

## LOGIC BLOG 2010

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## 1. JAN 2010: A DOWNWARD $GL_1$ SET THAT IS NOT WEAKLY JUMP TRACEABLE

1.1. **Original result.** Slaman, Greenberg, Kjos-Hanssen, Nies and others, worked at the University of Hawaii at Manoa.

**Definition 1.1.** *A is weakly jump traceable (w.j.t.) if there is a function  $f \leq_T \emptyset'$  that dominates all functions  $\psi$  partial recursive in A, in the sense that  $f(x) \geq \psi(x)$  for almost all  $x$  such that  $\psi(x)$  is defined.*

It would be sufficient to dominate  $J^A$ , and is also equivalent to having a finite c.e. trace for  $J^A$ . This property is closed downward under  $\leq_T$ , and implies  $GL_1$ . It is equivalent to the property that  $\emptyset'$  is not d.n.c. by A, namely, there is  $g \leq_T \emptyset'$  such that  $g(x) \neq J^A(x)$  for each  $x$  [Miller and Ng REF? []]. While the original proof of this equivalence used randomness, a direct proof was given by Mingzhong Cai (Mar 2010).

To make A downward  $GL_1$ , one makes A  $GL_1$  and also ensures that

$$\forall B \leq_T A [B \text{ noncomputable} \Rightarrow A \leq_T B \oplus \emptyset'.]$$

1.2. **New results.** This has been improved in May 2010. See Section 4.

1.3. **Comments.**

## 2. MARCH 2010: STRUCTURES THAT ARE COMPUTABLE ALMOST SURELY

This is a follow-up on a paper by Greenberg, Montalbán and Slaman []. It was done by Kalimullin and Nies in Auckland when Kalimullin visited. Though the proof was found independently, it uses methods from there for a slightly stronger result.

For a countable structure  $\mathcal{A}$  and a set  $Y$ , we write  $\mathcal{A} \leq_T Y$  to denote that some presentation of  $\mathcal{A}$  (viewed as an atomic diagram) is computable in  $Y$ . We denote by  $\lambda$  the product measure on Cantor space  $2^{\mathbb{N}}$ .

**Definition 2.1.** *A countable structures is called computable almost surely (or almost computable) if*

$$\lambda\{Y : \mathcal{A} \leq_T Y\} = 1.$$

2.1. **A structure that is computable almost surely, is computable in every  $\Pi_1^1$  random.**

**Theorem 2.2.** *Let  $\mathcal{A}$  be computable almost surely. Then  $\mathcal{A}$  is computable in every  $\Pi_1^1$  random set.*

Note that by Gandy basis theorem there is a  $\Pi_1^1$  random  $Y \leq_T \mathcal{O}$  such that also  $\mathcal{O}^Y \leq_h \mathcal{O}$ . In this way we reobtain the result of Greenberg et al.

*Proof.* Via some pre-agreed encoding, we view a subset of  $\mathbb{N}$  as a diagram of a structure in the language of  $\mathcal{A}$ . For an index  $i \in \mathbb{N}$  for a Turing functional, and a rational  $p < 1$ , define a  $\Sigma_1^1$  class uniformly in  $i, p$  by

$$\mathcal{S}_{i,p} = \{Y : p < \lambda\{Z : \Phi_i^Y \cong \Phi_i^Z\}\}.$$

Clearly if  $\mathcal{S}_{i,1/2} \neq \emptyset$  then  $\Phi_i^Y$  is a unique structure up to isomorphism for each member  $Y$ .

Note that the relation  $\{\langle i, p, Y \rangle : Y \in \mathcal{S}_{i,p}\}$  is  $\Sigma_1^1$ ; see the uniform Measure Lemma [28, 9.1.1], which paraphrases [32, 1.11.IV]. Now define a  $\Sigma_1^1$  equivalence relation on numbers by

$$e \sim i \leftrightarrow \exists Y \in \mathcal{S}_{e,1/2} \exists Z \in \mathcal{S}_{i,1/2} [\Phi_e^Y \cong \Phi_i^Z].$$

By hypothesis there is an index  $k$  such that  $\lambda\{Y : \Phi_k^Y \text{ presents } \mathcal{A}\}$  is positive, so by the Lebesgue density theorem in the simple version [28, 1.9.4] we may assume that this measure is greater than  $1/2$ . Now let

$$\mathcal{C} = \bigcup \{\mathcal{S}_{i,p} : 1/2 \leq p < 1, i \in \mathbb{N}, i \sim k\}.$$

Each  $Y \in \mathcal{C}$  computes a representation of  $\mathcal{A}$ . The class  $\mathcal{C}$  is  $\Sigma_1^1$ , and  $\mathcal{C}$  is conull, again by the Lebesgue density theorem. Thus it contains each  $\Pi_1^1$ -random set.  $\square$

This proof seems to work under the weaker hypothesis that  $\lambda\{Y : \mathcal{A} \leq_h Y\} = 1$ .

**2.2. Related questions.** Montalban and Nies asked some questions at the end of their survey paper [22]. A *Borel structure* is one that can be presented on a standard Polish space in such a way that the atomic diagram (including equality) is Borel.

Recall that a relation is Borel iff it is  $\Delta_1^1(Y)$  for some  $Y \subseteq \omega$ . The *spectrum* of a Borel structure  $\mathcal{A}$  is the class of sets  $Y \subseteq \omega$  such that some presentation of  $\mathcal{A}$  is  $\Delta_1^1(Y)$ . What can we say about possible spectra? Do the non-hyperarithmetical sets form a spectrum?

### 3. MARCH 2010: SOLOVAY FUNCTIONS AND $K$ -TRIVIALITY

This work was started by Bienvenu, Merkle and Nies. Bienvenu and Nies met at Paris 7. Then they all met at Uni Heidelberg. The final paper [] is quite different from what follows. It extends the results to weak Solovay functions (i.e., computably approximable from above), and argues mostly in terms of prefix-free complexity  $K$ . Further, it contains a result relating Solovay functions to the  $C$ -characterization of ML-randomness due to Miller and Yu.

**3.1. Introduction.** Recall that a set  $A \subseteq \mathbb{N}$  is  $K$ -trivial [3] if there is  $b$  such that

$$K(A \upharpoonright_n) \leq K(n) + b$$

for each  $n$ . This class was studied in [6, 27].

For any function  $g$  let

$$\mathcal{C}_g = \{A : \forall n K(A \upharpoonright_n) \leq^+ g(n)\}.$$

Thus  $\mathcal{C}_K$  is the class of  $K$ -trivials, and  $\forall n K(n) \leq^+ g(n)$  implies  $\mathcal{C}_K \subseteq \mathcal{C}_g$ .

**Definition 3.1** ([1]). *We say that a computable function  $g: \mathbb{N} \rightarrow \mathbb{N}$  is a Solovay function if  $\forall n K(n) \leq^+ g(n)$  and  $\exists^\infty n K(n) =^+ g(n)$ .*

If  $g$  is computable, then the condition  $\forall n K(n) \leq^+ g(n)$  is equivalent to  $\sum_n 2^{-g(n)} < \infty$ . Bienvenu and Downey [1] showed that  $g$  is a Solovay function if and only if  $\sum_n 2^{-g(n)}$  is ML-random.

Our main result is that for every computable function  $g$ ,

( $\star$ )  $g$  is a Solovay function  $\iff \mathcal{C}_g$  is the class of  $K$ -trivials.

The result follows from two somewhat stronger theorems, corresponding to the implications from left to right, and its converse.

**3.2. Every Solovay function characterizes the  $K$ -trivials.** We show that for every Solovay function  $g$ , the class  $\mathcal{C}_g$  coincides with the  $K$ -trivials.

**Theorem 3.2.** *Suppose  $g$  is a Solovay function. If  $\forall n K(A \upharpoonright_n) \leq^+ g(n)$  then  $A$  is  $K$ -trivial.*

*Proof idea.* After adding a natural number to  $g$  if necessary, we may assume that  $\forall n K(A \upharpoonright_n) \leq g(n)$ . By [1], the left-c.e. real  $\alpha = \sum_n 2^{-g(n)}$  is ML-random. Hence, by [?]  $\alpha$  is complete for Solovay reducibility  $\leq_S$ . Let

$$\alpha_s = \sum_{n=0}^s 2^{-g(n)}.$$

Roughly speaking, the Solovay completeness of  $\alpha$  means the following: if we choose a non-negative rational  $q$  at a stage  $s$ , we can determine a stage  $t > s$  such that  $\alpha_t - \alpha_s \geq Cq$  for some constant  $C > 0$  known in advance. However, we have to make sure that the sum of all rationals we choose does not exceed 1.

To show  $A$  is  $K$ -trivial we build a bounded request set (aka KC set)  $W$ . When we see a description  $\mathbb{U}(\sigma) = v$  at stage  $s$ , we want to ensure  $W$  contains a request  $\langle |\sigma| + O(1), A \upharpoonright_v \rangle$ . To ensure the weight of  $W$  is at most 1, we will account the weight of such a request against the weight of  $\mathbb{U}$ -descriptions of  $A \upharpoonright_n$  for a whole interval  $I_\sigma$  of numbers  $n$ . For  $q = 2^{-|\sigma| - O(1)}$  we find a stage  $t > s$  as above and let  $I_\sigma = (s, t]$ . Once we see  $\mathbb{U}$ -descriptions of  $A \upharpoonright_n$  of length at most  $g(n)$  for each  $n \in I_\sigma$ , we can put the request into  $W$ , because the total weight of these descriptions is at least  $q$ . We arrange that the intervals associated with different  $\sigma$  are disjoint. This implies that  $W$  is a bounded request set.

*Details.* We first describe the mechanism to increase the approximation to  $\alpha$ . We view the  $d$ -th partial computable function  $\phi_d$  as a partial map from  $\mathbb{N}$  to  $\mathbb{Q}_2 \cap [0, 1)$ . Let  $\beta_{d,s} = \max \text{range}(\phi_{d,s})$ , and let  $\beta_d = \lim_s \beta_{d,s}$ . Then  $(\beta_d)_{d \in \mathbb{N}}$  is an effective listing of the left-c.e. reals in  $[0, 1]$ . Let  $\gamma = \sum_d 2^{-d} \beta_d$ . Since  $\gamma \leq_S \alpha$ , by the definition there is a partial computable  $\psi$  defined on  $[0, \alpha) \cap \mathbb{Q}_2$  and a constant  $k$  such that for each binary rational  $q \in [0, \alpha)$  we have  $\psi(q) \downarrow$  and

$$0 < \gamma - \psi(q) < 2^{k-1}(\alpha - q).$$

We build a left-c.e. real  $\eta \in [0, 1)$ . The construction has a parameter  $d \in \mathbb{N}$ . We think of  $\beta_d$  as being  $\eta$ . By the recursion theorem, in the verification we can choose such a  $d$ . (However, we must ensure that  $\eta \in [0, 1)$  for any parameter  $d$ .)

Let  $\gamma_s = \sum_d 2^{-d} \beta_{d,s}$ .

*Construction of a left-c.e. real  $\eta$  and intervals  $I_\sigma$  for all strings  $\sigma \in \text{dom}(\mathbb{U})$ .* The construction has a parameter  $d$ . It takes place in stages  $u$ . If ever we see that  $\eta_u < \beta_{d,u}$  we immediately stop the construction. Thus  $\eta = \eta_u$  and  $d$  cannot be a fixed point.

Let  $s$  be the current stage.

- (1) WAIT for  $r \geq s$  such that  $\gamma_r \geq \psi(\alpha_s)$ .
- (2) Let  $\sigma$  be least such that  $\mathbb{U}_s(\sigma) \downarrow$  but  $I_\sigma$  is not defined yet. Define  $\eta_{r+1} = \eta_r + 2^{-|\sigma|}$ . WAIT for  $t \geq r$  such that  $\alpha_t - \alpha_s \geq 2^{-d-k-|\sigma|}$  (the constant  $k$  associated with  $\gamma \leq_S \alpha$  was defined above).
- (3) Declare  $I_\sigma = (s, t]$ . GOTO (1).

*Verification.* Clearly  $\eta \leq \Omega < 1$ . Thus by the recursion theorem we can choose  $d$  such that  $\eta = \beta_d$ . By definition the wait in (1) always terminates.

**Claim 1.** *The wait in (2) always terminates.*

Since  $\gamma_r \geq \psi(\alpha_s)$ , we have

$$2^{k-1}(\alpha - \alpha_s) > \gamma - \psi(\alpha_s) \geq \gamma - \gamma_r \geq 2^{-d}(\beta_d - \beta_{d,r}).$$

Since  $\eta_r \geq \beta_{d,r}$  and we add  $2^{-|\sigma|}$  to  $\eta$  at stage  $r$ , there is a stage  $t' > r$  such that  $\beta_{d,t'} - \beta_{d,r} \geq 2^{-|\sigma|-1}$ . Thus  $\alpha_t - \alpha_s \geq 2^{-d-k-|\sigma|}$  for some  $t > r$ .

**Claim 2.** *If  $\sigma \in \text{dom}(\mathbb{U})$  then  $I_\sigma$  is defined.*

Suppose this holds inductively for all  $\tau < \sigma$ . So we can pick stage  $s_0$  such that no such string is processed at a stage  $> s_0$ . Suppose  $s \geq s_0$ ,  $\mathbb{U}_s(\sigma)$  is defined and by Claim 1 the construction goes back to (1) at stage  $s$ . Then we can choose  $\sigma$  if  $I_\sigma$  is still undefined.

**Claim 3.**  *$A$  is  $K$ -trivial.*

We define a bounded request set  $W$ . For each string  $\sigma$ , if  $n = \mathbb{U}(\sigma)$  is defined, wait for  $I_\sigma = (s, t]$  to become defined, and do the following: for each string  $x$  of length  $t$ , at a stage  $p$  such that  $\forall i \in I_\sigma K_p(x \upharpoonright_i) \leq g_t(i)$ , put the request  $\langle |\sigma| + d + k, x \upharpoonright_n \rangle$  into  $W$ .

To see that  $W$  is a bounded request set, firstly note that the total weight of  $\mathbb{U}$  descriptions of  $x \upharpoonright_i$ ,  $i \in I_\sigma$ , is at least  $\sum_{i \in I_\sigma} 2^{-g(i)} \geq 2^{-d-k-|\sigma|}$ . Secondly, if  $x'$  is a further string of length  $t$  such that we put a request associated with  $\mathbb{U}(\sigma) = n$ , then  $x' \upharpoonright_n \neq x \upharpoonright_n$ . Note that  $\mathbb{U}_s(\sigma) = n$  implies  $s > n$ . So the descriptions of  $x' \upharpoonright_i$ ,  $i \in I_\sigma$  are different from the ones for  $x \upharpoonright_i$ . Finally, if  $\sigma \neq \tau$  then  $I_\sigma$  is disjoint from  $I_\tau$ , so again the descriptions are different.

If  $\mathbb{U}(\sigma) = n$  then  $I_\sigma = (s, t]$  is defined by Claim 2. For  $x = A \upharpoonright_t$  by the hypothesis on  $A$  we put a request  $\langle |\sigma| + d + k, A \upharpoonright_n \rangle$  into  $W$ . If  $\sigma$  is shortest such that  $\mathbb{U}(\sigma) = n$ , this shows  $K(A \upharpoonright_n) \leq^+ |\sigma| = K(n)$ .  $\square$

### 3.3. The $K$ -trivials characterize the Solovay functions.

**Theorem 3.3.** *Let  $g$  be computable function such that  $\forall n K(n) \leq^+ g(n)$ . Suppose that  $g$  is not a Solovay function. Then  $C_g$  is uncountable.*

*Proof.* By the hypothesis  $\alpha = \sum_i 2^{-g(i)}$  is not ML-random. We will find a computable time bound  $t$  such that  $g(n) - K_{t(n)}(n) \rightarrow \infty$ . Thereafter we use a result of Figueira, Nies and Stephan (see [28, Thm 5.2.25]). They show that for every function  $p$  that tends to infinity and is computably approximable from above, there are uncountably many sets  $G$  such that  $\forall n K(G \upharpoonright_n) \leq^+ K(n) + p(n)$  (in fact they show this for the function  $p(K(n))$ ). Now let  $p(n) = g(n) - K_{t(n)}(n)$ . Every set such that  $\forall n K(G \upharpoonright_n) \leq^+ K(n) + p(n)$  is in  $\mathcal{C}_g$ .

The time bound  $t$  is obtained through a run time analysis of the proof in [1] that  $\alpha = \sum_i 2^{-g(i)}$  not ML-random implies  $g(n) - K(n) \rightarrow \infty$ . First we paraphrase the original argument. Effectively in a string  $\tau$  we build a bounded request set  $L_\tau$ . We wait for  $\mathbb{U}(\tau) \downarrow = x$ , and let  $r = |x|$ . For each  $n$  such that  $0.x \leq \sum_{i \leq n} 2^{-g(i)} < 0.x + 2^{-r}$ , we put the request  $\langle g(n) - r, n \rangle$  into  $L_\tau$ . Clearly  $L_\tau$  is a bounded request set effectively in  $\tau$ . So we can effectively in  $\tau$  obtain a prefix-free machine  $M_\tau$  for  $L_\tau$  according to the machine existence theorem.

Let  $M$  be the prefix-free machine given by  $M(\tau\sigma) \simeq M_\tau(\sigma)$ . Given  $b$  choose  $r$  such that  $K(\alpha \upharpoonright_r) < r - b$ . Thus we can pick  $\tau$  of length  $< r - b$  such that  $\mathbb{U}(\tau) = \alpha \upharpoonright_r = x$ . Then for all  $n$  such that  $0.x \leq \sum_{i \leq n} 2^{-g(i)}$ , there is  $\sigma$  such that  $M(\tau\sigma) = n$  and  $|\sigma| = g(n) - r$ . Hence  $K(n) \leq^+ r - b + g(n) - r = g(n) - b$ .

For large  $n$  the time to compute  $\mathbb{U}(\tau)$  is negligible, so the time to verify  $M(\tau\sigma) = n$  is dominated by the time to compute  $\sum_{i \leq n} 2^{-g(i)}$  and the delay introduced by the machine existence theorem, and for  $\mathbb{U}$  to simulate  $M$ . Thus we have  $K_{t(n)}(n) \leq^+ g(n) - b$  for some computable  $t$ .  $\square$

#### 4. MAY 2010 : COOPER'S JUMP INVERSION AND WEAK JUMP TRACEABILITY

Lempp, Miller, Ng and Yu worked at the University of Wisconsin-Madison.

We give a (hopefully) more comprehensible proof of Cooper's jump inversion theorem, and use the same ideas to construct a minimal  $\text{GL}_1$  degree which is not weakly jump traceable. The question of whether  $\text{BGL}_1$  was equal to weak jump traceability was first raised in Ng [23]. We can extend Cooper's jump inversion to get the analogous jump inversion result in the tt-degrees, thus obtaining a superhigh set of minimal Turing degree.

Let us turn to Cooper's jump inversion. Fix  $C \geq_T \emptyset'$ , and we need to construct a set  $A$  such that  $A' \leq_T C \leq_T A \oplus \emptyset'$ . We force with partial (modified) splitting trees, and ensure that both  $C$  and  $A \oplus \emptyset'$  can recover the construction. Since we do not have  $\emptyset''$  for recovering the construction, the splitting trees clearly have to be partial, as in Sack's version. As we're forcing longer initial segments of  $A$  we may change our mind on how the  $e^{\text{th}}$  minimality requirement  $\mathcal{R}_e$  is to be met. If we change our mind on some  $\mathcal{R}_e$  then it is because we have reached a dead end in an  $i$ -splitting tree for some  $i \leq e$ , which is finite injury. Unfortunately this is not immediately compatible with forcing the jump, since we have to *decide* the jump  $A'(e)$  with oracle  $\emptyset'$  (together with  $A$  or  $C$ ). If we are not able to force the jump on a current splitting tree, say  $T_e$  for the  $e$ -splitting tree, this is ok as long as we make  $A$  stay within  $T_e$ . Unfortunately  $T_e$  may not be a total tree,

and we may have  $A$  leave  $T_e$  through a dead branch. In this case we may be able to have  $e \in A'$ , which is bad for deciding the jump.

The solution is to modify the partial computable splitting trees so that this does not happen; if we are unable to force the jump within  $T_e$ , then we ensure that we will *never* be able to force the jump even if we should leave  $T_e$  through a dead end. To construct  $T_0$ , we define  $T_0(\emptyset) = \emptyset$ . Assume inductively that  $T_0(\sigma)$  has been defined. We search for either

- (i) the first pair of 0-splits  $(\tau_0, \tau_1)$  extending  $T_0(\sigma)$ , or
- (ii) for the first  $j$  found such that  $J^\tau(j) \downarrow$  for  $\tau \supset T_0(\sigma)$ .

If neither is ever found, then  $T_0(\sigma)$  is a dead end, i.e.  $T_0(\sigma 0) \uparrow, T_0(\sigma 1) \uparrow$ . Otherwise if (i) is found first then we extend  $T_0(\sigma i) = \tau_i$ . If (ii) is found first with  $j_0$  we extend  $T_0(\sigma) = \tau$ . In this case we repeat by searching for (i) or (ii) above the new  $T_0(\sigma)$ , except that we now limit the search in (ii) to all  $j < j_0$ .

That is,  $T_0$  will search for both 0-splits, and jumps. If it finds a place it can force the jump before it finds a split, it grabs it immediately. Subsequently it will search for splits or jump computations on smaller inputs, until it finds the next split, where the counter  $j_0$  is reset.  $T_{e+1}$  is defined similarly, except it restricts its search to within the convergent part of  $T_e$ . To construct  $A$ , we force the jump at even stages, and code  $C$  at odd stages. At stage 0 we start at  $A_0 = \emptyset$ , and ask if we can force  $J(0)$  within  $T_0$ . Since  $T_0$  is partial computable, the existence is a  $\Sigma_1^0$  question. If the answer is yes, we extend  $A$  to it while staying within  $T_0$ . If the answer is no, we do nothing to  $A$ . Note that in this case we cannot have  $J^A(0) \downarrow$  because otherwise the use has to extend a dead end of  $T_0$ , say  $A \supset T_0(\sigma)$ , but by the definition of  $T_0$  we would have extended  $T_0(\sigma)$  to this segment of  $A$ . At stage 1 we code  $C(0)$  in the usual way. At stage 2 if we are still within  $T_0$ , then we ask if  $J(1)$  can be forced in  $T_1$ . If yes, we grab it, otherwise we claim that  $J^A(1) \uparrow$ : If  $J^\tau(1) \downarrow$  for some  $\tau \supset A$ , then  $\tau$  cannot be on  $T_1$ . It cannot be on  $T_0$  and extend a dead end of  $T_1$ , because otherwise we would have extended  $T_1$  to include  $\tau$ , since inductively we assume that we have forced  $J^A(0)$ . Finally it cannot extend a dead end of  $T_0$  because otherwise we would have extended  $T_0$  to include  $\tau$  because we assume that we have forced  $J^A(0)$ . The rest of the construction proceeds similarly.

It is clear that both  $C$  and  $A \oplus \emptyset'$  can recover the construction, giving us our desired result. To make  $A$  not wjt we force the jump at even stages, and at odd stages we satisfy the  $e^{th}$  non-wjt requirement. Given any partial computable tree  $T$  and any  $\sigma$  such that  $T(\sigma) \downarrow$ , there is (effectively) an index  $i$  such that  $J^{T(\sigma 0^k 1)}(i) \downarrow = k$ . Now at odd stages, assume we have  $\tau \subset A$ , and we are committed to staying on  $T_0, \dots, T_k$ . Assume for simplicity that we only have to stay on  $T_0$ , and  $\tau = T_0(\sigma)$ . We ask  $\emptyset''$  if some  $f$  is  $\Delta_2^0$ . If the answer is no, we extend  $A$  trivially to  $T(\sigma 1)$ . Otherwise we can find a large enough  $k$  such that  $J^{T_0(\sigma 0^k 1)}(i) > f(i)$  and make  $A$  extend  $T_0(\sigma 0^k 1)$ . If on the other hand  $T_0(\sigma 0^k 1) \uparrow$  we know that  $T_0(\sigma 0^j)$  is a dead end for some least  $j \leq k$ , and we can then make  $A$  extend the dead end  $T_0(\sigma 0^j)$ , and try to meet the same non-wjt requirement with a different  $i$ . Since we no longer have to care about  $T_0$ , this second try for meeting non-wjt will succeed. Finally we see that  $A \oplus \emptyset'$  can recover the construction. It will be

able to figure out what we did during the odd stages: the number  $k$  such that  $A$  extend  $T_0(\sigma 0^k 1)$ , or the number  $j$  where  $A$  left  $T_0$  through a dead end  $T_0(\sigma 0^j)$ .

Finally it is easy(?) to see that we can adapt the above proof (similar to Mohrherr) and make the jump inversion hold for tt-degrees.

5. MAY 2010: THE COLLECTION OF WEAKLY-2-RANDOM REALS IS NOT  $\Sigma_3^0$

Joint work of Lempp, Miller, Ng, Turetsky and Yu.

**Lemma 5.1.** *For ever recursive tree  $T$ , there is a generalized Martin-Lof test  $\{V_n\}_{n \in \omega}$  so that for any  $\sigma$ , if  $[\sigma] \cap [T]$  is not empty, then  $[\sigma] \cap [T] \cap \bigcap_n V_n$  is not empty.*

*Proof.* To be added. □

Now suppose that the collection of weakly 2-random reals  $A$  is a  $\Sigma_3^0$ -set. So there is a sequence open sets  $\{G_{i,j}\}_{i,j \in \omega}$  so that  $A = \bigcup_i \bigcap_j \bigcup_k G_{i,j}$ . Let  $T$  be a recursive tree such that  $[T] = \{x \mid \forall n(x \upharpoonright n \in T)\}$  is not empty and only contains 1-random reals.

**Lemma 5.2.** *There is a finite  $\sigma \in T$  such that  $[\sigma] \cap [T] \neq \emptyset$  but  $[\sigma] \cap [T] \cap (\bigcap_j G_{0,j}) = \emptyset$ .*

*Proof.* Suppose not. Then let  $\{V_n\}_{n \in \omega}$  be a generalized Martin-Lof test as in Lemma 5.1. Define  $\sigma_0 = \emptyset$ . For any  $i \geq 0$ , By Lemma 5.1, let  $\sigma_{i+1} \succ \sigma_i$  such that  $\sigma_{i+1} \cap [T] \neq \emptyset$  and  $[\sigma_{i+1}] \cap [T] \subseteq V_i$ . Then  $[\sigma_{i+1}] \cap [T] \cap (\bigcap_j G_{0,j}) \neq \emptyset$ . So, without loss of generality, we may assume that  $[\sigma_{i+1}] \cap [T] \subseteq G_{0,i}$  since  $G_{0,i}$  is an open set. Let  $x = \bigcup_{i \in \omega} \sigma_i$ . Then  $x \in \bigcap_{n \in \omega} V_n$  and so  $x$  is not weakly 2-random. But  $x \in \bigcap_{j \in \omega} G_{0,j}$ , a contradiction. □

Let  $\{\bigcap_{n \in \omega} U_n^j\}_{j \in \omega}$  be an enumeration of all generalized Martin-Lof tests. By Lemma 5.2, let  $\sigma \in T$  such that  $[\sigma] \cap [T] \neq \emptyset$  but  $[\sigma] \cap [T] \cap (\bigcap_j G_{0,j}) = \emptyset$ . Since  $[T]$  only contains 1-random reals,  $\mu([T] \cap [\sigma]) > 0$ . Let  $n$  be large enough such that  $\mu(U_n^0) < \frac{1}{2}\mu([T] \cap [\sigma])$ . Then there is a recursive tree  $T_0 \subseteq [T] \cap [\sigma]$  so that  $\mu([T_0]) > 0$  but  $[T_0] \cap U_n^0 = \emptyset$ . Let  $\sigma_0 = \sigma$ . By induction, we have  $\sigma_{i+1} \succ \sigma_i$ ,  $[\sigma_{i+1}] \cap [T_i] \cap (\bigcap_j G_{i,j}) = \emptyset$ ,  $[T_{i+1}] \subseteq [\sigma_{i+1}] \cap [T_i]$  and  $\mu([T_{i+1}]) > 0$  such that there is some large  $n$  for which  $[T_{i+1}] \cap U_n^{i+1} = \emptyset$ .

Let  $x = \bigcap_{i \in \omega} \sigma_i$ . By the construction,  $x$  is weakly 2-random but  $x \notin \bigcap_j G_{i,j}$  for any  $i$ , a contradiction.

6. MAY 2010: THERE IS A PERFECT SET IN WHICH ANY TWO REALS ARE  $LR$  COMPARABLE

Added by Liang Yu.

It was shown, in [19], if  $x$  and  $y$  are random and  $x \leq_K y$ , then  $y \leq_{LR} x$ . So it is sufficient to prove the result for  $K$ -degrees among random reals.

The statement can be formalized as

$$\exists T \forall x \forall y (T \text{ is a perfect tree} \\ \wedge (x \in [T] \implies x \text{ is random}) \wedge (x \in [T] \wedge y \in [T] \implies (x \leq_K y \vee y \leq_K x))),$$



where  $[T] = \{x \mid \forall n(x \upharpoonright_n \in T)\}$ .

So the statement is  $\Sigma_2^1$ .

Starting with any transitive model  $M \models ZFC$  (say  $L$ ), for any cardinal  $\kappa$  in  $M$ , we may build a c.c.c. forcing  $P$  so that  $M[G]$  models Martin's axiom and  $2^{\aleph_0} > \kappa^+$  for any generic set  $G$ . By Miller and Yu ([20, Thm 7.4]), there is a chain of  $K$ -degrees among random reals of size  $\kappa^+$ . Then the set  $A = \{(x, y) \mid x, y \text{ are 1-random and } x \leq_K y \vee y \leq_K x\}$  is a Borel set which contains a  $\kappa^+$ -square (i.e. a set  $B \subseteq 2^\omega$  of size  $\kappa^+$  such that  $B \times B \subseteq A$ ). If  $\kappa > (\beth_{\omega_1})^M$ , then by Shelah's result  $(*)'_1$  on page 2 of [33],

$$\kappa^+ > \lambda_{\omega_1}(\aleph_0),$$

where  $\lambda_{\omega_1}(\aleph_0)$  is the Hanf number for models of sentences in the infinitary language  $L_{\omega_1, \omega}$  (i.e. the least cardinal  $\kappa$  such that for any sentence  $\phi \in L_{\omega_1, \omega}$  with a model cardinality  $\kappa$ ,  $\phi$  has models in any infinite cardinalities). Then by Thm 1.15 in the same Shelah paper, there must be a perfect set  $C$  such that  $C \times C \subseteq A$ . So there is a perfect chain in the  $K$ -degrees.

By  $\Sigma_2^1$ -absoluteness, the result follows.

## 7. JUNE 2010: CLASSES RELATED TO THE $K$ -TRIVIALS AND THE STRONGLY JUMP TRACEABLES

By Andre.

**7.1. Cupping  $K$ -trivials by random sets.** We say that  $A$  is *ML-non-cuppable* if  $\emptyset' \leq_T A \oplus Z$  implies  $\emptyset' \leq_T Z$  for each ML-random  $Z$ . It is a persistent open question [18] whether each  $K$ -trivial  $A$  is ML-noncuppable. Note that any possible ML-random cupping partner of a  $K$ -trivial set  $A$  must be LR-complete (work of Hirschfeldt and Nies). We can use this to rule out cupping partners with certain randomness properties stronger than ML-randomness.

The following proposition, due to [7], shows that such a cupping partner cannot be weakly Demuth random at level  $O(h(m)2^m)$  for any order function  $h$ .

**Proposition 7.1.** *Suppose  $Z$  is an  $O(h(m)2^m)$ -weak Demuth random set for some order function  $h$ . Then  $Z$  is not  $\omega$ -c.e. tracing, and hence not LR-complete.*

The next proposition shows that they cannot be Demuth random at level  $O(2^m)$  (note however that the latter notion is somewhat arbitrary because of the  $2^{-m}$  bounds on the measure of the  $m$ -th component of a test). An array computable set is not LR-complete by Barmpalias []. Then, by the proof of [28, 3.6.26] we have:

**Proposition 7.2.** *There is a Demuth test  $(S_m)_{m \in \mathbb{N}}$  as follows:*

- (a) *The version  $S_m$  changes at most  $2^m$  times.*
- (b) *If  $Z$  passes the test (i.e.  $Z \notin S_m$  for a.e.  $m$ ), then  $Z$  is array computable.*

**Corollary 7.3.** *No  $K$ -trivial set is Demuth cuppable.*

On the other hand, there is a Turing incomplete c.e. set  $A$  that cups with a 2-random (and hence Demuth random). Simply make  $A$  LR-complete, so that  $\Omega^A$  is 2-random. Use that  $A \oplus \Omega^A \equiv_T A'$ . Lots of obvious questions arise here. For instance, how close to computable can a Demuth cuppable c.e. set be? Ng has announced it can be superlow.

**7.2. Box classes and diamond classes.** For a class  $\mathcal{C} \subseteq 2^\omega$  let

$$\mathcal{C}^\square = \{A: \forall Y \in \mathcal{C} \cap \text{MLR}[A \leq_T Y]\}.$$

Let  $\mathcal{C}^\diamond$  denote the collection of c.e. sets in  $\mathcal{C}^\square$ .

It is known [8] that  $(\omega\text{-c.e.})^\square$  is contained in the strongly jump traceables and  $(\omega\text{-c.e.})^\diamond$  coincides with the c.e. strongly jump traceables.

The following definition is taken from [8]. We let  $R = (\omega, <_R)$  be a computable well-order.

**Definition 7.4.** *An  $R$ -approximation is a computable function*

$$g = \langle g_0, g_1 \rangle : \omega \times \omega \rightarrow \omega \times \omega$$

*such that for each  $x$  and each  $s > 0$ ,*

$$(1) \quad g(x, s) \neq g(x, s-1) \rightarrow g_1(x, s) <_R g_1(x, s-1).$$

*In this case,  $g_0$  is a computable approximation of a total  $\Delta_2^0$  function  $f$ . We say that  $g$  is an  $R$ -approximation of  $f$ , and that  $f$  is  $R$ -c.e..*

$Y$  is  $\omega^n$ -c.e. if its characteristic function is  $R$ -c.e. for  $R$  the canonical presentation of  $\omega^n$ . It is not hard to check that we could as well take the function  $n \rightarrow Y \upharpoonright_n$ .

Diamondstone, Hirschfeldt, and Nies showed that  $(\omega^2\text{-c.e.})^\diamond$  is a proper subclass of  $(\omega\text{-c.e.})^\diamond$ . They gave a direct proof. The following, alternative proof pieces together results from the literature.

**Theorem 7.5.** *There is a c.e. set  $A$  below all  $\omega$ -c.e. random sets, but not below all  $\omega^2$ -c.e. random sets.*

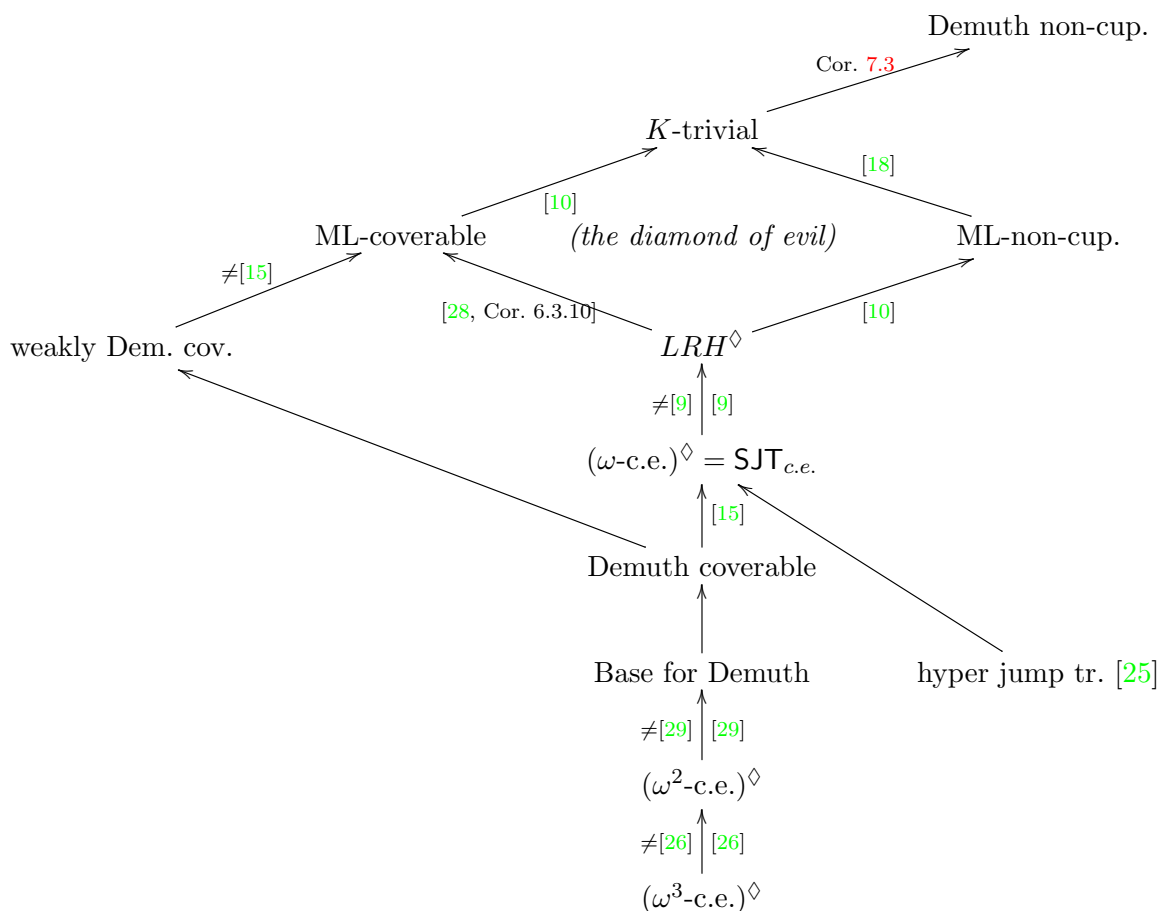
*Proof.* (1) [28, Theorem 3.6.25] builds a Demuth random  $\Delta_2^0$  set  $Y$ . It is not hard to see that the constructed set  $Y$  is in fact  $\omega^2$ -c.e. For detail see [8].

(2) Kučera and Nies [15] show that  $A \leq_T Y$  for c.e. set  $A$  and Demuth random  $Y$  imply that  $A$  is strongly jump traceable.

(3) Ng [24] proved that the class  $\text{SJT}_{c.e.}$  of strongly jump traceable c.e. sets has a  $\Pi_4^0$  complete index set.

Now let  $Y$  be as in (1). Let  $\mathcal{I}(Y)$  be the class of c.e. sets Turing below  $Y$ . Since  $Y$  is low, the class  $\mathcal{I}(Y)$  is  $\Sigma_3^0$ . Thus, since  $\text{SJT}_{c.e.}$  coincides with  $(\omega\text{-c.e.})^\diamond$ , we have  $(\omega^2\text{-c.e.})^\diamond \subseteq \mathcal{I}(Y) \subset (\omega\text{-c.e.})^\diamond$ .  $\square$

**7.3. Diagram of classes of c.e. sets, mostly contained in the  $K$ -trivials.** Here are some classes, all within the c.e. sets. A separation is indicated by the label  $\neq$  on the implication arrow. “ML-coverable” and “ML non-cuppable” means covered/not cupped by an *incomplete* ML-random.



*Added Dec 2011:* Day and Miller 2011, relying on a result on non-density of Martin-Loef random sets in Pi-01 classes by Bienvenu Hoelzl, Miller and Nies in STACS 2012, have shown that a set is  $K$ -trivial if and only if it cannot be cupped above the halting problem with an incomplete Martin-Loef random set.

Diamondstone, Greenberg, and Turetsky have shown that for any set  $A$ , c.e. or not,  $A$  is below each  $\omega$ -c.e. ML-random iff  $A$  is s.j.t.

8. JULY 2010: RANDOMNESS EXTRACTION, MISES-WALD-CHURCH STOCHASTIC SETS, MEDVEDEV REDUCIBILITY, AND EFFECTIVE PACKING DIMENSION

By Bjørn. We show that there is no Turing reduction procedure that does substantially better than a majority function in extracting randomness from a set of integers that lies a small asymptotic Hamming distance away from a random set [14]. A consequence of the proof is as follows. Let MWC denote the class of Mises-Wald-Church stochastic sets, let  $DIM_p$  denote the class

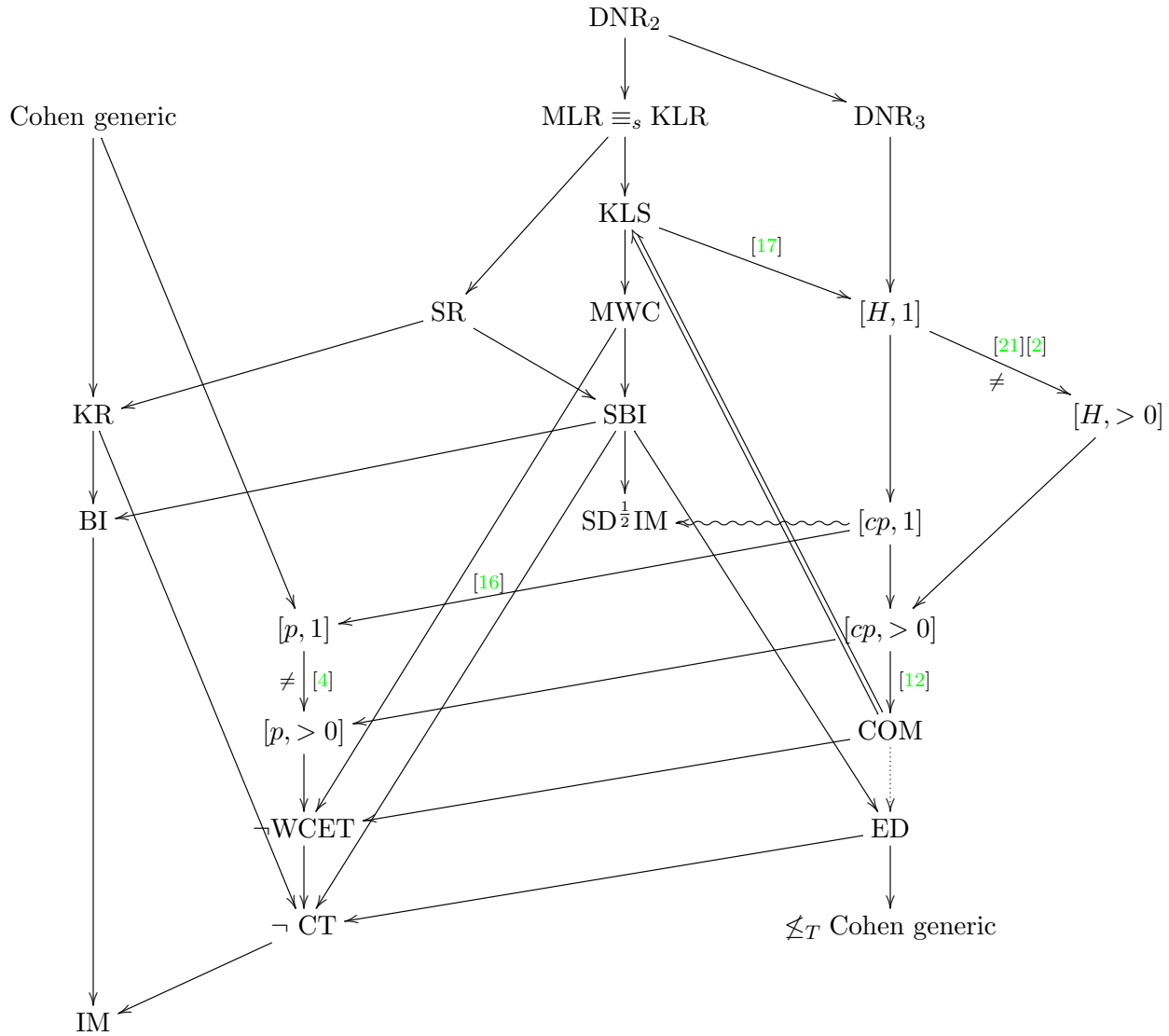


FIGURE 1. Some Medvedev degrees. The wavy arrow indicates a non-implication proved in [14]. For definitions see Figures 2 and 3.

of sets of effective packing dimension 1, and let  $COM$  denote the class of complex sets (in the sense of Kjos-Hanssen, Merkle, and Stephan). Let  $\leq_s$  and  $\leq_w$  denote strong (i.e. Medvedev) and weak (i.e. Muchnik) reducibility of mass problems.

**Theorem 8.1.**  $MWC \not\leq_s DIM_p \cap COM$ .

**Question 8.2.** Is  $MWC \leq_w DIM_p \cap COM$ ?

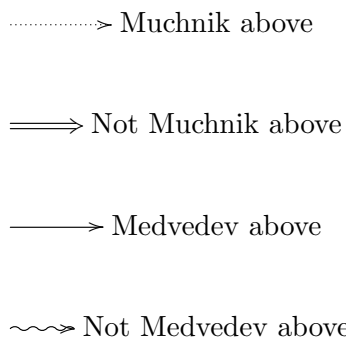


FIGURE 2. Meaning of arrows.

Abbreviation	Unabbreviation	Definition
DNR DNR <sub>n</sub>	Diagonally non-recursive function in $\omega^\omega$ Diagonally non-recursive function in $n^\omega$	
MLR KLR SR KR	Martin-Löf random Kolmogorov-Loveland random Schnorr random Kurtz random (weakly 1-random)	
MWC KLS SBI SD <sup>1/2</sup> IM BI IM	Mises-Wald-Church stochastic Kolmogorov-Loveland stochastic Stochastically bi-immune stochastically dominating for $\mathfrak{p} = 1/2$ and immune bi-immune immune ( $\equiv_s$ noncomputable)	8.3 8.4 8.6 8.7 8.5 8.5
(H, 1) (H, > 0) (p, 1) (p, > 0) (cp, 1) (cp, > 0)	effective Hausdorff dimension 1 effective Hausdorff dimension > 0 effective packing dimension 1 effective packing dimension > 0 complex packing dimension 1 complex packing dimension > 0	8.9 8.9 8.9 8.9 8.9 8.9
COM ED $\not\leq_T$ Cohen generic CT WCET	complex in the sense of [12] eventually different not computable from a 2-generic set computably traceable weakly c.e. traceable	8.10 8.8  8.11

FIGURE 3. Abbreviations used in Figure 1.

**Definition 8.3.** *An element of  $2^\omega$  is Mises-Wald-Church (MWC) stochastic if no partial computable monotonic selection rule can select a biased subsequence, i.e., a subsequence where the relative frequencies of 0s and 1s do not converge to 1/2.*

**Definition 8.4.** An element of  $2^\omega$  is Kolmogorov-Loveland stochastic if no partial computable (non-monotonic) selection rule can select a biased subsequence, i.e., a subsequence where the relative frequencies of 0s and 1s do not converge to  $1/2$ .

Let  $\mathfrak{C}$  denote the collection of all infinite computable subsets of  $\omega$ .

**Definition 8.5.** A set  $X$  is immune if for each  $N \in \mathfrak{C}$ ,  $N \not\subseteq X$ . If  $\omega \setminus X$  is immune then  $X$  is co-immune. If  $X$  is both immune and co-immune then  $X$  is bi-immune.

**Definition 8.6.** A set  $X$  is stochastically bi-immune if for each set  $N \in \mathfrak{C}$ ,  $X \upharpoonright N$  satisfies the strong law of large numbers, i.e.,

$$\lim_{n \rightarrow \infty} \frac{|X \cap N \cap n|}{|N \cap n|} = \frac{1}{2}.$$

**Definition 8.7.** Let  $0 \leq \mathfrak{p} < 1$ . A sequence  $X \in 2^\omega$  is  $\mathfrak{p}$ -stochastically dominated if for each  $L \in \mathfrak{C}$ ,

$$\limsup_{n \rightarrow \infty} \frac{|L \cap n|}{n} > 0 \implies (\exists M \in \mathfrak{C}) \quad M \subseteq L \quad \text{and} \quad \limsup_{n \rightarrow \infty} \frac{|X \cap M \cap n|}{|M \cap n|} \leq \mathfrak{p}.$$

The class of  $\mathfrak{p}$ -stochastically dominated sequences is denoted  $SD_{\mathfrak{p}}$ . If  $\omega \setminus X \in SD_{\mathfrak{p}}$  then we write  $X \in SD^{\mathfrak{p}}$  and say that  $X$  is stochastically dominating.

**Definition 8.8.** A function  $f \in \omega^\omega$  is eventually different (ED) if for each computable function  $g \in \omega^\omega$ ,  $\{x : f(x) = g(x)\}$  is finite.

**Definition 8.9.** The effective Hausdorff dimension of  $A \in 2^\omega$  is

$$\liminf_{n \in \omega} \frac{K(A \upharpoonright n)}{n}.$$

The complex packing dimension of  $A \in 2^\omega$  is

$$\dim_{cp}(A) = \sup_{N \in \mathfrak{C}} \inf_{n \in N} \frac{K(A \upharpoonright n)}{n}.$$

The effective packing dimension of  $A \in 2^\omega$  is

$$\limsup_{n \in \omega} \frac{K(A \upharpoonright n)}{n}.$$

**Definition 8.10** ([12]).  $A \in 2^\omega$  is complex if there is an order function  $h$  with  $K(A \upharpoonright n) \geq h(n)$  for almost all  $n$ .

**Definition 8.11** (Nies [28]).  $A \in 2^\omega$  is facile if  $K(A \upharpoonright n \mid n) \leq h(n)$  for all order functions  $h$  and almost all  $n$ . If  $A$  is not facile then  $A$  is difficult.  $A$  is weakly c.e. traceable if for each order function  $p$ , for all computably bounded functions  $f \leq_T A$ , there is a c.e. trace for  $f$  of size bounded by  $p$ .

## 9. SEP 2010: HIGHER RANDOMNESS

**9.1. The collection of  $\Delta_1^1$ -random reals is not hyperarithmetically upward closed.** Input by Yu.

Define

$$\mathbf{F} = \{x \mid \omega_1^x = \omega_1^{\text{CK}} \wedge \text{every hyperarithmetical real is recursive in } x\}.$$

Then  $\mathbf{F}$  is a  $\Sigma_1^1$  set.

**Lemma 9.1** (Folklore). *If  $\omega_1^x = \omega_1^{\text{CK}}$ , then there is a real  $z \geq_T x$  so that  $z \in \mathbf{F}$ .*

*Proof.* Suppose that  $\omega_1^x = \omega_1^{\text{CK}}$ . The set

$$F_x = \{z \geq_T x \mid \text{every hyperarithmetic real is recursive in } z\}$$

is a  $\Sigma_1^1(x)$  set. Moreover  $F_x$  is not empty since  $\mathcal{O}^x \in F_x$ . By Gandy basis theorem, there must be some  $z \in F_x$  so that  $\omega_1^z = \omega_1^x = \omega_1^{\text{CK}}$ . Then  $z \in \mathbf{F}$ .  $\square$

**Lemma 9.2.** (1) *For any  $x \in \mathbf{F}$  and  $\Pi_1^1$ -random real  $z$ ,  $x \not\leq_h z$ ;*  
 (2) *Every  $\Pi_1^1$ -random real is hyperarithmetic reducible to some real in  $\mathbf{F}$ ;*  
 (3) *If  $x \in \mathbf{F}$ , then there is a  $\Pi_1^1$ -random real hyperarithmetically reducible to  $x$ .*

*Proof.* (1). Suppose that  $x \in \mathbf{F}$ ,  $z$  is a  $\Pi_1^1$ -random real and  $x \leq_h z$ . Since  $\omega_1^x = \omega_1^{\text{CK}}$ , there exists some recursive ordinal  $\alpha$  such that  $x \leq_h z^{(\alpha)}$ . Since  $x \geq_T \emptyset^{\alpha+1}$ ,  $\emptyset^{\alpha+1} \leq_T z^{(\alpha)}$ . But the set  $\{y \mid \emptyset^{(\alpha+1)} \leq_T y^{(\alpha)}\}$  is a  $\Delta_1^1$ -null set (see [?]).  $z$  cannot be  $\Delta_1^1$ -random, a contradiction.

(2). Immediately from Lemma 9.1.

(3). If  $x \in \mathbf{F}$ , then every  $x$ -Schnorr random is  $\Delta_1^1$ -random. Pick up an  $x$ -Schnorr random real  $z \leq_T x'$ . So  $z$  is  $\Delta_1^1$ -random. Since  $\omega_1^x = \omega_1^{\text{CK}}$ ,  $\omega_1^z = \omega_1^{\text{CK}}$ . So  $z$  is  $\Pi_1^1$ -random.  $\square$

**Corollary 9.3.** *The collection of the hyperdegrees of  $\Delta_1^1$ -random reals is not upward closed within the hyperdegrees.*

*Proof.* Any  $\Delta_1^1$ -random real  $x$  with  $\omega_1^x = \omega_1^{\text{CK}}$  is  $\Pi_1^1$ -random. By (2) in Lemma 9.2, there is a  $y \in \mathbf{F}$  so that  $x \leq_h y$ . By (1) in Lemma 9.2,  $y$  cannot be hyperarithmetical equivalent to  $\Delta_1^1$ -random real.  $\square$

**9.2. Some trivial observations about  $NCR_{\Pi_1^1}$ .** Input by Yu.

$$NCR_{\Pi_1^1} = \{x \mid x \text{ is not } \Pi_1^1\text{-random respect to any continuous measure}\}.$$

**Proposition 9.4.**  $NCR_{\Pi_1^1} = \{x \mid x \in L_{\omega_1^x}\}$ .

Sketch of the proof.

**Lemma 9.5.**  $NCR_{\Pi_1^1}$  is a thin  $\Pi_1^1$ -set. So  $NCR_{\Pi_1^1} \subseteq \{x \mid x \in L_{\omega_1^x}\}$ .

*Proof.* Just same as the proof in Reimann and Slaman [30],  $NCR_{\Pi_1^1}$  does not contain a perfect subset.

Just same as the proof in Hjorth and Nies [11], there is a  $\Pi_1^1$  set  $\mathcal{Q} \subseteq (2^\omega)^3$  so that for each real  $x$  and continuous measure  $\mu$ ,  $\mathcal{Q}_{\mu,x} = \{y \mid (\mu, x, y) \in \mathcal{Q}\}$  is the largest  $\Pi_1^1(x)$   $\mu$ -null set. The same as in Reimann and Slaman [31],  $NCR_{\Pi_1^1}$  is a  $\Pi_1^1$  set.  $\square$

**Lemma 9.6.** *If  $x \in L_{\omega_1^x}$  and  $z \not\leq_h x$ , then  $z \oplus x \geq_h \mathcal{O}^z$ .*

*Proof.* Suppose that  $x \in L_{\omega_1^x}$  and  $z \not\leq_h x$ . Then  $\omega_1^z < \omega_1^x$ . So  $\omega_1^{x \oplus z} > \omega_1^z$ . Thus  $z \oplus x \geq_h \mathcal{O}^z$ .  $\square$

**Lemma 9.7.** *If  $x \in L_{\omega_1^x}$ , then  $x \in NCR_{\Pi_1^1}$ .*

*Proof.* Given any continuous measure  $\mu$ . If  $x \leq_h \mu$ , then  $x$  obviously is not  $\mu$ -random. By Lemma 9.6,  $x \oplus \mu \geq_h \mathcal{O}^\mu$ . But  $\{z \mid z \oplus \mu \geq \mathcal{O}^\mu\}$  is a  $\Pi_1^1$ -null set. So  $x$  cannot be  $\Pi_1^1$ - $\mu$ -random.  $\square$

The proposition follows by the Lemmas above.

**Remark:** By Reimann and Slaman [31],  $NCR_n$  is countable for any  $n \in \omega$ . This should be true for any recursive ordinal. Then  $NCR_{\Pi_1^1}$  puts a limit for their results. By a more involved argument, one can show that every master code belongs to  $NCR_{\Delta_1^1}$ . So the uncountability of  $NCR_{\Delta_1^1}$  is unprovable under *ZFC*.

10. NOV 2010: RESULTS ANNOUNCEMENT: CHARACTERIZING  
 $\emptyset'$ -SCHNORR RANDOMNESS VIA MARTIN-LÖF RANDOMNESS.

Input by Yu.

10.1. Characterizing  $\emptyset'$ -Schnorr randomness via Martin-Löf randomness.

**Definition 10.1.** A real  $x$  is **L-random** if for all real  $z$  with  $z' \leq_T \emptyset'$ ,  $x$  is  $z$ -random.

This notion was introduced by Mr. Peng. The following result was proved.

**Theorem 10.2.** Every **L-random** is  $\emptyset'$ -Schnorr-random.

The method of the proof is a finite injury argument.

Sketch of the proof:

*Proof.* We prove that for every  $\emptyset'$ -Schnorr test  $\{U_n^{\emptyset'}\}_{n \in \omega}$ , there is a real  $z$  with  $z' \leq_T \emptyset'$  such that there is  $z$ -Martin-Löf-test  $\{V_n^z\}_{n \in \omega}$  so that  $\bigcap_{n \in \omega} V_n^z \supseteq \bigcap_{n \in \omega} U_n^{\emptyset'}$ .

Since  $\{U_n^{\emptyset'}\}_{n \in \omega}$  is a  $\emptyset'$ -Schnorr test, there is a recursive function  $f : \omega \times 2^{<\omega} \times \omega \rightarrow 2$  so that for every  $n$  and  $\sigma$ ,

- (1)  $\lim_s f(n, \sigma, s) = 0$  or  $1$ ;
- (2)  $\lim_s f(n, \sigma, s) = 1$  if and only if  $\sigma \in U_n^{\emptyset'}$ .

We build a low real  $z$  and  $z$ -Martin-Löf test  $\{V_n^z\}_{n \in \omega}$  by a full approximation priority argument. We need to satisfy two kinds of requirements:

$$N_e : \exists^\infty s \Phi_e^{z_s}(e)[s] \downarrow \implies \Phi_e^z(e) \downarrow;$$

$$P_e : U_{2^e}^{\emptyset'} \subseteq V_e^z.$$

To satisfy  $P_e$ , we need to decompose  $P_e$  into infinitely many subrequirements  $P_{e,n}$ . For every  $n, m$ , let

$$U_n^{\emptyset'} \upharpoonright m = U_n^{\emptyset'} \cap 2^{\leq l_m^n} = \{\sigma \mid |\sigma| \leq l_m^n \wedge \sigma \in U_n^{\emptyset'}\}$$

where  $l_m^n$  is the least number  $l$  such that  $\mu(U_n^{\emptyset'} \cap 2^l) > 2^{-n}(1 - 2^{-m})$ . Notice that since  $\{U_n^{\emptyset'}\}_{n \in \omega}$  is a  $\emptyset'$ -Schnorr test, we may  $\emptyset'$ -recursive find  $l_m^n$  for every  $m$  and  $n$ .

Set

$$P_{\langle e, n \rangle} : U_{2^e}^{\emptyset'} \upharpoonright n \subseteq V_e^z.$$

It suffices to satisfy those  $P_{\langle i, j \rangle}$ 's so that  $i \leq j$ . Then we may set the priority list as  $N_i < P_{\langle 0, i \rangle} < P_{\langle 1, i \rangle} < \dots < P_{\langle i, i \rangle} < N_{i+1}$ ,  $i \in \omega$ .



As in the usual finitary injury argument, we build a restriction function  $r(e, s) > \phi_e^{z_s}(e)$  for every negative requirement  $N_e$  at every stage  $e$  where  $\phi_e^{z_s}(e)$  is the use function of  $\Phi_e^{z_s}(e)[s]$ . Set

$$R(e, s) = \sum_{i \leq e} r(i, s).$$

At stage  $s$ ,  $N_e$  requires attention if  $\Phi_e^{z_s}(e)[s] \downarrow$  but  $N_e$  has not received attention (after initialized).

At every stage  $s$ , for every  $n, m$ , let

$$U_n^{\theta'_s}[s] \upharpoonright m = U_n^{\theta'_s}[s] \cap 2^{\leq l_m^n[s]} = \{\sigma \in 2^{<\omega} \mid |\sigma| \leq l_m^n[s] \wedge \sigma \in U_n^{\theta'_s}[s]\}$$

where  $l_m^n[s]$  is the least number  $l$  such that  $\mu(U_n^{\theta'_s}[s] \cap 2^l) > 2^{-n}(1 - 2^{-m})$ . Obviously  $\lim_s l_m^n[s] = l_m^n$ .

The basic strategy for  $P_{\langle e, n \rangle}$  is: At any stage  $s$ , for each  $\sigma$ , there is a follower  $\langle e, \sigma, t_s \rangle$  attached to  $\sigma$ . If  $\sigma$  enters  $U_n^{\theta'_s}[s] \upharpoonright n$  (i.e.  $f(e, \sigma, s) = 1$ ), then we set  $z_s(\langle e, \sigma, t_s \rangle) = 1$ . If  $\sigma$  exit  $U_n^{\theta'_s}[s] \upharpoonright n$  (i.e.  $f(e, \sigma, s) = 0$ ), then we set  $z_s(\langle e, \sigma, t_s \rangle) = 0$ . So we may define  $V_e^{z_s}[s] = \{\sigma \mid (z_s(\langle e, \sigma, t_s \rangle) = 1)\}$  and  $V_e^z = \{\sigma \mid \exists s(z(\langle e, \sigma, t_s \rangle) = 1)\}$ .

The rule attributing a follower to  $P_{\langle i, j \rangle}$  at stage  $s$  is: For any  $\sigma$  with  $l_j^i[s] \geq |\sigma| > l_{j-1}^i[s]$ , we attribute a follower  $\langle i, \sigma, t_s \rangle$  to  $\sigma$  such that  $t_s$  greater than all the parameters mentioned in the higher priority requirements no later than stage  $s$ .

$P_{\langle i, j \rangle}$  requires attention at stage  $s$  if  $\sigma$  enters  $U_n^{\theta'_s}[s] \upharpoonright j$  but  $z_s(\langle e, \sigma, t_s \rangle) = 0$ . Then we intend set  $z_{s+1}(\langle e, \sigma, t_s \rangle) = 1$ .

To avoid the confliction between  $P_{\langle i_0, j_0 \rangle}$  and  $P_{\langle i_1, j_1 \rangle}$  say  $P_{\langle i_0, j_0 \rangle} < P_{\langle i_1, j_1 \rangle}$ , we initialize all the parameters for  $P_{\langle i_1, j_1 \rangle}$  and set  $z_{s+1}(\langle i_1, \sigma, t_s \rangle) = 0$  for any parameter  $\langle i_1, \sigma, t_s \rangle$  for  $P_{\langle i_1, j_1 \rangle}$  once upon  $P_{\langle i_0, j_0 \rangle}$  receives attention. This cannot happen infinitely often by the definition of  $f$ .

Notice that there are at most  $2^{-2^i - (j-1)}$  measure of clopen sets put in  $V_i^z$  by  $P_{\langle i, j \rangle}$  for any pair  $\langle i, j \rangle$ .

Since  $\{U_n^{\theta'_s}\}_{n \in \omega}$  is a  $\theta'$ -Schnorr test, a usual finite injury argument will show that  $N_e$  will be injured at most finitely many times for every  $e$ . So  $z$  must be low.

For each  $P_{\langle i, j \rangle}$  with  $j \geq i$ , there are  $j$  many negative requirements  $\{N_e\}_{e \leq j}$  having higher priority than  $P_{\langle i, j \rangle}$ . For each  $e \leq j$ , once  $N_e$  set up a restriction  $r(e, s)$ , then  $P_{\langle i, j \rangle}$  cannot change its parameters less than  $R(e, s)$  anymore until some  $P_{\langle i', j' \rangle}$  higher than  $N_e$  receives attention. So  $P_{\langle i, j \rangle}$  may  $j$ -times wrongly put clopen sets into  $U_i^z$ . The measure of the sum of these mistakes is no more than  $j \cdot 2^{-2^i - j + 1}$ . Thus

$$\mu(V_i^z) \leq \sum_{j \in \omega} (j+1) \cdot 2^{-2^i - j + 1} \leq 2^{-i}.$$

So  $\{V_i^z\}_{i \in \omega}$  is a  $z$ -Martin-Löf test. By the definition of  $V_i^z$ , for every  $i$ ,  $U_{2^e}^{\theta'} \subseteq V_e^z$  for every  $e$ . So  $\bigcap_{e \in \omega} U_e^{\theta'} \subseteq \bigcap_{e \in \omega} V_e^z$ . □

**Corollary 10.3.** *For any real  $x \geq_T \theta'$  and  $z$ , the followings are equivalent:*

- (1)  $z$  is  $x$ -Schnorr random;

- (2) For any real  $y$  with  $y' \leq_T x$ ,  $z$  is weakly-2-random relativized to  $y$ ;  
 (3) For any real  $y$  with  $y' \leq_T x$ ,  $z$  is Martin-Löf-random relativized to  $y$ .

*Proof.* Both (1)  $\implies$  (2) and (2)  $\implies$  (3) are obvious.

We show that (3)  $\implies$  (1). Since  $x \geq_T \emptyset'$ , there is a real  $z_0 \leq_T x$  so that  $z'_0 \equiv_T x$ . Relativizing the proof of Theorem 10.2 to  $z_0$ , every  $z$ -Schnorr random real is Martin-Löf-random relativized to  $y$  for some  $y$  with  $z_0 \leq y$  and  $y' \leq_T x$ . □

Obviously another direction of Theorem 10.2 is true. So **L**-randomness is the same as  $\emptyset'$ -Schnorr-randomness.

## 10.2. Lowness properties.

**Theorem 10.4.** *If  $x$  is not low, then there is a  $\emptyset'$ -Schnorr random real which is not  $x$ -random.*

The method of the proof is a forcing argument which was based on a couple of results due to Diamondstone, Nies and others. They are:

**Theorem 10.5** (Diamondstone [5]). *For any pair of low reals  $x$  and  $y$ , there is a c.e. low real  $z$  so that every  $z$ -random real is both  $x$ - and  $y$ -random.*

**Theorem 10.6** (Nies [28]). *If  $y \leq_T x'$  and every  $x$ -random is  $y$ -random, then  $y' \leq_T x'$ .*

And Theorem 5.6.9 in [28].

The forcing is:  $\mathbb{P} = (\mathbf{P}, \leq)$  where  $\mathbf{P}$  is the collection of  $\Pi_1^0(y)$  set of reals having positive measure for some low real  $y$ . For  $P_1, P_2 \in \mathbf{P}$ ,  $P_1 \subseteq P_2$  if and only if  $P_1 \leq P_2$ .

So

**Corollary 10.7.**  $\text{Low}(\text{Sch}(\emptyset'), \text{W2R}) = \text{Low}(\text{Sch}(\emptyset'), \text{ML}) = \text{Low}(= \{x \mid x' \equiv_T \emptyset'\})$ .

## 11. UNIFORMLY $\Sigma_3^0$ INDEX SETS

By Frank Stephan. Also see 2012 version of Nies' book.

[28, Problem 5.3.33] Let  $C$  be an index set for a class of c.e. sets, namely  $e \in C \wedge W_e = W_i \rightarrow i \in C$ . We say that  $C$  is uniformly  $\Sigma_3^0$  if there is a  $\Pi_2^0$  predicate  $P$  and a effective (= recursive) sequence  $(e_0, b_0), (e_1, b_1), \dots$  such that the following three conditions hold:

- $e \in C \Leftrightarrow \exists b [P(e, b)]$ ;
- $P(e_n, b_n)$  for all  $n$ ;
- For all  $e \in C$  there is an  $n$  with  $W_{e_n} = W_e$ .

In other words,  $C$  is the closure, under having the same index, of a projection of a c.e. relation contained in  $P$ . For instance, let  $P(e, b)$  be

$$\forall n \forall s \exists t > s [K_t(W_{e,t} \upharpoonright_n) \leq K_s(n) + b].$$

This shows that the  $K$ -trivials are uniformly  $\Sigma_3^0$  by a Theorem of Downey, Hirschfeldt, Nies and Stephan (see [28, Thm 5.3.28] for a simpler proof of

that theorem). Also the computables -  $C$ -trivials are u'ly  $\Sigma_3^0$  by a similar argument.

The problem was whether each  $\Sigma_3^0$  class is already uniformly  $\Sigma_3^0$ .

First an easy counterexample:

- (1)  $\{e : |W_e| = \infty\}$  has a  $\Sigma_3^0$  Index set; in fact  $\Pi_2^0$ .
- (2) If this set were uniform  $\Sigma_3^0$ , there would be an effective sequence  $(e_n, b_n)$  and a predicate  $P$  such that the following holds:

- $P(e_n, b_n)$  for all  $n$ ;
- $W_e$  is infinite iff  $P(e, b)$  for some  $b$ ;
- For each infinite  $W_e$  there is  $n$  such that  $W_e = W_{e_n}$ .

Thus  $n \mapsto W_{e_n}$  is an effective enumeration of all infinite r.e. sets, contradiction.

Next we give a full characterization:

**Theorem.** An index set  $C$  is uniformly  $\Sigma_3^0$  iff there is a recursive enumeration  $e_0, e_1, \dots$  such that  $e \in C \Leftrightarrow \exists n [W_{e_n} = W_e]$ .

**Proof.**

The definition directly gives that  $e \in C \Leftrightarrow W_e = W_{e_n}$  for some  $n$ . So every uniformly  $\Sigma_3^0$  index set belongs to an r.e. class of r.e. sets. For the converse direction, assume that  $C = \{e : \exists n [W_e = W_{e_n}]\}$  where  $e_0, e_1, \dots$  is an effective sequence of indices. Now let  $b_n = n$  for all  $n$  and define

$$P(e, b) \Leftrightarrow \forall x \forall s \exists t [t > s \wedge W_{e,t}(x) = W_{e_b,t}(x)].$$

In other words,  $P(e, b)$  is the  $\Pi_2^0$  predicate which holds iff  $W_e = W_{e_b}$ . Now it follows that  $e \in C \Leftrightarrow \exists b [P(e, b)]$  and  $(e_0, 0), (e_1, 1), \dots$  is the effective sequence which witnesses together with  $P$  that  $C$  is uniformly  $\Sigma_3^0$ .

**Remark.** It is known that there are  $\Sigma_3^0$  index sets which do not belong to a uniformly r.e. family of sets. Here some examples:

- The set  $\{e : |W_e| = \infty\}$ ;
- The set  $\{e : W_e \subseteq A\}$  where  $A$  is a non-r.e.  $\Pi_2^0$  set;
- The set  $\{e : \exists a \in A [W_e = \{a\}]\}$  where  $A$  is a non-r.e.  $\Sigma_3^0$  set.

If  $C$  is a  $\Sigma_3^0$  index set of a class containing all finite sets then this class is a uniformly r.e. family and  $C$  is uniformly  $\Sigma_3^0$  as can be seen as follows: Given a formula such that

$$e \in C \Leftrightarrow \exists b \forall c \exists d [Cond(e, b, c, d)]$$

where  $Cond$  is a recursive predicate, let now

$$W_{f(e,b)} = \{x \in W_e : \forall c \leq x \exists d [Cond(e, b, c, d)]\}.$$

The set  $W_{f(e,b)}$  is equal to  $W_e$  in the case that  $e, b$  satisfy  $\forall c \exists d [Cond(e, b, c, d)]$ ; otherwise  $W_{f(e,b)}$  is finite. So every index  $f(e, b)$  is in  $C$  and the class of sets indexed by  $C$  is equal to the family  $\{W_{f(e,b)} : e, b \in \mathbb{N}\}$ .

## 12. DECEMBER 2010: CHARACTERISTIC TRACEABILITY, AND WEAKLY DNC SETS

Freer, Kjos-Hanssen, and Nies worked at the University of Hawai'i.

**Definition 12.1.** A trace  $(T_n)_{n \in \mathbb{N}}$  is a sequence of finite sets. We say that a function  $h$  is a bound for the trace if  $\#T_n \leq h(n)$  for each  $n$ . We say  $(T_n)_{n \in \mathbb{N}}$  is a trace for function  $f$  if  $f(n) \in T_n$  for each  $n$ .

Recall computable traceability [28, 8.2.15]: a trace  $(T_n)_{n \in \mathbb{N}}$  is called computable if there is a computable function  $g$  such that  $T_n = D_{g(n)}$  (strong index) for each  $n$ . We say that  $A$  is computably traceable if there is an order function  $h$  such that each function  $f \leq_T A$  has a computable trace with bound  $h$ .

A  $\Delta_1^0$ -index for a set  $B \subseteq \mathbb{N}$  is given by a pair of c.e. indices, one for the set, and one for its complement  $\mathbb{N} - B$ . This has also been called characteristic index [34], because it is equivalent to having an index for the characteristic function of  $B$ .

**Definition 12.2.** A trace  $(T_n)_{n \in \mathbb{N}}$  is called characteristic if there is a computable function  $g$  such that for each  $n$ ,  $g(n)$  is a characteristic index for  $T_n$ .

The following example shows these traces are more general than computable traces.

**Example 12.3.** Let  $A$  be c.e. via the computable enumeration  $(A_s)_{s \in \mathbb{N}}$ . Then the modulus function

$$f_A(n) = \mu s. [A \upharpoonright_n = A_s \upharpoonright_n]$$

has a characteristic trace with bound  $n+1$ . It has no computable trace unless  $A$  is computable.

*Proof.* Let  $f_0(n) = n$  for each  $n$ . For each stage  $s$ , if we have  $y \in A_s - A_{s-1}$  where  $y$  is least, we redefine  $f_s(n) = s$  for all  $n$  such that  $y < n \leq s$ . Let  $T_n = \{f_s(n) : s \in \mathbb{N}\}$ . Then  $(T_n)_{n \in \mathbb{N}}$  is a characteristic trace for  $f$  with bound  $n+1$ .

Any function with a computable trace is dominated by a computable function. This is not possible for the modulus function unless  $A$  is computable.  $\square$

**12.1. Computably dominated sets and weakly d.n.c. sets.** Recall that  $A$  is computably dominated (or of HIF degree) if

$$\forall f \leq_T A \exists g \text{ computable } \forall n [f(n) < g(n)].$$

In the definition following apparently weaker property, we only have an inequality.

**Definition 12.4.** We say  $A$  is weakly d.n.c. if

$$\forall f \leq_T A \exists g \text{ computable } \forall n [f(n) \neq g(n)].$$

However, it's actually the same!

**Proposition 12.5.** Let  $A$  be weakly d.n.c. Then  $A$  is computably dominated.

*Proof.* If  $A$  is not computably dominated, there is an increasing function  $r \leq_T A$  such that for any computable  $h$ , there are infinitely many  $n$  with  $h(n) \leq r(n)$ .

We define inductively a function  $f \leq_T A$  which agrees somewhere with each (total) computable function  $\phi_e$ . On input  $x$ , with oracle  $A$  compute the least  $e \leq x$  such that

$$\forall y \leq x \phi_{e,r(x)}(y) \downarrow, \text{ and } \forall y < x \phi_{e,r(x)}(y) \neq f(x).$$

Let  $f(x) = \phi_{e,r(x)}(x)$ . (If there is no  $e$ , let  $f(x) = 0$ .)

If  $\phi_e$  is total then the function  $h(x) = \mu t. \forall y \leq x \phi_{e,t}(y) \downarrow$  is total. So for infinitely many  $x$ ,  $r(x) \geq h(x)$ . So eventually for some  $x$  we choose  $e$  and ensure  $f(x) = \phi_e(x)$ .  $\square$

## 12.2. Characteristic traceability equals computable traceability.

**Definition 12.6.** *Let us say  $A$  is characteristically traceable if the same definition as above holds for characteristic traces: there is an order function  $h$  such that each function  $f \leq_T A$  has a characteristic trace with bound  $h$ .*

Clearly, each characteristically traceable set is weakly d.n.c.: given  $f \leq_T A$ , pick a characteristic trace  $(T_n)_{n \in \mathbb{N}}$ , and let  $g$  be a computable function such that  $g(n) \in \mathbb{N} - T_n$  for each  $n$ .

**Theorem 12.7.** *Each characteristically traceable set  $A$  is already computably traceable.*

*Proof.*  $A$  is computably dominated by Proposition 12.5. Also  $A$  is c.e. traceable. Hence  $A$  is computably traceable by a simple argument due to [13].  $\square$

## 13. QUESTIONS

### 13.1. August 2010: Distribution of a real obtained by tossing a biased coin. By André.

Let  $0 < \delta < 1$ . Imagine we repeatedly toss a biased coin where the probability of tails (0) is  $\delta$ , and thus of heads (1) is  $1 - \delta$ . Let  $r$  be the real in  $[0, 1]$  with binary expansion given by this sequence of coin tosses. Describe the distribution function of  $r$  depending on  $\delta$ , i.e., the function

$$f_\delta(x) = P[r \leq x].$$

For instance,  $f_{0.5}(x) = x$ .

Here is a [plot](#), in a different scale, for the case  $\delta = 1/3$ . Looks like it is nondifferentiable at the dyadic rationals –Bjørn

Interesting- something like the Cantor function then. Thanks! Andre

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