

# The $p$ -local Rank of a Block

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August 19, 1999

## Abstract

We generalize the  $p$ -local rank of a finite group, introduced by G. Robinson [7], to a  $p$ -block of a finite group and show that this has properties analagous to most of those given in [7].

## 1 Introduction

Let  $G$  be a finite group and  $p$  a prime. Given a chain of  $p$ -subgroups

$$\sigma : Q_0 < Q_1 < \cdots < Q_n \tag{1.1}$$

of  $G$ , define the length  $|\sigma| = n$ , the final subgroup  $V^\sigma = Q_n$ , the initial subgroup  $V_\sigma = Q_0$ , the  $k$ -th initial subchain

$$\sigma_k : Q_0 < Q_1 < \cdots < Q_k,$$

and the normalizer

$$G_\sigma = N_G(\sigma) = N_G(Q_0) \cap N_G(Q_1) \cap \cdots \cap N_G(Q_n). \tag{1.2}$$

Write  $\mathcal{C}(G|Q)$  for the set of those chains with initial subgroup  $Q$  and  $\text{Blk}(G)$  for the set of all  $p$ -blocks of  $G$ .

Let  $(K, \mathcal{O}, k)$  be a  $p$ -modular system which splits for  $G$ , and consider a  $p$ -block  $B$  of  $G$  with defect group  $D(B)$ . Given a subgroup  $H$  of  $G$ , write  $\text{Blk}(H|B) = \{b \in \text{Blk}(H) : b^G = B\}$  (in the sense of Brauer).

The Knörr-Robinson reformulation of Alperin's weight conjecture (see [5] and [1]) states that if  $B$  has positive defect then  $\sum_{\sigma \in \mathcal{C}(G|1)} l(G_\sigma, B) = 0$ , where  $l(G_\sigma, B)$  denotes the number of simple  $kG_\sigma$ -modules belonging to  $p$ -blocks of  $G_\sigma$  whose Brauer correspondent is  $B$ . Clearly if  $l(G_\sigma, B) \neq 0$  then there is some  $p$ -block  $b$  of  $G_\sigma$  with  $b^G = B$ . It thus makes sense to consider the set

$$\mathcal{C}(G, B) = \{\sigma \in \mathcal{C}(G) : \text{Blk}(G_\sigma|B) \neq \emptyset\}.$$

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\*Research carried out whilst the second author was visiting the University of Auckland, supported by the Marsden Fund and a Royal Society Study Visit award.

1991 *Mathematics Subject Classification*. Primary 20C20

We say that  $\sigma$  is a  $p$ -chain for  $B$ , or a  $B$ -chain (as we will see we may associate with  $\sigma$  a chain of  $B$ -subgroups in the sense of Alperin [2]) if  $\sigma \in \mathcal{C}(G, B)$ . This is in part the motivation for this paper.

As demonstrated in [5], when considering this and similar conjectures we may restrict our attention to certain subsets of  $\mathcal{C}(G)$ , such as the following. A  $p$ -subgroup  $R$  of  $G$  is *radical* if  $O_p(N_G(R)) = R$ , where  $O_p(N_G(R))$  is the maximal normal  $p$ -subgroup of the normalizer  $N_G(R)$ . A  $p$ -chain  $\sigma$  given by (1.1) is said to be *radical* if  $Q_i = O_p(N_G(\sigma_i))$  for each  $i$ . Denote by  $\mathcal{R} = \mathcal{R}(G)$  the set of all radical  $p$ -chains of  $G$  and write

$$\mathcal{R}(G, B) = \{\sigma \in \mathcal{R}(G) : \text{Blk}(N_G(\sigma)|B) \neq \emptyset\}.$$

By [7], the  $p$ -local rank  $plr(G)$  of  $G$  is the number  $plr(G) = \max\{|\sigma| : \sigma \in \mathcal{R}(G)\}$ . We define the  $p$ -local rank  $plr(B)$  of  $B$  to be the number

$$plr(B) = \max\{|\sigma| : \sigma \in \mathcal{R}(G, B)\}.$$

It is clear that  $plr(B) \leq plr(G)$  for all  $B \in \text{Blk}(G)$ . Also we can recover the  $p$ -local rank of  $G$  by considering the principal  $p$ -block. For suppose  $plr(G) = n$  and  $\sigma \in \mathcal{R}(G)$  with  $|\sigma| = n$ . If  $b_0 = B_0(N_G(\sigma))$  is the principal block of  $N_G(\sigma)$ , then by [5, 3.2],  $b_0^G$  is well-defined and by Brauer's Third Main Theorem,  $b_0^G = B_0 = B_0(G)$ , so that  $\sigma \in \mathcal{R}(G, B_0)$  and

$$plr(B_0) = plr(G).$$

The paper is organized as follows. In Section 2 we consider our definitions in terms of subpairs, and give the lemma which is the engine room of this paper. In Sections 3 and 4 we prove that if  $B'$  is a  $p$ -block of a section of  $G$  corresponding to  $B$  under the Brauer correspondence and the block correspondence outlined in Section 4, then  $plr(B') \leq plr(B)$ , and give some conditions for equality/inequality. Finally, in Section 5 we give necessary and sufficient conditions for a  $p$ -block to have  $p$ -local rank one. We would like to draw the readers' attention to the fact that all proofs given here are direct, so the  $p$ -local structure is transparent(!) at each stage.

## 2 $B$ -subgroups

Let  $R$  be a  $p$ -subgroup of  $G$  and  $b_R \in \text{Blk}(C_G(R)R)$ . Then the pair  $(R, b_R)$  is called a *subpair* for  $G$ . If  $R$  is radical in  $G$ , then  $(R, b_R)$  is called a *radical subpair* for  $G$ . Following Alperin [2], for  $B \in \text{Blk}(G)$ , the pair  $(R, b_R)$  is called a  *$B$ -subgroup* if  $(1, B) \leq (R, b_R)$ .

If  $(R, b_R)$  is a  $B$ -subgroup, then  $b_R^G = B$  and  $b_R^{N_G(R)}$  is a well-defined block of  $N_G(R)$ . In particular,  $b_R^{N_G(R)} \in \text{Blk}(N_G(R)|B)$  and  $\text{Blk}(N_G(R)|B) \neq \emptyset$ . Conversely, if  $\text{Blk}(N_G(R)|B) \neq \emptyset$ , then  $R$  is conjugate to a subgroup of  $D(B)$  and there is a  $B$ -subgroup  $(R, b_R)$ .

A  $B$ -subgroup  $(R, b_R)$  is called a *radical  $B$ -subgroup* if  $R$  is radical in  $G$ .

Suppose that  $\sigma \in \mathcal{R}(G, B)$ . Then there is a block  $b \in \text{Blk}(N_G(\sigma)|B)$  and we may suppose that  $D(b) \leq D(B)$ . Since  $Q_0, \dots, Q_n \trianglelefteq N_G(\sigma)$ , it follows that  $Q_i \leq D(b)$  and there is a  $B$ -subgroup  $(Q_i, b_i) \leq (D(B), b_D)$  for each  $i$ , where  $(D(B), b_D)$  is a

fixed Sylow  $B$ -subgroup. Conversely if we can associate to  $\sigma \in \mathcal{R}(G)$  such a chain of  $B$ -subgroups, then  $\sigma \in \mathcal{R}(G, B)$ . A radical  $p$ -chain  $\sigma$  is called a *radical  $B$ -chain* if  $\sigma \in \mathcal{R}(G, B)$ .

**Lemma 2.1** *Let  $B$  be a  $p$ -block of  $G$  and  $(Q, b_Q)$  a  $B$ -subgroup. Then there is a radical  $B$ -subgroup  $(P, b_P)$  such that*

$$(Q, b_Q) \leq (P, b_P)$$

and  $N_G(Q) \leq N_G(P)$ . In particular,  $(Q, b_Q)$  and  $(P, b_P)$  are subpairs for  $N_G(P)$ . Moreover, suppose that  $Q \leq H \leq G$  and  $Q$  is radical in  $H$ . Then  $Q = P \cap N_H(Q) = P \cap H$  and  $N_H(Q) = H \cap N_G(P)$ .

PROOF: Let  $M_1 = N_G(Q)$  and  $M_i = N_G(O_p(M_{i-1}))$  for all  $i \geq 2$ . Then

$$N_G(Q) = M_1 \leq M_2 \leq \dots \leq M_m \tag{2.1}$$

for all  $m \geq 2$  and since  $Q \leq O_p(M_1)$  and  $O_p(M_{i-1}) \leq O_p(M_i)$ , it follows that

$$Q \trianglelefteq O_p(M_1) \trianglelefteq O_p(M_2) \trianglelefteq \dots \trianglelefteq O_p(M_m). \tag{2.2}$$

Thus there is a minimal  $m \geq 1$  such that  $M_m = M_{m+1} = N_G(O_p(M_m))$ , so  $O_p(M_m) = O_p(M_{m+1})$  and  $O_p(M_m)$  is radical in  $G$ . Let  $L = M_m$  and  $P = O_p(L)$ , so that  $N_G(Q) \leq L = N_G(P)$ . Since  $C_G(Q) \leq N_G(Q) \leq L$ , it follows that  $C_G(Q) = C_L(Q)$ ,  $(Q, b_Q)$  is a subpair for  $L$  and  $b_Q^L$  is a well-defined block of  $L$ . Thus  $(Q, b_Q)$  is contained in a Sylow  $b_Q^L$ -subgroup  $(D_1, b_{D_1})$ , where  $D_1 =_L D(b_Q^L)$ . Since  $P \leq D_1$ , it follows that there is a unique  $b_Q^L$ -subgroup  $(P, b_P)$  such that

$$(Q, b_Q) \leq (P, b_P) \trianglelefteq (D_1, b_{D_1}).$$

But  $C_G(P) \leq C_G(Q) \leq L$ , so  $(P, b_P)$  is also a subpair for  $G$  and  $(1, B) \leq (Q, b_Q) \leq (P, b_P)$ . Thus  $(P, b_P)$  is a  $B$ -subgroup.

Suppose that  $Q \leq H \leq G$  and  $Q = O_p(K)$ , where  $K = N_H(Q) \leq L$ . Then  $Q \leq K \cap P \trianglelefteq K$  and  $Q = K \cap P$ . Since  $Q \trianglelefteq N_{H \cap P}(Q) \leq K$  and  $N_{H \cap P}(Q) \leq K \cap P = Q$ , it follows that

$$Q = P \cap K = P \cap H.$$

If  $h \in L \cap H$ , then  $h$  normalizes  $O_p(L) \cap H = Q$ , so that  $h \in K$ ,  $K \leq L \cap H \leq K$  and  $K = L \cap H$ . □

### 3 Subgroups and the $p$ -local rank

**Proposition 3.1** *Let  $H$  be a subgroup of  $G$  and  $b \in \text{Blk}(H|B)$ . Suppose that*

$$\sigma : O_p(H) = Q_0 < Q_1 < \dots < Q_n$$

is a radical  $b$ -chain with  $n \geq 1$ . Set  $K_i = N_H(\sigma_i)$  for  $i \geq 0$ . Then there is a radical  $B$ -chain

$$\sigma^* : O_p(G) < P_1 < \dots < P_n$$

of  $G$  such that for each  $i \geq 1$ ,  $K_i \leq N_{L_{i-1}}(Q_i) \leq L_i$ ,  $K_i = L_i \cap H$ ,  $C_G(Q_i) = C_{L_i}(Q_i)$  and  $Q_i = P_i \cap K_i = P_i \cap H$ , where  $L_i = N_G(\sigma_i^*)$ .

PROOF: We may suppose  $D(b) \leq D(B)$ . Since  $\sigma$  is a radical  $b$ -chain, it follows that  $\text{Blk}(N_H(\sigma)|b) \neq \emptyset$  and we may suppose that

$$O_p(H) = Q_0 < Q_1 < \dots < Q_n \leq D(b) \leq D(B). \quad (3.1)$$

Let  $(D(B), b_D)$  be a Sylow  $B$ -subgroup. For each  $i \geq 0$ , there is a  $B$ -subgroup  $(Q_i, b_i)$  such that  $(Q_i, b_i) \leq (D(B), b_D)$ .

By Lemma 2.1, there is a radical  $B$ -subgroup  $(P_1, B_1)$  such that  $(Q_1, b_1) \leq (P_1, B_1)$ ,  $K_1 \leq N_G(Q_1) \leq L_1 = N_G(P_1)$ ,  $C_G(Q_1) = C_{L_1}(Q_1)$ ,  $Q_1 = P_1 \cap K_1 = P_1 \cap H$  and  $K_1 = H \cap L_1$ . Thus  $O_p(G) \leq P_1$  and by (3.1),

$$O_p(G) \cap H \leq O_p(H) < Q_1 \leq P_1 \cap H.$$

In particular,  $P_1 \neq O_p(G)$ ,

$$\sigma_1^* : O_p(G) < P_1$$

is a radical  $B$ -chain and  $L_1 = N_G(\sigma_1^*)$ .

Suppose that  $n \geq 2$  and there is a radical  $B$ -chain

$$\sigma_t^* : O_p(G) < P_1 < \dots < P_t$$

with  $1 \leq t < n$  satisfying the conditions of the Proposition. Since  $\sigma$  is radical, it follows that  $Q_{t+1} \leq Q_n \leq K_n \leq K_t \leq L_t$ ,  $C_G(Q_{t+1}) \leq C_G(Q_t) = C_{L_t}(Q_t)$  and  $(Q_{t+1}, b_{t+1})$  is a subpair for  $L_t$ .

Apply Lemma 2.1 for  $Q = Q_{t+1}$ ,  $G = L_t$  and  $H = K_t$ . There is a radical subpair  $(P_{t+1}, B_{t+1})$  for  $L_t$  such that  $(Q_{t+1}, b_{t+1}) \leq (P_{t+1}, B_{t+1})$ ,  $K_t \leq N_{L_t}(Q_{t+1}) \leq L_{t+1} = N_{L_t}(P_{t+1})$ ,  $Q_{t+1} = P_{t+1} \cap K_t = P_{t+1} \cap K_{t+1}$ ,  $K_{t+1} = L_{t+1} \cap K_t$  and  $C_{L_t}(Q_{t+1}) = C_{L_{t+1}}(Q_{t+1})$ .

Since  $K_t = L_t \cap H$ , it follows that  $K_{t+1} = L_{t+1} \cap L_t \cap H = L_{t+1} \cap H$  and  $Q_{t+1} = P_{t+1} \cap K_t = P_{t+1} \cap H$ . Similarly, since  $C_G(P_{t+1}) \leq C_G(Q_t) = C_{L_t}(Q_t) \leq L_t$ , it follows by Lemma 2.1 that

$$C_G(P_{t+1}) = C_{L_t}(P_{t+1}) = C_{L_{t+1}}(P_{t+1}),$$

so that  $(P_{t+1}, B_{t+1})$  is a subpair for  $G$ . But  $(1, B) \leq (Q_{t+1}, b_{t+1}) \leq (P_{t+1}, B_{t+1})$ , so  $(P_{t+1}, B_{t+1})$  is a  $B$ -subgroup. Since  $Q_t = P_t \cap H < Q_{t+1} = P_{t+1} \cap H$ , it follows that  $P_t < P_{t+1}$ ,

$$\sigma_{t+1}^* : O_p(G) < P_1 < \dots < P_t < P_{t+1}$$

is a radical  $B$ -chain of  $G$  and  $L_{t+1} = N_G(\sigma_{t+1}^*)$ . The result now follows by induction on  $n$ . □

**Proposition 3.2** *Let  $H$  be a subgroup of  $G$  and  $b \in \text{Blk}(H|B)$ . Then*

$$plr(b) \leq plr(B).$$

PROOF: We may suppose  $plr(b) \geq 1$ . Suppose that  $\sigma$  is a radical  $b$ -chain given by (1.1) such that  $|\sigma| = plr(b) = n$ . Since  $Q_0$  is radical in  $H$ , it follows that  $O_p(H) \leq Q_0$ . If  $O_p(H) < Q_0$ , then

$$\sigma' : O_p(H) < Q_0 < Q_1 < \dots < Q_n$$

is also a radical  $b$ -chain of  $H$  and so  $\text{plr}(b) \geq |\sigma'| = \text{plr}(b) + 1$ , which is impossible. Thus  $O_p(H) = Q_0$ . By Proposition 3.1, there is a radical  $B$ -chain  $\sigma^*$  of length  $n$ , so that  $\text{plr}(b) \leq \text{plr}(B)$ . □

We examine the situation where  $H$  contains  $O^{p'}(G)$ , the minimal normal subgroup with  $p$ -regular index in  $G$ . We first need the following in order to locate  $b$ -subgroups when moving from  $G$  to  $H$ :

**Lemma 3.3** *Let  $N \trianglelefteq G$  and  $N \leq H \leq G$ . Suppose that  $B \in \text{Blk}(G)$  and  $b \in \text{Blk}(H|B)$ . Then*

- (i) *there is  $B' \in \text{Blk}(N)$  such that  $B'$  is covered by both  $B$  and  $b$ ,*
- (ii)  *$B$  and  $b$  share a defect group  $D$ .*

PROOF: (i) By [3, 58.17]  $b|B_{H \times H}$ , and by [3, 61.4]  $B$  covers  $B' \in \text{Blk}(N)$  if and only if  $B'|B_{N \times N}$  (and similarly for  $b$ ). Suppose that  $B$  covers  $B' \in \text{Blk}(N)$  and  $b$  covers  $B'' \in \text{Blk}(N)$ . Then  $B''|b_{N \times N}$  and  $b|B_{H \times H}$ , so  $B''|B_{N \times N}$ , and  $B'$  is conjugate in  $G$  to  $B''$ . Hence we can take  $B' = B''$ .

(ii) Let  $B' \in \text{Blk}(N)$  be covered by both  $B$  and  $b$  as in part (i), and let  $D(G)$  be a defect group for  $B$ ,  $D(H)$  a defect group for  $b$  such that  $D(G) \cap N =_G D'$  for some defect group  $D'$  of  $B'$  and  $D(H) \cap N =_H D''$  for some other defect group  $D''$  of  $B'$  (see [3, 61.2]). We may assume that  $D'' = D'$ . But  $D(G) \cap N = D(G)$  and  $D(H) \cap N = D(H)$ , so  $D(G) =_G D' =_H D(H)$ , and we may take  $D(G) = D(H)$ . □

**Proposition 3.4** *Suppose that  $O^{p'}(G) \leq H \leq G$  and  $b \in \text{Blk}(H|B)$ . Then  $\mathcal{R}(G, B) = \mathcal{R}(H, b)$ , and in particular  $\text{plr}(B) = \text{plr}(b)$ .*

PROOF: Write  $N = O^{p'}(G)$ , and let  $D$  be a defect group for  $B$  and  $b$  as in Lemma 3.3.

Note that if  $X$  and  $Y$  are finite groups with  $X \trianglelefteq Y$  and  $[Y : X]_p = 1$ , then  $O_p(X) = O_p(Y)$ , since  $O_p(X)$  is the *unique* maximal normal  $p$ -subgroup of  $X$ . Also note that every  $p$ -subgroup of  $Y$  is contained in  $X$ .

Suppose that  $\sigma \in \mathcal{R}(G, B)$ . Then we may take  $V^\sigma \leq D \leq H$ . Now

$$O^{p'}(N_G(\sigma)) \leq N_H(\sigma) \leq N_G(\sigma),$$

so  $O_p(N_H(\sigma)) = O_p(O^{p'}(N_G(\sigma))) = O_p(N_G(\sigma)) = V^\sigma$ . Hence  $\sigma \in \mathcal{R}(H)$ . But  $V^\sigma \leq D$ , a defect group for  $b$ , so there is a  $b$ -subgroup  $(V^\sigma, b_{V^\sigma})$ . But  $b_{V^\sigma}^{N_H(\sigma)}$  is a well-defined block, as is  $(b_{V^\sigma}^{N_H(\sigma)})^H$ , and  $b_{V^\sigma}^H = b$ , so  $\sigma \in \mathcal{R}(H, b)$ .

The argument that  $\mathcal{R}(H, b) \subseteq \mathcal{R}(G, B)$  is similar. □

**Proposition 3.5** *Let  $G_1$  and  $G_2$  be finite groups and  $B = B_1 \times B_2$  a  $p$ -block of  $G = G_1 \times G_2$ , where each  $B_i \in \text{Blk}(G_i)$ . Then*

$$\text{plr}(B) = \text{plr}(B_1) + \text{plr}(B_2).$$

PROOF: Let  $\pi_i$  be the natural projection of  $G$  onto  $G_i$  for  $i = 1, 2$ . If  $\sigma \in \mathcal{R}(G)$  is given by (1.1), then let  $\Omega_i = \{\pi_i(Q_j) : 0 \leq j \leq n\}$  and  $|\Omega_i| = u_i$ . Relabel the subgroups in  $\Omega_i$  such that

$$\Omega_i = \{W_0 < W_1 < \dots < W_{u_i}\}.$$

It follows by [7, 4.6] that each radical subgroup  $R$  of  $G$  is of the form  $R = R_1 \times R_2$ , where  $R_1 = R \cap G_1$  and  $R_2 = R \cap G_2$ . Thus  $N_G(R) = N_{G_1}(R_1) \times N_{G_2}(R_2)$ , and it follows that  $\pi_i(\sigma) : W_0 < W_1 < \dots < W_{u_i}$  is a radical chain of  $G_i$  and

$$N_G(\sigma) = N_{G_1}(\pi_1(\sigma)) \times N_{G_2}(\pi_2(\sigma)).$$

In addition, if  $\sigma$  is a radical  $B$ -chain, then  $\pi_i(\sigma)$  is a radical  $B_i$ -chain, so that  $\text{plr}(B) \leq \text{plr}(B_1) + \text{plr}(B_2)$ .

Conversely, suppose that  $\sigma_1 : W_0 < W_1 < \dots < W_{u_1}$  and  $\sigma_2 : P_0 < P_1 < \dots < P_{u_2}$  are radical  $B_1$ -chain and  $B_2$ -chain, respectively. Define

$$\sigma : Q_0 < Q_1 < \dots < Q_{u_1+u_2},$$

such that  $Q_i = W_i \times P_0$  for  $0 \leq i \leq u_1$  and  $Q_i = W_{u_1} \times P_{i-u_1}$  for  $u_1 \leq i \leq u_1 + u_2$ . Then  $\sigma$  is radical  $B$ -chain and  $|\sigma| = |\sigma_1| + |\sigma_2|$ . Thus  $\text{plr}(B) \geq \text{plr}(B_1) + \text{plr}(B_2)$ .  $\square$

## 4 Quotient groups and the $p$ -local rank

Let  $N$  be a normal subgroup of  $G$  and  $V$  an indecomposable  $OG$ -module which is indecomposable as an  $ON$ -module  $V_N$ , where  $O$  is  $\mathcal{O}$  or  $k$ . Following [6], a block  $B$  of  $G$  dominates a block  $\bar{B}$  of  $\bar{G} = G/N$  through  $V$  if there is an  $O\bar{G}$ -module  $X$  such that  $V \otimes \text{Inf}(X)$  is a  $B$ -module, where  $\text{Inf}(X)$  is the inflation of  $X$  to  $G$ . This is equivalent, by [6], to the fact that  $B$  covers the block  $b$  of  $N$  containing  $V_N$ . In addition, by [6],  $\bar{B}$  has a defect group  $QN/N$  such that

$$D \cap N \leq Q \leq D,$$

where  $D = D(B)$ .

Let  $\bar{H} \leq \bar{G} = G/N$  and  $\bar{b} \in \text{Blk}(\bar{H}|\bar{B})$ . We may suppose that  $D(\bar{b}) \leq D(\bar{B}) = QN/N$ . Since  $QN/N \simeq Q/(Q \cap N)$ , there is a subgroup  $W$  of  $Q$  such that  $WN/N = D(\bar{b})$  and

$$D \cap N \leq Q \cap N \leq W \leq Q \leq D. \quad (4.1)$$

Moreover, suppose that  $W_1$  and  $W_2$  are subgroups of  $Q$  such that  $Q \cap N \leq W_1 \leq W_2 \leq Q$  and  $W_1N/N \trianglelefteq W_2N/N$ . Then  $W_1N/N \simeq \eta(W_1) \trianglelefteq \eta(W_2) \simeq W_2N/N$ , where  $\eta$  is the natural group homomorphism from  $Q$  onto  $Q/(Q \cap N)$ . Since  $Q \cap N = \ker(\eta) \leq W_1$ , it follows that  $W_1 \trianglelefteq W_2$ .

**Proposition 4.1** *Let  $N$  be a normal subgroup of  $G$  and  $\overline{B} \in \text{Blk}(G/N)$  which is dominated by a block  $B$  of  $G$  through  $V$ . Then*

$$\text{plr}(\overline{B}) \leq \text{plr}(B).$$

*Moreover, suppose that  $b$  is the block of  $N$  containing  $V_N$  and  $\text{plr}(b) \geq 1$ . Then  $\text{plr}(\overline{B}) < \text{plr}(B)$ .*

PROOF: Let  $\overline{G} = G/N$  and  $\sigma \in \mathcal{R}(\overline{G}, \overline{B})$  with  $|\sigma| = n \geq 1$ . Then there is a block  $\overline{b} \in \text{Blk}(N_{\overline{G}}(\sigma)|\overline{B})$ , so we may suppose that  $WN/N = D(\overline{b})$  for some subgroup  $W \leq Q$  satisfying (4.1). Thus we may suppose that there are subgroups  $Q_0, Q_1, \dots, Q_n$  of  $Q$  such that

$$D \cap N \leq Q \cap N \leq Q_0 < Q_1 < \dots < Q_n \leq Q \leq D \quad (4.2)$$

and  $\sigma : Q_0N/N < Q_1N/N < \dots < Q_nN/N$ . Thus for each  $i$  with  $0 \leq i \leq n$  there is a  $B$ -subgroup  $(Q_i, b_i)$  for  $G$ . Since  $\sigma$  is radical, it follows by the remark above that  $Q_i \trianglelefteq Q_n$  for all  $0 \leq i \leq n$ .

By Lemma 2.1, there is a radical  $B$ -subgroup  $(P_1, b_{P_1})$  containing  $(Q_1, b_1)$  and  $N_G(Q_1) \leq L_1 = N_G(P_1)$ , so that  $O_p(G) \leq P_1$  and  $Q_n \leq L_1$  as  $Q_1 \trianglelefteq Q_n$ . Since  $\overline{O_p(G)} = O_p(G)N/N \trianglelefteq \overline{G}$  and  $\overline{Q_0}$  is radical in  $\overline{G}$ , it follows that

$$\overline{O_p(G)} \leq \overline{Q_0} < \overline{Q_1} \leq \overline{P_1},$$

and in particular,  $O_p(G) < P_1$ . Thus  $\sigma_1^* : O_p(G) < P_1$  is a radical  $B$ -chain. In addition, since  $N_G(Q_1) \leq L_1$ , it follows that  $\overline{N_G(Q_1)} = N_{\overline{G}}(\overline{Q_1}) \leq \overline{L_1}$ ,  $\overline{Q_1}$  is a radical subgroup of  $\overline{L_1}$ ,  $\overline{P_1} \leq \overline{Q_1}$  and  $\overline{P_1} = \overline{Q_1}$ . Thus  $\overline{N_G(P_1)} = N_{\overline{G}}(\overline{Q_1})$  and  $\overline{L_1} = N_{\overline{G}}(\overline{Q_1})$ .

Suppose that there is a radical  $B$ -chain

$$\sigma_t^* : O_p(G) < P_1 < \dots < P_t$$

with  $1 \leq t < n$  such that  $Q_n \leq N_{L_{t-1}}(Q_t) \leq L_t$ ,  $Q_t \leq P_t$ ,  $\overline{Q_t} = \overline{P_t}$ ,  $C_G(Q_t) = C_{L_t}(Q_t)$  and  $N_{\overline{G}}(\sigma_t) = \overline{L_t}$ , where  $L_0 = G$ . Thus  $Q_{t+1} \leq L_t$ ,  $C_G(Q_{t+1}) \leq C_G(Q_t) = C_{L_t}(Q_t) \leq L_t$  and  $C_G(Q_{t+1}) = C_{L_t}(Q_{t+1})$ . So  $(Q_{t+1}, b_{t+1})$  is a subpair for  $L_t$  and by Lemma 2.1,  $(Q_{t+1}, b_{t+1}) \leq (P_{t+1}, B_{t+1})$  for some radical subpair  $(P_{t+1}, B_{t+1})$  for  $L_t$  and  $N_{L_t}(Q_{t+1}) \leq L_{t+1} = N_{L_t}(P_{t+1})$ . Since  $C_G(P_{t+1}) \leq C_G(Q_{t+1}) \leq L_t$ , it follows that  $C_G(P_{t+1}) = C_{L_t}(P_{t+1}) \leq L_{t+1}$ , so that  $C_G(P_{t+1}) = C_{L_{t+1}}(P_{t+1})$  and  $(P_{t+1}, B_{t+1})$  is a subpair for  $G$ . Since  $(1, B) \leq (Q_{t+1}, b_{t+1}) \leq (P_{t+1}, B_{t+1})$ , it follows that  $(P_{t+1}, B_{t+1})$  is a  $B$ -subgroup. Since  $Q_n \leq L_t$  and  $Q_{t+1} \trianglelefteq Q_n$ , it follows that  $Q_n \leq L_{t+1}$ .

Since  $P_{t+1}$  is radical in  $L_t$  and  $N_{L_t}(Q_{t+1}) \leq L_{t+1}$ , it follows that  $P_t = O_p(L_t) \leq P_{t+1}$ ,

$$\overline{N_{L_t}(Q_{t+1})} = N_{\overline{L_t}}(\overline{Q_{t+1}}) = N_{\overline{G}}(\sigma_{t+1}) \leq \overline{L_{t+1}},$$

$\overline{Q_{t+1}}$  is radical in  $\overline{L_{t+1}}$  and  $\overline{P_{t+1}} \leq \overline{Q_{t+1}}$ . Thus  $\overline{P_{t+1}} = \overline{Q_{t+1}}$  and  $N_{\overline{G}}(\sigma_{t+1}) = \overline{L_{t+1}}$ . In particular,  $\overline{Q_t} = \overline{P_t} < \overline{Q_{t+1}} = \overline{P_{t+1}}$  and  $P_t \neq P_{t+1}$ . Thus

$$\sigma_{t+1}^* : O_p(G) < P_1 < \dots < P_t < P_{t+1}$$

is a radical  $B$ -chain and by induction on  $n$ ,  $\text{plr}(\overline{B}) \leq \text{plr}(B)$ .

Suppose that  $plr(b) > 0$ , so that  $B$  covers  $b$  by [6]. We may choose above  $D$  so that  $D(b) = D \cap N$ . Thus

$$O_p(G) \cap N \leq O_p(N) < D \cap N \leq Q_0$$

as  $plr(b) \geq 1$ . By Lemma 2.1, there is a radical  $B$ -subgroup  $(W, b_W)$  containing the  $B$ -subgroup  $(Q_0, b_0)$  and  $N_G(Q_0) \leq N_G(W)$ . Thus  $O_p(G) \cap N < D \cap N \leq Q_0 \leq W \cap N$  and  $O_p(G) < W$ . Repeat the proof above with  $G$  replaced by  $N_G(W)$ . Then there is a radical  $B$ -chain  $O_p(Q) < W < P_1 < \dots < P_n$  of length  $n + 1$ , so that  $plr(\overline{B}) < plr(B)$ .  $\square$

## 5 Blocks of $p$ -local rank one

We say that a subgroup  $H$  of  $G$  is trivial intersection (TI) if  $H^g \cap H = 1$  for every  $g \in G - N_G(H)$ . By [7, 7.1] if  $plr(G) > 0$ , then  $plr(G) = 1$  if and only if  $G/O_p(G)$  has TI Sylow  $p$ -subgroups. Hence if  $B$  is the principal  $p$ -block, then  $plr(B) = 1$  if and only if  $B$  has defect groups intersecting pairwise in  $O_p(G)$ . We show that this may be generalized to any  $p$ -block.

We then highlight the consequence of this to conjectures such as those of Alperin [1], Dade [4] and of Robinson [7], although the consequence to Alperin's conjecture has been proved in [8].

**Proposition 5.1** *Let  $B \in \text{Blk}(G)$  have defect group  $D \neq O_p(G)$ . Then  $plr(B) = 1$  if and only if  $D/O_p(G)$  is a TI set in  $G/O_p(G)$ . Further, in this case  $O_p(G)$  is the only radical  $p$ -subgroup of  $G$  contained in  $D$ .*

**PROOF:** Suppose first that  $D/O_p(G)$  is a TI subgroup of  $G/O_p(G)$ , and that  $Q \neq O_p(G)$  is a radical  $p$ -subgroup of  $G$  such that  $\text{Blk}(N_G(Q)|B) \neq \emptyset$ . Then  $Q$  is conjugate to a subgroup of  $D$ , and we may suppose that  $Q \leq D$ . Suppose that  $Q \neq D$ . Since  $N_G(Q) \leq N_G(D)$ , it follows that  $N_D(Q) \trianglelefteq N_G(Q)$ , and so  $Q < N_D(Q) \leq O_p(N_G(Q))$ , contradicting our assumption that  $Q$  is radical. Hence a radical  $B$ -chain  $\sigma$  of maximal length must satisfy  $\sigma_1 : O_p(G) < D$ , since  $D$  is a radical  $p$ -subgroup such that  $\text{Blk}(N_G(D)|B) \neq \emptyset$ . But  $V^\sigma$  is  $G$ -conjugate to a subgroup of  $D$ , so  $O_p(G) < D$  is a radical  $p$ -chain for  $B$  of maximal length, and  $plr(B) = 1$ .

Now suppose that  $plr(B) = 1$ , but that  $D/O_p(G)$  is not TI. We show first that  $D$  cannot contain any radical  $p$ -subgroups of  $G$  other than  $O_p(G)$  and itself.

Suppose that  $R$  is a radical  $p$ -subgroup of  $G$  with  $O_p(G) < R < D$ , and let  $(D, b_D)$  be a Sylow  $B$ -subgroup. Then there is a unique radical  $B$ -subgroup  $(R, b_R)$  such that  $(1, B) < (R, b_R) < (D, b_D)$ . Now  $b_R^{N_G(R)}$  is a well-defined block of  $N_G(R)$  and has defect group  $R$ , since otherwise  $O_p(G) < R < D(b_R^{N_G(R)})$  is a radical  $B$ -chain and  $plr(B) > 1$ , contradicting our original assumption. But then  $(b_R^{N_G(R)})^G$  must have defect group  $R \neq D$  by Brauer's First Main Theorem, a contradiction since  $(b_R^{N_G(R)})^G = B$  and  $D(B) = D$ .

Since  $D/O_p(G)$  is not TI, we can choose a defect group  $D_1 \neq D$  for  $B$  with  $|D_1 \cap D|$  maximized. Write  $Q = D_1 \cap D > O_p(G)$ . As in the above argument, there is a

$B$ -subgroup  $(Q, b_Q)$  such that  $(Q, b_Q) < (D, b_D)$ . By Lemma 2.1, there is radical  $B$ -subgroup  $(P, b_P)$  strictly containing  $(Q, b_Q)$  with  $N_G(Q) \leq N_G(P)$  (since  $Q$  is not itself a radical  $B$ -subgroup).

Now we have seen that the only radical  $p$ -subgroups for  $B$  are either  $O_p(G)$  or conjugate to  $D$ . By maximality,  $Q = P \cap D = P \cap D_1$ , hence we may take  $P = D$ , and  $N_G(Q) \leq N_G(D)$ .

Let  $(D_1, b_{D_1})$  be a Sylow  $B$ -subgroup and  $R = N_{D_1}(Q)$ . Then  $Q < R \leq D_1$ , so there is a  $B$ -subgroup  $(R, b_R)$ . Since

$$C_G(R) \leq C_G(Q) \leq N_G(Q) \leq N_G(D),$$

$(R, b_R)$  is a subpair for  $N_G(D)$ , and  $b_R^{N_G(D)}$  is defined.

Let  $D(b_R^{N_G(D)})$  be a defect group for  $b_R^{N_G(D)}$  containing  $R$ . Then  $D \leq D(b_R^{N_G(D)})$  as  $D \trianglelefteq N_G(D)$ . Since  $(b_R^{N_G(D)})^G = b_R^G = B$ , it follows that  $D(b_R^{N_G(D)})$  is  $G$ -conjugate to a subgroup of  $D$ , so  $D = D(b_R^{N_G(D)})$ . Hence  $Q < R = N_{D_1}(Q) \leq D(b_R^{N_G(D)}) = D$ , and  $Q < |N_{D_1}(Q)| < |D_1 \cap D|$ , contradicting our choice of  $Q$ . □

**Remark** We note that there is already a notion of a *TI block* (see [1]), where  $B$  is TI if every nontrivial  $B$ -subgroup  $(Q, b_Q)$  is contained in a unique Sylow  $B$ -subgroup. However, it is not clear whether this is equivalent to  $B$  having TI defect groups. To distinguish between the two definitions, we say that a block with TI defect groups is a *TI defect block*.

**Corollary 5.2** *Suppose that  $B$  is a  $p$ -block of  $G$ . Let  $f : \mathcal{R}(G, B) \rightarrow A$  be a  $G$ -stable function dependent only on  $N_G(\sigma)$  and  $V_\sigma$ , where  $A$  is an abelian group. If  $D \neq O_p(G)$  is a defect group for  $B$ ,  $plr(G) > 0$  and any two defect groups for  $B$  intersect in  $O_p(G)$ , then*

$$\sum_{\sigma \in \mathcal{R}(G, B)/G} (-1)^{|\sigma|} f(N_G(\sigma), V_\sigma) = f(G, O_p(G)) + f(N_G(D), D) - f(N_G(D), O_p(G)).$$

**Remark** With analogy to the remarks in [5] we may replace  $\mathcal{R}(G, B)$  by  $\mathcal{D}_{max}$  in any alternating sum defined by such a function  $f$ , where  $\mathcal{D}_{max}$  is the set of  $p$ -chains of  $G$  which are intersections of defect groups of  $B$  (here we do not make any assumptions on  $plr(B)$ ). The proof is similar to that given in [5], so we do not include it here.

### Acknowledgment

The authors would like to thank the Marsden Fund committee and The Royal Society for their support, and the University of Auckland for its generous hospitality.

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