

Palmerston North, New Zealand, 25 November 2020

Re: Manuscript submission for consideration at *Molecular Phylogenetics and Evolution*

Dear Dr., Elizabeth A. Zimmer
Editor-in-Chief
Molecular Phylogenetics and Evolution

We are submitting the manuscript entitled “Trait-dependent dispersal in rails (Aves: Rallidae): Historical biogeography of a cosmopolitan bird clade” to be considered for publication in *Molecular Phylogenetics and Evolution*. We have used broad taxonomic sampling and substantial molecular and morphological data to provide the historical biogeography of the cosmopolitan bird family Rallidae. We also examined an evolving biological trait that influence rates of dispersal and diversification in this clade. The study neatly fit into the space left between phylogenetics, biogeographical processes and evolution. This manuscript presents the first total-evidence approach of this group of birds with biogeographic analyses and discussion performed in sufficient detail. This is a valid, interesting and worthy study that will have a high impact and will contribute significantly to the literature of the current evolutionary work. We think that *Molecular Phylogenetics and Evolution* would be the best way to reach scientists interested in evolutionary ecology. We would like to suggest Dr. Shannon Hackett as a potential Academic Editor to handle our submission.

Sincerely,

Juan Carlos Garcia-R.
Hopkirk Research Institute
School of Veterinary Science
Massey University, New Zealand
E-mail: j.c.garciaramirez@massey.ac.nz

1 **Title:** Trait-dependent dispersal in rails (Aves: Rallidae): Historical biogeography of a
2 cosmopolitan bird clade

3

4 **Authors:** Juan C. Garcia-R^{1,3} and Nicholas J. Matzke²

5

6 ¹ Molecular Epidemiology and Public Health Laboratory, Hopkirk Research Institute, School of
7 Veterinary Science, Massey University, Private Bag, 11 222, Palmerston North 4442, New
8 Zealand.

9

10 ² School of Biological Sciences, University of Auckland, Auckland, New Zealand.

11

12 ³ Communicating author: E-mail: j.c.garciaramirez@massey.ac.nz. Tel.: +64 6 3569099 ext
13 84883.

14

15

16 **Abstract**

17 The ability of lineages to disperse over evolutionary timescales may be influenced by the gain or
18 loss of traits after adaptation to new ecological conditions. For example, rails (Aves: Rallidae)
19 have many cases of flightless insular endemic species that presumably evolved after flying
20 ancestors dispersed over large ocean barriers and became isolated. Nonetheless, the details of
21 how flying and its loss have influenced the clade's historical biogeography are unknown, as is
22 the importance of other predictors of dispersal such as the geographic distance between regions.
23 Here, we used a dated phylogeny of 158 species of rails to compare trait-dependent and trait-
24 independent biogeography models in BioGeoBEARS. We evaluated a probabilistic historical
25 biogeographical model that allows geographic range and flight to co-evolve and influence
26 dispersal ability on a phylogeny. The best-fitting dispersal model was a trait-dependent dispersal
27 model ($DEC+j+x+t_{21}+m_1$) that accrued 85.2% of the corrected Akaike Information Criterion
28 (AICc) model weight. The distance-dependence parameter, x was estimated at -0.54, ranging
29 from -0.49 to -0.65 across models, suggesting that a doubling of dispersal distance results in an
30 approximately 31% decrease in dispersal rate ($2^{-0.54} = 0.69$). The estimated rate of loss of flight
31 (t_{21}) was similar across all models (~ 0.029 loss events per lineage per million years). The
32 multiplier on dispersal rate when a lineage is non-flying, m_1 , is estimated to be 0.38 under this
33 model. Surprisingly, the estimate of m_1 was not 0.0, probably because the loss of flight is so
34 common in the rails that entire clades of flightless species are found in the data, forcing the
35 model to attribute some dispersal to flightless lineages. These results indicate that long-distance
36 dispersal over macroevolutionary timespans can be modelled, rather than simply attributed to
37 chance, allowing support for different hypotheses to be quantified and model limitations to be
38 identified. Overall, by combining new analytical methods with a comprehensive phylogenomic

39 dataset, we use a quantitative framework to show how traits influence dispersal capacity and
40 eventually shape geographical distributions at a macroevolutionary scale.

41 **Introduction**

42 Inferring the evolution of geographic ranges of species and clades in a phylogenetic context is a
43 major focus of historical biogeography (Ronquist and Sanmartín, 2011; Sanmartín, 2012).

44 Biological traits must be important in shaping the dispersal abilities of organisms, and therefore
45 evolutionary changes in traits could strongly influence the distribution and diversification

46 patterns of clades (Sukumaran and Knowles, 2018). An evolving trait that is particularly likely to
47 influence rates of macroevolutionary dispersal is flightlessness. The rails (Aves: Gruiformes:

48 Rallidae), for instance, have colonised very isolated islands and readily evolve distinct forms of
49 endemic flightless species (Garcia-R et al., 2016; Garcia-R et al., 2019; Garcia-R et al., 2017;

50 Garcia-R and Trewick, 2015; Kirchman, 2009; Trewick, 1997a; Wright et al., 2016). Although

51 the general relation of dispersal followed by isolation and loss of flight is obvious in rails, it is

52 important to keep in mind that the detailed history of both flightlessness and biogeography is not
53 known with certainty, but instead inferred on phylogenies using evolutionary models.

54

55 In a trait-dependent dispersal model, simultaneously inferring the trait history and dispersal

56 history enables them to influence each other and could compensate for weaknesses in either

57 dataset assessed individually, for example, due to convergent loss of flight in rails. Besides, a

58 quantitative model-based framework allows the inference of parameters that describe the rates of

59 trait change, dispersal, the influence of traits on dispersal, as well as other factors in dispersal

60 probability, such as the geographic distance between regions. None of these parameters has been

61 measured in rails. A study group such as rails, where the link between trait and dispersal ability

62 seems clear, provides an opportunity to further test the inferences made by trait-dependent

63 dispersal models, which have only been deployed in a few recent publications (Blom et al., 2019;

64 Klaus and Matzke, 2020; Matos-Maraví et al., 2018; Nicolai and Matzke, 2019). Here, we
65 answer these questions with a dated phylogeny of 158 species of rails and new models
66 implemented in BioGeoBEARS.
67
68 Standard probabilistic historical biogeographical models exclude biology, treating all species as
69 interchangeable units, with no differences in the way they interact with any biogeographical
70 process (Sukumaran and Knowles, 2018). The R package BioGeoBEARS (Matzke, 2013) allows
71 an evolving discrete trait to influence dispersal ability for both anagenetic and cladogenetic range
72 change (Klaus and Matzke, 2020). Biogeographical models describe possible ways that
73 geographic range might change during anagenesis and cladogenesis (Matzke, 2013). Different
74 models “turn on” different processes, and statistical model comparison (Burnham and Anderson,
75 2002, 2008) can be used to assess which model best fit a particular dataset. A similar approach
76 can be used to measure the influence of physical variables, such as distance, on dispersal rate
77 (Van Dam and Matzke, 2016). When the evolutionary history of an evolving trait, such as
78 flightlessness, has to be jointly estimated along with the biogeography history of a clade, these
79 biogeographical models can be expanded further with trait-based dispersal models. These models
80 modify dispersal probability with a free parameter, m , that describes a multiplier on dispersal
81 when a lineage is inhabiting the less-dispersive character state (Klaus and Matzke, 2020). When
82 $m=1$, the model reduces to the “trait-independent” null model, where the log-likelihood of the
83 trait and the geographic data can be calculated independently and summed. Inference under trait-
84 dependent models is computationally slower because they require doubling the size of state
85 space (Sukumaran and Knowles, 2018). This is because the model must track the probability of a
86 lineage occupying each of the possible geographic ranges while in trait state 1, as well as the

87 probability of a lineage occupying each of the possible geographic ranges while in trait state 2.
88 However, for moderate-sized problems (<2000 states total), they are feasible (although slow) on
89 desktop computers.

90

91 To conduct trait-dependent biogeographic inference on rails, we begin with a comprehensive
92 phylogenetic hypothesis that includes the vast majority of the taxa in the clade. Knowledge of the
93 phylogenetic relationships of rails has increased dramatically in the last decade (e.g., Garcia-R
94 and Trewick, 2015; Kirchman, 2009; Kirchman, 2012; Slikas et al., 2002) but the phylogenetic
95 relationships of several species remain poorly known. Although taxonomic coverage using
96 morphology (Livezey, 1998) and DNA-based analyses (Garcia-R et al., 2014a) have been shed
97 light on the evolution and phylogenetics of rails, a total-evidence analysis including both
98 molecular and morphological data has not previously been available for this group. We analyse
99 relationships among rails using all available evidence to allow a comprehensive taxon sampling
100 and a robust phylogenetic hypothesis that provides an exceptional context for studying the
101 evolutionary history of this group of birds.

102

103 **Materials and methods**

104 *Phylogenetic reconstruction and total-evidence dating*

105 Because of the lack of global analyses that include most described extant and extinct taxa,
106 knowledge of the relationships of the total clade of the rails has been limited. We performed the
107 first total-evidence analysis combining morphological data and molecular sequences of 158
108 species of rails (see Supplementary Material). We used morphological characters that were
109 obtained from Livezey (1998) and molecular data collected from previous studies (Garcia-R et

110 al., 2014a; Garcia-R et al., 2020). Morphological data was partitioned in groups of characters
111 with respect to the number of observed states (ranged from two- to six-state characters) evolving
112 under the Lewis *Mkv* model (Lewis and Olmstead, 2001). We assumed gamma-distributed rate
113 variation across characters with three or fewer states (Gavryushkina et al., 2017). For molecular
114 data, each locus was assigned to its model of nucleotide substitution, as determined by the
115 Bayesian Information Criterion (BIC) in PartitionFinder 2.1.1 (Lanfear et al., 2016). For nuclear
116 data, both GTR + Γ and HKY + Γ models were estimated, whilst mitochondrial data only used
117 the GTR + Γ model.

118
119 To obtain divergence times from the total-evidence analysis, we used a previously estimated
120 crown age of ralloids (Garcia-R et al., 2014b). The approximate age of the origin of rails has
121 been estimated using different Bayesian and non-Bayesian approaches and fossil calibrations
122 with results varying between 40 and 19 Mya (Garcia-R et al., 2014b; Garcia-R et al., 2020). The
123 differences in age estimations for the origin of this group is due to the scarcity of reliable crown
124 old fossils. A root calibration of 40 Mya with Normal distribution of 33–49 Mya (95% range)
125 was implemented in BEAST 2.4.7 (Bouckaert et al., 2014). We used the wider estimate date for
126 the origin of the clade because comparisons of estimates under a total-evidence approach have
127 obtained younger ages than traditional node dating (Ronquist et al., 2012). The fossils (< 2.5
128 Mya) were treated as terminals, with the ages obtained from published literature (Olson, 1985;
129 Olson, 1977; Ripley, 1977) and online databases (<http://fossilworks.org/>). The analysis was run
130 for 200 million generations using a Birth-Death process and uncorrelated lognormal relaxed
131 clock. We combined the results of two independent runs with a burn-in of 50% each to obtain
132 effective sample sizes (ESS) above 150 for all parameters. The stationarity of the runs was

133 assessed using Tracer v.1.7 (Rambaut et al., 2018). The tree was viewed using FigTree 1.4.2
134 (<http://tree.bio.ed.ac.uk/software/figtree/>).

135

136 *Modelling trait-dependent dispersal*

137 The rails have a near-global distribution only being absent in polar regions, waterless deserts,
138 and mountains above the snow line. For biogeographical inference analyses, we defined eight
139 major geographic regions: Nearctic, Neotropical, Palearctic, Afrotropical, Madagascan, Oriental,
140 Oceanian and Australasian. We used a distance matrix measuring the minimum overwater
141 distance between areas. Ancestral range reconstruction was performed using the DEC,
142 DIVALIKE and BAYAREALIKE models (Matzke, 2013). We assessed each model's fitness
143 using sample-size corrected Akaike information criterion (AICc) (Burnham and Anderson,
144 2002). The DEC model takes into account range expansion (dispersal) and extinction (range
145 contraction) with a constant cladogenesis model (Ree and Smith, 2008) where daughter lineages
146 have an equal probability of inheriting various ancestral ranges through allowed speciation
147 events (i.e., subset sympatry and vicariance). The DIVALIKE model (a likelihood version of
148 Ronquist (1997)'s DIVA) disallows subset sympatry but allows more forms of vicariance. The
149 BAYAREALIKE model (a likelihood version of Landis et al. (2013)'s BayArea) allows only
150 "range copying" (both daughters inherit the ancestral range, whatever its size). We created
151 another three modified models by adding jump dispersal at speciation (+j), allowing a daughter
152 species to 'jump' to a new range outside of its ancestor, a process of particular interest in islands
153 and other systems where rare dispersal events followed by isolation and speciation may be
154 common (Matzke, 2014). We are aware of the critique of DEC/DEC+j statistical comparisons
155 put forward by Ree and Sanmartín (2018), but reject its claims based on standard, widely-

156 accepted principles of evaluating models and statistical model comparison (Klaus and Matzke,
157 2020).
158
159 Each of the six base models was further modified to allow an evolving discrete trait to affect
160 dispersal ability, which in turn, allows dispersal history to affect the inference of trait evolution
161 (Klaus and Matzke, 2020). We tested whether or not these trait-dependent model variants
162 outperformed models where dispersal is independent of the trait. Models are compared by their
163 fit to the data, where “the data” consist of both the geographic range and the trait states for each
164 species. Relative fit is measured with AICc weights (Burnham and Anderson, 2002). The trait-
165 dependent dispersal model adds three free parameters to a standard BioGeoBEARS model. First,
166 the parameters t_{12} and t_{21} describe the rate of transition of the morphological character between
167 non-flying (N, state 1) and flying (F, state 2), and the reverse (from F to N). For comparison, 1-
168 and 2-rate models (the Mk model of Lewis (2001)) were run on the trait data, and the log-
169 likelihoods were added to the standard biogeography models. This provides the joint log-
170 likelihood of the trait and geography data in a trait-independent biogeography model. The third
171 parameter, m_1 , is a multiplier on the base dispersal probability when a lineage is in state 1.
172 Parameter m_2 is also present in the model, representing a rate multiplier when the lineage is in
173 state 2; this was fixed to $m_2 = 1$ in all analyses, as m_1 and m_2 are not simultaneously identifiable.
174 We also fixed $t_{12} = 0.0$, representing the assumption that flight can never be regained after being
175 lost. We found that fixing this parameter was useful to avoid interactions in the parameter
176 inference and speed convergence. We compared the trait-independent models to trait-based
177 dispersal models ($DEC+t_{21}+m_1$, $DEC+j+t_{21}+m_1$, $DIVALIKE+t_{21}+m_1$, $DIVALIKE+j+t_{21}+m_1$,
178 $BAYAREALIKE+t_{21}+m_1$, $BAYAREALIKE+j+t_{21}+m_1$). In addition, we extended both trait-

179 dependent and trait-independent models by the inclusion of geographical distance (+ x variants),
180 where the base dispersal rates are multiplied by distance x ; when $x = 0$, distance does not affect
181 dispersal probability (Van Dam and Matzke, 2016).

182

183 **Results**

184 *Phylogeny and total-evidence dating*

185 The data matrix consisted of 158 extant and recently extinct (< 2.5 Mya) species of rails (129
186 extant and 29 extinct taxa). This is the most comprehensive taxon set of Rallidae so far
187 generated. The original morphological (osteological and integument) matrix contained 570
188 characters, but only 271 were used for the analysis. We discarded 299 characters because they
189 did not provide any information (i.e., presented only one state in all species). Molecular data
190 from 10 nuclear and three mitochondrial gene fragments were used, which resulted in a total of
191 20,828 nucleotides. Figure 1 shows the dated phylogeny obtained in the total-evidence analysis
192 with BEAST2. The total-evidence analysis yields a topology similar to previous molecular
193 phylogenies (Garcia-R et al., 2014a; Garcia-R et al., 2020) and, regardless of exactly when rails
194 originated, it indicates that all major clades occurred during the Miocene, as previously shown by
195 molecular divergence estimations obtained from different approaches (Garcia-R et al., 2020).
196 This analysis recovered the monophyletic clades “*Aramides*”, “*Rallus*”, “*Fulica*”, “*Laterallus*”,
197 “*Porzana*”, “*Gallicrex*”, *Porphyrio* and *Rallina* and several relationships previously identified
198 (Garcia-R et al., 2014a; Garcia-R et al., 2020). The only major difference, apart from the
199 inclusion of numerous extinct and extant species added for the first time in a robust phylogenetic
200 framework, is that species within *Gymnocrex* are shown as sister taxa of *Himantornis*

201 *haemotopus*. This result hinges on morphological data from *Gymnocrex* species, and this clade
202 forms a basal split, making it the sister of all remaining clades.

203

204 *Biogeography analyses*

205 A trait-dependent dispersal model outperformed all other models (Table 1). The best-fitting
206 model was DEC+*j* +*x*+*t*₂₁+*m*₁, with an AICc model weight of 85.2%. Specifically, *m*₁,
207 representing the multiplier on dispersal rate when a lineage is non-flying, is estimated to be 0.38
208 under this model. A similar model, DIVALIKE+*j*+*x* +*t*₂₁+*m*₁, gathered 2.1% of the model weight
209 and estimated *m*₁ as 0.22. These results suggest, as expected, a substantial decrease in dispersal
210 capability for flightless rails, although puzzlingly, the dispersal multiplier for flightlessness is not
211 inferred to be 0.0. The trait-dependent dispersal models together had an AICc model weight of
212 87.3%. Interestingly, a non-trait-dependent model, DEC+*j*+*x* +*t*₂₁, (where *m*₁ is fixed to 1.0) had
213 an AICc weight of 12.7%, indicating that while it is not the best-performing model, it cannot be
214 statistically excluded from the set of plausible models (Burnham et al., 2011). Averaging the
215 parameter estimates across all models (weighting the average by the AICc model weights)
216 returns an all-models estimate for *m*₁ = 0.45 (Burnham and Anderson, 2002).

217

218 Other parameter estimates, however, followed expectations. The *x* parameter in the best model
219 was estimated at -0.54, ranging from -0.49 to -0.65 across models (Table 1), suggesting that a
220 doubling of dispersal distance results in an approximately 31% decrease in dispersal rate ($2^{-0.54} =$
221 0.69). The weighted average of *x* estimates across all models was also -0.54, and the +*x* model
222 variants together garnered 100% of the model weight. The *j* parameter was also supported with
223 100% model weight, as is common in systems with isolated areas (e.g. islands) where most

224 species are single-area endemics (Matzke, 2014). The estimated rate of loss of flight (t_{21}) was
225 similar across all models (~0.029 loss events per lineage per million years).

226

227 We estimated ancestral ranges on the phylogeny using the best-fit model. This suggests that the
228 Afrotropical, Neotropical and Oceanian regions are better supported as the centre of origin of
229 rails (Figure 1). Nonetheless, there is high uncertainty in ancestral ranges (Figure S1). The
230 Neotropical region is confidently inferred as the ancestral area for “*Laterallus*” and “*Aramides*”
231 clades with a subsequent expansion to the Nearctic. The most probable ancestral areas for
232 *Porphyrio* and *Rallina* are the Afrotropical and Oriental regions, respectively. Additionally, the
233 Oriental region is showed as the most probable state for “*Porzana*” and “*Gallicrex*”, while other
234 clades (“*Fulica*”, “*Rallus*”, and *Himantornis* + *Gymnocrex*) presented unresolved ancestral range
235 reconstructions.

236

237 *Evolution of flightlessness in Rallidae*

238 Flightlessness is found in 47 of the 158 species in our study and within all clades except for the
239 most basal split *Himantornis* + *Gymnocrex* and *Rallina* clades (Figure 2). The “*Rallus*” clade
240 contained the vast majority of flightless species associated with founder events of remote isolate
241 islands in the Oceanian and Australasian regions. Flightless species in other clades are also found
242 in the Madagascan region and islands on the Oriental region, with flying as the most probable
243 inferred ancestral state (Figure S2). We estimate that flight was lost approximately 22 times
244 independently in the evolutionary history of this group.

245

246 **Discussion**

247 In our total-evidence analysis, the phylogenetic position of several fossils, recently extinct and
248 extant taxa without molecular data were determined based on morphological evidence. Adding
249 both types of data inform us of taxa relationships previously unrecognised. Previous
250 controversial propositions and taxonomic conflicts (Livezey, 1998; Olson, 1973) caused by
251 morphological convergence can now be reconciled for different taxa. Although missing
252 molecular data for fossil and extinct taxa can be seen as a concern, we expected that our
253 extensive and informative morphological character states detract the effects caused by this and
254 have little impact in the topology recovered. Our total-evidence analysis retrieves clade node age
255 estimates that were very similar to those obtained with young node dating calibrations.
256 Unfortunately, older rail-like fossils are poorly preserved and can not be used in node dating
257 analysis or fossilized birth-death (FBD) models due to the large uncertainty in their topological
258 placement.

259
260 Our analyses suggest that a model where dispersal is dependent on the flying condition and
261 geographical distance is better fit to the data than models where dispersal is independent of traits
262 and/or distances. In the best-fitting model (DEC+ $j+x+t_{21}+m_1$), an estimated m_1 of 0.38 suggests
263 that macroevolutionary dispersal rates are 62% lower for lineages with flightless species. Even
264 when m_1 is estimated from a weighted average of all 24 models, the model-averaged estimate of
265 $m_1 = 0.59$ suggests a dispersal rate that is 41% lower for flightless species. An estimated x of -
266 0.54 under the best model suggests a substantial negative correlation between dispersal and
267 increasing distance (an x of -1 indicates a linear relationship between inverse distance and
268 dispersal probability, that is, doubling the distance halves the dispersal probability). An
269 explanation for this result is that there are vagrant lineages in rails reaching new regions and

270 isolated islands via long-distance dispersal (Garcia-R et al., 2016; Garcia-R et al., 2019; Garcia-
271 R et al., 2017; Garcia-R and Trewick, 2015). Long-distance dispersal and colonisations resulting
272 in extended geographic ranges to new areas or isolated islands have been a recognised trait in
273 some rail lineages (Garcia-R et al., 2016; Garcia-R et al., 2017; Garcia-R and Trewick, 2015).
274 Independent evolution of flightlessness is a by-product of adaptation to these colonisations and
275 new environmental conditions where flying was not integral to foraging, social interaction, or
276 predator avoidance.

277

278 Estimation of ancestral ranges under the best-fit model indicates an uncertain origin of the
279 Rallidae. Nonetheless, the most probable ancestral areas are the Afrotropical and Neotropical
280 regions. Two clades have originated in the Neotropical region (“*Laterallus*” and “*Aramides*”)
281 and several members of other clades are present in the Afrotropical. The oldest fossils with rail-
282 like traits are found in the Palaeartic and Nearctic regions, including *Belgirallus*, which is
283 considered a stem Ralloidae member (De Pietri and Mayr, 2014; Mayr, 2006; Rasmussen et al.,
284 1987; Wetmore, 1931). Rail fossils from the Miocene, some showing flightless characteristics,
285 are found in the Palearctic and Australasian regions (Mather et al., 2018; Worthy and Boles,
286 2011; Zelenkov et al., 2017). The relationships of these fossils are uncertain (Mayr, 2017), but
287 they show affinities with members of the “*Rallus*” and *Porphyrio* clades. Including these fossils
288 confidently in a phylogeny would benefit the dating and biogeographical analyses. Unequivocal
289 fossils assigned to crown-group lineages have only been found in the Pliocene and Pleistocene
290 on different regions, including Oceanian and Madagascan (Kirchman and Steadman, 2005;
291 Steadman, 2006; Steadman et al., 1999).

292

293 According to our analyses, the earliest transition from flying to non-flying within the Rallideae
294 occurred in the Late Miocene (~12 Ma), which contrasts with the age of the flightless
295 *Priscaweke* and *Litorallus* from New Zealand (Mather et al., 2018) in the Early Miocene (19–16
296 Ma). This early transition occurred within the clade containing the majority of flightless species.
297 If *Priscaweke* and *Litorallus* are indeed found closely related to members of the “*Rallus*” clade,
298 they would be considered part of the stem group.

299
300 The all-model estimate for m_1 of 0.45 is substantial but greater than might be expected for the
301 flightlessness trait. For example, in trap-jaw ants, closed forest species had an estimated $m = 0.0$,
302 and all dispersal occurred in edge species (Matos-Maraví et al., 2018), and *Cryptoblepharus*
303 skinks with littoral and beach-dwelling species presenting dispersal rates ~14 times higher than
304 non-littoral species (Blom et al., 2019). We suspect that the reason we do not estimate $m_1 = 0.0$ in
305 Rallidae is the high frequency of convergent loss of flight. This process can easily produce an
306 entire clade of flightless species occupying multiple areas. Faced with such data, maximum
307 likelihood inference is forced to attribute some positive dispersal probability to lineages that are
308 flightless. This issue might be overcome via a few approaches. For example, a Bayesian analysis
309 where strong prior pushes m_1 towards 0.0 would force the model to increase the rate of transition
310 to flightlessness to compensate. However, this is arguably “telling the model what the answer
311 is,” and also a Bayesian analysis would require 100,000+ calculations of the likelihood,
312 computationally impractical due to the size of the state space of trait-dependent biogeography
313 models. Another approach that could be explored is state-dependent speciation/extinction (SSE)
314 models, as these allow for lineage extinction and missing speciation events that are excluded
315 from DEC-type biogeography models (Klaus and Matzke, 2020). SSE models could allow

316 flighted ancestors to produce flightless descendants and then go extinct. However, SSE models
317 are also dramatically slower than DEC-type models for large state spaces. Technical advances
318 may introduce more feasible strategies in the future, but regardless, researchers should always be
319 aware that models are just an attempt to describe major features of a very complex evolutionary
320 progress, and will always have limitations (Burnham and Anderson, 2002).

321
322 Despite the limitations, our statistical model comparisons do provide support for trait-dependent
323 dispersal in the Rallidae, as well as estimates of key parameters. The loss of flight has
324 independently evolved in different rail lineages with an estimated rate of ~0.029 events per
325 lineage per million years. Rails seem particularly prone to adapt to the ground-dwelling lifestyle
326 leading to rapid morphological changes (Garcia-R et al., 2016; Trewick, 1997a, 1997b) and
327 isolation leading to low gene flow and speciation. This process undoubtedly contributes to
328 diversity in rail clades, although the details of this process – for example, does adaptation to
329 ground-dwelling lead or follow genetic isolation – require further research.

330

331 **Acknowledgments**

332 J.C.G-R. was funded by Massey University Fund and McGeorge Research Fund. N.J.M was
333 supported by the National Institute for Mathematical and Biological Synthesis, an Institute
334 sponsored by the National Science Foundation, the U.S. Department of Homeland Security, and
335 the U.S. Department of Agriculture through NSF Award #EFJ0832858, with additional support
336 from The University of Tennessee, Knoxville. NJM was also funded by the Australian Research
337 Council’s Discovery Early Career Researcher Award #DE150101773, and by The Australian
338 National University. He is currently supported by the University of Auckland FRDF Project

339 #3722433, and New Zealand Marsden Grants 16-UOA-277 and 18-UOA-034. We would like to
340 thank Dini for helping run the analysis on Mahuika platform (high-performance computing)
341 underpins the New Zealand eScience Infrastructure (NeSI).

342

343 **References**

- 344 Blom, M.P.K., Matzke, N.J., Bragg, J.G., Arida, E., Austin, C.C., Backlin, A.R., Carretero,
345 M.A., Fisher, R.N., Glaw, F., Hathaway, S.A., Iskandar, D.T., McGuire, J.A., Karin, B.R.,
346 Reilly, S.B., Rittmeyer, E.N., Rocha, S., Sanchez, M., Stubbs, A.L., Vences, M., Moritz, C.,
347 2019. Habitat preference modulates trans-oceanic dispersal in a terrestrial vertebrate. *Proc. R.*
348 *Soc. B: Biol Sci* 286, 20182575.
- 349 Bouckaert, R., Heled, J., Kühnert, D., Vaughan, T., Wu, C.-H., Xie, D., Suchard, M.A.,
350 Rambaut, A., Drummond, A.J., 2014. BEAST 2: A software platform for Bayesian evolutionary
351 analysis. *PLoS Comput Biol* 10, e1003537.
- 352 Burnham, K.P., Anderson, D.R., 2002. Model selection and multimodel inference. Springer,
353 New York, NY.
- 354 Burnham, K.P., Anderson, D.R., 2008. Model Selection. In: Guthery, F.S. (Ed.), *A Primer on*
355 *Natural Resource Science*. Texas A&M University Press, pp. 113-124.
- 356 Burnham, K.P., Anderson, D.R., Huyvaert, K.P., 2011. AIC model selection and multimodel
357 inference in behavioral ecology: Some background, observations, and comparisons. *Behav. Ecol.*
358 *Sociobiol.* 65, 23-35.
- 359 De Pietri, V.L., Mayr, G., 2014. Reappraisal of early Miocene rails (Aves, Rallidae) from central
360 France: diversity and character evolution. *J. Zool. Syst. Evol. Res.* 52, 312-322.
- 361 Garcia-R, J.C., Elliott, G., Walker, K., Castro, I., Trewick, S.A., 2016. Trans-equatorial range of
362 a land bird lineage (Aves: Rallidae) from tropical forests to subantarctic grasslands. *J. Avian*
363 *Biol.* 47, 219-226.
- 364 Garcia-R, J.C., Gibb, G.C., Trewick, S.A., 2014a. Deep global evolutionary radiation in birds:
365 Diversification and trait evolution in the cosmopolitan bird family Rallidae. *Mol. Phylogen.*
366 *Evol.* 81, 96-108.
- 367 Garcia-R, J.C., Gibb, G.C., Trewick, S.A., 2014b. Eocene diversification of crown group rails
368 (Aves: Gruiformes: Rallidae). *PLoS ONE* 9, e109635.
- 369 Garcia-R, J.C., Gonzalez-Orozco, C.E., Trewick, S.A., 2019. Contrasting patterns of
370 diversification in a bird family (Aves: Gruiformes: Rallidae) are revealed by analysis of
371 geospatial distribution of species and phylogenetic diversity. *Ecography* 42, 500-510.

372 Garcia-R, J.C., Joseph, L., Adcock, G., Reid, J., Trewick, S.A., 2017. Interisland gene flow
373 among populations of the buff-banded rail (Aves: Rallidae) and its implications for insular
374 endemism in Oceania. *J. Avian Biol.* 48, 679-690.

375 Garcia-R, J.C., Lemmon, E.M., Lemmon, A.R., French, N., 2020. Phylogenomic reconstruction
376 sheds light on new relationships and timescale of rails (Aves: Rallidae) evolution. *Diversity* 12,
377 70-80.

378 Garcia-R, J.C., Trewick, S.A., 2015. Dispersal and speciation in purple swampheens (Rallidae:
379 *Porphyrio*). *The Auk* 132, 140-155.

380 Gavryushkina, A., Heath, T.A., Ksepka, D.T., Stadler, T., Welch, D., Drummond, A.J., 2017.
381 Bayesian total-evidence dating reveals the recent crown radiation of penguins. *Syst. Biol.* 66, 57-
382 73.

383 Kirchman, J.J., 2009. Genetic tests of rapid parallel speciation of flightless birds from an extant
384 volant ancestor. *Biol. J. Linn. Soc.* 96, 601-616.

385 Kirchman, J.J., 2012. Speciation of flightless rails on islands: A DNA-based phylogeny of the
386 typical rails of the Pacific. *The Auk* 129, 56-69.

387 Kirchman, J.J., Steadman, D.W., 2005. Rails (Aves : Rallidae : *Gallirallus*) from prehistoric sites
388 in the Kingdom of Tonga, including a description of a new species. *Proc. Biol. Soc. Wash.* 118,
389 465-477.

390 Klaus, K.V., Matzke, N.J., 2020. Statistical comparison of trait-dependent biogeographical
391 models indicates that Podocarpaceae dispersal is influenced by both seed cone traits and
392 geographical distance. *Syst. Biol.* 69, 61–75.

393 Landis, M.J., Matzke, N.J., Moore, B.R., Huelsenbeck, J.P., 2013. Bayesian analysis of
394 biogeography when the number of areas is large. *Syst. Biol.* 62, 789-804.

395 Lanfear, R., Frandsen, P.B., Wright, A.M., Senfeld, T., Calcott, B., 2016. PartitionFinder 2: New
396 methods for selecting partitioned models of evolution for molecular and morphological
397 phylogenetic analyses. *Mol. Biol. Evol.* 34, 772-773.

398 Lewis, P.O., Olmstead, R., 2001. A likelihood approach to estimating phylogeny from discrete
399 morphological character data. *Syst. Biol.* 50, 913-925.

400 Livezey, B.C., 1998. A phylogenetic analysis of the Gruiformes (Aves) based on morphological
401 characters, with an emphasis on the rails (Rallidae). *Philosophical Transactions of the Royal
402 Society B: Biological Sciences* 353, 2077-2151.

403 Mather, E.K., Tennyson, A.J.D., Scofield, R.P., De Pietri, V.L., Hand, S.J., Archer, M., Handley,
404 W.D., Worthy, T.H., 2018. Flightless rails (Aves: Rallidae) from the early Miocene St Bathans
405 Fauna, Otago, New Zealand. *Journal of Systematic Palaeontology*, 1-27.

406 Matos-Maraví, P., Matzke, N.J., Larabee, F.J., Clouse, R.M., Wheeler, W.C., Sorger, D.M.,

- 407 Suarez, A.V., Janda, M., 2018. Taxon cycle predictions supported by model-based inference in
408 Indo-Pacific trap-jaw ants (Hymenoptera: Formicidae: *Odontomachus*). *Mol. Ecol.* 27, 4090-
409 4107.
- 410 Matzke, N.J., 2013. Probabilistic historical biogeography: New models for founder-event
411 speciation, imperfect detection, and fossils allow improved accuracy and model-testing. *Frontiers*
412 *of Biogeography* 5.
- 413 Matzke, N.J., 2014. Model selection in historical biogeography reveals that founder-event
414 speciation is a crucial process in island clades. *Syst. Biol.* 63, 951-970.
- 415 Mayr, G., 2006. A rail (Aves, Rallidae) from the early Oligocene of Germany. *Ardea* 94, 23–31.
- 416 Mayr, G., 2017. Avian evolution: The fossil record of birds and its paleobiological significance.
417 Wiley Blackwell.
- 418 Nicolai, M.P.J., Matzke, N.J., 2019. Trait-based range expansion aided in the global radiation of
419 Crocodylidae. *Global Ecol. Biogeogr.* 28, 1244-1258.
- 420 Olson, S., 1985. The fossil record of birds. In: Farner, D.S., King, J.R., Parkes, K.C. (Eds.),
421 *Avian Biology*. Academic Press, pp. 79-238.
- 422 Olson, S.L., 1973. A classification of the Rallidae. *The Wilson Bulletin* 85, 381-416.
- 423 Olson, S.L., 1977. A synopsis of the fossil Rallidae. In: Ripley, D.S. (Ed.), *Rails of the World: A*
424 *monograph of the family Rallidae*. David R. Godine, pp. 339–379.
- 425 Rambaut, A., Drummond, A.J., Xie, D., Baele, G., Suchard, M.A., 2018. Posterior
426 summarization in Bayesian phylogenetics using Tracer 1.7. *Syst. Biol.* 67, 901-904.
- 427 Rasmussen, D.T., Olson, S.L., Simons, E.L., 1987. Fossil birds from the Oligocene Jebel Qatrani
428 Formation, Fayum Province, Egypt. *Smithson. Contrib. Paleobiol.* 62, 1-20.
- 429 Ree, R.H., Sanmartín, I., 2018. Conceptual and statistical problems with the DEC+J model of
430 founder-event speciation and its comparison with DEC via model selection. *J. Biogeogr.* 45, 741-
431 749.
- 432 Ree, R.H., Smith, S.A., 2008. Maximum likelihood inference of geographic range evolution by
433 dispersal, local extinction, and cladogenesis. *Syst. Biol.* 57, 4-14.
- 434 Ripley, S.D., 1977. *Rails of the world: A monograph of the Family Rallidae*. David R. Godine
435 Publisher, Boston, MA.
- 436 Ronquist, F., 1997. Dispersal-vicariance analysis: A new approach to the quantification of
437 historical biogeography. *Syst. Biol.* 46, 195 - 203.
- 438 Ronquist, F., Klopfstein, S., Vilhelmsen, L., Schulmeister, S., Murray, D.L., Rasnitsyn, A.P.,
439 2012. A total-evidence approach to dating with fossils, applied to the early radiation of the

440 Hymenoptera. Syst. Biol. 61, 973-999.

441 Ronquist, F., Sanmartín, I., 2011. Phylogenetic methods in biogeography. Annual Review of
442 Ecology, Evolution, and Systematics 42, 441-464.

443 Sanmartín, I., 2012. Historical biogeography: Evolution in time and space. Evolution: Education
444 and Outreach 5, 555-568.

445 Slikas, B., Olson, S.L., Fleischer, R.C., 2002. Rapid, independent evolution of flightlessness in
446 four species of Pacific Island rails (Rallidae): An analysis based on mitochondrial sequence data.
447 J. Avian Biol. 33, 5-14.

448 Steadman, D.W., 2006. Extinction and biogeography of tropical Pacific birds. The University of
449 Chicago Press, Chicago.

450 Steadman, D.W., White, J.P., Allen, J., 1999. Prehistoric birds from New Ireland, Papua New
451 Guinea: Extinctions on a large Melanesian island. Proc. Natl. Acad. Sci. USA 96, 2563-2568.

452 Sukumaran, J., Knowles, L.L., 2018. Trait-dependent biogeography: (Re)Integrating biology into
453 probabilistic historical biogeographical models. Trends Ecol. Evol. 33, 390-398.

454 Trewick, S.A., 1997a. Flightlessness and phylogeny amongst endemic rails (Aves: Rallidae) of
455 the New Zealand region. Philos. Trans. R. Soc. Lond., Ser. B: Biol. Sci. 352, 429-446.

456 Trewick, S.A., 1997b. Sympatric flightless rails *Gallirallus dieffenbachii* and *G. modestus* on the
457 Chatham Islands, New Zealand; morphometrics and alternative evolutionary scenarios. J. R. Soc.
458 N. Z. 27, 451-464.

459 Van Dam, M.H., Matzke, N.J., 2016. Evaluating the influence of connectivity and distance on
460 biogeographical patterns in the south-western deserts of North America. J. Biogeogr. 43, 1514-
461 1532.

462 Wetmore, A., 1931. Two primitive rails from the Eocene of Colorado and Wyoming. The
463 Condor 33, 107-109.

464 Worthy, T.H., Boles, W.E., 2011. *Australlus*, a New Genus for *Gallinula disneyi* (Aves:
465 Rallidae) and a description of a new species from Oligo-Miocene deposits at Riversleigh,
466 Northwestern Queensland, Australia. Rec. Aust. Mus. 63, 61-77.

467 Wright, N.A., Steadman, D.W., Witt, C.C., 2016. Predictable evolution toward flightlessness in
468 volant island birds. Proc. Natl. Acad. Sci. USA 113, 4765-4770.

469 Zelenkov, N.V., Panteleyev, A.V., De Pietri, V.L., 2017. Late Miocene rails (Aves: Rallidae)
470 from southwestern Russia. Palaeobiodiversity and Palaeoenvironments, 1-15.

471

472

473 Figure captions

474 Figure 1. Time-calibrated phylogeny and ancestral range estimation based on total-evidence data
475 of the family Rallidae. Areas were coded as follows: A = Madagascan (red), B = Afrotropical
476 (orange), C = Palearctic (light green), D = Oriental (dark green), E = Australasian (light blue),
477 F= Neotropical (dark blue), G = Nearctic (purple), H = Oceanian (magenta). Coloured bars at the
478 right of the figure indicate the clades.

479

480 Figure 2. Evolution of flightlessness in rails. Ancestral state under the best fitting model
481 (DEC+ $j+t_{21}+m_1$) is shown at each node. Traits are code as follows: Flying (blue) and non-flying
482 (green).

483

484 Figure S1. The most probable state of inferred ancestral ranges using BioGeoBEARS and the
485 DEC+ $j+t_{21}+m_1$ model. Pie charts represent the probabilities of each possible ancestral range.
486 Areas were coded as follows: A = Madagascan (red), B = Afrotropical (orange), C = Palearctic
487 (light green), D = Oriental (dark green), E = Australasian (light blue), F= Neotropical (dark
488 blue), G = Nearctic (purple), H = Oceanian (magenta).

489

490 Figure S2. The most probable ancestral state of flying condition in rails under the best fitting
491 model (DEC+ $j+t_{21}+m_1$). Pie charts represent the probabilities of each possible ancestral
492 condition. Ancestral flying conditions are depicted at each node as flying (blue) and non-flying
493 (green).

494

495

497 Table 1. BioGeoBEARS analyses of statistical model comparison based on geographical ranges and flying/non-flying discrete traits.

Category	Model	LnL	np	d	e	j	x	t_{21}	m_1	m_2	AICc	AICc wt	LRT
Trait-independent, distance-independent dispersal	DEC+ t_{21}	-529.6687	3	0.0099	0.0029	0	0	0.0288	1	1	1065.493	0%	2.266E-30
	DEC+ $j+t_{21}$	-498.4805	4	0.0064	1E-12	0.0347	0	0.0288	1	1	1005.222	0%	2.773E-17
	DIVALIKE+ t_{21}	-525.2667	3	0.0116	1E-12	0	0	0.0288	1	1	1056.689	0%	1.85E-28
	DIVALIKE+ $j+t_{21}$	-505.4717	4	0.0078	1E-12	0.0270	0	0.0288	1	1	1019.205	0%	2.551E-20
	BAYAREALIKE+ t_{21}	-584.0062	3	0.0100	0.1033	0	0	0.0288	1	1	1174.168	0%	5.713E-54
	BAYAREALIKE+ $j+t_{21}$	-503.9572	4	0.0047	1E-12	0.0479	0	0.0288	1	1	1016.176	0%	1.16E-19
Trait-independent, distance-dependent dispersal (+x models)	DEC+ $x+t_{21}$	-492.7391	4	0.6955	0.0044	0	-0.6065	0.0288	1	1	993.740	0%	8.638E-15
	DEC+ $j+x+t_{21}$	-461.1950	5	0.3030	1E-12	1.1558	-0.5442	0.0288	1	1	932.785	12.7%	0.1488019
	DIVALIKE+ $x+t_{21}$	-486.3943	4	0.6395	1E-12	0	-0.5673	0.0288	1	1	981.050	0%	4.92E-12
	DIVALIKE+ $j+x+t_{21}$	-466.6418	5	0.3844	1E-12	0.8767	-0.5497	0.0288	1	1	943.678	0%	0.0006414
	BAYAREALIKE+ $x+t_{21}$	-557.6819	4	0.7969	0.1084	0	-0.6106	0.0288	1	1	1123.625	0%	5.397E-43
	BAYAREALIKE+ $j+x+t_{21}$	-471.1900	5	0.1570	1E-12	0.6655	-0.4916	0.0288	1	1	952.775	0%	6.79E-06
Trait-dependent, distance-independent dispersal	DEC+ $t_{21}+m_1$	-520.8507	4	0.0115	1E-12	0	0	0.0291	0	1	1049.963	0%	5.342E-27
	DEC+ $j+t_{21}+m_1$	-495.6507	5	0.0073	1E-13	0.0384	0	0.0292	0.380	1	1001.696	0%	1.617E-16
	DIVALIKE+ $t_{21}+m_1$	-515.0783	4	0.0139	1E-12	0	0	0.0293	0	1	1038.418	0%	1.716E-24
	DIVALIKE+ $j+t_{21}+m_1$	-500.9353	5	0.0093	1E-13	0.0277	0	0.0294	0.225	1	1012.265	0%	8.197E-19
	BAYAREALIKE+ $t_{21}+m_1$	-576.9979	4	0.0124	0.1070	0	0	0.0288	0	1	1162.257	0%	2.205E-51
	BAYAREALIKE+ $j+t_{21}+m_1$	-502.1328	5	0.0051	1E-13	0.0525	0	0.0291	0.487	1	1014.660	0%	2.475E-19
Trait-dependent, distance-dependent dispersal (+x models)	DEC+ $x+t_{21}+m_1$	-484.5341	5	0.6346	0.0018	0	-0.5671	0.0291	0	1	979.463	0%	1.088E-11
	DEC+ $j+x+t_{21}+m_1$	-458.2091	6	0.3353	1E-13	1.2509	-0.5424	0.0292	0.376	1	928.975	85.2%	1
	DIVALIKE+ $x+t_{21}+m_1$	-476.3978	5	0.7465	1E-12	0	-0.5641	0.0292	0	1	963.190	0%	3.717E-08
	DIVALIKE+ $j+x+t_{21}+m_1$	-461.9290	6	0.4340	1E-13	0.8785	-0.5417	0.0294	0.218	1	936.414	2.1%	0.0242361
	BAYAREALIKE+ $x+t_{21}+m_1$	-550.4837	5	1.2209	0.1133	0	-0.6455	0.0288	0	1	1111.362	0%	2.483E-40
	BAYAREALIKE+ $j+x+t_{21}+m_1$	-468.9452	6	0.1721	1E-13	0.6942	-0.4918	0.0291	0.440	1	950.447	0%	2.175E-05

499 Supplementary Information. Nexus files with morphological and molecular data that were used
500 for the total-evidence phylogenetic analysis.

501

502

503

