

# Ethnic differences in cardiovascular risk profiles among 475,241 adults in primary care in Aotearoa, New Zealand

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## ABSTRACT

**AIM:** In Aotearoa, New Zealand, cardiovascular disease (CVD) burden is greatest among Indigenous Māori, Pacific and Indian people. The aim of this study was to describe CVD risk profiles by ethnicity.

**METHODS:** We conducted a cross-sectional analysis of a cohort of people aged 35–74 years who had a CVD risk assessment in primary care between 2004 and 2016. Primary care data were supplemented with linked data from regional/national databases. Comparisons between ethnic groups were made using age-adjusted summaries of continuous or categorical data.

**RESULTS:** 475,241 people (43% women) were included. Fourteen percent were Māori, 13% Pacific, 8% Indian, 10% Other Asian and 55% European. Māori and Pacific people had a much higher prevalence of smoking, obesity, heart failure, atrial fibrillation and prior CVD compared with other ethnic groups. Pacific and Indian peoples, and to a lesser extent Māori and Other Asian people, had markedly elevated diabetes prevalence compared with Europeans. Indian men had the highest prevalence of prior coronary heart disease.

**CONCLUSIONS:** Māori and Pacific people experience the most significant inequities in exposure to CVD risk factors compared with other ethnic groups. Indians have a high prevalence of diabetes and coronary heart disease. Strong political commitment and cross-sectoral action to implement effective interventions are urgently needed.

Cardiovascular diseases (including diabetes) account for 17% of health loss among people living in Aotearoa, New Zealand.<sup>1</sup> There have been considerable reductions in the incidence and mortality of cardiovascular disease (CVD) in New Zealand over the past 20 years through good prevention and access to treatment.<sup>1–3</sup> For ischaemic heart disease (IHD) and stroke, hospitalisation and mortality rates have fallen in all demographic ‘groupings’ including gender and ethnicity, with a smaller decline in IHD rates in Pacific peoples than other ethnicities<sup>6</sup> and a larger decline in stroke rates for Indian people than other ethnic

groups (unpublished). However, the burden of CVD is unevenly distributed across New Zealand by ethnicity, with Māori, Pacific and Indian people experiencing a greater burden of this condition than other groups.<sup>4–8</sup> This increased burden of CVD is one of the main drivers behind the 6–7 year lower life expectancy experienced by Māori and Pacific people at birth compared with other groups in this country.<sup>4,5</sup> There is a need for continued focus on CVD given the magnitude of health loss associated with CVD and the potential avoidability of this burden through prevention and treatment.<sup>1</sup>

The Ministry of Health states that “In Aotearoa New Zealand, people have differences in health that are not only avoidable but unfair and unjust. Equity recognises different people with different levels of advantage require different approaches and resources to get equitable health outcomes.”<sup>9</sup> More research to understand CVD disparities, including the contribution of inequities<sup>9</sup> in healthcare access and quality, is required to develop and implement effective equity-promoting interventions and ultimately reduce CVD burden across ethnic groups. Although self-reported data on CVD risk factor levels by ethnicity are available through national surveys, the numbers in non-European ethnic groups are generally small, and the availability of data from primary care is limited. The PREDICT-CVD cohort is a very large, contemporary, representative and ethnically diverse cohort recruited at the time of CVD risk assessment in routine primary care practice in New Zealand.<sup>10</sup> The aim of this study was to describe and compare the baseline CVD risk profiles of people in the PREDICT-CVD cohort by ethnicity. The study does not directly document access to, or quality of, CVD care.

## Methods

### Design, setting and entry

This was a cross sectional study. People were entered into the cohort the first time their CVD risk was assessed by their primary care clinician (physician or nurse) entering data into PREDICT, a web-based decision support programme integrated with electronic primary care practice management systems in New Zealand.<sup>10</sup> The programme enables available clinical data in the electronic medical record to auto-populate fields, and the data template has a number of compulsory fields and built-in range and validity checks at the point of data entry. These factors facilitated accurate and nearly complete (>99%) data collection for variables required in the CVD risk prediction equation used in New Zealand at the time.<sup>10</sup> This equation was based on a Framingham risk equation with adjustments for groups whose CVD risk may be underestimated by that

equation (eg, family history of premature CVD; Māori, Pacific or Indian ethnicity).<sup>11</sup>

Since 2003, New Zealand CVD risk management guidelines have recommended that men aged over 45 years and women aged over 55 years (or 10 years earlier for subpopulations at increased risk: those of Māori, Pacific or Indian ethnicity and individuals with known CVD risk factors) have a regular CVD risk assessment.<sup>11</sup> Whether a person visiting the primary care clinic is risk assessed, and therefore whether they enter the cohort, is at the discretion of the primary care clinician. Most primary care physicians receive alerts through their electronic practice management system (PMS) advising them of individual patient eligibility for CVD risk assessment.<sup>10</sup>

Data up to 2015 indicate that approximately 90% of people eligible for CVD risk assessment (according to national guidelines<sup>12</sup>) in practices using the PREDICT programme had their CVD risk assessed using this software.<sup>10</sup> National coverage data show Māori, Pacific and Indian ethnicities had slower increases in coverage compared to other ethnicities and at the end of 2015 there was a reported 4% gap in coverage between Māori and non-Māori.<sup>13</sup> This programme is implemented in approximately 35% of New Zealand primary care practices, which serve approximately 1.6 million people (around 35% of the New Zealand resident population).<sup>10</sup> The practices include all Northland primary care practices, approximately 80% of all practices in the Auckland region and some further practices in both the North and South Islands. The decision to participate in PREDICT was made at the PHO level, not the individual practice level, so the probability of selection biases at the practice level is low. The practices participating in PREDICT include large rural and urban areas and include the largest Māori, Pacific, Indian, Chinese and other Asian populations in New Zealand. It is unlikely that significant population subgroups are not represented in this study. The only exclusion criterion for the PREDICT programme is current pregnancy, and no decision support is given for those under 18 years of age.<sup>10</sup>

Study entry occurred between 20 October 2004 and 31 December 2016.

### Participants and exclusion criteria

All people who received CVD risk assessment in primary care using the PREDICT programme were considered for inclusion in this study. People were excluded from this analysis if they were outside an age group in which CVD risk assessment is recommended (ie, aged less than 35 years or 75 years or more).

### Data sources and linkage

Data on cardiovascular risk factors (including age, sex, smoking status, diabetes status, blood pressure, body mass index [BMI] and cholesterol levels) and medical history (including CVD and atrial fibrillation [AF]) were obtained during CVD risk assessment in primary care. These data were automatically stored both in the PMS and anonymously on a central database. With the permission of clinicians, the central database risk profile was regularly linked to an encrypted National Health Index number, a unique personal identifier which was used to anonymously link individual risk profiles to national and regional health databases.

National health databases were used to obtain or update participant data on demography (age, sex, ethnicity and socio-economic deprivation),<sup>14</sup> publicly funded hospitalisations (from 1988 onwards),<sup>15</sup> and subsidised pharmaceutical dispensing (from 2005 onwards).<sup>16</sup>

Ethnicity was self-reported within the PMS and triangulated with PHO enrolment and hospitalisation databases. For those in whom more than one ethnic group was recorded, a prioritisation output method was used to assign each individual to one ethnic group. This prioritisation method was modified from that outlined in national ethnicity data protocols and prioritised groups in the following order: Māori > Pacific > Indian (including Fijian Indian) > Other Asian (including Chinese) > European > Middle Eastern/Latin American/African (MELAA) > Other > Unknown.<sup>17</sup> National ethnicity data protocols in use during the study period enabled identification of Indians (who comprise 90% of South Asians in New Zealand) but non-Indian South Asians were unable to be differentiated from the rest of the Other Asian group.

People whose ethnicity was MELAA, Other and Unknown were excluded because of the small numbers (<1,000) within each group.

The definitions of risk factors are provided in the Appendix.

### Statistical methods

Continuous variables were summarised as means with standard deviations, and categorical data as frequencies and percentages. Summaries for continuous and categorical data were age-adjusted (unless otherwise specified) using the WHO world standard population.<sup>18</sup> Potential differences between ethnic groups in means or proportions were tested using ANOVA or the chi-squared test, respectively, with the level of statistical significance set at  $p=0.05$ . Data were extracted using R version 3.5.0 (<http://cran.r-project.org/>), age-adjusted summaries were obtained using Microsoft Excel Version 2016, the chi-squared test was calculated using the online calculator Social Science Statistics<sup>19</sup> and the ANOVA test was calculated using the online calculator at <http://statpages.info/anova1sm.html>.

### Ethics approval

The PREDICT study (under which this research was conducted) was approved by the Northern Region Ethics Committee Y in 2003 (AKY/03/12/314) with subsequent annual approval by the National Multi Region Ethics Committee since 2007 (MEC07/19/EXP). Participant informed consent was not obtained, consistent with a waiver granted by the Ethics Committee, as the study involved secondary use of patient data that was anonymised prior to being received by the research team.

## Results

A total of 206,508 women (mean age 57 years, SD 8.7 years, Table 1) and 268,733 men (mean age 53 years, SD 10.1 years, Table 2) were risk assessed between 20 October 2004 and 31 December 2016. The cohort comprised Māori (14%), Pacific (13%), Indian (8%), other Asian (10%) and European (55%) people. Mean age among Europeans was higher than that for Māori, Pacific and Indian women and men, due to age-sex-ethnicity differences in national risk assessment criteria. Māori and Pacific women (46%, 57%) and men (44%, 58%) were much more likely to be living in areas

**Table 1:** Cohort description by ethnicity using age-standardised values, women.

	Māori	Pacific	Indian	Other Asian	European	Total	p-value*
Number (% of all women)	30,012 (15)	27,026 (13)	16,329 (8)	22,045 (11)	111,096 (54)	206,508	
Age, years, mean (SD)	53 (8.4)	52 (8.8)	53 (8.7)	57 (7.8)	60 (7.9)	57 (8.7)	<0.001
NZ Deprivation index, 5 <sup>th</sup> quintile, %	46	57	22	15	11	21	<0.001
<b>Smoking, %</b>							<0.001
Current	39	16	1	3	14	15	
Ex-smoker	22	11	1	3	16	12	
Never	39	73	98	94	70	73	
<b>BMI, kg/m<sup>2</sup>, %</b>							<0.001
Obese (30+)	53	72	27	10	30	39	
Overweight (25–29.9)	21	14	35	26	25	23	
Normal (18.5–24.9)	13	5	23	47	24	21	
Underweight (<18.5)	1	0	1	2	1	1	
Missing	12	9	14	16	20	16	
Systolic blood pressure, mm Hg, mean (SD)	130 (17.3)	129 (16.3)	125 (15.4)	123 (15.7)	127 (15.2)	127 (16.0)	<0.001
Diastolic blood pressure, mm Hg, mean (SD)	81 (10.5)	80 (10.1)	77 (9.0)	76 (9.5)	78 (9.1)	82 (9.7)	<0.001
Total:HDL cholesterol, mean (SD)	4.1 (1.23)	3.9 (1.09)	4.0 (1.05)	3.7 (1.02)	3.8 (1.18)	3.9 (1.14)	<0.001
Diabetes, %	23	38	33	21	12	17	<0.001
Atrial fibrillation, %	3.7	2.4	0.7	0.7	1.3	1.6	<0.001
Heart failure, %	5.4	4.2	2.0	0.4	1.7	2.3	<0.001
Haemorrhagic stroke, %	0.6	0.4	0.2	0.2	0.3	0.3	0.508
<b>CVD, %</b>							
Any type	8.1	5.6	3.9	1.6	3.5	3.9	<0.001
Coronary heart disease (including procedures)	5.6	3.4	3.0	1.0	2.3	2.6	<0.001
Cerebrovascular disease	2.6	2.0	0.8	0.6	1.0	1.2	<0.001
Peripheral vascular disease (including procedures)	1.6	0.9	0.4	0.1	0.7	0.7	0.001

All values age-standardised (except for Number (%)) using the WHO world standard population.<sup>17</sup>

Fewer than 1% of values missing unless otherwise specified.

\*p for difference between ethnic groups.

SD=standard deviation.

of the highest quintile of socioeconomic deprivation than Indians (22%) and Europeans (11%).

Smoking was most common among Māori women (39%) and Māori and Pacific men (35% and 27%, respectively), and least common among Indian people (1% women, 10% men). Obesity was most common among Pacific (72% women, 62% men) and Māori (53% women, 50% men) and least

common among Other Asian people (10% women, 9% men). Mean blood pressure (BP) was highest for Māori (130/81 [SD 17.3/10.5] mmHg in women, 131/82 [15.7/10.2] mmHg in men) and lowest for Other Asian people (123/76 [15.7/9.5] mmHg in women, 125/79 [14.4/9.4] mmHg in men). Similarly, the mean ratio of total cholesterol to high density lipoprotein cholesterol (TC:HDL) among women was highest in Māori (4.1

**Table 2:** Cohort description by ethnicity using age-standardised values, men.

	<b>Māori</b>	<b>Pacific</b>	<b>Indian</b>	<b>Other Asian</b>	<b>European</b>	<b>Total</b>	<b>p-value*</b>
Number (% of all men)	34,187 (13)	33,425 (12)	23,909 (9)	25,278 (9)	151,934 (57)	268,733	
Age, years, mean (SD)	49 (9.8)	48 (10.1)	47 (10.5)	53 (9.3)	56 (9.1)	53 (10.1)	<0.001
NZ Deprivation index, 5 <sup>th</sup> quintile, %	44	58	23	14	11	20	<0.001
<b>Smoking, %</b>							<0.001
Current	35	27	10	15	15	16	
Ex-smoker	21	16	8	15	19	14	
Never	44	57	82	70	66	70	
<b>BMI, kg/m<sup>2</sup>, %</b>							<0.001
Obese (30+)	50	62	15	9	27	32	
Overweight (25–29.9)	27	22	41	37	37	33	
Normal (18.5–24.9)	9	5	30	38	16	17	
Underweight (<18.5)	0	0	1	1	0	0	
Missing	14	10	13	16	19	17	
Systolic blood pressure, mm Hg, mean (SD)	131 (15.7)	129 (14.9)	127 (14.4)	125 (14.4)	129 (14.0)	128 (14.5)	<0.001
Diastolic blood pressure, mm Hg, mean (SD)	82 (10.2)	81 (9.9)	79 (9.1)	79 (9.4)	80 (8.9)	80 (9.4)	<0.001
Total:HDL cholesterol, mean (SD)	4.5 (1.34)	4.4 (1.26)	4.5 (1.20)	4.3 (1.13)	4.4 (1.27)	4.4 (1.25)	<0.001
Diabetes, %	18	23	24	15	9	11	0.036
Atrial fibrillation, %	5.8	3.2	1.1	1.1	2.9	2.7	<0.001
Heart failure, %	6.1	4.3	2.1	0.6	1.5	2.1	<0.001
Haemorrhagic stroke, %	0.5	0.6	0.2	0.3	0.2	0.3	0.451
<b>CVD, %</b>							
Any type	9.5	7.6	8.7	2.8	6.4	5.9	<0.001
Coronary heart disease (including procedures)	7.2	5.7	7.8	2.1	4.9	4.6	<0.001
Cerebrovascular disease	2.2	1.9	1.2	0.7	1.3	1.3	0.044
Peripheral vascular disease (including procedures)	1.9	1.2	0.8	0.3	1.1	1.0	0.011

All values age-standardised (except for Number (%)) using the WHO world standard population.<sup>17</sup>

Fewer than 1% of values missing unless otherwise specified.

\*p for difference between ethnic groups.

SD=standard deviation.

[1.23]) and lowest for Other Asians (3.7 [1.02]). Among men, mean TC:HDL was also highest among Māori (4.5, SD 1.34), as well as Indian people (4.5, 1.20), and lowest among Other Asian people (4.3, 1.13). Diabetes was most common for Pacific (38% women, 23% men) and Indian people (33% women, 24% men), and elevated for Māori (23% women, 18% men) and Other Asian people (21% women, 15% men) compared

to European people (12% women, 9% men). Among all ethnic groups, diabetes was more prevalent in women than men. A history of atrial fibrillation, heart failure and all CVD were most common among Māori (women 3.7%, 5.4% and 8.1%, respectively; men 5.8%, 6.1% and 9.5%, respectively) and generally least common among Other Asian people (women 0.7%, 0.4% and 1.6%, respectively; men 1.1%, 0.6% and 2.8%,

respectively). A similar pattern was evident for prior coronary heart disease among women (ranging from 5.6% for Māori to 1.0% for Other Asian people), whereas Indian men had the highest prevalence of previous coronary heart disease (7.8%) followed by Māori (7.2%), with Other Asian men also having the lowest prevalence (2.1%). Among Indian people, the burden of CVD was much more pronounced among men than women as compared to other ethnic groups.

## Discussion

We have demonstrated major differences in CVD risk factors by ethnicity in a large, primary care cohort. Overall, Māori and Pacific people, followed by Indian people, have the greatest burden of CVD and its risk factors in Aotearoa New Zealand. Māori have the highest BP and rates of smoking, atrial fibrillation, heart failure and prior CVD, while Pacific people have the highest rates of obesity and, together with Indian people, the highest prevalence of diabetes. Indian men have the highest rate of previous coronary heart disease of all ethnic groups. In addition to having the greatest burden of CVD and its risk factors, Māori and Pacific people are also much more likely to be living in areas of the highest socioeconomic deprivation than other ethnic groups.

The proportions of the ethnic groups in this study are broadly similar to those from the 2018 national census among adults aged 30–64 years.<sup>20</sup> The main exception to this is that our study had a higher proportion of Pacific people (approximately double) compared with the national Census. This is because the cohort was predominately drawn from the northern region of New Zealand in which the majority (65%) of Pacific people in New Zealand live.<sup>21</sup> Second, national CVD risk assessment guidelines criteria recommend CVD risk assessment a decade earlier for Pacific (as well as for Māori and Indian) people than for other ethnic groups (and for men compared with women), because of their increased burden of CVD.<sup>11</sup> This will have also contributed to the lower mean age of Māori, Pacific and Indian people and the greater number of, and lower mean age of, men compared with women in our cohort.

Strengths of this study were that: data were based on CVD risk assessments undertaken by primary care clinicians and supplemented with information from regional and national databases; the cohort was large, contemporary and ethnically diverse; and less than 1% of data were missing for all variables except BMI, which was unavailable in 19% of people. The cohort is likely to be representative of people in New Zealand in whom CVD risk is recommended as approximately 90% of eligible patients in the study practices were included and about 35% of all primary care practices in New Zealand were included in the study.<sup>11</sup> In the future it should be possible to obtain data required for CVD risk assessment directly from electronic health records for the whole country. This will be feasible because electronic data across primary care are increasingly available and nearly 80% of adults attend their primary care physician in a year,<sup>22</sup> although the level of missing data is likely to be greater than what has been achieved in this prospectively designed cohort study.

Similar overall rates of smoking and obesity among women and men were observed in this study to those from an ongoing national health survey among adults, based on self-report. Diabetes rates were much lower in the national survey than in those observed in our study among women (5.3% vs 17%) and men (6.5% vs 11%). This difference is likely to at least partially reflect the higher proportions of Pacific people in our study (as noted above), the inclusion of younger people (from age 15 years) and lack of age adjustment in the national survey, and under-reporting through self-report in surveys. As with our study, Māori and Pacific people experienced increased levels of all three risk factors (smoking, obesity and diabetes),<sup>22</sup> and Indian people experienced increased levels of diabetes<sup>23</sup> compared with other ethnic groups.

Internationally, inequities in exposure to CVD risk factors and consequent CVD outcomes are evident among Indigenous peoples, similar to the experience of Māori highlighted here. A review of CVD risk factors among Indigenous populations (including from Australia and the US, as well as New Zealand) found that Indigenous peoples experienced a greater

burden of CVD events and risk factors (including smoking, obesity and diabetes) at much younger ages than non-Indigenous groups.<sup>24,25</sup> A call for action to address CVD inequities for Māori in 2004,<sup>26</sup> including the prevention and management of CVD risk factors, has yet to be fully realised. A 2010 review of interventions focused on reducing inequities between Indigenous and non-Indigenous people across a wide range of conditions only identified 19 intervention studies meeting Cochrane Effective Practice and Organization Criteria for methodologically adequate research design<sup>27</sup> and only six of those studies focused on preventing or managing CVD risk factors.

Since that review, the evidence base has improved and interventions have been identified that are likely to improve CVD outcomes for Indigenous peoples (eg, health literacy programmes<sup>28</sup>), reduce inequities in CVD outcomes between ethnic groups (eg, fixed dose combination therapy<sup>29</sup>) or are currently being investigated to determine their likely effect on such inequities (eg, Indigenous health worker support<sup>30</sup>). There is an ongoing need to ensure adequate funding to support more high-quality Indigenous-led research into the effectiveness of interventions that achieve CVD equity for Indigenous Māori.

Similarly, research and implementation of effective interventions for Pacific people is also urgently needed, given their high burden of CVD risk factors, particularly diabetes, and the CVD inequities that are evident. Pacific people have migrated over many generations from island nations that have a special relationship and historical ties to this country. Our research group recently received a three-year research grant to investigate and improve access to CVD care that achieves equity for Māori and Pacific people, confirming the importance of this work. Research questions will address current gap in knowledge such as ethnic differences in first-response care and in drug prescribing proportionate to recommended indications.

The Indian population in New Zealand is predominantly comprised of relatively recent immigrants; the 2013 census indicated that 75% of Indians in New Zealand are overseas born, 90% of whom have been in New Zealand for less than 20 years.

Despite the supposed health advantages associated with being migrants (the “healthy migrant effect”<sup>31</sup>), a history of CVD (mostly from coronary heart disease) was common and significantly high diabetes prevalence was observed in keeping with overseas studies among South Asians.<sup>32</sup> The cardiovascular risk profile of Indian people is very different to that of Māori and Pacific people. BP, smoking levels and history of atrial fibrillation and heart failure are not currently increased compared with other ethnic groups in New Zealand, but this may change over time with acculturation. Using standard BMI cut-offs, the total proportion of overweight/obese Indian men was not marked and was somewhat higher among Indian women than the proportions observed among Europeans. However, at a given BMI, compared to European counterparts, Indians have a greater percentage fat mass, greater abdominal subcutaneous fat and greater visceral fat deposition.<sup>32</sup> Together with other South Asian groups, they develop vascular-metabolic diseases at lower BMIs than the traditional thresholds for overweight and obesity. Hence, the American Diabetes Association and NICE in UK both suggest lower BMI thresholds for Indians than those used in this study.<sup>33</sup> An analysis of New Zealand Health Survey data from 2011–2013 found that 56% of South Asian adults were obese and 17% overweight using ethnic-specific lower cut-offs, implying that our results may have under-captured the proportion of Indians (and also Other Asians) that are overweight and obese.<sup>23</sup>

Although guidelines recommend Māori, Pacific and South Asian people have their CVD risk assessed at the same, younger, age than other ethnicities, we found very different risk profiles between these groups, as noted above. Further research to understand the impact of immigration, country of birth and length of stay in New Zealand on the incidence and prevalence of CVD and diabetes for different ethnic populations is recommended, including for non-Indian South Asian peoples who can now be differentiated from the Other Asian group using recent data resources like the Statistics New Zealand Integrated Data Infrastructure. Such research will enable a more tailored response to the health needs of high-risk ethnic groups comprised of large numbers

of migrants and can inform the nature and timing of preventive strategies such as CVD risk assessment, diabetes screening and health promotion.

The reasons for CVD inequities by ethnicity are complex and multifactorial.<sup>34</sup> The differences in socioeconomic status are stark and highlight the importance of the social determinants of health in the creation and perpetuation of inequities for Māori and Pacific. Opportunities and privileges provided by income, housing and education are not evenly distributed in New Zealand. Due to the effects of colonisation, both historical and contemporary, non-Māori non-Pacific groups have higher median incomes and educational achievement than Māori and Pacific people.<sup>35,36</sup> Institutional or structural racism<sup>37</sup> is now recognised as a 'social determinant' that can adversely impact on health-promoting activities including health literacy and access to and through excellent and timely healthcare. While health providers generally have limited ability to change the social determinants of health, it is well within our remit

to ensure that people's rights to receive high-quality, responsive and culturally safe healthcare services are being met.<sup>33</sup>

Our study is the largest and most recent review of CVD risk profiles in Aotearoa New Zealand, and we have demonstrated significant ethnic inequities. In our experience, generic interventions to reduce smoking and obesity or manage diabetes across the 'whole of population' are prioritised over ethnic-specific programs. A 'one-size fits all' approach will simply not work to achieve equity of CVD and its risk factors in New Zealand. In addition to current generic population health and risk-based approaches, there is a need for the continuing development of interventions from the perspective of those experiencing inequities.<sup>26,38</sup> We believe that more support, including strong political commitment, is required to ensure such interventions are resourced appropriately so that they are implemented, evaluated and, if effective, scaled up in order to increase their reach, and achieve equity.

## Appendix

**Appendix Table 1:** Definitions of risk factors.

Variable	Source	Definition
Sex	NHI database	Sex recorded on NHI database
Age	NHI database	Age at index PREDICT assessment
Ethnicity	NHI database	Self-reported ethnicity was categorised using the prioritised output method according to national ethnicity data protocols ( <a href="http://www.health.govt.nz/system/files/documents/publications/ethnicitydataprotocols.pdf">http://www.health.govt.nz/system/files/documents/publications/ethnicitydataprotocols.pdf</a> ). The South Asian population is known to have an elevated risk of CVD; Indians, who comprise 90% of the South Asian population in New Zealand, were able to be identified but non-Indian South Asians cannot currently be differentiated: NZ Māori > Pacific > Indian > Other Asian (Chinese and other East Asian) > European > MELAA > Other > Unknown/not answered/not identifiable (No_not_stated) People with ethnicity in the last three categories (MELAA [Middle Eastern/Latin American/African], Other and Unknown) were excluded from the analysis due to small numbers
Deprivation quintile	NHI database	We used the New Zealand Index of Deprivation (NZDep) (2006) as a measure of socioeconomic position. The NZDep was constructed from nine census-derived variables representing eight dimensions of deprivation. In this study, deprivation quintiles (1=least deprived, 5=most deprived) rather than the conventional NZDep2006 deciles were used, ie, Deprivation quintile 1 (least deprived) = NZ Dep decile 1 or 2 Deprivation quintile 2 = NZ Dep decile 3 or 4 Deprivation quintile 3 = NZ Dep decile 5 or 6 Deprivation quintile 4 = NZ Dep decile 7 or 8 Deprivation quintile 5 (most deprived) = NZ Dep decile 9 or 10
Smoking status	PREDICT	Smoker = current smoker or ex-smoker Current smoker = smokes up to 10 cigarettes/day, 11–19 cigs/day or 20+ cigs/day at index assessment Ex-smoker = quit over 12 months ago or quit within 12 months at index assessment Never smoker = never smoker at index assessment
BMI	PREDICT	BMI obtained at index assessment
Systolic BP	PREDICT	Mean of two systolic BP measurements obtained at index assessment
TC:HDL	PREDICT	Result entered into PREDICT index assessment. One measure, fasting or non-fasting
Diabetes mellitus	Multiple	- History of diabetes (PREDICT) AND/OR - Prior hospitalisation in which diabetes or associated condition noted (ICD-10-AM E10-14 or ICD-9-CM-A 250) AND/OR - 1+ dispensing of diabetes medication of any of the following in the last six months: Insulin; Acarbose; Chlorpropamide; Glibenclamide; Gliclazide; Glipizide; Metformin; Pioglitazone; Rosiglitazone; Tolazamide; Tolbutamide
Atrial fibrillation	Multiple	-History of AF (PREDICT) AND/OR -Prior hospitalisation in which AF diagnosis noted (ICD-10-AM I48)

**Appendix Table 1:** Definitions of risk factors.

Heart failure	Multiple	-Prior hospitalisation in which CHF diagnosis noted (any of ICD-10-AM I50, I110, I130, I132) AND/OR -Dispensing of 1+ loop diuretic (frusemide or bumetanide) on 3+ occasions in the last five years AND/OR - Any dispensing of metolazone in the last six months
Haemor-rhagic stroke	NMDS database	Subarachnoid haemorrhage: I60 <sup>b</sup> , Intracerebral haemorrhage: I61 <sup>b</sup> , Sequelae of subarachnoid haemorrhage: I690, Sequelae of intracerebral haemorrhage: I691
Coronary heart disease	Multiple	-History of angina OR MI or IHD or PTCA or CABG (PREDICT) AND/OR -Prior hospitalisation <sup>a</sup> in which atherosclerotic CHD diagnosis (incl angina) or procedure noted
Cerebro-vascular disease	Multiple	-History of ischaemic stroke or TIA (PREDICT) AND/OR -Prior hospitalisation <sup>a</sup> in which atherosclerotic CeVD diagnosis (incl ischaemic stroke and TIA) noted
Peripheral vascular disease	Multiple	-History of PVD (PREDICT) AND/OR -Prior hospitalisation <sup>a</sup> in which atherosclerotic PVD diagnosis or procedure noted
Athero-sclerotic CVD	Multiple	Criteria for coronary heart disease, cerebrovascular disease or peripheral vascular disease met

CABG=coronary artery bypass graft, CeVD = cerebrovascular disease, CVD=cardiovascular disease, CHD=coronary heart disease, IHD=ischaemic heart disease, MI=myocardial infarction, NHI=National Health Index, PTCA=percutaneous transluminal coronary angioplasty, PVD=peripheral vascular disease, TIA=transient ischaemic attack.

<sup>a</sup>See Table 6 for ICD (International Statistical Classification of Diseases and Related Health Problems) codes used to identify relevant hospitalisations (principal and secondary diagnoses considered).

**Appendix Table 2:** ICD-10-AM codes used to identify history of CVD from hospital records.

Category		ICD-10-AM codes <sup>a</sup>
Coronary heart disease	Cardiac arrest	I46 <sup>b</sup>
	IHD	Angina pectoris: I20 <sup>b</sup> , Acute MI: I21 <sup>b</sup> Subsequent MI: I22 <sup>b</sup> , Complications of acute MI: I23 <sup>b</sup> , Other IHD: I24 <sup>b</sup> (except I241 – Dressler’s syndrome), Chronic IHD: I25 <sup>b</sup>
	Coronary procedures	Angioplasty/stent(s): 3530400-3530401, 3530500-3530501, 3530906-3530909, 3531000-3531005, Bypass: 3849700-3849707, 3850000-3850004, 3850300-3850304, 9020100-9020103, Other: 3845619, 3850500, 3850700, 3850800, 3850900, 3863700, Presence of coronary procedure: Z951, Z955, Z958, Z959
Cerebrovascular disease	Ischaemic stroke	Cerebral infarction: I63 <sup>b</sup> , Stroke, not specified as haemorrhage or infarction (as these are usually ischaemic): I64 (no subcategories), Sequelae of cerebral infarction: I693, Sequelae of stroke, not specified as haemorrhage or infarction: I694
	Other CeVD	TIA: G45 <sup>b</sup> (except G454 – transient global amnesia), G46 <sup>b</sup> Occlusion and stenosis of precerebral arteries, not resulting in cerebral infarction: I65 <sup>b</sup> , Occlusion and stenosis of cerebral arteries, not resulting in cerebral infarction: I66 <sup>b</sup> , Dissection of cerebral arteries, nonruptured: I670, Cerebral atherosclerosis: I672, Sequelae of other and unspecified CeVD: I698

**Appendix Table 2:** ICD-10-AM codes used to identify history of CVD from hospital records.

Peripheral vascular disease	PVD	Atherosclerosis with symptoms: I702 <sup>b</sup> , Atherosclerosis (other): I700, I701, I7020, I708, I709, Aortic aneurysm and dissection: I71 <sup>b</sup> , PVD, unspecified: I739, Arterial embolism and thrombosis: I74 <sup>b</sup> , DM with peripheral circulatory complications DM with other circulatory complications: E105 <sup>b</sup> , E115 <sup>b</sup> , E145 <sup>b</sup>
	PVD procedures	The following procedures: aneurysm excisions, repairs and replacements, bypasses, endarterectomies and patch grafts, resections and re-anastomoses Involving the following arteries: carotid: 327000-3271011, 3270300, 3310000, 3350000 aorta: 3270800-3270803, 3311200, 3311500, 3311800, 3312100, 3315100, 3315400, 3315700, 3316000, 3350900, 3351200, 3351500 femoral: 3271200-3271201, 3271500-3271503, 3271800-3271801, 3273900, 3274200, 3274500, 3274800, 3275100-3275103, 3275400-3275402, 3275700-3275701, 3351501, 3352100, 3354200 mesenteric : 3273000-3273001, 3273300-3273301, 3273600, 3353001, 3353300, 3353600 other: 3276300-3276303, 3276305-3276314, 3276316-3276319, 3305000, 3305500, 3307500, 3308000, 3312400, 3312700, 3313000, 3316300, 3317800, 3318100, 3350600-3350601, 3351800, 3352400, 3352700, 3353000, 3353900, 3354800-3354803, 3355100, 3355400, 3530306-3530307, 3531200-3531201, 3531500-3531501, , 9022900, 902300

Hospital records from 1 January 1988 to 31 December 2016.

CVD=cardiovascular disease, CeVD=cerebrovascular disease, CHF=congestive heart failure, DM=diabetes mellitus, ICD-10-AM= International Statistical Classification of Diseases and Related Health Problems, Australian Modification, IHD=ischemic heart disease, MI=myocardial infarction, PVD=peripheral vascular disease, TIA=transient (cerebral) ischaemic attack.

<sup>a</sup>These are the codes used by the Vascular Informatics Using Epidemiology and the Web (VIEW) team, Department of Epidemiology and Biostatistics, University of Auckland (at March 2016) to identify people with CVD from hospital records. Only ICD-10-AM codes were used because diagnoses and procedures were mapped by the Ministry of Health to ICD-10-AM 2nd edition (where mappings existed), as well as the original submitted ICD-9-CM-A /ICD-10-AM version.

<sup>b</sup>Includes any subcategories that come after the last number, unless specified as excluded.

**Competing interests:**

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### Ethnic differences in cardiovascular risk profiles among 475,241 adults in primary care in Aotearoa, New Zealand

Vanessa Selak, Katrina Poppe, Corina Grey, Suneela Mehta, Julie Winter-Smith, Rod Jackson, Sue Wells, Daniel Exeter, Andrew Kerr, Tania Riddell, Matire Harwood

The aim of this study was to describe CVD risk profiles by ethnicity using data collected in primary care clinics between 2004 and 2016. 475,241 people (43% women) were included of whom 14% percent identified as Māori, 13% Pacific, 8% Indian, 10% Other Asian and 55% European. Māori and Pacific people experience the most significant inequities in exposure to CVD risk factors compared with other ethnic groups. Indian people have a high prevalence of diabetes and coronary heart disease. We recommend strong political commitment and cross-sectoral action to implement interventions that address these inequities.

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### Estimated inequities in COVID-19 infection fatality rates by ethnicity for Aotearoa New Zealand

Nicholas Steyn, Rachelle N Binny, Kate Hannah, Shaun C Hendy, Alex James, Tahu Kukutai, Audrey Lustig, Melissa McLeod, Michael J Plank, Kannan Ridings, Andrew Sporle

We estimate the risk of fatality from COVID-19 for different ethnicities in New Zealand. We find that Māori have at least a 50% higher risk of fatality than non-Māori. Pacific people may also be at a higher risk of fatality. These results show the need for good data collection systems and measures that protect at-risk groups, communities and regions.

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### Inequity in one-year mortality after first myocardial infarction in Māori and Pacific patients: how much is associated with differences in modifiable clinical risk factors? (ANZACS-QI 49)

Janine Mazengarb, Corina Grey, Mildred Lee, Katrina Poppe, Suneela Mehta, Matire Harwood, Wil Harrison, Nicki Earle, Rod Jackson, Andrew Kerr

We studied the reasons for differences in survival in people from different ethnic groups experiencing their first heart attack in New Zealand. We found that mortality in the first year was nearly three times higher for Pacific and Māori people than European people of similar age and sex. At least half of these worse outcomes for Māori, and three-quarters for Pacific people, were found to be related to differences in potentially preventable or modifiable clinical factors present at, or prior to, the heart attack. It follows that much of the poorer survival in Māori and Pacific people relative to European people could be reduced by improvements in prevention in the community (eg, diet, exercise, stopping smoking), in primary (General practice) care (eg, blood pressure, cholesterol and diabetes control) and at the community-to-hospital interface.

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### Racism and health in Aotearoa New Zealand: a systematic review of quantitative studies

Natalie Talamaivao, Ricci Harris, Donna Cormack, Sarah-Jane Paine, Paula King

This paper reviews the body of quantitative research in New Zealand that examines self-reported experiences of racial discrimination and associations with a range of health outcomes (eg, mental health, physical health, self-rated health, wellbeing, individual level health risks and healthcare indicators). The review found 24 studies that report associations between health outcomes and experience of racial discrimination—finding that experience of racism is linked with poorer health outcomes. Indigenous and minoritised ethnic groups are more likely to experience racial discrimination and therefore are disproportionately affected by the impacts of racial discrimination on health outcomes. There is an urgent need to identify and implement policy initiatives and interventions to address the negative impact of racism on health.

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