

http://researchspace.auckland.ac.nz

ResearchSpace@Auckland

#### Copyright Statement

The digital copy of this thesis is protected by the Copyright Act 1994 (New Zealand).

This thesis may be consulted by you, provided you comply with the provisions of the Act and the following conditions of use:

- Any use you make of these documents or images must be for research or private study purposes only, and you may not make them available to any other person.
- Authors control the copyright of their thesis. You will recognise the author's right to be identified as the author of this thesis, and due acknowledgement will be made to the author where appropriate.
- You will obtain the author's permission before publishing any material from their thesis.

To request permissions please use the Feedback form on our webpage. <u>http://researchspace.auckland.ac.nz/feedback</u>

#### General copyright and disclaimer

In addition to the above conditions, authors give their consent for the digital copy of their work to be used subject to the conditions specified on the <u>Library Thesis Consent Form</u> and <u>Deposit Licence</u>.

#### Note : Masters Theses

The digital copy of a masters thesis is as submitted for examination and contains no corrections. The print copy, usually available in the University Library, may contain alterations requested by the supervisor.

# Methods for Incorporating Biological Information into the Statistical Analysis of Gene Expression Microarray Data

Debbie Leader

A THESIS SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY IN STATISTICS. THE UNIVERSITY OF AUCKLAND NEW ZEALAND. DECEMBER 2009

### Abstract

Microarray technology has made it possible for researchers to simultaneously measure the expression levels of tens of thousands of genes. It is believed that most human diseases and biological phenomena occur through the interaction of groups of genes that are functionally related. To investigate the feasibility of incorporating functional information and/or constraints (based on biological and technical needs) into the classification process two approaches were examined in this thesis.

The first of these approaches investigated the effect of incorporating a pre-filter into the gene selection step of the classifier construction process. Both simulated and real microarray datasets were used to assess the utility of this approach. The pre-filter was based on an early method for determining if a gene had undergone a biologically relevant level of differential expression between two classes. The genes retained by the pre-filter were ranked using one of five standard statistical ranking methods and the most highly ranked were used to construct a predictive classifier. To generate the simulated data a selection of different parametric and non-parametric techniques were employed. The results from these analyses showed that when the constraints that the pre-filter contains were placed on the classification analysis, the predictive performance of the classifiers were similar to when the pre-filter was not used.

The second approach explored the feasibility of incorporating sets of functionally related genes into the classification process. Three publicly available datasets obtained from studies into breast cancer were used to assess the utility of this approach. A summary of each gene-set was derived by reducing the dimensionality of each gene-set via the use of Principal Co-ordinates Analysis. The reduced gene-sets were then ranked based on their ability to distinguish between the two classes (via Hotelling's  $T^2$ ) and those most highly ranked were used to construct a classifier via logistic regression. The results from the analyses undertaken for this approach showed that it was possible to incorporate function information into the classification process whilst maintaining an equivalent (if not higher) level of predictive performance, as well as improving the biological interpretability of the classifier.

### Acknowledgements

I could not have embarked on the journey that this thesis has taken me on without the Bright Futures Enterprise Scholarship I was awarded from the TEC in collaboration with Pacific Edge Biotechnology Ltd. Of equal importance to the completion of this thesis was the ongoing guidance, support and inspiration provided by my supervisor Dr. Micheal A. Black, even after he moved to Dunedin.

I would also like to thank my parents, Donna and Andrew Leader for their continued support, encouragement and for taking care of me when I felt that the world was coming to an end.

I wish to thank the Department of Biochemistry at the University of Otago for hosting me during my stay in Dunedin, in particular I wish to thank Moira Robinson, Dr. Fabienne Lecomte, Payal Diwadkar, Dr. Nattanan (Joy) Panjaworayan and Dr. Amonida Zadissa who made my time in Dunedin both informative and enjoyable.

I would also like to thank my fellow PhD sufferer and great friend Dr. Sarah Song for her lively conversions and continued support.

Finally I wish to thank Associate Professor Brian H. McArdle for taking on the official duty of being my main supervisor when Mik joined the Department of Biochemistry at the University of Otago half way through my PhD and the Department of Statistics at the University of Auckland for supporting me in my decision to move to Dunedin so as to remain under the supervision of Dr. Micheal A. Black.

### Contents

$\mathbf{A}$	bstra	nct		i
A	ckno	wledge	ements	iii
$\mathbf{Li}$	st of	Figur	es	vii
$\mathbf{Li}$	st of	<sup>-</sup> Table	s	xi
1	Intr	oduct	ion	1
	1.1	Molec	ular Biology	3
	1.2	Genor	nic Technologies	4
		1.2.1	High Throughput Genomics: Expression Microarrays	4
		1.2.2	Expression Data from Spotted and Affymetrix Microarrays	10
	1.3	Summ	nary	19
<b>2</b>	Too	ols for	the Analysis of Gene Expression Data	<b>21</b>
	2.1	Metho	ods that Have Been Used Recently in Bioinformatic Applications .	21
		2.1.1	Classification Methods	22
		2.1.2	Gene Selection Methods	24
		2.1.3	Bias Reduction Methods for Microarray Data	28
		2.1.4	Simulating Microarray Data	30
	2.2	Statis	tical Methods Suitable for the Analysis of Gene Expression Data	33
		2.2.1	Multivariate Analysis Methods	33
		2.2.2	Univariate Analysis Methods	42
		2.2.3	Machine Learning Methods	48
		2.2.4	Resampling-Based Methods	50
	2.3	Bioinf	ormatic Databases	53
	2.4	Softwa	are for the Analysis of Bioinformatic Data	58
3	Ger	ne Sele	oction for Class Prediction	63
	3.1	Motiv	ation	63

5	4.6 4.7 Cor	4.5.1       Gene-Based Classification Method	124 124 125 129 130 156 <b>161</b>
		4.5.2Gene-Set-Based Classification Method	124 125 129 130
	4.6	<ul> <li>4.5.2 Gene-Set-Based Classification Method</li></ul>	124 125 129
		4.5.2 Gene-Set-Based Classification Method	124 125
			124
		4.5.1 Gene-Based Classification Method	
			124
	4.5	Methods	124
	4.4	Breast Cancer Microarray Data	120
	4.3	Extending Gene Set Analysis to Classification	120
		4.2.3 Defining Gene-Sets	116
		4.2.2 Correlation-based Methods: PCOT2 and Global Test	115
		4.2.1 Per-gene methods: GSEA and SAFE	113
	4.2	Gene Set Analysis - Overview	112
	4.1	Motivation	111
4	Inco	orporating Functional Information into the Classification Process	111
		Simulated Data and the Melanoma Data	109
	3.9	Discussion of the Performance of the Methodology when Applied to both	
	3.8	Application of the Proposed Methodology to a Melanoma Dataset $\ . \ .$	95
		3.7.5 Data Driven Simulation Results	85
		3.7.4 Initial Simulation Results	80
		3.7.3 Simulation Results	80
		3.7.2 Data Driven Simulation Methods	77
		3.7.1 Initial Simulation Methods	76
	3.7	Simulated Datasets	75
	3.6	Melanoma Data	74
	3.5	Predictor Construction	72
	3.4	Pre-Filtering Options	70
	3.3	Issues in Gene Selection for Classifying Microarray Data	65
	3.2		64

## List of Figures

1.1	The Central Dogma of Molecular Biology. The transcription of DNA into mRNA followed by the translation of the mRNA into protein	3
1.2	Spotted Array Experimental Process (Black, 2002). Genomic material	0
	is printed onto the slide, and fluorescently labelled cDNA from the two	
	treatment groups is hybridized to the genomic material. The slide is then	
	laser scanned to produce two images with are combined to give ratios of	
	the intensity of fluorescence at each spot	6
1.3	Loess Normalisation Process on the Mouse Data Contained in the R package <b>SMA</b> developed by Dudoit et al. (2002b). (a) Shows the pre- normalised M & A values with the loess smoothed line produced by the	
	<ul> <li>plot.smooth.line function in the SMA package (Dudoit et al., 2002b).</li> <li>(b) Shows the loess normalised M values <i>versus</i> the same A values</li> </ul>	15
1.4	Print-Tip Loess Normalisation Process on the Mouse Data Contained in the R package <b>SMA</b> developed by Dudoit et al. (2002b). (a) Shows the pre-normalised M & A values with a loess line for each print-tip. (b)	
	Shows the print-tip loess normalised M values <i>versus</i> the same A values.	15
2.1	Graphical Example of the Difference Between (a) Euclidean and (b) Ma-	
	halanobis Spaces.	34
2.2	Graphical Example of the Difference Between (a) LDA and (b) QDA	35
2.3	Graphical Example of PCA for Two Variables. (a) shows the data in Table 2.1, (b) has the means for x1 and x2 superimposed as axes, (c) shows these axes rotated so that the first axis has the most variation and (d) shows the second principal component versus the first principal	
	component	37
2.4	Graphical Examples of Linkage Methods (a) Single Linkage, (b) Com-	
	plete Linkage, (c) Average Linkage and (d) Centroid Linkage (McArdle,	
	2001)	41

2.5	An Example of a Dendrogram. The length of each branch represents the distance at which the two clusters were joined and each node represents a cluster (Everitt et al., 2001)	42
2.6	Kaplan-Meier survival curves for the Wang et al. (2005a) dataset which was split into two groups based on the estrogen receptor status.	45
2.7	Example of the maximal margin SVM classifier. The margin is the dis- tance between the linear hyperplane (the solid line) and the support vectors, indicated by the dashed lines	49
2.8	A Single Hidden Layer, Feed-Forward Neural Network (Hastie et al., 2001). The middle row is know as the hidden layer which forms a link between the input layer (bottom row) and the output layer (top row). This link is known as the <i>activation function</i> .	50
3.1	Visualisation of the pre-filter method with range set at -1 to 1 and $\alpha$ equal to 50%. Each panel represents the simulated log <sub>2</sub> fold change with respect to a reference sample for one of three genes across multiple samples from two classes. Using the parameters stated above the genes represented in plots (a) and (b) would be retained by the pre-filter whereas the gene represented in plot (c) would not be retained	72
3.2	Estimating $\sigma^2$ by fitting a Gamma distribution to the inverse of the observed variances of the genes in the "good" response class in the melanoma data (class 1)	79
3.3	Performance statistics obtained when applying the SVM classifier used in combination with the pre-filter and Mann-Whitney gene selection method to simulated data generated using Simulation Method 3. The horizontal axis shows the number of genes used in each classifier and the black lines represent the approximate 95% asymmetric confidence inter- vals for each classifier. Plot (a) shows the proportion of "poor" response samples that were correctly classified (i.e., the sensitivity), (b) shows the proportion of "good" response samples that were correctly classified (i.e., the specificity) and (c) shows the proportion of all the samples that were correctly classified (i.e., the classification rate) for each of the 99 classifiers.	84
3.4	Comparison of the classification rates with approximate 95% asymmetric confidence intervals obtained when the parameters in the pre-filter were changed. Plot (a) shows the effect of varing $\alpha$ for a fixed range of -1 to 1 and plot (b) shows the effect when the range is varied for a fixed $\alpha$ of	
	50%	97

4.1	The GO hierarchical Structure for apoptosis. (Ashbuner et al. (2000), Release Date: 2008-05-15)	118
4.2	A graphical representation of the KEGG pathway for apoptosis (Kane- hisa and Goto (2000); Kanehisa et al. (2006, 2008), Entry Number 04210,	
	Release Date $4/14/04$ )	119
4.3	Flow Chart of Proposed Gene-Set-Based Classification Methodology	125
4.4	Venn diagrams displaying the overlap between (a) the three probe lists generated using the probe-based baseline method and (b) three gene lists	100
4.5	generated using the gene-based baseline method	133
	sifiers	137
4.6	A Venn diagram displaying the overlap between the three GO Term	
	lists obtained when the first principal component of the top ranked GO	
	Terms (which contained between 10 and 100 probes per term) were used	
	to construct the classifiers	141
4.7	Venn diagrams displaying the overlap between the GO Terms associated with each of the clusters used in the classifiers for the first 20 random splits of each dataset. The classifiers were constructed using the first principal component of the top ranked clusters, with the incorporation of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50 and the genes were standardised to $\mu = 0$ , sd = 1. (a) compares all of the GO Terms associated with each of the clusters, (b) compares only the top 10 highly associated GO Terms	
	for each of the clusters	149
4.8	There were 13 GO Terms that were highly associated with at least 50% of the clusters used in the classifiers from the first 20 random splits for at least one of the three datasets. This barchart compares the proportion of clusters that each of these 13 GO Terms were highly associated with for each of the three datasets. The classifiers were constructed using the first principal component of the top ranked clusters, with the incorporation of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50 and the genes were standardised	
	to $\mu = 0$ , sd = 1	154

- 4.9 Venn diagrams displaying the overlap between the GO Terms associated with each of the clusters used in the 11 classifiers for each dataset. The classifiers were constructed using the first principal component of the top ranked clusters, with the incorporation of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50 and the genes were standardised to  $\mu = 0$ , sd = 1. (a) compares all of the GO Terms associated with each of the clusters, (b) compares only the top 10 highly associated GO Terms for each of the clusters. . .
- 4.10 There were 15 GO Terms that were highly associated with at least 50% of the clusters used in the 11 classifiers for at least one of the three datasets. This barchart compares the proportion of clusters that each of these 15 GO Terms were highly associated with for each of the three datasets. The classifiers were constructed using the first principal component of the top ranked clusters, with the incorporation of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50 and the genes were standardised to  $\mu = 0$ , sd = 1. 155

## List of Tables

2.1	Example Data for PCA	36
2.2	Default Subset of packages installed when using the biocLite() func- tion, with a brief description quoted from the Release 2.0 Package Index and the Developmental Package Index pages found on the Bioconductor web-page	61
3.1	The first column shows the initial parameter values used in simulation method five. The columns labeled A - D show the four different sets of parameter values used to determine if any improvement in the average number of differentially expressed genes retained in the classified and/or the average classification rate could be attained using simulation method six	78
3.2	Comparison of the SVM leave-one-out (LOO) classifier prediction results achieved for simulation method 1a	82
3.3	Comparison of the SVM double LOO (DLOO) classifier prediction results achieved for simulation method 1a	82
3.4	Comparison of the SVM LOO and DLOO classifier prediction results achieved for simulation method 1b	82
3.5	Comparison of the average SVM LOO $B.632$ bootstrap bias corrected prediction results obtained when each of the five gene selection meth- ods were applied to 500 simulated data sets generated using simulation method 4. 95% confidence intervals (CI) are provided in brackets	88
3.6	Comparison of the average SVM LOO <i>B.</i> 632 bootstrap bias corrected prediction results obtained when the pre-filter was combined with each of the five gene selection methods and were applied to 500 simulated data sets generated using simulation method 4. 95% confidence intervals	
	(ECI) are provided in brackets	89

3.7	Comparison of the average SVM LOO $B.632$ bootstrap bias corrected	
	prediction results obtained when each of the five gene selection meth-	
	ods were applied to 100 simulated data sets generated using simulation	
	method 6. $95\%$ confidence intervals (CI) are provided in brackets	92
3.8	Comparison of the average SVM LOO $B.632$ bootstrap bias corrected	
	prediction results obtained when the pre-filter was combined with each	
	of the five gene selection methods and were applied to 100 simulated data	
	sets generated using simulation method 6. 95% confidence intervals (CI)	
	are provided in brackets.	93
3.9	Comparing whether the SVM LOO classification was correct (Yes, be-	
	low) when the moderated t-statistic was used to account for the technical	
	replication in the melanoma data at the gene selection stage, compared	
	to those obtained when the technical replication was overlooked at the	
	gene selection stage. The comparison was also considered with the com-	
	bination of the moderated t-statistic and the pre-filter. Approximate	
	95% asymmetric confidence intervals are included in brackets for the	
	proportion correctly classified by each method	98
3.10	Comparison of the SVM LOO prediction results without bias corrections	
	obtained when each of the five statistical gene selection methods were	
	applied to the Melanoma data. Approximate $95\%$ asymmetric confidence	
	intervals are included in brackets	102
3.11	Comparison of the SVM LOO prediction results without bias corrections	
	obtained when the pre-filter was used in combination with each of the	
	five gene selection methods and were applied to the Melanoma data.	
	Approximate $95\%$ asymmetric confidence intervals are included in brackets	.103
3.12	Comparison of the SVM DLOO prediction results obtained when three	
	of the gene selection methods were applied to the Melanoma data. Ap-	
	proximate $95\%$ asymmetric confidence intervals are included in brackets	
	bellow each result.	104
3.13	Comparison of the SVM DLOO prediction results obtained when the	
	Pre-Filter was used in combination with each of the three chosen gene	
	selection methods and were applied to the Melanoma data. Approximate	
	95% asymmetric confidence intervals are included in brackets bellow each	
	result.	104
3.14	Comparison of the SVM LOO prediction results with $B.632$ bootstrap	
	bias corrections obtained when each of the five gene selection methods	
	were applied to the Melanoma data. Approximate $95\%$ asymmetric con-	
	fidence intervals are included in brackets	105

3.15	Comparison of the SVM LOO prediction results with $B.632$ bootstrap	
	bias corrections obtained when the pre-filter was used in combination	
	with each of the five gene selection methods and were applied to the	
	Melanoma data. Approximate 95% asymmetric confidence intervals for	
	the classification rates are included in brackets. $\hfill \ldots \hfill \ldots \hfi$	106
3.16	Comparison of the SVM apparent prediction results with $B.632$ boot-	
	strap bias corrections obtained when each of the five gene selection meth-	
	ods were applied to the Melanoma data. Approximate 95% asymmetric	
	confidence intervals are included in brackets	107
2 17	Comparison of the SVM apparent prediction regults with bootstrap bias	
0.17	Comparison of the SVM apparent prediction results with bootstrap bias	
	corrections obtained when the pre-filter was used in combination with	
	each of the five gene selection methods and were applied to the Melanoma	
	data. Approximate 95% asymmetric confidence intervals are included in	

- 4.1 Clinical and pathological characteristics of the three datasets. Values for GSE2034 were adapted from Table 1 in Wang et al. (2005a) and supplementary information associated with the GSE2034 dataset obtained through the Genebank Gene Expression Ominbus database. Values for the GSE4922 and GSE6532 datasets were obtained from the clinical information available through the Genebank Gene Expression Ominbus database as supplementary information associated with each datasets.
  - 123

4.2 Comparison of the average performance statistics obtained when the probe-based method was used to construct a linear SVM classifier on the Wang et al. (2005a) dataset, with and without probe selection being stratified by estrogen receptor (ER) status. The performance statistics were based on 10 random splits of the dataset into training and test sets and the *t*-statistic was used to rank the probes. This table displays the maximum of the average classification rates obtained along with the average sensitivity and specificity for the classifiers associated with the maximum average classification rate. 95% empirical confidence intervals are shown in parentheses.

xiii

- 4.3 Comparison of the median performance statistics obtained when the probe-based method was used to construct a classifier, based on logistic regression, on the Wang et al. (2005a) dataset, with and without the use of a threshold at the point of classification. The performance statistics were based on 10,000 random splits of the dataset into training and test sets with only the probes contained in the 76-probe signature published by Wang et al. (2005a) used in the classifiers. The threshold value at which a test sample was predicted as belonging to class "1" (recurrent) was chosen as the minimum predicted class "1" probability attained by the recurrent samples in the training set that were correctly classified. Approximate 95% empirical confidence intervals for the median performance statistics are shown in parentheses.
- 4.4 Summary of the median performance statistics obtained when the probebased method was used to construct a classifier, based on logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.
- 4.5 Summary of the median performance statistics obtained when the genebased method was used to construct a classifier, based on logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. To obtain an estimate for the expression level of each gene, the expression levels for all of the probes associated with the same gene were averaged. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.
- 4.6 Comparing the effect on the performance statistics, from one random split of the GSE2034 dataset into training and test sets, when the reduced KEGG pathways (extracted using the probe IDs) were ranked within estrogen receptor (ER) status to when the reduced KEGG pathways were ranked ignoring ER status. The classification models were constructed using the first principal component of the highly ranked reduced pathways as explanatory variables. 95% asymmetric confidence intervals are shown in parentheses.

133

4.7	Summary of the median performance statistics obtained when the first principal component of the top ranked KEGG pathways (extracted using the probe IDs) were used to construct a classifier, using logistic regres- sion, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.	137
4.8	Summary of the median performance statistics obtained when the first two principal components of the top ranked KEGG pathways (extracted using the probe IDs) were used to construct a classifier, using logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses	137
4.9	Summary of the median performance statistics obtained when the first principal component of the top ranked KEGG pathways (extracted using the gene symbols) were used to construct a classifier, using logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.	138
4.10	Summary of the median performance statistics obtained when the first two principal components of the top ranked KEGG pathways (extracted using the gene symbols) were used to construct a classifier, using logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.	138
4.11	Comparing the effect on the performance statistics of the KEGG-based classifier when each of the five standard deviation pre-filters were applied to the training data prior to defining the KEGG pathways (which were extracted using the probe IDs). The performance statistics were based on 1,000 random splits of the GSE2034 dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics	
	are shown in parentheses	139

 $\mathbf{x}\mathbf{v}$ 

4.12	Comparing the effect on the performance statistics of the KEGG-based classifier (with the pathways extracted using the gene symbols) when the number of top ranked pathways used as a source to construct the classifiers was varied. The performance statistics were attained using 11 fold cross validation on the GSE2034 dataset with the fist two principal components used to construct the classifiers. Approximate 95% asymmetric confidence intervals for the performance statistics are shown in parentheses.	139
4.13	Summary of the median performance statistics obtained when the first principal component of the top ranked GO Terms (which contained between 10 and 100 probes per term) were used to construct a classifier, using logistic regression, for each of the three datasets. The performance statistics were based on 1,000 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.	141
4.14	Comparing the effect on the performance statistics of the GO Term based classifier when each of the six standard deviation pre-filters were applied to the training data prior to defining the GO Terms (which contained between 10 and 100 probes per term). The performance statistics were based on 1,000 random splits of the GSE2034 dataset into training and test sets	142
4.15	Comparing the median performance statistics of the GO Term based classifier when the range on the number of probes per GO Term was increased from 10-100 to 10-1,000. The classification models were built using the first two principal components of the top ranked GO Terms as explanatory variables and the median performance statistics were based on 1,000 random splits of the GSE4922 dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.	143
4.16	Comparison of the median performance statistics obtained when only GO Terms associated with cell cycle were used in the classifier to those obtained based on all of the GO Terms with between 10 and 1,000 probes per term. The comparison was made using the GSE4922 dataset which was randomly split 1,000 times into training and test sets. The classifiers were constructed using the first two principal components of the top ranked GO Terms as explanatory variables. 95% empirical confidence	140
	intervals for the median performance statistics are shown in parentheses.	143

4.19 Comparing the performance statistics when the classifier was constructed using clusters derived from the recurrent (R) training samples to the performance statistics obtained when the classifier was constructed using clusters derived from the non-recurrent (NR) training samples. The correlation-based method using Average Linkage in the construction of the clusters was applied to the GSE4922 dataset in each case. The performance statistics were also compared across three different cutting points of the resulting dendrograms. One random split of the dataset into training and test sets was used and the classifier was constructed using only the first principal component of the top ranked clusters as explanatory variables. Approximate 95% asymmetric confidence intervals for the classification rates are shown in parentheses.

4.20 Comparing the performance statistics when the classifier was constructed using clusters derived from the recurrent (R) training samples to the performance statistics obtained when the classifier was constructed using clusters derived from the non-recurrent (NR) training samples. The correlation-based method using Average Linkage in the construction of the clusters was applied to the GSE4922 dataset in each case. The performance statistics were also compared across three different cutting points of the resulting dendrograms. One random split of the dataset into training and test sets was used and the classifier was constructed using the first two principal components of the top ranked clusters as explanatory variables. Approximate 95% asymmetric confidence intervals for the classification rates are shown in parentheses.

- 4.21 Comparison of the performance statistics obtained when the correlationbased method was applied to one random split of the GSE4922 dataset into training and test sets, with and without the use of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50. Complete linkage was used in the construction of the clusters and the genes were standardised to  $\mu = 0$ , sd = 1. The classifiers were constructed using the first principal component of the top ranked clusters as explanatory variables. Approximate 95% asymmetric confidence intervals for the classification rates are shown in parentheses.
  - 152

151

4.22 Comparison of the performance statistics obtained when the correlationbased method was applied to one random split of the GSE4922 dataset into training and test sets, with and without the use of a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50. Complete linkage was used in the construction of the clusters and the genes were standardised to  $\mu = 0$ , sd = 1. The classifiers were constructed using the first two principal components of the top ranked clusters as explanatory variables. Approximate 95% asymmetric confidence intervals for the classification rates are shown in parentheses.

s. 152

- 4.23 Comparison of the performance statistics obtained when the correlationbased method was applied to one random split of the GSE4922 dataset into training and test sets, with the classifier built using binary explanatory variables created using the means of the first principal component and then the first two principal components of the top ranked clusters in the training set. The complete linkage method was used in the construction of the clusters, with the genes standardised to  $\mu = 0$ , sd = 1 and a threshold used at the point of classification to ensure that the sensitivity in the training set was greater than 0.50. Approximate 95% asymmetric confidence intervals for the classification rates are shown in parentheses.
- 4.24 Summary of the median performance statistics obtained when the first principal component of the top ranked clusters from the correlation-based method were used to construct a classifier for each of the three datasets. Complete linkage was used to define the clusters and the genes were standardised to  $\mu = 0$ , sd = 1. The performance statistics were based on 100 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.
- 4.25 Summary of the median performance statistics obtained when the first principal component of the top ranked clusters from the correlation-based method were used to construct a classifier, which incorporated a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50, for each of the three datasets. Complete linkage was used to define the clusters and the genes were standardised to  $\mu = 0$ , sd = 1. The performance statistics were based on 100 random splits of each dataset into training and test sets. 95% empirical confidence intervals for the median performance statistics are shown in parentheses.
- 4.26 Summary of the 11 fold cross validation performance statistics obtained when the first principal component of the top ranked clusters from the correlation-based method were used to construct a classifier, which incorporated a threshold at the point of classification to ensure that the sensitivity in the training set was greater than 0.50, for each of the three datasets. Complete linkage was used to define the clusters and the genes were standardised to  $\mu = 0$ , sd = 1. Approximate 95% asymmetric confidence intervals for the performance statistics are shown in parentheses. 153

 $\mathbf{xix}$ 

152

153