



THE UNIVERSITY OF
AUCKLAND
Te Whare Wananga o Tamaki Makaurau
NEW ZEALAND

Artificial Intelligence to Improve Healthcare Outcomes of Underrepresented and Indigenous Populations*

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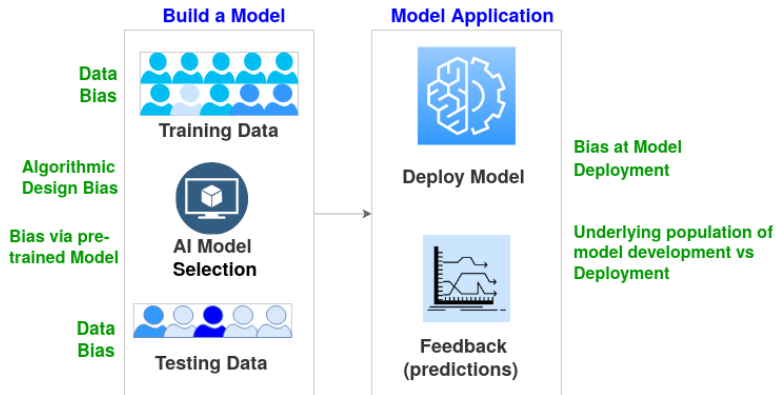
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Overview

- ▶ Developments in AI are adopted widely in healthcare.
- ▶ Examples include:
 - ▶ Risk prediction and intervention
 - ▶ Population health management
 - ▶ Medical advice and triage
 - ▶ Diagnostics
 - ▶ Clinical decision making
- ▶ However, the use of AI comes with its own biases and disparities.

Basic Stages of AI/ML Life Cycle



Initial Study for Aotearoa New Zealand

Vithya Yogarajan¹, Gillian Dobbie¹, Sharon Leitch², Te Taka Keegan³, Joshua Bensemann¹, Michael Witbrock¹, Varsha Asrani⁴ and David Reith⁵ (2022). Data and Model Bias in Artificial Intelligence for Healthcare Applications. *Frontiers in Computer Science*. (in progress)

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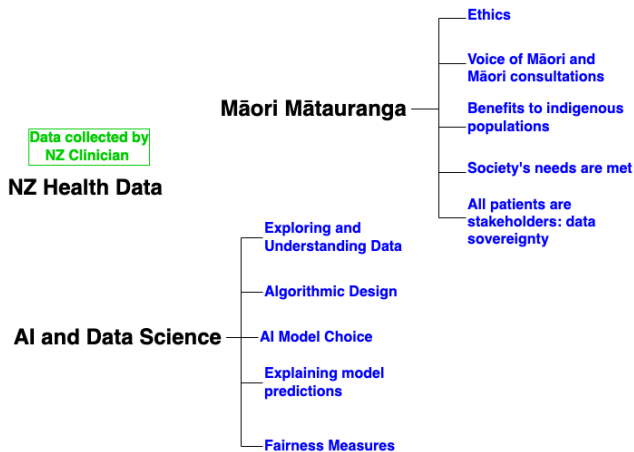
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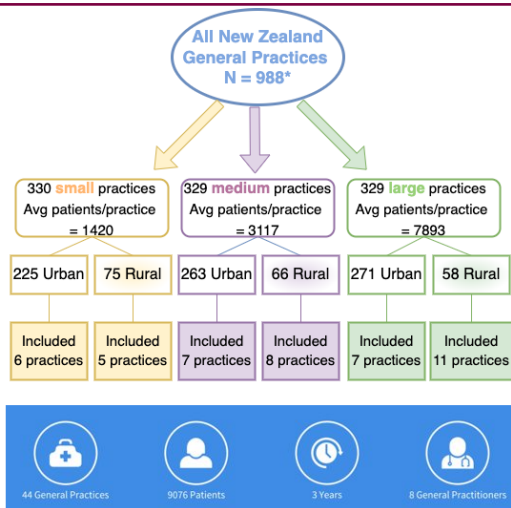
Research Components



NZ-GP Data

- ▶ New Zealand General Practice (GP) EHRs data.
- ▶ Three years' worth of medical records from 44 different GP practices across NZ.
- ▶ Collected using a stratified random sampling method to minimise data collection bias.

GP Records: Data Collection



* Primary Health Organization data from the third quarter, 2013.

Bias Measures

Data bias is measured using:

$$DI(Y, S) = \frac{Pr(Y = 1|S = 0)}{Pr(Y = 1|S = 1)} \quad (1)$$

Algorithmic bias is measured using:

$$DI(g, X, S) = \frac{Pr(g(X) = 1|S = 0)}{Pr(g(X) = 1|S = 1)} \quad (2)$$

* Disparate impact (DI)* score introduced in the US legislation (1971)

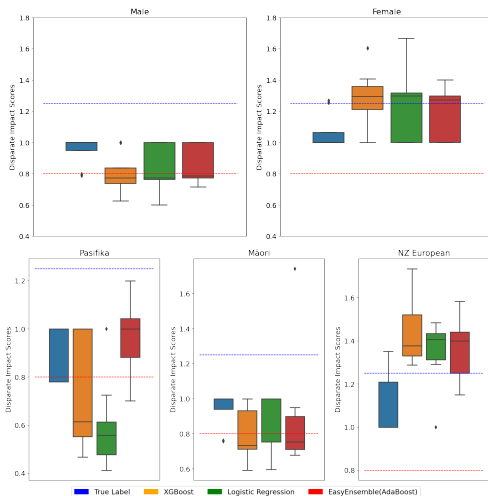
Data Bias

		Task 1		Task 2
Ethnicity				
Māori	14.6%	0.81	12.1%	1.35
NZ European	75.9%	1.36	81.1%	0.78
Pacific	3.50%	0.74	2.60%	1.28
Gender				
Male	54.5%	1.00	42.0%	1.00
Female	45.5%	1.00	58.0%	1.00

- ▶ DI scores for two tasks using NZ-GP data (varied subsets)
- ▶ DI closer to 1 is better.
- ▶ $DI < 0.8$ – prediction bias against the specific group.
- ▶ $DI > 1.25$ – prediction bias in favour of the specific group.

Protected groups		NZ-GP Data	
Ethnicity	Gender	Task 1	Task 2
Māori	Male	0.72	1.49
	Female	0.89	1.21
NZ European	Male	0.89	0.91
	Female	1.37	0.93
Pacific	Male	0.78	1.80
	Female	0.70	0.69

Algorithmic Bias



Discussions

- ▶ An ideal AI/ML cycle should include an in-between stage, where data and model bias needs to be identified and mitigated.
- ▶ The modified AI/ML cycle can be:
 - Step 1: building a model
 - Step 2: identifying and mitigating data and model bias
 - Step 3: model application
- ▶ Steps 1 and 2 will require multiple iterations.
- ▶ Step 2 will require “Humans”, i.e. expertise in AI, NZ clinical context, and te ao Māori.

Future Work

- ▶ Development of Policy
- ▶ Are changes necessary to adapt these generic measures and thresholds to the New Zealand context?
- ▶ Do these models adequately represent Māori data specifically suited for the task?
- ▶ If used to guide clinical decision-making or resource allocations, would they entrench systemic or societal biases under the guise of impartiality?

Thanks for your attention!

Are there questions?