

Nonassociative Computable Rings and Their Isomorphisms

B. Khoussainov, A. Slinko

Abstract: We investigate computable isomorphism types of (nonassociative) rings. We prove that for any $n \in \omega \cup \{\omega\}$ there exists a ring with exactly n computable isomorphism types. We also investigate the relationship between the number of computable isomorphism types of a ring and the number of computable isomorphism types of its expansion by a finite number of constants.

1. Introduction, Basic Notions and Main Results.

From algebraic point of view there is no distinction between isomorphic algebraic systems. Therefore classification of algebraic systems up to isomorphism constitutes one of the main goals of structure theories of these systems. It can be said that structure theories of algebraic systems study isomorphism types of these systems, i.e., classes of isomorphic algebraic systems. The theory of rings is by no means an exception among them. However, this view on isomorphism types has to undergo profound changes when one introduces effectiveness in consideration, since isomorphism types and computable isomorphism types become different.

Computable algebraic systems such as computable groups, boolean algebras, vector spaces, lattices, have been intensively investigated in recent years [2]. Intensive research efforts have been made in attempts to understand the effective content of a variety of model-theoretic and algebraic notions, results and constructions. We refer the reader to the recent surveys by Harizanov [8], Millar [12] as well as to the classic papers by Malcev [10] and Rabin [15]

devoted to these issues. In this paper we consider computable rings and investigate relationship between isomorphism types and computable isomorphism types of these algebraic systems.

Let us recall several basic notions from the computability theory [16]. Throughout the paper ω is the set of all natural numbers. A set $X \subset \omega$ is *computable* if there is a procedure which being applied to any number n tells us if $n \in X$. A function $f: \omega \rightarrow \omega$ is *computable* if the set of pairs $X = \{(n, f(n)) \mid n \in \omega\} \subseteq \omega \times \omega$ is computable under the standard Cantor's identification of $\omega \times \omega$ and ω . A set $X \subset \omega$ is *computably enumerable* if it is the range of a computable function $f: \omega \rightarrow \omega$.

Definition 1.1 A ring $\mathcal{R} = (R, +, \times, 0)$ is *computable* if the set R is a computable subset of ω and the ring operations $+$ and \times are computable functions from R^2 into R .

Informally, a computable ring is a ring whose elements can be enumerated and whose operations can be computed by Turing machines.

Definition 1.2 A ring \mathcal{R} is said to be *computably presentable* if its isomorphism type contains a computable ring. If \mathcal{R}' is a computable ring isomorphic to \mathcal{R} , then an isomorphism from \mathcal{R} onto \mathcal{R}' is called a *computable presentation* of \mathcal{R} .

For example, the field of rational numbers \mathbb{Q} and the ring of integers \mathbb{Z} are computably presentable rings.

Definition 1.3 An isomorphism $f: \mathcal{R}_1 \rightarrow \mathcal{R}_2$ from a computable ring \mathcal{R}_1 onto a computable ring \mathcal{R}_2 is said to be *computable* if f itself is a computable function. In this case we say that \mathcal{R}_1 is computably isomorphic to \mathcal{R}_2 .

Definition 1.4 The notion of computable isomorphism defines an equivalence relation on the class of all computable presentations of a given computably presentable ring \mathcal{R} . The classes of the partition corresponding to this equivalence relation are called *computable isomorphism types* of \mathcal{R} . The number of computable isomorphism types of \mathcal{R} is called the *algorithmic dimension* of \mathcal{R} .

Thus, informally one can say that the number of computable isomorphism types of a ring is the number of its effective presentations which cannot be effectively transformed one into another. Rings of algorithmic dimension 1 are the rings with exactly one computable isomorphism type. Algebraic

structures with exactly one computable isomorphism type are also called *computably categorical*. They attracted a considerable interest: [2], [8], [12], [5], [6], [7], [13]. The following simple proposition gives examples of rings of algorithmic dimension 1 or, equivalently, computably categorical rings.

Proposition 1.1 Any two computable presentations of a finitely generated ring \mathcal{R} are computably isomorphic.

Proof. Let \mathcal{R}_1 and \mathcal{R}_2 be computable presentations of \mathcal{R} . Let b_1, \dots, b_n be generators of \mathcal{R} , with m_1, \dots, m_n and k_1, \dots, k_n being the images of the generators in the computable presentations \mathcal{R}_1 and \mathcal{R}_2 under respective isomorphisms $\phi_1: \mathcal{R} \rightarrow \mathcal{R}_1$ and $\phi_2: \mathcal{R} \rightarrow \mathcal{R}_2$. Consider the partial mapping $\sigma: m_i \mapsto k_i$. This partial mapping can be extended to a computable isomorphism from \mathcal{R}_1 to \mathcal{R}_2 in the following way. Let $m \in \mathcal{R}_1$. We can effectively find a term t_m such that $t_m(m_1, \dots, m_n) = m$. Then the mapping $\bar{\sigma}: m \mapsto t(k_1, \dots, k_n)$, if correctly defined, is a computable isomorphism of \mathcal{R}_1 onto \mathcal{R}_2 . As k_i 's are images of m_i 's under the isomorphism $\psi = \phi_2\phi_1^{-1}$, it is correctly defined. Indeed, if for two terms t and s we had $t(m_1, \dots, m_n) = s(m_1, \dots, m_n)$, then applying ψ we get also $t(k_1, \dots, k_n) = s(k_1, \dots, k_n)$. The proposition is proved.

S. Goncharov [6], [7] and independently Remmel [13] studied computably categorical boolean algebras and linearly ordered sets. They proved the following two theorems.

Theorem 1.1 A boolean algebra is computably categorical if and only if the number of its atoms is finite. Moreover, every boolean algebra, which is not computably categorical, has infinitely many computable isomorphism types.

Theorem 1.2 A linear ordering is computably categorical if and only if the number of its successive pairs, that is pairs (a, b) for which $a < b$ and the interval $[a, b]$ consists of a and b only, is finite. Moreover, every linear ordering, which is not computably categorical, has infinitely many computable isomorphism types.

Thus, the algorithmic dimension of any Boolean algebra or linear ordering is either ω or 1. For abelian groups, as S. Goncharov [6], [7] showed in the theorem that follows, the same result holds, but for groups in general the situation is more complicated.

Theorem 1.3 The algorithmic dimension of any abelian group is either 1 or ω . For any natural number n there exists a (noncommutative) group of algorithmic dimension n .

In this paper we show that a similar result holds also for rings. Namely, we prove:

Theorem A For every $n \in \omega \cup \{\omega\}$ there exists a (noncommutative and nonassociative) ring of algorithmic dimension n .

There are basically two reasons why the notion of a computably categorical structure has attracted a significant attention of researchers in computable algebra and model theory. The first reason is that computably categorical structures are exactly those structures which do not depend on a particular computable presentation. Thus, from the computable-model-theoretic point of view there is no distinction between two computable presentations of a computably categorical structure. The second reason comes from model theory. The basic model-theoretic notion, which motivated the study of computably categorical structures, is the notion of countably categorical model. In classical model theory a theory T is called (*countably*) *categorical* if all (countable) models of T are isomorphic. A (countable) structure \mathcal{A} is (*countably*) *categorical* if its theory $Th(\mathcal{A})$ is (countably) categorical. The analogous concept for the effective model theory deals only with computable structures and isomorphisms. It is the notion of a computably categorical structure.

In classical model theory it is an easy consequence of Ryll-Nardzewski Theorem that, if the theory of an arbitrary structure \mathcal{A} is countably categorical, then so is the theory of any expansion of \mathcal{A} by finitely many constants. It is the analogous problem for computable rings that we wish to address in this paper. It is worth mentioning that Millar [11] proved that a certain amount of decidability is enough to guarantee that the property of being computably categorical is preserved under such expansions. Without this assumption of partial decidability the problem, which was known as Ash-Goncharov problem [3], remained open for some time. It was solved negatively in [1].

Theorem 1.4 For every natural number n there exists a computably categorical graph G such that for any $c \in G$, the expanded graph (G, c) has exactly n computable isomorphism types.

The second theorem of this paper shows that the same phenomenon can also occur in the class of rings:

Theorem B For every natural number n there exists a computably categorical (noncommutative and nonassociative) ring \mathcal{R} such that for some $c \in \mathcal{R}$ the expanded ring (\mathcal{R}, c) has exactly n types of computable isomorphisms.

2. Computable Families and Enumerations.

The ring which we need to present to establish Theorem A will be constructed by encoding a certain (uniformly) computably enumerable family of sets of natural numbers into a ring.

Definition 2.1 A family of nonempty sets S is called *computably enumerable* if there exists a mapping $f: \omega \rightarrow F$ such that the set of pairs $\{(i, x) \mid x \in f(i)\}$ is computably enumerable. We then call f a (*computable*) *enumeration* of S . If f is one-to-one we say that it is a *one-to-one* enumeration of S .

Technically, it is more convenient to view a computable enumeration of S as a procedure which produces a 2-dimensional array $\{f^i(n) \mid i, n \in \omega\}$ of finite subsets of ω according to the following rules:

- (i) At stage 0 it produces empty or one element subset $f^0(0)$;
- (ii) At stage k it produces subsets $f^k(0), \dots, f^1(k-1), f^0(k)$ such that $f^{k-1}(i) \subseteq f^k(i)$, $i = 1, \dots, k-1$, and such that

$$\text{card}(f^{k-1}(0) \cup \dots \cup f^0(k-1)) \leq \text{card}(f^k(0) \cup \dots \cup f^0(k)) + 1;$$

- (iii) $\bigcup_{i \geq 0} f^i(n) = f(n)$.

We define a preordering on the set of all computable enumerations of a family S that will naturally induce an equivalence relation on this set. The equivalence classes of this relation will correspond to computable isomorphism types of the ring that we will construct.

Definition 2.2 Let f and g be two computable enumerations of a family S . We say that f is *reducible* to g and denote it as $f \leq g$, if there is a computable function $\Phi: \omega \rightarrow \omega$ such that $f = g\Phi$. If $f \leq g$ and $g \leq f$ then we say that f and g are *equivalent* and denote this relation by $f \sim g$.

The equivalence classes of one-to-one enumerations are the minimal elements in the induced partial ordering. One-to-one enumerations will be

needed to define a family of sets that will be encoded. Theorem A will be based on the following theorem of Goncharov [5].

Theorem 2.1 For any $n \in \omega$ there exists a family S of computably enumerable sets such that S has up to equivalence exactly n one-to-one computable enumerations.

We now present the basic notions involved in the proof of Theorem B. We need to consider families of k -tuples of sets. We give all definitions for the case $k = 2$. We will indicate later how the case $k > 2$ can be handled.

In what follows we use r and l as the right and left projections from pairs, that is, $l(A, B) = A$ and $r(A, B) = B$.

Definition 2.3 Let S be a family of pairs (A, B) of nonempty sets. A family S is called *symmetric* if $(A, B) \in S$ implies that $(B, A) \in S$. A family S is said to be *computably enumerable* if there exists a mapping $f: \omega \rightarrow S$ such that the set of triples $\{(i, x, y) \mid x \in lf(i), y \in rf(i)\}$ is computably enumerable. We then call f a (*computable*) *enumeration* of S . If f is one-to-one, we say it is a *one-to-one* enumeration of S .

The notion of reducibility and equivalence between enumerations of a symmetric family S are exactly the same as for families of computably enumerable sets. If f is a one-to-one computable enumeration of a symmetric family S of pairs of sets then there is another computable enumeration \tilde{f} of S which is a natural companion of f , namely, if $f(i) = (A_i, B_i)$, then $\tilde{f}(i) = (B_i, A_i)$.

The notion of algorithmic dimension can be also applied to a family S of pairs of sets as follows:

Definition 2.4 If f is a one-to-one computable enumeration of a symmetric family S of pairs of sets, we say that S has algorithmic dimension 2 if f and \tilde{f} are not equivalent but every computable one-to-one enumeration of S is equivalent to either f or \tilde{f} .

Such a family was constructed in [1]:

Theorem 2.2 There exists a computably enumerable symmetric family of algorithmic dimension 2.

This family will be encoded into a ring in order to prove Theorem B.

3. Rings of a Finite Algorithmic Dimension.

(a) **Encoding a set into a field.** We first show how to encode a set of natural numbers into a ring, in fact, into a field. Let $F = \mathbb{Z}_p$ be a finite field of residues modulo p . In the construction that follows p may be an arbitrary prime number. To motivate the construction we consider the class of all algebraic extensions of F which lie in some fixed copy \overline{F} of algebraic closure of F . If $F \subseteq K$ is such an extension, then

$$[F : K] = \dim_F K$$

is called the *degree* of the extension K . The extension K is called *finite* if its degree is finite. For a tower of finite extensions

$$F \subseteq K \subseteq L$$

the degrees are multiplicative, i.e. $[F : L] = [F : K][K : L]$.

For any $\alpha_1, \dots, \alpha_n, \dots \in \overline{F}$ by $F[\alpha_1, \dots, \alpha_n, \dots]$ we denote the minimal subfield of \overline{F} containing F and $\alpha_1, \dots, \alpha_n, \dots$. Extensions of the form $F[\alpha]$ are called *simple*. If a simple extension $F[\alpha]$ is finite, the element α is said to be *algebraic* over F . For such an element α there exist polynomials $f(x) \in F[x]$ which annihilate it, that is $f(\alpha) = 0$. All annihilating polynomials form an ideal I_α in the polynomial ring $F[x]$. This ideal is generated by a polynomial called *the minimal irreducible polynomial* of α , denoted $\text{Irr}_\alpha(x)$. The degree of the extension $F \subseteq F[\alpha]$ is equal to the degree of the minimal irreducible polynomial $\text{Irr}_\alpha(x)$. We will refer to this degree as to the *order* of α . The multiplicity of degrees implies that for every element $\alpha \in K$ of a finite extension K of F the order of α is a divisor of $[F : K]$.

Constructively, for an element α of order n , $F[\alpha]$ can be viewed as the quotient-algebra $F[x]/I_\alpha$, where the coset $x + I_\alpha$ corresponds to α , that is the set of polynomials $\{g(x) \mid \deg g(x) \leq n\}$ with their usual addition and usual multiplication truncated modulo $\text{Irr}_\alpha(x)$. The field $F[\alpha_1, \dots, \alpha_n]$ can now be inductively defined as

$$F[\alpha_1, \dots, \alpha_n] = F[\alpha_1, \dots, \alpha_{n-1}][\alpha_n]$$

and also

$$F[\alpha_1, \dots, \alpha_n, \dots] = \bigcup_{n=1}^{\infty} F[\alpha_1, \dots, \alpha_n].$$

It is essential for our purposes that F has simple finite extensions of all possible degrees. For this background material see, for example, the book [9], or any other textbook.

Let $M = \{m_0, m_1, \dots, m_n, \dots\}$ be a subset of ω . If M is empty, then we assume that the field F encodes it. If M is not empty, we will put in correspondence to M the algebraic extension of F

$$F_M = F[\alpha_0, \alpha_1, \dots, \alpha_n, \dots],$$

where α_i is an algebraic element of order p_{m_i} , the m_i th prime. We fix these elements and always use them for our coding purposes or alternatively, from the constructive point of view, we may think that we have fixed their minimal irreducible polynomials $P_i(x) = \text{Irr}_{\alpha_i}(x)$.

Lemma 3.1 The set of prime factors of orders of elements of F_M is exactly the set $\{p_{m_0}, \dots, p_{m_i}, \dots\}$. The set S_i of all elements of order p_{m_i} in F_M consists of $p^{p_{m_i}}$ elements and $S_i = F[\alpha]$ for every element α of order p_{m_i} .

Proof: Let $a \in F_M$. Then, for some n , the element a belongs to a finite extension

$$G_n = F[\alpha_0, \alpha_1, \dots, \alpha_n], \quad (1)$$

Since degrees in towers are multiplicative, observing the tower

$$F \subseteq F[\alpha_i] \subseteq G_n$$

we see that the degree of G_n is divisible by p_{m_i} and therefore is divisible by $p_{m_0} p_{m_1} \dots p_{m_n}$. As the degree of α_i over $F[\alpha_0, \alpha_1, \dots, \alpha_{i-1}]$ is less than or equal to p_{m_i} the degree of G_n cannot be greater than this product.

Now by considering the tower

$$F \subseteq F[a] \subseteq G_n$$

we see that the order of a must be a divisor of this product.

Let $q = p_{m_i}$ and α be an element of order q . Then the subfield $F[\alpha]$ has p^q elements. It is isomorphic to the Galois field $\text{GF}(p^q)$ of this order which, being a subfield of \overline{F} is known to coincide with the set of all roots of the polynomial $x^{p^q} - x$ in \overline{F} . Therefore such subfield is unique and $F[\alpha] = F[\beta]$ for any two elements of order q .

Lemma 3.2 The set M is computably enumerable if and only if the field F_M is computably presentable.

Proof: Let $m_0, m_1, \dots, m_n, \dots$ be an effective enumeration of M . Define the field G_n as in (1). We saw in the proof of the previous lemma that the dimension of the field G_n over F is $p_{m_0}p_{m_1} \dots p_{m_n}$.

As a vector space G_n has a spanning set consisting of monomials

$$\alpha_0^{k_0} \alpha_1^{k_1} \dots \alpha_n^{k_n}, \quad (2)$$

where $0 \leq k_i < p_{m_i}$. This spanning set has cardinality $p_{m_0}p_{m_1} \dots p_{m_n}$. Therefore this spanning set is a basis. These monomials can be multiplied as usual monomials in α_i but with powers of α_i being multiplied modulo the minimal irreducible polynomial of α_i . Since the union of all such bases is a basis for F_M , this certainly gives a computable presentation of F_M .

Let now \mathcal{A} be a computable presentation of F_M and $a_1, a_2, \dots, a_n, \dots$ be the enumeration of elements of \mathcal{A} which arises from this presentation. Take $a = a_1 \in \mathcal{A}$ and consider powers a, a^2, \dots until $a^s = a^n$ for $s < n$. Then $a^{n-s} = e$ is the unit element of \mathcal{A} . Thus $F_1 = \{0, e, 2e, \dots, (p-1)e\}$ will be the only subfield of \mathcal{A} isomorphic to F .

Since F is finite we can now constructively determine the minimal irreducible polynomial of a_1 as its degree is less than or equal to $n-s$. We know that $m \in M$ iff there exists an element $x \in \mathcal{A}$ such that the order of x over F_1 is p_m . The prime divisors of the degree of this polynomial, say p_{m_1}, \dots, p_{m_k} , will show that there are elements of such orders and give us the first set of elements of M to list, namely m_1, \dots, m_k . Hence M is a computably enumerable set. The lemma is proved.

Lemma 3.3 The field F_M is computably categorical.

Proof: Let \mathcal{A} and \mathcal{B} be two computable presentations of F_M . Consider the subfields F_1 and F_2 , of \mathcal{A} and \mathcal{B} , respectively, isomorphic to F and constructed as in the proof of the previous lemma. The only isomorphism between them can be established by assigning one unit element to another and multiples of one unit to the corresponding multiples of another. Denote $\mathcal{A}_0 = F_1$, $\mathcal{B}_0 = F_2$ and let $\sigma_0: \mathcal{A}_0 \rightarrow \mathcal{B}_0$ be the established isomorphism. Suppose that we established already an isomorphism $\sigma_i: \mathcal{A}_i \rightarrow \mathcal{B}_i$ between subfields \mathcal{A}_i and \mathcal{B}_i such that for an arbitrary prime number q either all elements of \mathcal{A} and \mathcal{B} of prime order q belong to \mathcal{A}_i and \mathcal{B}_i , respectively, or

none of them. This isomorphism can be readily extended to the isomorphism $f(x) \mapsto f^{\sigma_i}(x)$ of polynomial rings $\mathcal{A}_i[x]$ and $\mathcal{B}_i[x]$, which is defined as follows: if $f(x) = a_0 + a_1x + \dots + a_mx^m$, then $f^{\sigma_i}(x) = a_0^{\sigma_i} + a_1^{\sigma_i}x + \dots + a_m^{\sigma_i}x^m$. Now we look for the first element α in the effective enumeration of \mathcal{A} which is of prime order q over $\mathcal{A}_0 = F_1$ and which is not in \mathcal{A}_i . Then we find $\beta \in \mathcal{B}$ with exactly the same minimal irreducible polynomial over $\mathcal{B}_0 = F_2$ and construct an isomorphism $\sigma_{i+1}: \mathcal{A}_i[\alpha] \rightarrow \mathcal{B}_i[\beta]$ defining the mapping σ_{i+1} for every polynomial $f(x) \in \mathcal{A}_i[x]$ of degree less than q by the following formula

$$\sigma_{i+1}(f(\alpha)) = f^{\sigma_i}(\beta).$$

It is easy to check that σ_{i+1} is again an isomorphism. We denote then $\mathcal{A}_{i+1} = \mathcal{A}_i[\alpha]$ and $\mathcal{B}_{i+1} = \mathcal{B}_i[\beta]$. Since all elements of order q lie in $F[\alpha]$, and hence in \mathcal{A}_{i+1} and \mathcal{B}_{i+1} , the construction can be effectively continued further. The lemma is proved.

(b) Encoding a family of sets into an algebra. Let S be a countable family of countable sets. We will list them in some order which will not be important later:

$$S = \{M_0, M_1, \dots, M_i, \dots\}.$$

Consider the free product in the variety of all (nonassociative) rings

$$A(S) = F_{M_0} \star F_{M_1} \star \dots \star F_{M_n} \star \dots$$

of fields F_{M_i} such that each field encodes the set M_i in the way it was described in the previous section. Up to isomorphism this algebra does not depend on the order in which we listed the sets of our family. In this section we will use the family of sets S , constructed in [5], which up to equivalence has exactly n one-to-one computable enumerations f_1, \dots, f_n , to construct n computable presentations $A_{f_1}(S), \dots, A_{f_n}(S)$ of $A(S)$, such that no two of them are computably isomorphic but any other computable presentation of $A(S)$ is computably isomorphic to one of the computable algebras $A_{f_1}(S), \dots, A_{f_n}(S)$.

We will refer to the fields F_{M_i} as to components of $A(S)$. This algebra, as it is the free product of the components, has a basis consisting of nonassociative products

$$(a_1 a_2 \dots a_n)_q, \quad n \geq 1, \tag{3}$$

where elements a_1, \dots, a_n are basic monomials (2) and any two neighbouring monomials a_{i-1} and a_i situated in the bracket $(a_{i-1}a_i)$ belong to different components. For example, in the product $(a_1(a_2((a_3a_4)(a_5a_6))))$ in each pair a_3, a_4 and a_5, a_6 the monomials must be from different components, while a_1, a_2 may be arbitrary.

We will refer to the products (3) as to the *basic products*. The multiplication table on the basis is as follows: if $(a_1a_2 \dots a_n)_p$ and $(b_1b_2 \dots b_m)_q$ are two basic products and $\max(m, n) > 1$ or $m = n = 1$ and a_1, b_1 belong to different components, then

$$(a_1a_2 \dots a_n)_p \cdot (b_1b_2 \dots b_m)_q = ((a_1a_2 \dots a_n)_p(b_1b_2 \dots b_m)_q), \quad (4)$$

If $m = n = 1$ and a_1, b_1 belong to the same component, then $a_1b_1 = \sum_{i=1}^{\ell} \beta_i c_i$, where c_i 's are basis monomials of the component to which both of them belong, and

$$(a_1) \cdot (b_1) = \sum_{i=1}^{\ell} \beta_i (c_i). \quad (5)$$

Let $u = (a_1a_2 \dots a_n)_q$ be a basic product. We set $|u| = n$, and for an element $a = \sum_{i=1}^{\ell} \beta_i u_i$ of $A(S)$ we set $|a| = \max_i |u_i|$. It is clear from the multiplication table (4) and (5) that

$$|a \cdot b| = |a| + |b|, \quad (6)$$

unless $|a| = |b| = 1$ and a, b are from the same component.

Let us recall that an element e of a ring \mathcal{R} is called an *idempotent* if $e^2 = e$.

Lemma 3.4 The fields F_{M_i} are isomorphically imbedded in $A(S)$. The unit elements $e_0, e_1, \dots, e_n, \dots$ of the fields F_{M_i} are the only idempotents of $A(S)$. An element $a \in A(S)$ belongs to F_{M_i} iff $e_i a = a e_i = a$.

Proof: As it can be seen from the multiplication table, the field F_{M_i} is a subring of $A(S)$ and the unit element e_i of it is an idempotent. Suppose that $e^2 = e$ and $e \neq 0$. Then (6) implies that $|e| = 1$. It is also clear that if e is equal to the sum of basic monomials from different components, then $|e^2| = 2$ and $e^2 \neq e$. Therefore e belongs to one of the components. But it is a field and has a unique idempotent, namely the unit element of this field.

The field F_{M_i} is a subring of $A(S)$ and $e_i a = a e_i = a$ for all $a \in F_{M_i}$. Suppose that $e_i a = a e_i = a$. Then the multiplication table of $A(S)$ implies that a is a linear combination of basic monomials from F_{M_i} and thus is an element of F_{M_i} . The lemma is proved.

Now, given a one-to-one computable enumeration f let us construct a computable presentation $A_f(S)$ of $A(S)$. Let us now denote $M_i = f(i)$. Since f is computable, there exists a procedure which produces a 2-dimensional array $\{M_{in} = f^i(n) \mid i, n \in \omega\}$ of finite subsets of ω according to the following rules:

(M1) At stage 0 it produces an empty or one element subset M_{00} ;

(M2) At stage k it produces finite subsets $M_{k0}, \dots, M_{1k-1}, M_{0k}$ so that $M_{k-1i} \subseteq M_{ki}$, for $i = 1, \dots, k-1$, and such that

$$\text{card}(M_{k-10} \cup \dots \cup M_{0k-1}) \leq \text{card}(M_{k0}) \cup \dots \cup M_{0k} + 1;$$

(M3) $\bigcup_{i \geq 0} M_{in} = M_n$.

Thus using f we can construct an effective sequence of computable partial algebras

$$A(f, 0), A(f, 1), \dots, A(f, n), \dots$$

such that:

(A1) $A(f, i)$ is a subalgebra of $A(f, i + 1)$;

(A2) $A(f, i)$ is isomorphic to $(F_{M_{i0}} \star \dots \star F_{M_{0i}})^{(i)}$, the latter being the subspace of $A(S)$ spanned by the basic products of degree $\leq i$ depending only on elements from $F_{M_{0i}}, \dots, F_{M_{i0}}$ with the addition and multiplication inherited from $A(S)$;

(A3) $A_f(S) = \bigcup_{k=0}^{\infty} A(f, k)$ is isomorphic to $A(S)$.

As the sets M_{ki} are finite the fields $F_{M_{ki}}$ are finite-dimensional, hence finite, and partial algebras $A(f, i)$ are also finite-dimensional, and hence also finite. It is important to note that at stage i , when we extend $A(f, i-1)$ to $A(f, i)$ the only one idempotent will be added, namely the unit element e_i of the field F_{M_i} . In order to separate stages we start each time enumeration of additional elements with e_i .

Lemma 3.5 The ring $A_f(S)$ is computable for every computable one-to-one enumeration f of S . One-to-one enumerations f and g are equivalent, iff $A_f(S)$ and $A_g(S)$ are computably isomorphic.

Proof: The computable presentation for $A(S)$ has been constructed above. It is also straightforward that if two one-to-one enumerations f and g of S are equivalent, then the algebras $A_f(S)$ and $A_g(S)$ are computably isomorphic. On the other hand, if the algebras $A_f(S)$ and $A_g(S)$ are computably isomorphic, then for every idempotent $e_i \in A_f(S)$ we can effectively compute its image in $A_g(S)$ and compute at which stage it appears in the construction of $A_g(S)$. If it were, say the j th stage, then we set $\Phi(i) = j$. This gives us a computable function $\Phi: \omega \rightarrow \omega$ such that $f = g\Phi$.

Lemma 3.6 Let \mathcal{A} be a computable presentation of $A(S)$. Then one can construct a one-to-one computable enumeration $f = f(\mathcal{A})$ of S such that \mathcal{A} and $A_f(S)$ are computably isomorphic.

Proof: Let $\mathcal{A} = \{a_0, a_1, \dots\}$ be all elements of \mathcal{A} listed in a sequence. We can effectively list all idempotents e_0, e_1, \dots of \mathcal{A} which will form a subsequence of this sequence. By Lemma 3.4 these idempotents are the unit elements of the components. Let $F_i = \{e_i, 2e_i, \dots, pe_i\}$ be the copy of the base field F which is contained in the component F_{M_i} . An element x belongs to the component F_{M_i} iff the condition $x = xe_i = e_ix$ is satisfied. Therefore

$$f(i) = \{m \mid \exists x (x = xe_i = e_ix \text{ and } x \text{ is algebraic of degree } p_m \text{ over } F_i)\}$$

enumerates S and $f = f(\mathcal{A})$ is a computable enumeration of S . Moreover f is one-to-one. Clearly \mathcal{A} and $A_f(S)$ are computably isomorphic. We will sketch the construction of this computable isomorphism:

Step 0: Compute the number of e_0 in the sequence, say $e_0 = a_s$, and set $M_{00} = \emptyset$ if among a_0, \dots, a_{s-1} there are no elements x such that $xe_0 = e_0x = x$ which are algebraic over F_0 of prime degree. If such an element α , say of prime degree p_m , existed, we set $M_{00} = \{m\}$ and put in correspondence σ_0 the subfield $F_0[\alpha] \subseteq \mathcal{A}$ with the field $F(M_{00}) \subseteq A(S)$.

Step i : We compute the number of e_i , say $e_i = a_t$, and look for the first element $x \in \{a_0, a_1, \dots, a_{t-1}\}$ in the sequence such that $x = xe_j = e_jx$, for one of the numbers $j = 1, 2, \dots, i$, and such that x is algebraic of degree p_n over F_j . Then take

$$M_{i0} = M_{i-10}, \dots, M_{i-1j} = M_{i-1j} \cup \{n\}, \dots, M_{0i},$$

where $M_{0i} = \emptyset$ or $\{n\}$ if $j = i$. We can now find a partial subalgebra of \mathcal{A} which will be in a computable correspondence σ_i with the partial subalgebra $(F_{M_{i0}} \star \dots \star F_{M_{0i}})^{(i)}$. The lemma is proved.

Theorem A For every positive integer n there exists a computable ring of algorithmic dimension n .

Proof. Let S be a family of computable enumerable sets which has up to equivalence exactly n one-to-one computable enumerations. Such a family exists due to Theorem 2.1. Let f_1, \dots, f_n be any n mutually non-equivalent computable one-to-one enumerations of S . We construct the algebra $A(S)$ as shown in the beginning of this section. By Lemma 3.5 the computable presentations $A_{f_1}(S), \dots, A_{f_n}(S)$ are not computably isomorphic. Let \mathcal{A} be an arbitrary computable presentation of $A(S)$. Then by Lemma 3.6 \mathcal{A} is computably isomorphic to a computable algebra $A_f(S)$ for some one-to-one computable enumeration $f = f(\mathcal{A})$. Since f is equivalent to one of the enumerations f_1, \dots, f_n , the algebra $A_f(S)$ is computably isomorphic to one of the algebras $A_{f_1}(S), \dots, A_{f_n}(S)$. The theorem is proved.

4. Computably Categorical Rings and Their Expansions by Constants.

In this section our task will be more difficult as we will encode a family S of pairs of sets into a ring. In order to define the algebra $A(S)$ in which the family S is encoded we have to enumerate S somehow, simply for having notations necessary for the abstract definition of this algebra. This enumeration is not assumed to be computable. As in the section 3 immediately after $A(S)$ is defined this enumeration will be forgotten and we will consider how computable enumerations of S lead to computable presentations of $A(S)$. Suppose that

$$S = \{(M_0, N_0), (M_1, N_1), (M_2, N_2), \dots\}.$$

Let us consider the free product (in the variety of all nonassociative rings)

$$B(S) = F[x] \star F[y] \star (F_{M_0} \oplus F_{N_0}) \star \dots \star (F_{M_k} \oplus F_{N_k}) \star \dots ,$$

where $F[x]$ and $F[y]$ be two polynomial rings in x and y , and F_{M_k} and F_{N_k} denote the fields encoding M_k and N_k as was described in the previous

section. Finally we consider the quotient-algebra

$$A(S) = B(S)/R,$$

where R is the ideal of $B(S)$ generated by all sets $xF_{M_i} \cup F_{M_i}x$ and $yF_{N_i} \cup F_{N_i}y$. This algebra has also the following description. A basis of $A(S)$ can be chosen consisting of nonassociative products

$$(a_1 a_2 \dots a_n)_q, \quad n \geq 1, \quad (7)$$

where a_1, \dots, a_n belong to the standard monomial bases of the polynomial rings $F[x]$, $F[y]$, or else they are basic monomials of fields F_{M_i} and F_{N_i} . Calling

$$F_{M_0} \oplus F_{N_0}, \dots, F_{M_i} \oplus F_{N_i}, \dots \quad (8)$$

components we stipulate that any two neighbouring monomials a_{i-1} and a_i situated in the bracket $(a_{i-1}a_i)$ belong to different components and, in addition, if one of the elements a_{i-1}, a_i is x then the other cannot belong to F_{M_i} or, similarly, if one of the elements a_{i-1}, a_i is y then the other cannot belong to F_{N_i} .

The multiplication table on the basic products defined in (7) is as follows: if $(a_1 a_2 \dots a_n)_p$ and $(b_1 b_2 \dots b_m)_q$ are two basic products and $\max(m, n) > 1$, then

$$(a_1 a_2 \dots a_n)_p \cdot (b_1 b_2 \dots b_m)_q = ((a_1 a_2 \dots a_n)_p (b_1 b_2 \dots b_m)_q), \quad (9)$$

If $m = n = 1$ and a_1, b_1 belong to the same component, then $a_1 b_1 = \sum_{i=1}^{\ell} \beta_i c_i$, where c_i 's are basis monomials of the component to which both of them belong, and

$$(a_1) \cdot (b_1) = \sum_{i=1}^{\ell} \beta_i (c_i). \quad (10)$$

If one of the elements a_1, b_1 is equal to x and the other belongs to the component F_{M_i} , or if one of the elements a_1, b_1 is equal to y and the other belongs to F_{N_i} , then $a_1 \cdot b_1 = 0$. Otherwise $a_1 \cdot b_1 = (a_1 b_1)$.

Let $u = (a_1 a_2 \dots a_n)_q$ be a basic product. We set $|u| = n$, and for an element $a = \sum_{i=1}^{\ell} \beta_i u_i$ of $A(S)$ we set $|a| = \max_i |u_i|$. It is clear from the multiplication table (4) and (5) that

$$|a \cdot b| = |a| + |b|, \quad (11)$$

unless $|a| = |b| = 1$ and a, b are from the same component or else one of them is x and the other is from F_{M_i} or one of them is y and the other is from F_{N_i} .

Lemma 4.1 1) The ring $A(S)$ contains isomorphic copies of the components (8).

2) The subset $U_M = F_{M_0} \cup F_{M_1} \cup \dots \cup F_{M_n} \cup \dots$ of $A(S)$ can be characterized as the set of all elements $a \in A(S)$ with the condition that $xa = ax = 0$. The subset $U_N = F_{N_0} \cup F_{N_1} \cup \dots \cup F_{N_n} \cup \dots$ of $A(S)$ can be characterized as the set of all elements $a \in A(S)$ with the condition that $ya = ay = 0$.

3) The set $E_M = \{e_0, \dots, e_n, \dots\}$ of unit elements of fields $F_{M_0}, \dots, F_{M_n}, \dots$ can be characterized as the set of all idempotents $e \in A(S)$ such that $xe = ex = 0$. The set $E_N = \{f_0, \dots, f_n, \dots\}$ of unit elements of fields $F_{N_0}, \dots, F_{N_n}, \dots$ can be characterized as the set of all idempotents $f \in A(S)$ such that $yf = fy = 0$.

4) The fields F_{M_i} and F_{N_i} can be characterized as the set of elements $a \in A(S)$ with the conditions $xa = ax = 0$ and $e_i a = a e_i = a$ and $ya = ay = 0$ and $f_i a = a f_i = a$, respectively.

5) Two idempotents $e \in E_M$ and $f \in E_N$ are in the same component (i.e., identities of F_{M_i} and F_{N_i} for some i) iff $ef = fe = 0$.

Proof: It is a routine application of the properties of the multiplication table of $A(S)$.

Lemma 4.2 Let $S = \{(M_i, N_i) \mid i \in \omega\}$ be a symmetric family of pairs of sets. Then there exists an automorphism α of $A(S)$ such that $\alpha(x) = y$.

Proof: is obvious as the construction was completely symmetric.

Now, given a one-to-one computable enumeration f of S let us construct a computable presentation $A_f(S)$ of $A(S)$. Let us now denote $f(i) = (M_i, N_i)$. Since f is computable, there exists a procedure which produces a 2-dimensional array $\{(M_{in}, N_{in}) \mid i, n \in \omega\}$ of pairs of finite subsets of ω according to the following rules:

(M1) At stage 0 it produces a pair (M_{00}, N_{00}) , where the subsets M_{00} and N_{00} are either both empty or contain one element each;

(M2) At stage k it produces pairs of subsets $(M_{k0}, N_{k0}), \dots, (M_{1k-1}, N_{1k-1}), (M_{0k}, N_{0k})$ so that $M_{k-1i} \subseteq M_{ki}$ and $N_{k-1i} \subseteq N_{ki}$, $i = 1, \dots, k-1$, and such that for every k

$$\text{card}(M_{k-10} \cup \dots \cup M_{k-1k-1}) \leq \text{card}(M_{k0}) \cup \dots \cup M_{kk}) + 1;$$

$$\text{card}(N_{k-10} \cup \dots \cup N_{k-1k-1}) \leq \text{card}(N_{k0}) \cup \dots \cup N_{kk} + 1.$$

$$(M3) \bigcup_{i \geq 0} M_{in} = M_n, \quad \text{and} \quad \bigcup_{i \geq 0} N_{in} = N_n.$$

Thus using f we can construct an effective sequence of computable partial algebras

$$A(f, 0), A(f, 1), \dots, A(f, n), \dots$$

such that:

- (A1) $A(f, i)$ is a subalgebra of $A(f, i + 1)$;
- (A2) $A(f, i)$ is isomorphic to

$$(F[x] \star F[y] \star (F_{M_{i0}} \oplus F_{N_{i0}}) \star \dots \star (F_{M_{0i}} \oplus F_{N_{0i}}))^{(i)},$$

the latter being the subspace of $A(S)$ spanned by the basic products of degree $\leq i$ depending only on elements from $F[x], F[y], F_{M_{i0}}, \dots, F_{M_{0i}}, F_{N_{i0}}, \dots, F_{N_{0i}}$, with the addition and multiplication inherited from $A(S)$;

$$(A3) A_f(S) = \bigcup_{k=0}^{\infty} A(f, k) \text{ is isomorphic to } A(S).$$

As the sets M_{ki} and N_{ki} are finite the fields $F_{M_{ki}}$ and $F_{N_{ki}}$ are finite-dimensional, hence finite, and partial algebras $A(f, i)$ are also finite-dimensional, and hence also finite. It is important to note that at stage i , when we extend $A(f, i-1)$ to $A(f, i)$ only three idempotents will be added, namely the unit element e_i of the field F_{M_i} , the unit element f_i of the field F_{N_i} , and their sum $e_i + f_i$. They can be distinguished multiplying by x and y . For example, e_i is the only idempotent out of the three with the property $xe_i = e_ix = 0$. In order to separate stages we start each time enumeration of additional elements with e_i followed by f_i and $e_i + f_i$.

Lemma 4.3 1) The ring $A_f(S)$ is computable for every computable one-to-one enumeration f of S .

Let f and g be one-to-one computable enumerations.

2) The expanded rings $(A_f(S), x)$ and $(A_g(S), x)$ are computably isomorphic, iff $f \sim g$;

3) The expanded rings $(A_f(S), x)$ and $(A_g(S), y)$ are computably isomorphic, iff $f \sim \tilde{g}$.

Proof: The computable presentation for $A(S)$ has been constructed above. The rest of the proof is similar to that of Lemma 3.5.

Lemma 4.4 Let \mathcal{A} be a computable presentation of $A(S)$. Then one can construct a one-to-one computable enumeration $f = f(\mathcal{A})$ of S such that \mathcal{A} is computably isomorphic to both $A_f(S)$ and $A_{\tilde{f}}(S)$.

Proof: Firstly, we find a pair of idempotents (a_0, b_0) such that $a_0b_0 = b_0a_0 = 0$. That would be the unit elements of the two fields from one of the components. Then we look for two elements u and v such that $ua_0 = a_0u = 0$, $ub_0 \neq u$, $b_0u \neq u$ and $vb_0 = b_0v = 0$, $va_0 \neq v$, $a_0v \neq v$, that would guarantee that one of the u and v is a multiple of x and another is a multiple of y . Now we can list all other pairs of idempotents $(a_1, b_1), \dots, (a_k, b_k), \dots$ such that $a_kb_k = b_ka_k = 0$ observing that $ua_k = a_ku = 0$ and $vb_k = b_kv = 0$.

Let $F_i = \{a_i, 2a_i, \dots, pa_i\}$ and $G_i = \{b_i, 2b_i, \dots, pb_i\}$ be the corresponding copies of the base field F . By Lemma 4.1 $f(i) = (M_i, N_i)$, where

$$M_i = \{m \mid \exists z (z = za_i = a_iz \text{ and } z \text{ is algebraic of degree } p_m \text{ over } F_i)\}$$

$$N_i = \{n \mid \exists z (z = zb_i = b_iz \text{ and } z \text{ is algebraic of degree } p_n \text{ over } G_i)\}$$

enumerates S and $f = f(\mathcal{A})$ is a computable enumeration of S . Moreover f is one-to-one. Clearly \mathcal{A} and $A_f(S)$ are computably isomorphic. If we interchange a_i and b_i and simultaneously u and v , we would get the enumeration \tilde{f} . Thus \mathcal{A} and $A_{\tilde{f}}(S)$ are also computably isomorphic.

Lemma 4.5 Suppose that S is symmetric and its algorithmic dimension is 2. Then $A(S)$ is computably categorical.

Proof: Let \mathcal{A} and \mathcal{B} be any two computable presentations of $A(S)$. Let us apply Lemma 4.4 now and construct one-to-one computable enumerations $f_1 = f(\mathcal{A})$ and $f_2 = f(\mathcal{B})$ of S such that \mathcal{A} and \mathcal{B} are computably isomorphic to $A_{f_1}(S)$ and $A_{f_2}(S)$, respectively. Since the algorithmic dimension of S is 2 we know that either f_1 is equivalent to f_2 or f_1 is equivalent to \tilde{f}_2 . By Lemma 4.4 \mathcal{A} and \mathcal{B} are computably isomorphic.

Theorem B (case $n = 2$) There exists a computably categorical ring R and a constant $c \in R$ such that the expanded ring (R, c) has exactly 2 computable isomorphism types.

Proof: Let S be a symmetric family of pairs of sets which algorithmic dimension is 2 with a computable enumeration f which is not equivalent to \tilde{f} . Then by Lemma 4.5 the ring $A(S)$ is computably categorical. The expanded rings $(A(S), x)$ and $(A(S), y)$ are isomorphic by Lemma 4.2 but they are not

computably isomorphic as, if they were, enumerations f and \tilde{f} would be equivalent by Lemma 4.3.

Let now (\mathcal{A}, z) be a computable presentation of $(A(S), x)$. Then either $f_{\mathcal{A}} \sim f$ or $f_{\mathcal{A}} \sim \tilde{f}$. Hence by the previous lemmata $(A(S), x)$ has exactly two computable isomorphism types. The theorem is proved.

In this section we will briefly explain the guidelines for constructing a computably categorical ring which has exactly k recursive isomorphism types, $k \in \omega$, when expanded by any finite number of constants. A natural step is to consider families of k -tuples of computably enumerable sets and define an appropriate notion of symmetry.

Let $X = (X_1, \dots, X_k)$ be a k -tuple of sets. Define pX to be equal to $(X_k, X_1, \dots, X_{k-1})$. Thus p is a map defined on the set of all k -tuples of sets.

Definition: A family S of k -tuples of sets is called *symmetric* if $X = (X_1, \dots, X_k) \in S$ implies that $pX = (X_k, X_1, \dots, X_{k-1}) \in S$, that is if S is closed under p . We call the sequence $X, pX, p^2X, \dots, p^{k-1}X$ the *orbit* of X .

It is obvious that $p^k X = X$. We define also $p^0 X = X$.

Suppose that S is a symmetric family of k -tuples. Suppose that f is a one-to-one computable enumeration of S . For each $i \leq k-1$, we define the enumeration f_i by setting $f_i(n) = p^i f(n)$ for all $n \in \omega$. In particular, we see from this definition that f_0 is f .

Definition: A symmetric family of k -tuples of computably enumerable sets has *dimension* k if there exists a one-to-one computable enumeration f of S with the following two properties:

- 1) The enumerations f, f_1, \dots, f_{k-1} are pairwise inequivalent.
- 2) Each computable one-to-one enumeration of S is equivalent to one of the enumerations f, f_1, \dots, f_{k-1} .

In [1] it is proved that there exists a symmetric family S of k -tuples of computably enumerable sets whose dimension is k . Let S be a symmetric family of dimension k . One now can use the ideas of the previous section and code S into a ring such that the following theorem holds:

Theorem B (general case) For every natural number k there exists a computably categorical ring \mathcal{R} such that for an $c \in \mathcal{R}$, the expanded ring (\mathcal{R}, c) has exactly k types of computable isomorphisms.

References

- [1] P. Cholak, S. Goncharov, B. Khoussainov, R. Shore, Computably Categorical Structures and Expansions by Constants, submitted.
- [2] J.N. Crossley, ed., Aspects of Effective Algebra, Proceedings of a Conference at Monash University, Australia, 1–4 August, 1979, Upside Down A Book, Yarra Glen, 1981.
- [3] Yu. Ershov and S.S. Goncharov, eds., Logic Notebook: Problems in Mathematical Logic, Novosibirsk University, Novosibirsk, 1986.
- [4] A. Frölich and J. C. Shepherdson, Effective Procedures in Field Theory, Phil. Trans. Roy. Soc. London, ser. A, **248** (1956), 407–432.
- [5] S.S. Goncharov, The Problem of the Number Of Non-Autoequivalent Constructivizations, Algebra i Logika, **19** 1980, No 6, 621–639.
- [6] S.S. Goncharov, Autostability of Models and Abelian Groups, Algebra and Logic, **19** (1980), No 1, 23–44.
- [7] S.S. Goncharov, V.D. Dzgoev, Autostability of Models, Algebra and Logic, **19** (1980), No 1, 45–58.
- [8] V. Harizanov, Pure Recursive Model Theory, preprint, to appear in: Handbook of Recursive Mathematics, Y. Ershov, S. Goncharov, A. Nerode, J. Remmel eds., 1981.
- [9] S. Lang, Algebra, Addison-Wesley Pub. Co., Advanced Book Program, 1984.
- [10] A.I. Mal'cev, Constructive Algebras, Uspekhi Matem. Nauk, **16** (1961), No 3, 3–60.
- [11] T. Millar, Recursive Categoricity and Persistence, J. Symb. Logic, **51** (1986), 430–434.
- [12] T. Millar, Abstract Recursive Model Theory, in: Handbook of Recursion Theory, E. Griffor, ed., North-Holland, to appear.

- [13] J.B. Remmel, Recursive Isomorphisms Types of Recursive Boolean Algebras, *J. Symb. Logic*, **46** (1981), No 3, 572–594.
- [14] J.Rommel, Recursive Boolean Algebras, in *Handbook of Boolean Algebras*, v.3, North Holland, 1990.
- [15] M. O. Rabin, Computable Algebra, General Theory and Theory of Computable Fields, *Trans. Am. Math. Soc.* **95** (1960), 341-360.
- [16] R.Soare, *Recursively Enumerable Sets and Degrees*, Berlin, Springer, 1987.