pressure is fixed the total  
333 deformation will converge on the ultimate value for that pressure, irrespective of the loading  
334 history. However, it is difficult to obtain general results from practical investigations due to  
335 the effect of loading history dependent behavior in moduli of viscoelastic materials as  
336 observed by Soares et al. (2011) and Pezzinga (2014).

337 The hysteresis observed in HDPE pipe leaks by Ferrante et al. (2011) and Ferrante (2012) may,  
338 at least be partly explained by the continuous variation in pressure before the viscoelastic  
339 deformation stabilized. Other factors that may play a role are the occurrence of plastic  
340 deformation and that the pipe materials did not exhibit perfect linear viscoelastic behavior.

#### 341 **IMPLICATIONS FOR LEAKAGE BEHAVIOUR**

342 It has been well established that leak areas vary linearly with pressure under elastic conditions  
343 (Cassa et al. 2010; Cassa & Van Zyl 2014) resulting in the FAVAD equation (Equation 6). The  
344 results of this study show that the leak area in linear viscoelastic materials can also be  
345 estimated as a linear function of pressure head at any given time (see Figure 4). The slope  $m$   
346 of the linear area-head relationship can therefore be obtained for each time period. As can  
347 be seen from Figure 4, the slope of the line increases with time but stabilizes after about 12  
348 hours.

349 The slope of the area-head relationship under elastic conditions is determined by Equation  
350 19 below:

$$351 \quad m_e = \frac{A_2 - A_1}{h_2 - h_1} \quad (19)$$

352 where  $m_e$  is the gradient of the elastic leak area-head relationship,  $A_1$  is the initial leak area  
 353 at pressure head  $h_1$  and  $A_2$  is the leak area after elastic deformation at pressure head  $h_2$ .  
 354 Since the ultimate leak area under viscoelastic conditions can be estimated by multiplying the  
 355 elastic area by the factor  $k_{vu}$ , the area-head slopes for a viscoelastic material can be estimated  
 356 by Equation 20 below:

$$357 \quad m_{vu} = k_{vu} m_e = \frac{k_{vu} A_2 - k_{vu} A_1}{h_2 - h_1} \quad (20)$$

358 where  $m_{vu}$  is the ultimate area-head slope for viscoelastic materials. The values of the  
 359 ultimate expansion ratios ( $k_{vu}$ ) are included in Table 2.

360 Note that Equation 20 assumes that the ultimate leak area had been reached both before and  
 361 after the change in pressure. The impact of viscoelasticity on the area-head slope before the  
 362 leak has stabilized is more difficult to determine, since it will depend on the extent of  
 363 viscoelastic deformation both before and after the change in pressure. However, the slope  
 364 may be estimated for a given situation using Figures 5 and 6.

365 Finally, the effect of viscoelastic behavior on the leakage exponent ( $N$  in Equation 4) can be  
 366 estimated from Equation 8 after obtaining the viscoelastic slope and corresponding leakage  
 367 number. The relationship between the leakage number and the leakage exponent is nonlinear  
 368 and therefore the effect of viscoelasticity on the leakage exponent will depend on the elastic  
 369 leakage number as shown for HDPE and PVC in Figure 9. It is clear that the impact of  
 370 viscoelasticity on a leakage exponent of 0.5 and 1.5 will be small, and will increase for  
 371 intermediate leakage exponents. The maximum increases in the leakage exponent occurs at

372 an elastic leakage exponent of approximately 0.80, increasing the leakage exponent by 23 %  
373 and 5 % for HDPE and PVC respectively.

#### 374 **CONCLUSION**

375 This study investigated the impact of viscoelasticity on the pressure-leakage relationship of  
376 leaks in PVC and HDPE pipes using finite element analysis. Different pressures were applied  
377 to pipes with variety of individual leak types up to the pipe's design pressure of 600 kPa.

378 All leaks investigated displayed an instantaneous elastic response when a change in pipe  
379 pressure occurred, followed by a time-dependent viscoelastic creep response. The rate of the  
380 creep response reduces with time, and the leak area stabilized in practical terms after  
381 approximately 12 hours.

382 It was found that expressing the total (elastic plus viscoelastic) deformation as a proportion  
383 of the elastic deformation results in reasonably consistent trends over time. HDPE pipes  
384 showed ultimate area expansion rates between 2.00 and 2.22 of the elastic leak area  
385 expansion. PVC pipes showed significantly lower expansion rates, varying between 1.21 and  
386 1.24 of elastic deformation. These ratios are independent of the initial pressure, size of the  
387 pressure variation implemented, or the number of loading steps used to get to the current  
388 pressure.

389 Based on these findings it is recommended that the effects of viscoelastic deformation of  
390 leaks in HDPE and PVC pipes are modeled by increasing their elastic area-head slopes by  
391 factors of 2.1 and 1.22 respectively. The elastic area-head slopes may be estimated by the  
392 equations proposed by Van Zyl & Cassa (2014) or Tada et al. (2000).

393 Pressure in real distribution systems varies continuously due to transients and the effect of  
394 diurnal water demand patterns. As a result, leak areas will be in a constant state of creep, the  
395 rate of which will depend on the amplitude and timing of the system pressure variations.  
396 However, it can reasonably be expected that the creep effect at a given time of the day will  
397 be similar from day to day under consistent operational conditions, particularly during the  
398 minimum night flow period when pressures tend to be stable. It should be noted that  
399 discrepancies between modeled and experimental results may occur as a result of fluid  
400 friction losses and mechanical dumping as well as the effects of surrounding soils on buried  
401 pipes (Stephens et al. 2011). Should changes in operational conditions occur, such as the  
402 implementation of pressure management, isolation of sections of the network for repairs or  
403 changes in pump schedules, a period of at least 12 hours should be allowed for the system to  
404 stabilize before the new leakage rate is measured.

405 The impact of the observed increases in leak area-head slope on the leakage exponent will be  
406 a function of the leakage exponent at which the viscoelastic deformation takes place.  
407 However, it is possible to estimate the maximum increase in the leakage exponent to be 23%  
408 and 5% for HDPE and PVC pipes respectively.

#### 409 **NOTATION**

410 The following symbols are used in this paper:

411  $A$  -Leak Area;

412  $A_0$  -Initial leak area (under zero pressure conditions);

413  $A_e$  -Elastically deformed leak area;

- 414  $A(t)$  -Leak area at a time  $t(s)$ ;
- 415  $C$  -Leakage coefficient;
- 416  $C_d$  -Discharge coefficient;
- 417  $D$  -Inner diameter;
- 418  $E$  -Young's Modulus or elastic modulus;
- 419  $E_R$  -Relaxed Young's Modulus;
- 420  $F$  -Force;
- 421  $G$  -Shear modulus;
- 422  $L_N$  -Leakage number;
- 423  $N1$  -Leakage exponent;
- 424  $P$  -Pressure;
- 425  $Q$  -Discharge;
- 426  $R$  -Material constant for Prony series;
- 427  $a$  -Constant for converting pressure to equivalent force;
- 428  $g$  -Gravitational constant;
- 429  $g_p^1$  -Dimensionless shear modulus;
- 430  $h$  -Pressure head;

- 431  $i$  - $i^{\text{th}}$  term in series;
- 432  $k_1$  -Leak discharge-pressure relationship parameter;
- 433  $k_v$  -Viscoelastic factor;
- 434  $k_{vu}$  -Ultimate viscoelastic factor;
- 435  $m$  -Leak area –pressure head gradient;
- 436  $m_e$  -Elastic leak area – pressure head gradient;
- 437  $m_{vu}$  -Ultimate leak area – pressure head gradient;
- 438  $n$  -Number of terms in series;
- 439  $t$  -Time;
- 440  $t^*$  -Wall thickness;
- 441  $u$  -Displacement;
- 442  $\eta$  -Viscosity;
- 443  $\lambda$  -Material constant for Prony series;
- 444  $\nu$  -Poisson's ratio;
- 445  $\sigma$  -Stress;
- 446  $\tau$  -Relaxation time;
- 447  $\tau_\epsilon$  -Relaxation time during constant strain;

448  $\tau_{\sigma}$  -Relaxation time during constant stress;

449  $\Delta A_e$  -Change in leak area due to elastic deformation;

450  $\Delta A_v$  - Change in leak area due to viscoelastic deformation;

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## 526 TABLES

527 **Table 1.** Material parameters used in the model

<b>Elastic Properties</b>		
<b>Property</b>	<b>PVC</b>	<b>HDPE</b>
<b>Elastic Modulus (MPa)</b>	3421.143	1126.760
<b>Poisson's ratio (<math>\nu</math>)</b>	0.4	0.4
<b>Allowable stress (MPa)</b>	10.4	8.0
<b>Safety Factor</b>	4.80	1.25
<b>Prony series parameters for Viscoelasticity</b>		
$g_1^p$	0.208	0.564
$\tau_\varepsilon$ (s)	3382.788	4348.761

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530

531 **Table 2.** Values of elastic area,  $a$  and ultimate expansion ratios for the leaks in both HDPE and PVC

Test	Pressure (kPa)	HDPE			PVC		
		$A_e$ (m <sup>2</sup> )	$a$	$k_{vu}$	$A_e$ (m <sup>2</sup> )	$a$	$k_{vu}$
1mm hole uniaxial	200	7.864E-07	8.093E-06	2.0287	7.845E-07	4.059E-06	1.2306
	400	7.896E-07	8.044E-06	2.0224	7.859E-07	3.860E-06	1.2111
	600	7.928E-07	7.949E-06	2.0104	7.873E-07	4.028E-06	1.2177
1mm hole biaxial	200	7.879E-07	1.180E-05	2.0214	7.852E-07	5.417E-06	1.2000
	400	7.926E-07	1.188E-05	2.0281	7.872E-07	6.074E-06	1.2243
	600	7.974E-07	1.180E-05	2.0142	7.892E-07	5.871E-06	1.2168
12mm hole uniaxial	200	1.137E-04	2.170E-03	2.2027	1.133E-04	5.390E-04	1.1194
	400	1.144E-04	2.140E-03	2.1916	1.136E-04	5.380E-04	1.1196
	600	1.152E-04	2.120E-03	2.1854	1.140E-04	5.360E-04	1.1194
12mm hole biaxial	200	1.140E-04	2.950E-03	2.2116	1.134E-04	5.460E-04	1.0915
	400	1.150E-04	2.960E-03	2.2152	1.139E-04	5.460E-04	1.0916
	600	1.160E-04	2.970E-03	2.2186	1.143E-04	5.450E-04	1.0915
10mm longitudinal crack uniaxial	200	1.016E-05	1.110E-03	2.1616	9.940E-06	5.390E-04	1.2340
	400	1.054E-05	1.100E-03	2.1584	1.011E-05	5.380E-04	1.2358
	600	1.092E-05	1.090E-03	2.1523	1.027E-05	5.360E-04	1.2359
10mm longitudinal crack biaxial	200	1.016E-05	1.130E-03	2.1666	9.942E-06	5.460E-04	1.2342
	400	1.055E-05	1.120E-03	2.1617	1.011E-05	5.460E-04	1.2363
	600	1.094E-05	1.120E-03	2.1643	1.028E-05	5.450E-04	1.2367
40mm longitudinal crack uniaxial	200	5.100E-05	3.129E-02	2.1351	4.574E-05	1.892E-02	1.2347
	400	6.205E-05	3.059E-02	2.1174	5.165E-05	1.877E-02	1.2337
	600	7.296E-05	2.988E-02	2.0991	5.751E-05	1.861E-02	1.2326
40mm longitudinal crack biaxial	200	5.099E-05	3.138E-02	2.1387	4.573E-05	1.891E-02	1.2349
	400	6.208E-05	3.081E-02	2.1243	5.164E-05	1.878E-02	1.2340
	600	7.304E-05	3.024E-02	2.1097	5.751E-05	1.866E-02	1.2332
80mm longitudinal crack uniaxial	200	1.913E-04	3.139E-01	2.1448	1.396E-04	1.935E-01	1.2389
	400	2.998E-04	2.998E-01	2.1084	1.986E-04	1.905E-01	1.2368
	600	4.052E-04	2.857E-01	2.0711	2.567E-04	1.875E-01	1.2348
80mm longitudinal crack biaxial	200	1.913E-04	3.149E-01	2.1482	1.395E-04	1.935E-01	1.2391
	400	3.001E-04	3.021E-01	2.1154	1.985E-04	1.908E-01	1.2372
	600	4.061E-04	2.893E-01	2.0817	2.568E-04	1.880E-01	1.2353